

Nonperturbative dynamics of scalar fields in de Sitter space

Julien Serreau

APC - University Paris Diderot

- Renaud Parentani (Orsay)
- Florian Gautier (Munich)
- Maxime Guilleux (APC)

Motivations

- ▣ Radiative corrections to inflationary dynamics
- ▣ (Analog) black hole radiation
- ▣ Curvature-induced phase transitions
- ▣ Foundations of QFT in curved space-times



Scalar fields in de Sitter space (I)

$$ds^2 = -dt^2 + \bar{a}^2(t) d\vec{X}^2$$

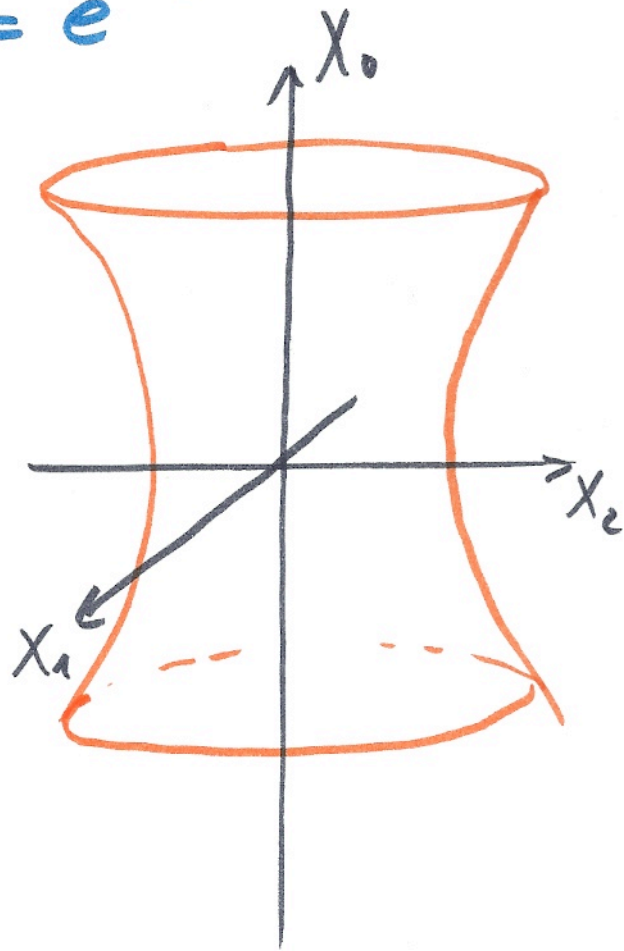
$$\bar{a}(t) = e^{Ht}$$

$$\square d\eta = dt / \bar{a}(t)$$

$$ds^2 = \bar{a}^2(\eta) (-d\eta^2 + d\vec{X}^2)$$

spatially homogeneous
but nonstationary

$$\square \vec{x} = a(t) \vec{X}$$



$$ds^2 = -(1-x^2)dt^2 - 2\vec{x} \cdot d\vec{x} dt + d\vec{x}^2$$

stationary but inhomogeneous

Scalar fields in dS space (II)

$$S = \int d^D x \sqrt{-g(x)} \left(\frac{1}{2} \phi \square \phi - \frac{m^2}{2} \phi^2 \right)$$

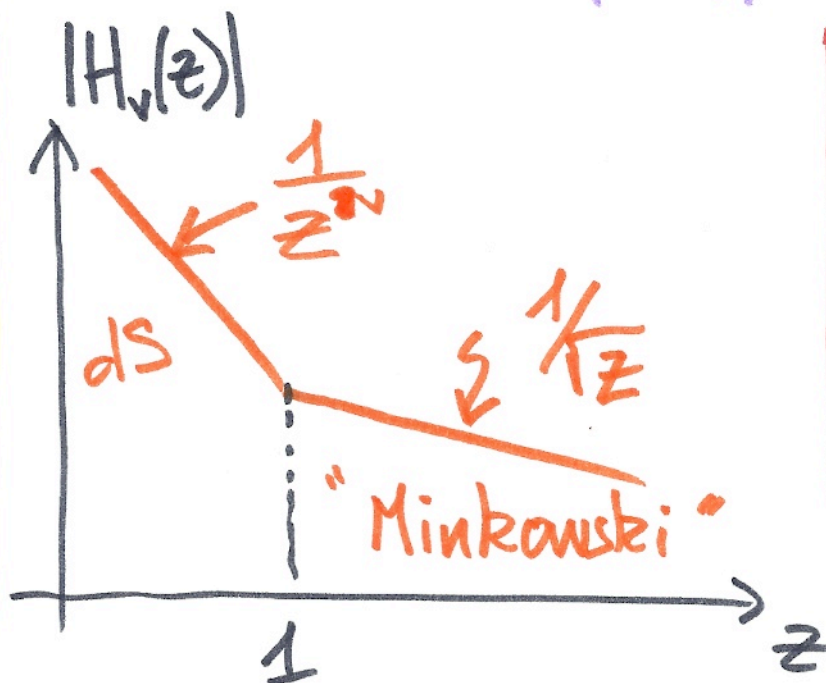
$$D = d + 1; \quad \square = \frac{1}{a^2(\eta)} \left(-\partial_\eta^2 + \frac{d-1}{\eta} \partial_\eta + \vec{\nabla}_x^2 \right)$$

