



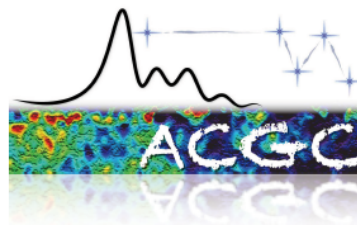
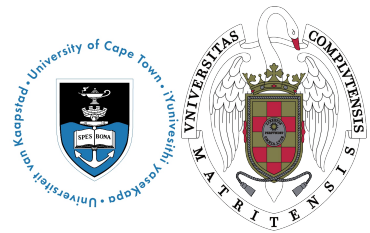
# Advantages and unexpected shortcomings of extended theories of gravity

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# Outline

I. The degeneracy problem in extended theories

II. Averaging in Cosmology

- Acceleration, homogeneity and isotropy revisited
- Domains and averaged Hubble parameter

III. Backreaction mechanism in GR and extended theories

- Buchert formalism. Does backreaction work?
- E.g. 1: Quintessence
- E.g. 2: Brans-Dicke theories

IV. Limitations of Cosmographic approach in extended theories

- Biased results. Spotting  $\Lambda$ CDM
- Ruling out and reconstructing higher-order theories

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# Motivation for extended theories of gravity

- General Relativity is consistent if treated in the frame of **quantum effective field theories** but it breaks down at Planck scale.

J.F. Donoghue and T. Torma, **gr-qc/9405057**

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J.F. Donoghue and T. Torma, **gr-qc/9405057**

## ✓ **Extended theories of gravity**

- must Emulate certain – gravitational – aspects of General Relativity
- must Explain the cosmological evolution in different eras
- are motivated by the cosmological constant ( $\Lambda$ ) problem, dark energy, dark matter, singularities...

## ✓ **Proposals**

- Scalar/Vector-Tensor gravity: Brans-Dicke theories,  **$f(\mathbf{R})$  theories**, Horndeski
- Extra dimensions theories: **Brane-world theory**, String theory
- Massive gravity, Bi-metric gravity
- Born-Infeld inspired gravity
- Alternative geometries

# Extended Theories of Gravity

## ✓ Main motivations (among others)

Scalar partners of the graviton naturally arise when quantizing or unifying gravity.

Coupling between scalar field(s) and matter: alleviation of the coincidence problem.

Explanations for Dark Matter: brane-world theories, axions,  $f(R)$ ,...

## ✓ Within FLRW (or other backgrounds) assumptions:

- provide identical background evolution as GR + dust +  $\Lambda$   
AdICD and A. Dobado, **Phys.Rev.D74:087501,2006**
- reconstruction methods, Elizalde, Odintsov, Sáez-Gomez *et al.*
- possible explanation for Dark Matter  
J. A. R. Cembranos, **Phys.Rev.Lett.102:141301,2009.**
- scalar perturbations may distinguish validity of theories/models  
AdICD, A. Dobado and A. Maroto, **Phys.Rev.Lett.103:179001,2009.**

**[See research at ITP Heidelberg and ACGC Cape Town]**

# The degeneracy problem

- ✓ Several extended gravity theories lead to identical results with either **General Relativity** or the **Concordance  $\Lambda$ CDM Model**

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## ✓ Consistency tests

- Evolution of geodesics and Raychaudhuri equation
- Importance of averaging and backreaction mechanism
- Evolution of scalar (growth rate) and tensor (Eg. CMB) perturbations
- Black holes properties and thermodynamics
- Stability issues, existence of ghosts, etc.
- Reliability of model-independent methods
- **Dark matter**: abundance, astrophysical fluxes and direct detection experiments

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[The frames issue]

IV. Limitations of Cosmography in extended theories

## Limitations in the Concordance Model

- ✓ Early universe (from BBN) is well described by the Concordance model:
    - isotropic and homogeneous,
    - with ordinary matter
    - general relativity
  - ✓ LSS and SNIa compatible with CMB data and discrepancy arises at  $z < 1$
  - At late times, distance and expansion rate are **unpredicted** in a **factor 2**.
- ✓ Standard explanation in FLRW models: “expansion has **accelerated**” (!)

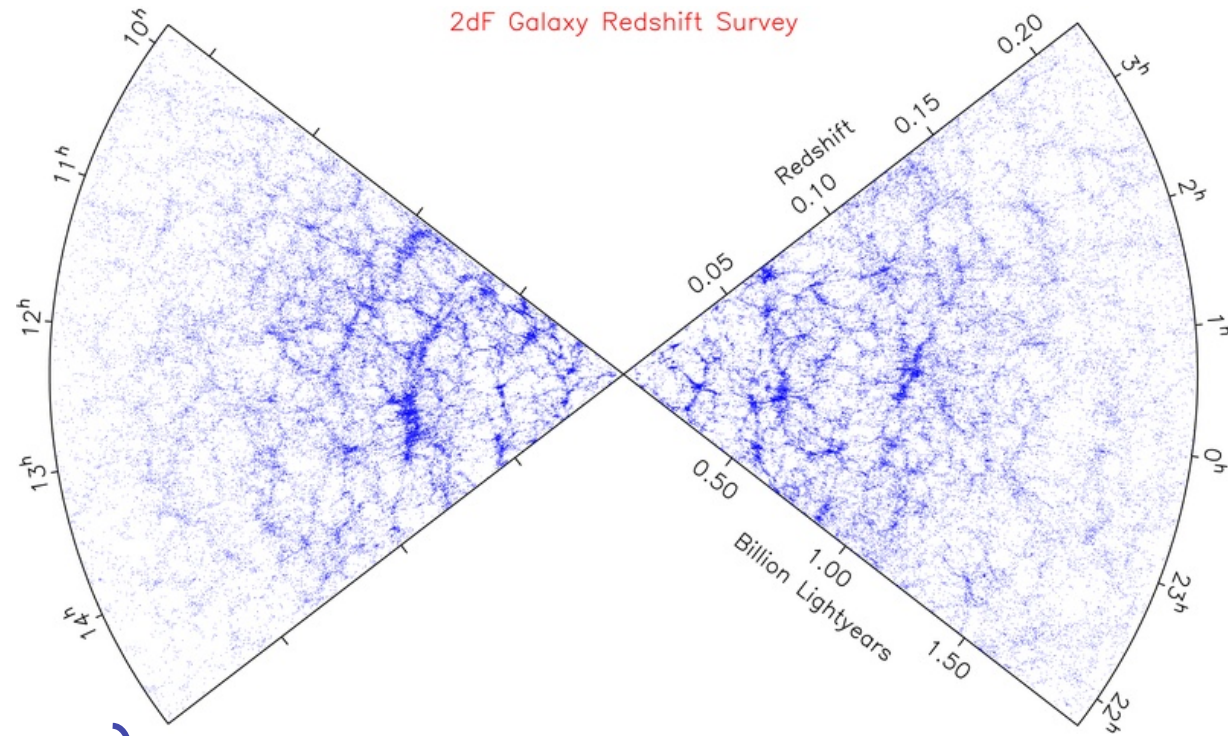
## Alternative explanations?

❖ At least one of the Standard Cosmological Model assumptions might be **wrong**

- Exotic energy with negative pressure  
no evidence apart from accelerated expansion
- GR is not a complete theory  
modified gravity may alleviate this issue
- Homogeneity and Isotropy assumptions are not valid at late times  
both are violated due to formation of non-linear structures

# Exact vs. Statistical Homogeneity & Isotropy

- Homogeneity scale
- Fundamental observers
- The distribution of non linear regions remains statistically HI on large scales (100 Mpc today)



- Box with non-linear regions
- Completely Smooth spacetime

evolve differently!