$$(-\square + m^2) \phi = 0$$

$$\phi(\eta, \vec{x}) \sim \int \frac{d^d k}{(2\pi)^d} \left(e^{i \vec{k} \cdot \vec{x}} H_\nu \left(\frac{k}{a(\eta)} \right) a_k + \text{h.c.} \right)$$

$$\nu = \sqrt{\frac{d^2}{4} - \frac{m^2}{H^2}}$$

Redshift.

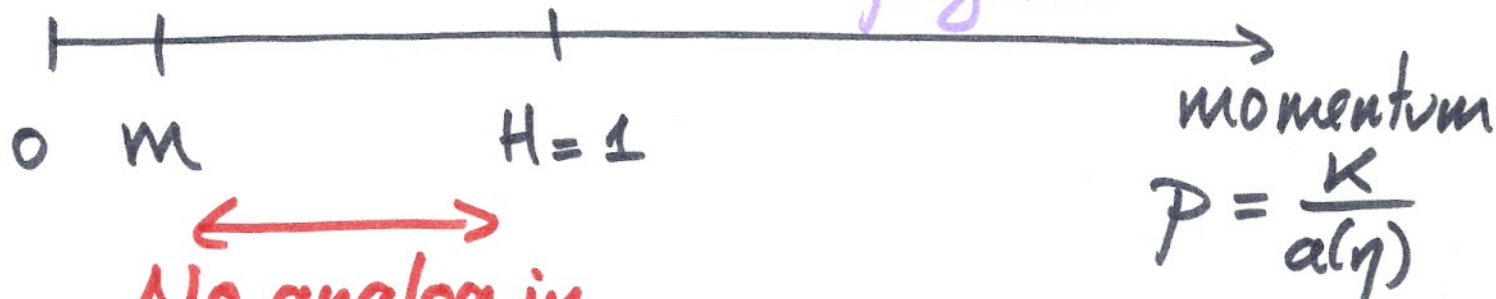


Stationary gravitational redshift leads to strong infrared (IR) fluctuations

Scalar fields in dS (III)

The case of light fields $m \ll H = 1$

← ... Minkowski physics



Loop corrections :

$$\text{Loop} \sim \frac{H^4}{m^2} : \text{IR divergencies}$$

$$\text{Loop} \sim H^2 \ln\left(\frac{P}{H}\right) : \text{large logs (secular divergencies)}$$



NEED FOR RESUMMATION

Resummation / nonperturbative methods in de Sitter.

difficulty : nonequilibrium system

- Stochastic approach
[Starobinsky, Yokoyama ('94)]
- Euclidean de Sitter
[Rajaraman ('10); Beneke, Roth ('13)]
- Dynamical R.G.
[Burgess et al. ('10)]
- Wigner-Weisskopf method
[Boyanovsky ('12)]
- Large - N
[Riotto, Sloth ('08); Mazzitelli, Pae ('89); J.S. ('11)]
- Dyson-Schwinger equations
[Garbrecht, Rigopoulos ('11); Akhmedov, Burda ('12)
Parentani, J.S. ('12); Gautier, J.S. ('13)]
- Nonperturbative / Functional R.G.
[Kaya ('13); Serreau ('14); Guilleux; JS ('15)]