Average evolution of a clumpy space is not the same as the evolution of a smooth space **BACKREACTION** (G. F. R. Ellis, 1983 *fitting problem*)

# Leit-motiv

- Universe is *only statistically* homogeneous and isotropic
- Einstein's equations are not linear in the metric  $g_{\mu\nu}$

$$G_{\mu\nu}(\langle g_{\mu\nu} \rangle) \neq \langle G_{\mu\nu}(g_{\mu\nu}) \rangle$$

- Local inhomogeneity and anisotropies affect the background via the backreaction mechanism

G. F. R. Ellis and W. Stoeger, *Class. Quant. Grav.* **4** (1987) 1697.

T. Buchert and J. Ehlers, *Astron. Astrophys.* **320** (1997) 1.

T. Buchert, *Gen. Rel. Grav.* **32** (2000) 105.

- BASICS...

$$ds^2 = -dt^2 + g_{ij}(t, \vec{x})dx^i dx^j$$

$$\nabla_\beta u_\alpha = \frac{1}{3}h_{\alpha\beta}\theta + \sigma_{\alpha\beta} + \omega_{\alpha\beta}$$

$$\theta \equiv \nabla_\alpha u^\alpha \quad \text{Local expansion rate}$$

- Stress-energy tensor decomposition

$$T_{\mu\nu} = \rho u_\mu u_\nu + p h_{\mu\nu} + 2q_{(\mu} u_{\nu)} + \pi_{\mu\nu}$$

$$\begin{aligned} \rho &\equiv T_{\mu\nu} u^\mu u^\nu & q_\mu &\equiv -T_{\alpha\beta} h^\alpha{}_\mu u^\beta \\ p &\equiv \frac{1}{3} T_{\alpha\beta} h^{\alpha\beta} & \pi_{\mu\nu} &\equiv T_{\alpha\beta} h^\alpha{}_{\langle\mu} h^\beta{}_{\nu\rangle} . \end{aligned}$$



- *Local Einstein Equations*  $G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = T_{\mu\nu} = \sum_{\alpha} T_{\mu\nu}^{(\alpha)}$

Arnowitt-Deser-Misner decomposition

$$\left\{ \begin{array}{l} \frac{1}{2} \left( \mathcal{R} + \theta^2 - \theta^i_j \theta^j_i \right) = \rho, \\ \theta_{,i} - \theta^j_{i;j} = 8\pi G q_i, \end{array} \right\} \text{Constraint eqns.}$$

$$\dot{\theta}^i_j = -\theta \theta^i_j - \mathcal{R}^i_j + \frac{1}{2}(\rho - 3p)\delta^i_j + T^i_j, \quad \text{Evolution eqn.}$$

- *Local Einstein Equations*  $G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = T_{\mu\nu} = \sum_{\alpha} T_{\mu\nu}^{(\alpha)}$

Arnowitt-Deser-Misner decomposition

$$\dot{\theta} = -2\sigma^2 - \frac{1}{3}\theta^2 - \frac{1}{2}(\rho + 3p) \quad \text{Raychaudhuri eqn.}$$

$$\partial_t \sigma^2 = -2\theta\sigma^2 - \sigma^i_j \mathcal{R}^i_j + \sigma^i_j \pi^j_i \quad \text{Shear evolution eqn.}$$

\* No vorticity and strong energy condition implies  $\dot{\theta} < 0$

- *Conservation Equations*  $\nabla_{\mu} T^{\mu\nu} = 0$

$$-u_{\nu} \nabla_{\mu} T^{\mu\nu} = \dot{\rho} + \theta(\rho + p) + \nabla_{\mu} q^{\mu} + \pi^{\mu\nu} \sigma_{\mu\nu} = 0,$$

$$h_{\alpha\nu} \nabla_{\mu} T^{\mu\nu} = \hat{\nabla}_{\alpha} p + \dot{q}_{\alpha} + \frac{4}{3}\theta q_{\alpha} + q^{\mu} \sigma_{\mu\alpha} + h_{\alpha\nu} \nabla_{\mu} \pi^{\mu\nu} = 0$$

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[The frames issue]

IV. Limitations of Cosmography in extended theories

## DOMAINS and AVERAGED $H_D$

- *Key concept* Spatial average for an observable  $O(t, \mathbf{x})$  at time  $t$ ,

$$\langle O \rangle_D \equiv \frac{1}{V_D(t)} \int W_D(\mathbf{x}) O(t, \mathbf{x}) \sqrt{\det g_{ij}} d\mathbf{x}$$

$$V_D(t) \equiv \int W_D(\mathbf{x}) \sqrt{\det g_{ij}} d\mathbf{x} \quad W_D(\mathbf{x}) \text{ window function} \\ \text{[ political decision ]}$$

- *Effective scale factor*  $a_D$

$$\frac{a_D}{a_{D_0}} \equiv \left( \frac{V_D}{V_{D_0}} \right)^{1/3}$$

- *Effective Hubble rate*  $H_D$

$$H_D \equiv \frac{\dot{a}_D}{a_D} \equiv \langle \theta \rangle ./ 3$$

- ✓ **doesn't** describe a local behavior
- ✓ **doesn't** appear in the metric

## DOMAINS and AVERAGED $H_D$

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- *Commutation relations*

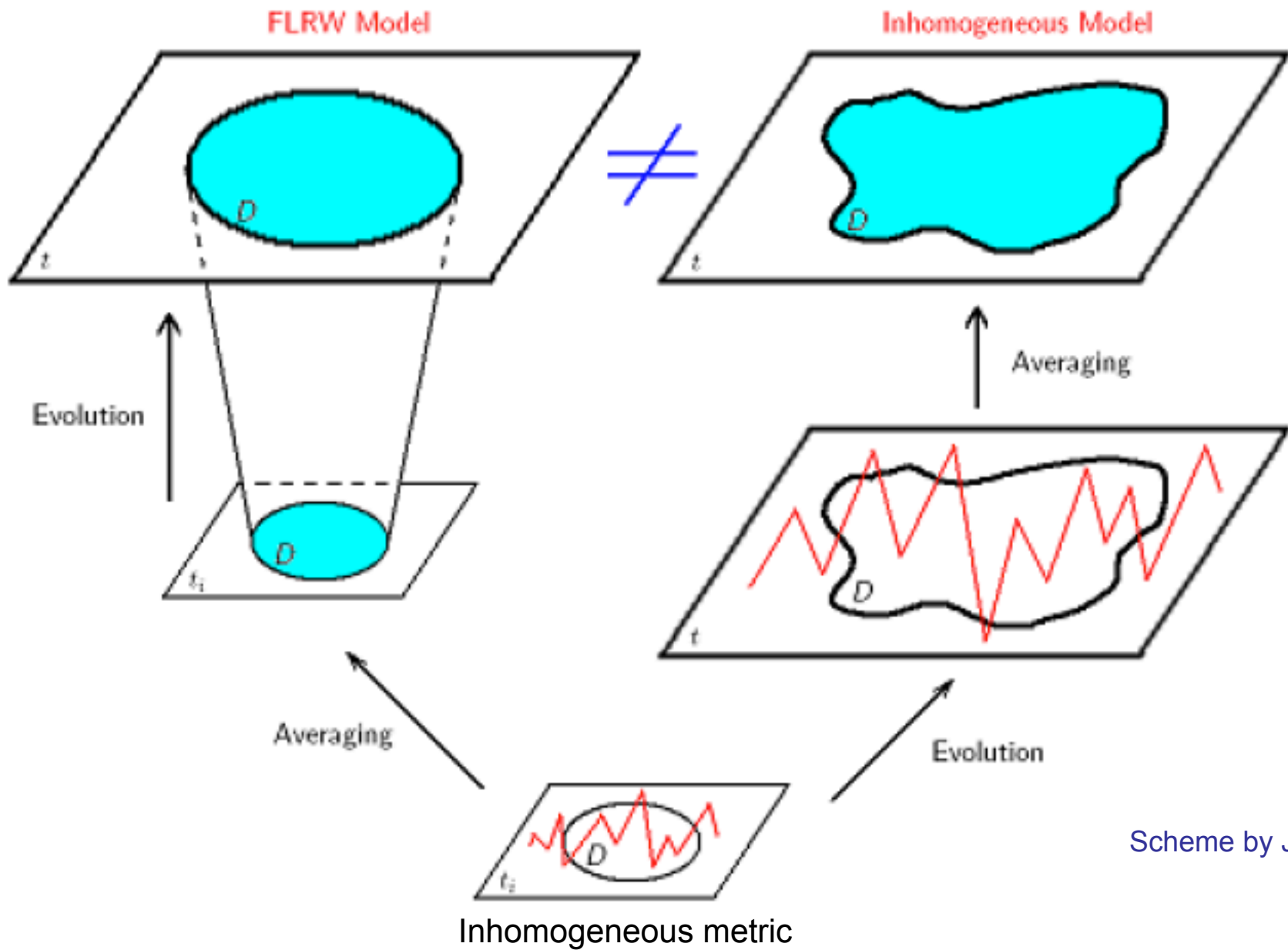
$$[\partial_t, \langle \rangle_D] \mathcal{O} \equiv \partial_t \langle \mathcal{O} \rangle_D - \langle \partial_t \mathcal{O} \rangle_D = \langle \theta \mathcal{O} \rangle_D - \langle \theta \rangle_D \langle \mathcal{O} \rangle_D$$