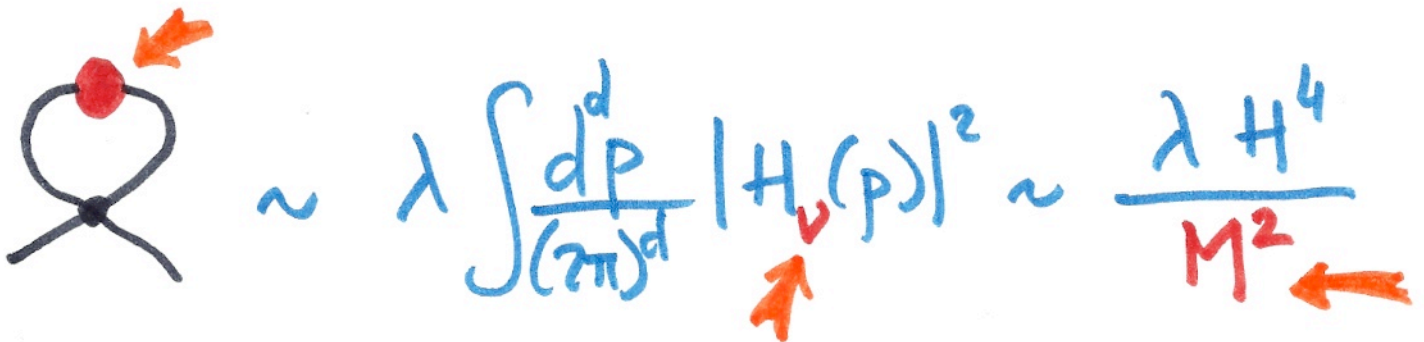
Large N

[Mazzitelli, Pàz (09),
Riotto, Slotk (08),
Serreau (11)]

Local IR terms:


$$\text{tadpole} + \text{self-energy} + \dots + \text{higher order} \sim N$$

Effective mass resummation


$$\sim \lambda \int \frac{d^d p}{(2\pi)^d} |H_\nu(p)|^2 \sim \frac{\lambda H^4}{M^2}$$

GAP EQUATION

$$M^2(\phi) = \frac{m^2 + \lambda \phi^2}{m_a^2(\phi)} + c \frac{\lambda H^4}{M^2(\phi)}$$

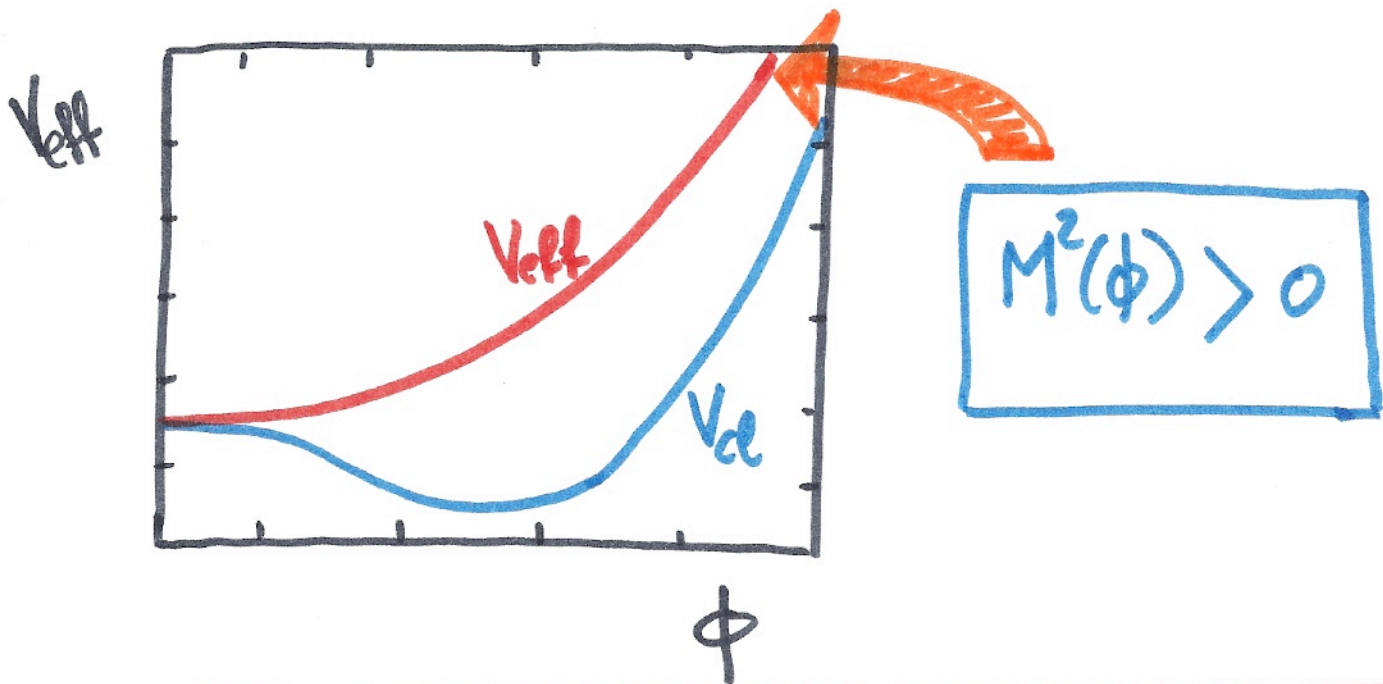
$$M^2(\phi) = \frac{m_a^2(\phi)}{2} + \sqrt{\frac{m_a^4(\phi)}{4} + c \lambda H^4}$$