$$[\partial_t, \langle \rangle_D] \mathcal{O} = \langle \delta\theta \delta\mathcal{O} \rangle_D \cdot \quad \delta\mathcal{O} \equiv \mathcal{O} - \langle \mathcal{O} \rangle_D.$$



Second order effects

$$[\partial_t, \langle \rangle_D] \mathcal{O} \equiv \langle \mathcal{O} \theta \rangle_D - \langle \theta \rangle_D \langle \mathcal{O} \rangle_D$$



Scheme by Julien Larena

$$H_D^2 = \left( \frac{\dot{a}_D}{a_D} \right)^2 = \frac{8\pi G}{3} \rho_{\text{eff}}$$

$$-\frac{\ddot{a}_D}{a_D} = \frac{4\pi G}{3} (\rho_{\text{eff}} + 3p_{\text{eff}})$$

$$\partial_t \langle \rho \rangle_D + 3 \frac{\dot{a}_D}{a_D} \langle \rho \rangle_D = 0$$

Effective Friedmann equations  
in GR + dust universe

$$\rho_{\text{eff}} \equiv \langle \rho \rangle_D - \frac{1}{16\pi G} (\langle Q \rangle_D + \langle \mathcal{R} \rangle_D)$$

$$p_{\text{eff}} \equiv -\frac{1}{16\pi G} \left( \langle Q \rangle_D - \frac{1}{3} \langle \mathcal{R} \rangle_D \right)$$

$\rho_{\text{eff}}$  effective density  
 $p_{\text{eff}}$  effective pressure

$$\langle Q \rangle_D \equiv \frac{2}{3} (\langle \theta^2 \rangle - \langle \theta \rangle^2) - 2\langle \sigma^2 \rangle \quad \text{Kinematical backreaction}$$

$$\langle \mathcal{R} \rangle_D \quad \text{Averaged spatial curvature}$$

# Aren't the corrections just $\sim 10^{-5}$ ?

---

- No. Of course not.  $\Lambda$  is bs [Buchert, Kolb ...]
- Yes. Absolutely. Those guys are idiots. [Wald, Peebles ...]
- Well, maybe. I've no idea what's going on. [everyone else ... ?]
- Corrections from averaging enter Friedmann and Raychaudhuri equations

- is this degenerate with 'dark energy'?
- can we separate the effects [if there are any]?
- or ... is it dark energy? neat solution to the coincidence problem



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- Well, maybe. I've no idea what's going on. [everyone else ... ?]

- **Almost one year ago...**

Once structures virialise, the effect of backreaction **in GR** is negligible independently of initial conditions

**Can small scale structure ever affect cosmological dynamics?**

Julian Adamek, Chris Clarkson, Ruth Durrer, Martin Kunz

Phys. Rev. Lett. 114, 051302 (2015) e-Print: **1408.2741 [astro-ph.CO]**

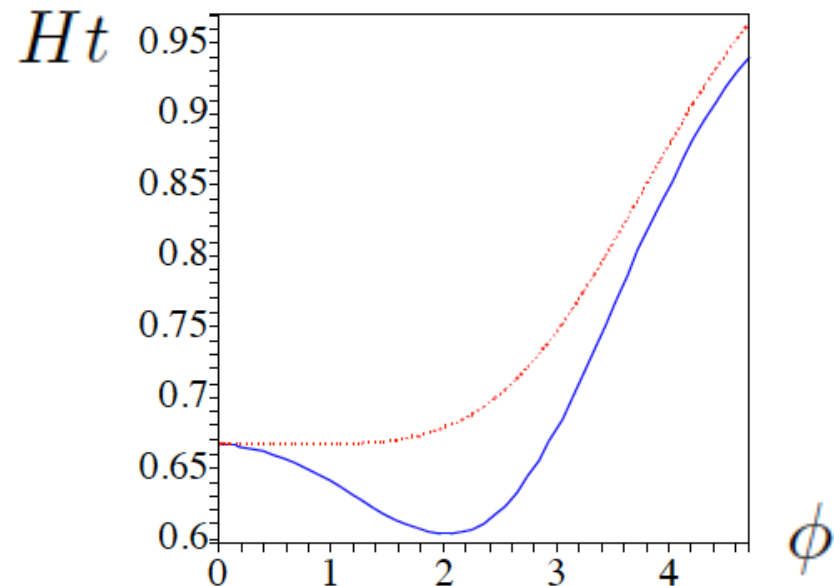
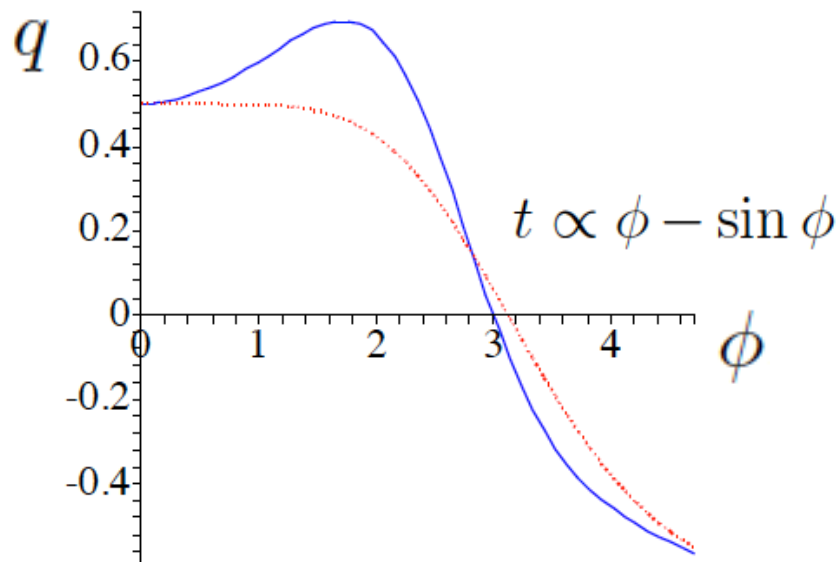
- Can average expansion rate speed up whereas the local one slows down ?
- ✓ Simple model: two regions [ overdense vs. underdense ]

$$H = \frac{a_1^3}{a_1^3 + a_2^3} H_1 + \frac{a_2^3}{a_1^3 + a_2^3} H_2 \equiv v_1 H_1 + v_2 H_2$$

$$\frac{\ddot{a}}{a} = v_1 \frac{\ddot{a}_1}{a_1} + v_2 \frac{\ddot{a}_2}{a_2} + 2v_1 v_2 (H_1 - H_2)^2 \quad \text{Backreaction } \langle Q \rangle_D \text{ variable}$$

Simple model vs.  $\Lambda$ CDM

S. Rasanen arXiv:1012.0784 [astro-ph.CO]



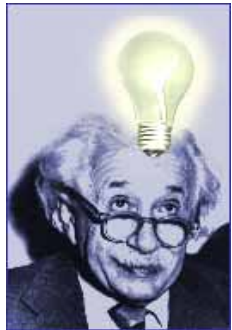
## Shortcomings in – standard – Averaging

✓ **Standard:** general relativity assumed as the unique possible theory

✧ Why not other geometrical Lagrangians?

✓ **Standard:** only dust fluid in the matter side

✧ Why not other fluids (radiation, quasi-dust, multifluid...)?



Any modified gravity, although not providing cosmological acceleration by itself, will present backreaction effects

Its relative importance might not be necessarily the same as in GR

**Q1:** Is GR backreaction distinguishable from modified backreaction?

**Q2:** Is the standard – Buchert-like – procedure to get averaged quantities valid?

a) Averaged Einstein equations

$$H_{\mathcal{D}}^2 = \frac{1}{3}\langle\rho\rangle_{\mathcal{D}} - \frac{1}{6}\langle\mathcal{R}\rangle_{\mathcal{D}} - \frac{1}{6}\langle Q\rangle_{\mathcal{D}},$$

$$\frac{\ddot{a}_{\mathcal{D}}}{a_{\mathcal{D}}} = -\frac{1}{6}\langle\rho + 3p\rangle_{\mathcal{D}} + \frac{1}{3}\langle Q\rangle_{\mathcal{D}},$$

$$\partial_t\langle\sigma^2\rangle_{\mathcal{D}} = -2\langle\theta\rangle_{\mathcal{D}}\langle\sigma^2\rangle_{\mathcal{D}} - \langle\theta\delta\sigma^2\rangle_{\mathcal{D}} + \langle\sigma^i_j\mathcal{C}^j_i\rangle_{\mathcal{D}},$$

$$\delta\sigma^2 \equiv \sigma^2 - \langle\sigma^2\rangle_{\mathcal{D}}$$

$$\mathcal{C}^j_i \equiv \pi^j_i - \mathcal{R}^j_{\perp i},$$

b) Averaged continuity equation

$$\partial_t\langle\rho\rangle_{\mathcal{D}} + \langle\theta\rangle_{\mathcal{D}}\langle\rho\rangle_{\mathcal{D}} + \langle\theta p\rangle_{\mathcal{D}} = \langle j\rangle_{\mathcal{D}}$$

$$j \equiv -\nabla_{\mu}q^{\mu} + \pi^{\mu\nu}\sigma_{\mu\nu}$$

a) Averaged Einstein equations

J. Beltrán, AdICD, P. Dunsby, D. Sáez-Gómez  
JCAP 1405 (2014) 031 arXiv:1312.5680 [astro-ph.CO]

combined

$$\frac{1}{2a_{\mathcal{D}}^6} \left[ \partial_t (a_{\mathcal{D}}^6 \langle Q \rangle_{\mathcal{D}}) + a_{\mathcal{D}}^4 \partial_t (a_{\mathcal{D}}^2 \langle \mathcal{R} \rangle_{\mathcal{D}}) \right] = - \langle \delta\theta \delta p \rangle_{\mathcal{D}} + \langle j \rangle_{\mathcal{D}}$$

Integrability condition

b) Averaged continuity equation

$$\frac{1}{2a_{\mathcal{D}}^6} \left[ \partial_t (a_{\mathcal{D}}^6 \langle Q \rangle_{\mathcal{D}}) + a_{\mathcal{D}}^4 \partial_t (a_{\mathcal{D}}^2 \langle \mathcal{R} \rangle_{\mathcal{D}}) \right] = - \langle \delta\theta \delta p \rangle_{\mathcal{D}} + \langle j \rangle_{\mathcal{D}}$$

## Integrability condition

✧ Equation with **NO** analogy in Newtonian dynamics

✧ **Usual integrability condition in General Relativity is modified.**

✧ In extended theories, **the backreaction effects are not necessarily rapidly diluted**

$$\langle \mathcal{R} \rangle_{\mathcal{D}} \propto a_{\mathcal{D}}^{-2} \rightarrow \langle \tilde{Q} \rangle_{\mathcal{D}} \propto a_{\mathcal{D}}^{-6}$$

✧ Second order perturbations with only first order (squared) terms.

✧ **In other scenarios, pressure perturbations, momentum fluxes and anisotropic stress can source the kinematical backreaction.**

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[The frames issue]

QUINTESSENCE (I)  $S = \int d^4x \sqrt{-g} \left( \frac{1}{2}R - \frac{1}{2}\partial_\mu\phi\partial^\mu\phi - V(\phi) \right)$

Single scalar field minimally coupled to gravity

$$\rho \equiv T_{\mu\nu}u^\mu u^\nu = \rho_m + \frac{1}{2}\dot{\phi}^2 + \frac{1}{2}h^{\alpha\beta}\hat{\nabla}_\alpha\phi\hat{\nabla}_\beta\phi + V(\phi)$$

$$p \equiv \frac{1}{3}T_{\mu\nu}h^{\mu\nu} = p_m + \frac{1}{2}\dot{\phi}^2 - \frac{1}{6}h^{\alpha\beta}\hat{\nabla}_\alpha\phi\hat{\nabla}_\beta\phi - V(\phi)$$

$$q_\mu \equiv -T_{\alpha\beta}h_\mu^\alpha u^\beta = -\dot{\phi}\hat{\nabla}_\mu\phi$$

$$\pi_{\mu\nu} \equiv T_{\alpha\beta}h_{<\mu}^\alpha h_{\nu>}^\beta = \hat{T}_{\mu\nu} - ph_{\mu\nu} \quad , \quad \hat{T}_{\mu\nu} \equiv h_{(\mu}^\alpha h_{\nu)}^\beta T_{\alpha\beta}$$

✓ Scalar field equation of motion

J. Beltrán, AdICD, P. Dunsby, D. Sáez-Gómez

JCAP 1405 (2014) 031 arXiv:1312.5680 [astro-ph.CO]

$$\square\phi = \frac{dV(\phi)}{d\phi} \quad \ddot{\phi} + \theta\dot{\phi} - h^{\alpha\beta}\hat{\nabla}_\alpha\hat{\nabla}_\beta\phi + V_{,\phi} = 0$$

$$\partial_t \left( \partial_t \langle \phi \rangle_{\mathcal{D}} - \langle \delta\theta \delta\phi \rangle_{\mathcal{D}} \right) + \langle \theta \rangle_{\mathcal{D}} \left( \partial_t \langle \phi \rangle_{\mathcal{D}} - \langle \delta\theta \delta\phi \rangle_{\mathcal{D}} \right) + \underbrace{\langle V_{,\phi} \rangle_{\mathcal{D}}}_{\text{red bracket}} = \underbrace{\langle h^{\alpha\beta} \hat{\nabla}_\alpha \hat{\nabla}_\beta \phi \rangle_{\mathcal{D}}}_{\text{red bracket}}$$

$$\langle \phi \rangle_{\mathcal{D}} \simeq \phi_0 + \int \frac{\langle \delta\theta \delta\phi \rangle_{\mathcal{D}}}{a_{\mathcal{D}} H_{\mathcal{D}}} da_{\mathcal{D}}$$

Neglecting potential and spatial derivatives



## QUINTESSENCE (and II)

Let's assume a homogeneous field  $\phi = \phi(t) = \langle \phi \rangle_{\mathcal{D}}$ .

$$p = p_m + \frac{1}{2}(\partial_t \phi)^2 - V(\phi)$$

$$q_\mu = 0,$$

$$\pi^{\mu\nu} = g^{\mu\nu} \left[ \frac{1}{2}(\partial_t \phi)^2 - V(\phi) \right]$$

and the integrability condition...

$$\frac{1}{2a_{\mathcal{D}}^6} \left[ \partial_t (a_{\mathcal{D}}^6 \langle Q \rangle_{\mathcal{D}}) + a_{\mathcal{D}}^4 \partial_t (a_{\mathcal{D}}^2 \langle \mathcal{R} \rangle_{\mathcal{D}}) \right] = - \langle \delta\theta \delta p \rangle_{\mathcal{D}} = - \langle \theta \delta p_m \rangle_{\mathcal{D}}$$

J. Beltrán, AdICD, P. Dunsby, D. Sáez-Gómez  
JCAP 1405 (2014) 031 [arXiv:1312.5680](https://arxiv.org/abs/1312.5680) [astro-ph.CO]

- Standard integrability condition **is recovered** in homogeneous quintessence scenarios provided that  $\delta p_m$  is negligible
- Homogeneous quintessence fields **don't** contribute to averaged equations thanks to the **minimal coupling**

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[The frames issue]

IV. Limitations of Cosmography in extended theories

- Biased results depending on auxiliary variable choice
- Ruling out – reconstructing theories with higher orders

BRANS-DICKE THEORIES (I)  $S = \frac{1}{2} \int d^4x \sqrt{-g} \left[ \phi R - \frac{\omega_0}{\phi} \partial_\mu \phi \partial^\mu \phi \right] + S_M[g_{\mu\nu}; \psi]$

- Non-minimal coupling
- Gravitational constant depends on the scalar field

$$\rho \equiv T_{\mu\nu} u^\mu u^\nu = \frac{\rho_m}{\phi} + \frac{\omega_0}{\phi^2} \left[ \dot{\phi}^2 + \frac{1}{2} (\partial\phi)^2 \right] + \frac{1}{\phi} (\ddot{\phi} + \square\phi)$$

$$p \equiv \frac{1}{3} T_{\mu\nu} h^{\mu\nu} = \frac{p_m}{\phi} + \frac{\omega_0}{\phi^2} \left[ h^{\mu\nu} \hat{\nabla}_\mu \phi \hat{\nabla}_\nu \phi - \frac{3}{2} (\partial\phi)^2 \right] + \frac{1}{3\phi} (-2\square\phi + \ddot{\phi})$$

$$q_\mu \equiv -T_{\alpha\beta} h_\mu^\alpha u^\beta = -\frac{\omega_0}{\phi^2} \left[ \dot{\phi} \hat{\nabla}_\mu \phi \right] - \frac{1}{\phi} h_\mu^\alpha u^\beta \nabla_\beta (h_\alpha^\gamma \nabla_\gamma \phi)$$

$$\pi_{\mu\nu} \equiv T_{\alpha\beta} h_{<\mu}^\alpha h_{\nu>}^\beta = \hat{T}_{\mu\nu} - p h_{\mu\nu} \quad , \quad \hat{T}_{\mu\nu} \equiv h_{(\mu}^\alpha h_{\nu)}^\beta T_{\alpha\beta} .$$

✓ Scalar field equation of motion

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**JCAP 1405 (2014) 031** [arXiv:1312.5680](https://arxiv.org/abs/1312.5680) [astro-ph.CO]

$$\square\phi = -\frac{\rho_m - 3p_m}{2\omega_0 + 3} \quad \ddot{\phi} + \theta\dot{\phi} - h^{\alpha\beta} \hat{\nabla}_\alpha \hat{\nabla}_\beta \phi - \frac{1}{2\omega_0 - 3} (\rho_m - 3p_m) = 0$$

$$\partial_{tt} \langle \phi \rangle_{\mathcal{D}} + \langle \theta \rangle_{\mathcal{D}} \partial_t \langle \phi \rangle_{\mathcal{D}} = \frac{1}{2\omega_0 - 3} \langle \rho_m - 3p_m \rangle_{\mathcal{D}} + \partial_t \langle \delta\theta \delta\phi \rangle_{\mathcal{D}} + \langle \theta \rangle_{\mathcal{D}} \langle \delta\theta \delta\phi \rangle_{\mathcal{D}} + \langle h^{\alpha\beta} \hat{\nabla}_\alpha \hat{\nabla}_\beta \phi \rangle_{\mathcal{D}}$$

Essentially the same as the one obtained in Quintessence

## BRANS-DICKE THEORIES (and II)

Let's again assume a homogeneous field  $\phi = \phi(t) = \langle \phi \rangle_{\mathcal{D}}$ .

$$p = \frac{p_m}{\phi} + \frac{\omega_0 \dot{\phi}^2}{2 \phi^2} + \frac{1}{3\phi} \left( \frac{2\rho_m}{2\omega_0 + 3} + \ddot{\phi} \right),$$

$$q_\mu = 0,$$

$$\pi^{\mu\nu} \sigma_{\mu\nu} = -\frac{1}{2\phi} \partial_t \phi \sigma^{ij} \partial_t g_{ij}$$

and the integrability condition...

J. Beltrán, AdICD, P. Dunsby, D. Sáez-Gómez  
 JCAP 1405 (2014) 031 arXiv:1312.5680 [astro-ph.CO]

$$\frac{1}{2a_{\mathcal{D}}^6} [\partial_t (a_{\mathcal{D}}^6 \langle Q \rangle_{\mathcal{D}}) + a_{\mathcal{D}}^4 \partial_t (a_{\mathcal{D}}^2 \langle \mathcal{R} \rangle_{\mathcal{D}})] = \frac{-1}{3\phi(2\omega_0 + 3)} \left[ [\partial_t, \langle \rangle_{\mathcal{D}}] (2\rho_m + 3(2\omega_0 + 3) p_m) \right] - \frac{1}{2\phi} \partial_t \phi \langle \sigma^{ij} \partial_t g_{ij} \rangle_{\mathcal{D}}$$

- Unlike Quintessence, the standard integrability condition is **NOT recovered** in homogeneous BD scenarios due to the inhomogeneous character of either density, pressure, the metric tensor or  $\sigma_{ij}$

# Conclusions and Prospects in Averaging

- Backreaction tries to account – at least partially - for the observed discrepancy between expected cosmological evolution and late accelerated era without Dark Energy
- If backreaction hypothesis is valid, its applicability to extended theories of gravity is a natural step to understand degenerate results. Precision Cosmology doesn't make sense prior to establishing the importance of backreaction effects
- For Quintessence and Brans-Dicke theories, the integrability condition is - isn't - recovered for homogeneous fields acting on inhomogeneous backgrounds
- Prospects
  1. Determination of  $H_D$  in extended theories and comparison with - for instance - Supernovae catalogues.
  2. Perturbative backreaction: for scalar perturbations, study their effects in the averaged evolution.

# Outline

I. Degeneracy problem in Cosmology and Gravitation

II. Averaging in Cosmology

- Acceleration, homogeneity and isotropy revisited
- Domains and averaged Hubble parameter

III. Backreaction mechanism in GR and extended theories

- (Buchert) formalism. Does backreaction work?
- E.g. 1: Quintessence
- E.g. 2: Brans-Dicke theories  
[The frames issue]

IV. Limitations of Cosmographic approach in extended theories

- Biased results depending on auxiliary variable choice
- Ruling out – reconstructing theories with higher orders

## ✓ Cosmography rudiments

- In order to test GR and the Copernican Principle, a useful tool is to use frameworks able to encompass a large class of models/theories
- Such model independent methods - instead of a case-by-case approach – have been used to infer the Dark Energy EoS and reconstruct classes of DE theories
- Cosmography approach just relies on the **Copernican principle** and the expression of the **scale factor in terms of an auxiliary variable** (redshift, time, etc.)

$$H = \frac{\dot{a}}{a}, \quad q = -\frac{\ddot{a}}{aH^2}, \quad j = \frac{a^{(3)}}{aH^3}, \quad s = \frac{a^{(4)}}{aH^4}, \quad l = \frac{a^{(5)}}{aH^5}, \dots$$

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$$\boxed{z = 0} \quad H = H_0 + H_{z0}z + \frac{H_{zz0}}{2}z^2 + \dots \quad |z| < 1$$



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$$\boxed{z = 0} \quad H = H_0 + H_{z0}z + \frac{H_{zz0}}{2}z^2 + \dots \quad |z| < 1$$

$$\text{or } y = \frac{z}{1+z} \text{ as alternative independent variable}$$

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✓ Differences between auxiliary variables:  $y$  vs.  $z$

- Mock data generated from a fiducial flat  $\Lambda$ CDM model with redshift distribution

Union2.1 catalogue and  $\sigma_\mu = 0.15$

$$\Omega_m = 0.3$$

$$H_0 = 73.8 \text{ km s}^{-1} \text{ Mpc}^{-1}$$

- Two sets of parameters and 100 simulations

$$\theta_1 = \{H_0, q_0, j_0, s_0\} \quad \theta_2 = \{H_0, q_0, j_0, s_0, l_0\}$$

- How frequent the true cosmographic values fall in 1, 2, 3 $\sigma$  confidence regions

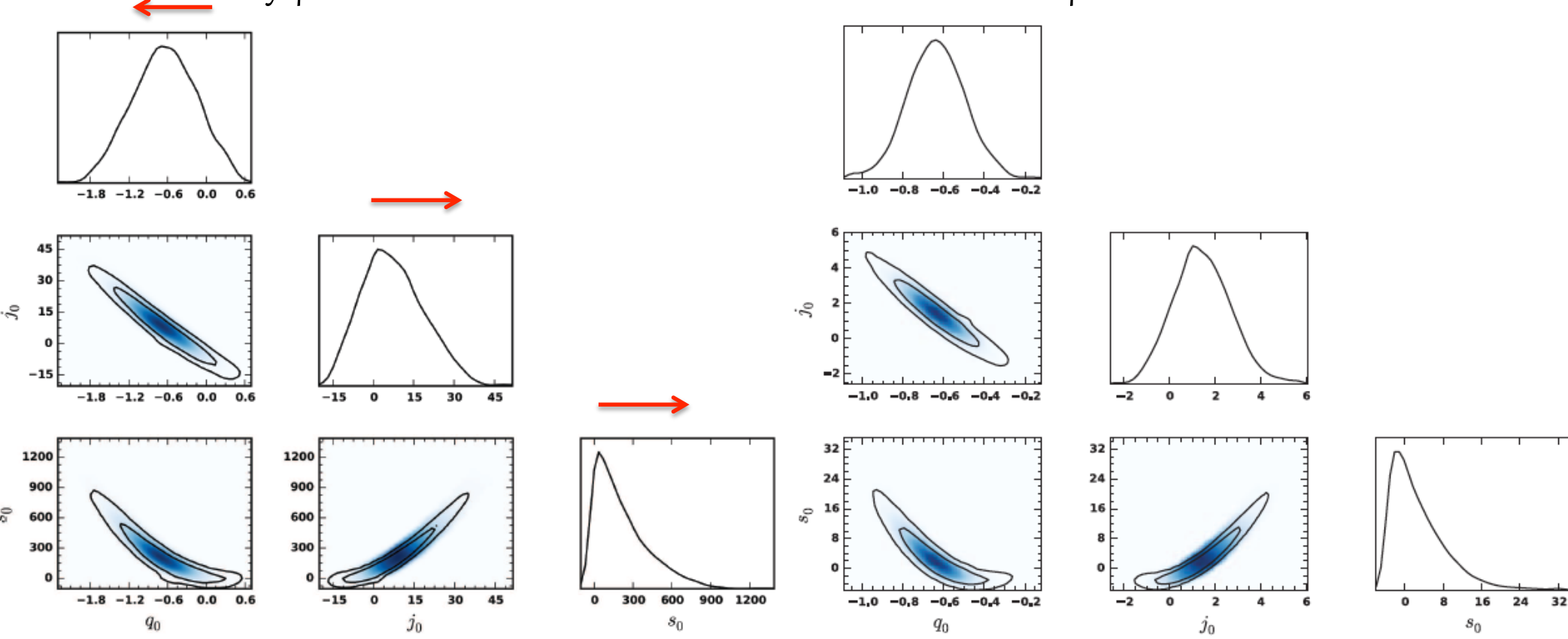
	$\theta_1$						$\theta_2$					
	$y$			$z$			$y$			$z$		
	1 $\sigma$	2 $\sigma$	3 $\sigma$	1 $\sigma$	2 $\sigma$	3 $\sigma$	1 $\sigma$	2 $\sigma$	3 $\sigma$	1 $\sigma$	2 $\sigma$	3 $\sigma$
$q_0$	26	32	42	67	27	6	82	12	6	82	18	0
$j_0$	10	45	45	64	29	7	93	5	2	88	12	0
$s_0$	10	67	23	83	15	2	92	7	1	93	6	1
$l_0$	-	-	-	-	-	-	100	0	0	100	0	0

V. Busti, AdICD, P. Dunsby, D. Sáez-Gómez  
[arXiv:1505.5503 \[astro-ph.CO\]](https://arxiv.org/abs/1505.5503)

✓ Differences between auxiliary variables:  $y$  vs.  $z$

$y$ -parametrization

$z$ -parametrization



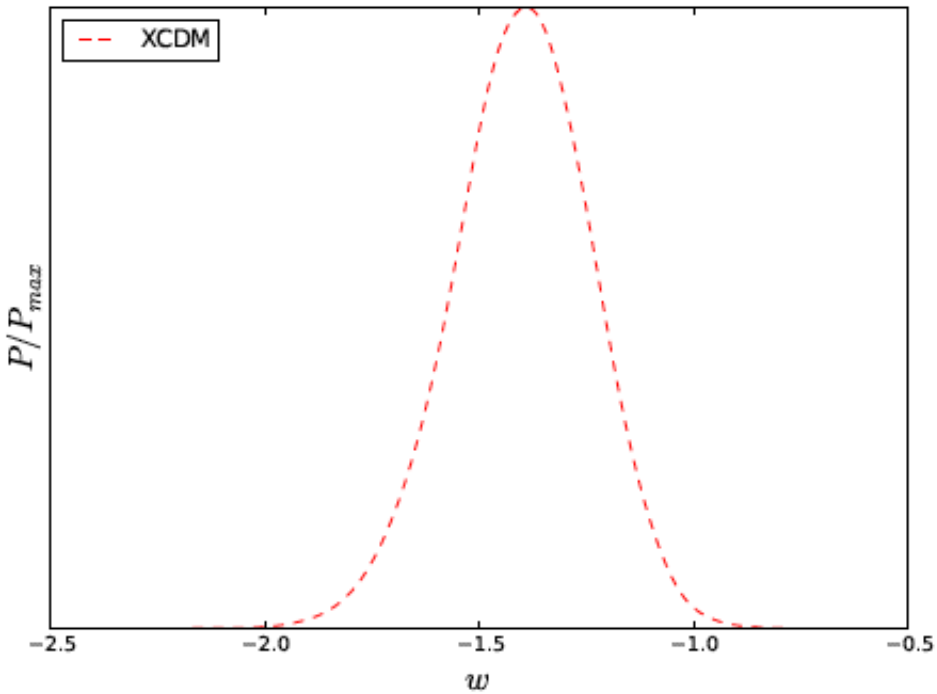
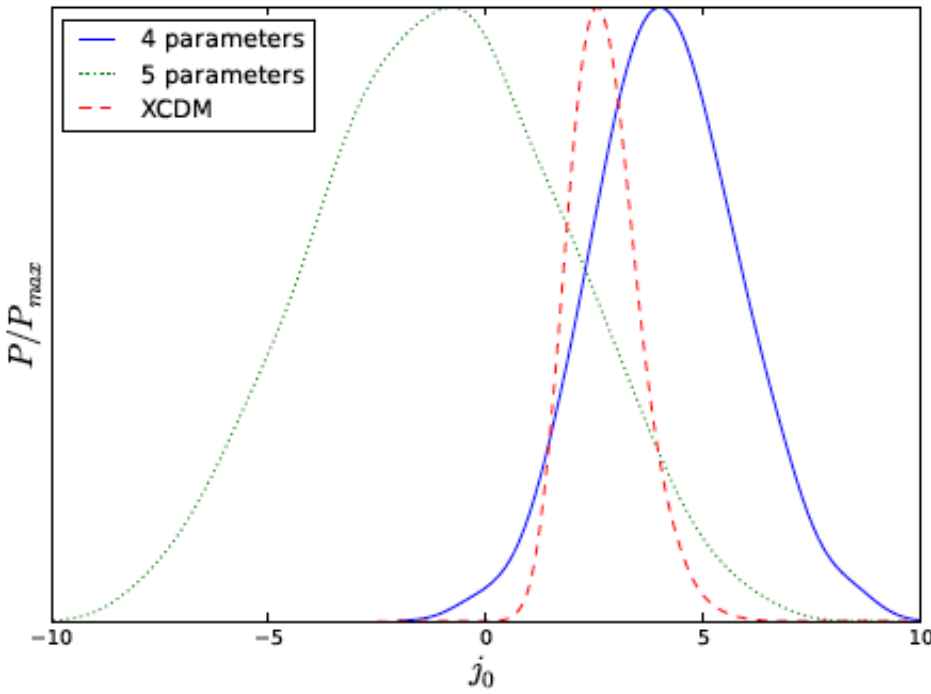
One simulation,  $\theta_1 = \{H_0, q_0, j_0, s_0\}$

V. Busti, AdICD, P. Dunsby, D. Sáez-Gómez  
[arXiv:1505.5503 \[astro-ph.CO\]](https://arxiv.org/abs/1505.5503)

# ✓ Is Cosmography able to spot the correct $\Lambda$ CDM model?

- Mock realizations of data for a flat  $\Lambda$ CDM  $\Omega_m = 0.3$   $w = -1.3$   $j_0 = 1.945$
- Constraints for  $\theta_1$  (fourth order)  $\theta_2$  (fifth order) and direct constraint of parameters

V. Busti, AdICD, P. Dunsby, D. Sáez-Gómez  
arXiv:1505.5503 [astro-ph.CO]



Fitting to the model spots deviations from  $\Lambda$ CDM with less effort

Some evidence of  $j_0 \neq 1$  when considering  $\theta_1$ , but disappears assuming  $\theta_2$

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- Cosmography as a tool to reconstruct DE models

Capozziello et al., Bamba et al. *Astrophys. Space Sci.* 342, 155 (2012)

- Nonetheless in theories with higher derivatives, the appearance of extra parameters apart from the cosmographic ones, imposes some limitations in the method

E.g. 1: K-essence

$$\mathcal{S} = \int dx^4 \sqrt{-g} \left[ \frac{1}{2} R - \frac{1}{2} \omega(\phi) \partial_\mu \phi \partial^\mu \phi - V(\phi) + \mathcal{L}_m \right]$$

$$\frac{V_0}{H_0^2} = 2 - q_0 - \frac{3\Omega_m}{2},$$

$$\frac{V_{z0}}{H_0^2} = 4 + 3q_0 - j_0 - \frac{9\Omega_m}{2},$$

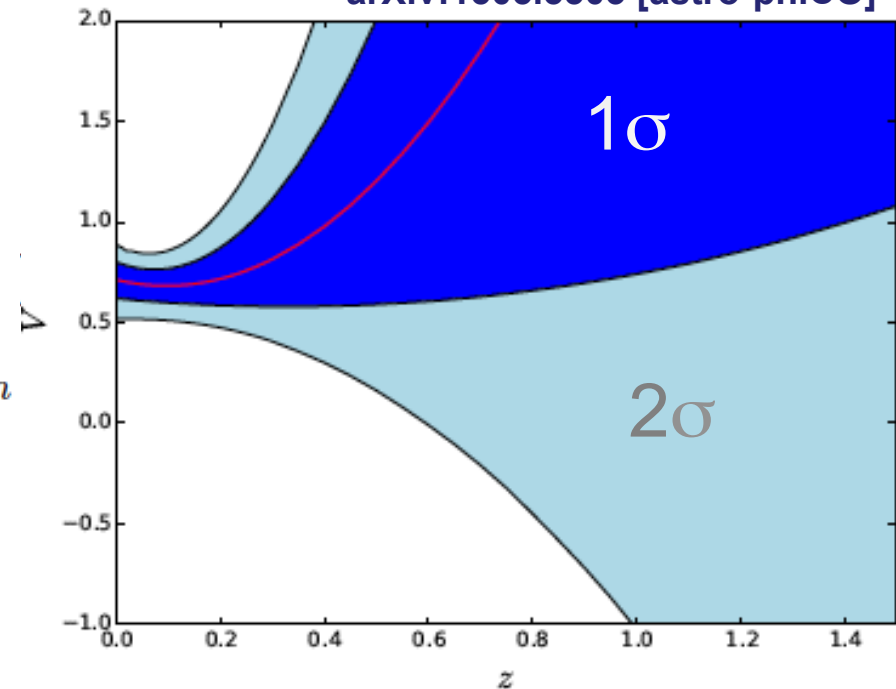
$$\frac{V_{2z0}}{H_0^2} = 4 + 8q_0 + j_0(4 + q_0) + s_0 - 9\Omega_m,$$

$$\frac{V_{3z0}}{H_0^2} = j_0^2 - l_0 - q_0 j_0(7 + 3q_0) - s_0(7 + 3q_0) - 9\Omega_m$$

- Generic realization of  $\Lambda$ CDM, fourth-order expansion
- It requires assumption on the model today

$$\Omega_m \approx \frac{2}{3}(1 + q_0)$$

V. Busti, AdICD, P. Dunsby, D. Sáez-Gómez  
arXiv:1505.5503 [astro-ph.CO]



E.g. 2:  $f(R)$  theories

$$\mathcal{S} = \int d^4x \sqrt{-g} \left[ \frac{1}{2} f(R) + \mathcal{L}_m \right]$$

$$\frac{f_0}{6H_0^2} = -\alpha q_0 + \Omega_m + 6\beta(2 + q_0 - j_0) ,$$

$$\frac{f_{z0}}{6H_0^2} = \alpha(2 + q_0 - j_0) ,$$

$$\frac{f_{2z0}}{6H_0^2} = 6\beta(2 + q_0 - j_0)^2 + \alpha[2 + 4q_0 + (2 + q_0)j_0 + s_0]$$

$$\left. \frac{df}{dR} \Big|_{R=R_0} = \alpha \ ; \ \frac{d^2f}{dR^2} \Big|_{R=R_0} = \frac{\beta}{H_0^2} \right\}$$

Two extra parameters

- ✓ Cosmological values  $\alpha \neq 1$  and  $\beta \neq 0$  may still produce viable cosmological models
- ✓ One-to-one correspondence between  $f(R)$ -derivatives and cosmographic parameters must be abandoned. Sensible priors for  $\alpha$  and  $\beta$  are required



E.g. 2:  $f(R)$  theories

$$f(R) = R + aR^2 + bR^3$$

$$\alpha = 2.81$$

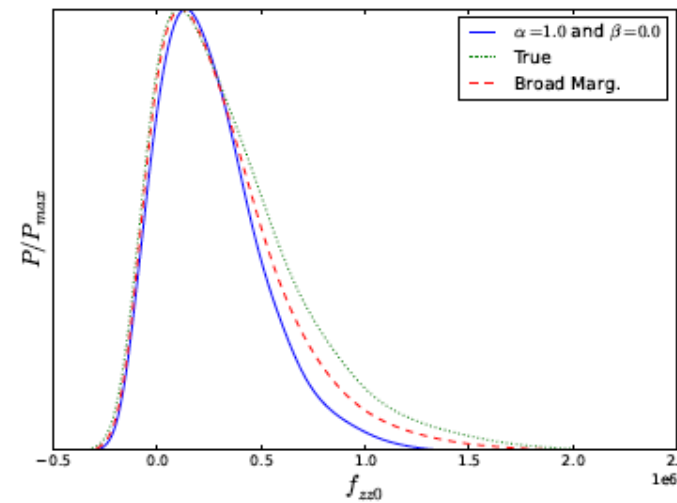
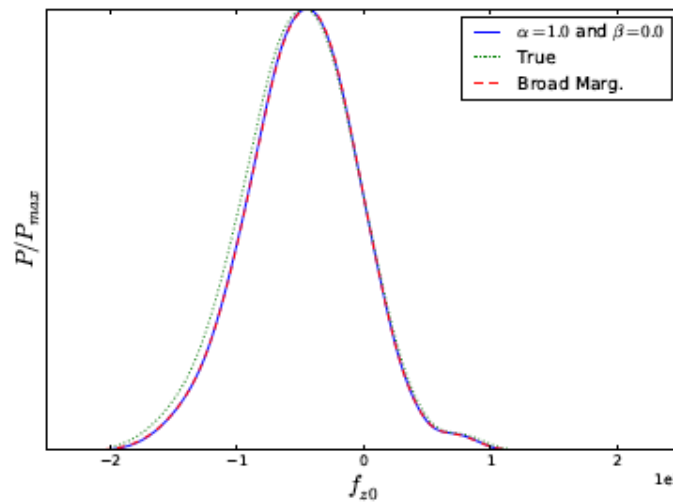
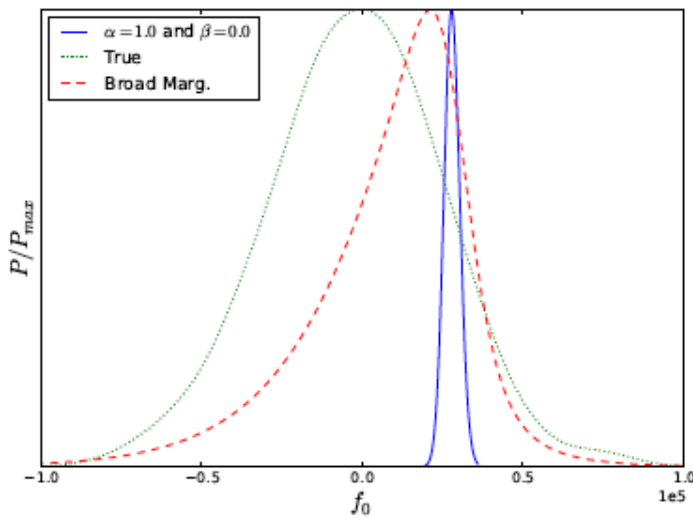
$$\beta = 0.06$$

✓ Mock data generated from the given  $f(R)$  model

✓ Simulations: true values,  $\{\alpha = 1, \beta = 0\}$ , and broad marginalization

$$\alpha \sim N(1, 0.05)$$

$$\beta \sim N(0.07, 0.05)$$



$\{f_0, f_{z0}, f_{zz0}\}$

V. Busti, AdICD, P. Dunsby, D. Sáez-Gómez  
arXiv:1505.5503 [astro-ph.CO]

○ Values for the broad marginalization do not cover the true values of  $f_0$

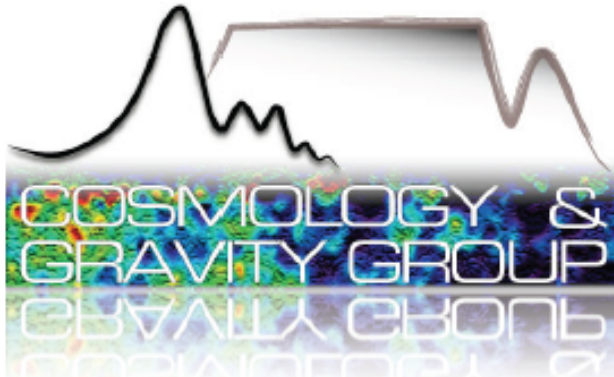
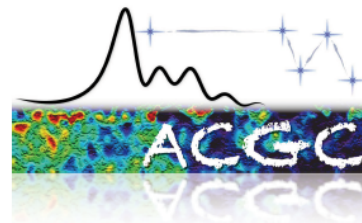
○ **N.B.:** Wide range of free parameters in more viable models (e.g. Hu-Sawicki) lie within  $1\sigma$  region in the figures

# Conclusions on Cosmographic Approach

- Cosmography results do depend upon both the chosen auxiliary variable (redshifts  $z$  or  $y$ ) and the expansion order
- Reliability of cosmography to spot  $\Lambda$ CDM around close-enough  $X$ CDM competitors, remains limited with results again depending upon the expansion order
- For extended theories, the method provides a sort of clear picture for theories with no higher-order derivatives, although not competitive (larger errors) with other methods
- For theories with higher derivatives in either geometrical or matter sector, there are extra free parameters requiring marginalization and large errors emerge
- **Other neglected limitations:** spatial curvature (Clarkson 2011), lensing effects (Wald 1998, Bacon 2014) and local gravitational redshift (Wojtak 2015) may lead to extra scatter in Hubble diagrams
- **Any hope?**
  1. Clear definition of auxiliary variables and extensive testing against mock data
  2. Establish a trade-off between number of data points, number of cosmological parameters and Bayesian evidence, so criteria can be provided
  3. Motivated priors over extra parameters



# Astrophysics, Cosmology and Gravitation Research Centre



- 19+ Academic Staff
- 16+ Postdoctoral Fellows
- 36+ Postgraduate Students



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<http://www.acgc.uct.ac.za>

# Extended Outline

I. A kind of motivation for extended theories: the degeneracy problem

II. Averaging in Cosmology

- Acceleration, homogeneity and isotropy revisited
- Domains and averaged Hubble parameter

III. Backreaction mechanism in GR and extended theories

- Buchert formalism. Does backreaction work?
  - E.g. 1: Quintessence
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- [The frames issue]

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# DIFFERENT FRAMES IN MODIFIED GRAVITY THEORIES

$$S_{BD} = \int d^4x \sqrt{-g} \left[ \boxed{\phi R} - \frac{\omega_0}{\phi} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi - \boxed{V(\phi)} + 2\mathcal{L}_m \right] \quad \text{Jordan frame}$$

- Non-minimal coupling
- Particles follow geodesics

✓ Conformal transformation  $g_{E\mu\nu} = \Omega^2 g_{\mu\nu} \quad \Omega^2 = \phi$

$$S_E = \int d^4x \sqrt{-g_E} \left[ \boxed{R_E} - \frac{2\omega_0 + 3}{2\phi^2} \partial_\mu \phi \partial^\mu \phi - \frac{V(\phi)}{\phi^2} + \frac{2}{\phi^2} \boxed{\mathcal{L}_{Em}} \right] \quad \text{Einstein frame}$$

$$\boxed{\mathcal{L}_{Em} = \mathcal{L}_m(\phi, g_{E\mu\nu})} \quad \boxed{\nabla_{E\mu} T_E^{\mu\nu(m)} \neq 0}$$

- Minimal coupling Einstein-like
- Particles don't follow geodesics

✓ In principle, averaging is possible in both frames (but not that trivial...)

Einstein frame

$$\dot{\rho} + \theta(\rho + p) + \nabla_\mu q^\mu + \pi^{\mu\nu} \sigma_{\mu\nu} + \boxed{a^\mu q_\mu} = 0$$

$$\partial_t \langle \rho \rangle_{\mathcal{D}} + \langle \rho \rangle_{\mathcal{D}} \langle \theta \rangle_{\mathcal{D}} = -\langle p\theta \rangle_{\mathcal{D}} - \langle \pi_{\mu\nu} \sigma^{\mu\nu} \rangle_{\mathcal{D}} - \boxed{\langle a^\mu q_\mu \rangle_{\mathcal{D}}}$$



## ✓ Frame-choice shortcomings

1. **Averaging vs. frame changing don't commute**
2. Spatial averages are performed in a **volume**

$$d\Sigma = \sqrt{h} d^3x = \Omega^3(t, \mathbf{x}) \sqrt{g_E^{(3)}} d^3x = \Omega^3(t, \mathbf{x}) d\Sigma_E$$

- **Riemannian volumes of the domains do not exhibit direct correspondence.**
  - Even for conformally invariant quantities,  $\mathcal{O}\sqrt{h} = \mathcal{O}_E \sqrt{g_E^{(3)}}$  averages would not be invariant.
3. **Volume integral**: evaluated along a different path (conformal transformation depends upon the spacetime points).
  4. Are the domains in Einstein frame well-defined?
    - **Usually domains are defined w.r.t. rest frame of matter content (comoving with matter)**
    - **In Einstein frame there is a fifth force (congruences accelerate).**

**Hint:** Calculations seem more straightforward and technically less challenging in the Jordan frame where matter and geometry are minimally coupled.