Large N : Effective potential

[Serreau, PRL (11)]

$$V_{\text{eff}}(\phi) = \int_0^{\phi^2} dx \frac{M^2(x)}{2}$$

$$= \frac{3}{2\lambda} (M^4(\phi) - M^4(0)) + \frac{3H^4}{16\pi^2} \ln \frac{M^2(\phi)}{M^2(0)}$$



Radiative symmetry restoration

$\forall d, \forall H$

[See also: Ratra ('85); Mazzitelli et al. (89), Lazzari et al. (13)]

Phase structure of $O(N)$ theories in dS space

Strong IR fluctuations restore spontaneously broken symmetries in any spacetime dim.

✓ $O(2)$ [Ratra ('85)]

✓ $O(\infty)$ [Serreau ('11)]

What about $N=1$; $2 < N < \infty$?

- perturbation theory
- Hartree approx.
- Wigner-Weisskopf



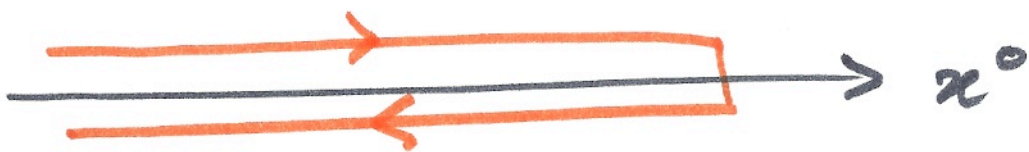
first order phase transition with H + massive "Goldstone modes"

Non perturbative R.G. in dS space (I)

[Kaya ('13), Serreau ('14)]

Flow equation on the closed time-contour:

[see also: Gasenzer, Pawłowski ('08)
Berges, Plesterhazy ('12)]



$$S \rightarrow S + \Delta S_{\kappa}$$

$$\frac{1}{2} \int_{x,y} \phi(x) R_{\kappa}(x,y) \phi(y)$$

$$\dot{\Gamma}_{\kappa}[\phi] = \frac{1}{2} \text{Tr} \left\{ \dot{R}_{\kappa} \cdot G_{\kappa}[\phi] \right\}$$

$$G_{\kappa}[\phi] = i \left(\Gamma_{\kappa}^{(2)}[\phi] + R_{\kappa} \right)^{-1}$$

NPRG : Local Potential Approx. (LPA)

$$\Gamma_k[\phi] = - \int_x \left\{ \frac{1}{2} \nabla_\mu \phi \nabla^\mu \phi + V_k(\phi) \right\}$$

Full field dependence

+ choose $R_k(t, t', p) = \delta(t - t') (\kappa^2 - p^2) \Theta(\kappa^2 - p^2)$

$$\kappa \partial_\kappa V_k = \frac{C_d \kappa^{d+2}}{\kappa^2 + V_k''} \mathcal{B}_d(V_k, \kappa)$$

$$C_d = \frac{\pi}{16d} \frac{\Omega_d}{(2\pi)^d}, \quad v_k = \sqrt{\frac{d^2}{4} - V_k''}$$

$$\mathcal{B}_d(v, \kappa) = e^{-\pi \text{Im}(v)} \left\{ (d^2 - 2v^2 + 2\kappa^2) |H_v(\kappa)|^2 + 2\kappa^2 |H'_v(\kappa)|^2 - 2d\kappa \text{Re}[H_v^*(\kappa) H'_v(\kappa)] \right\}$$

Kaya ('13) Guilleux, Serreau ('15)

From UV to IR : onset
of gravitational effects

→ $\kappa \gtrsim H$

$$\kappa \partial_\kappa V_\kappa \approx \frac{8C_d}{\pi} \frac{\kappa^{d+2}}{\sqrt{\kappa^2 + V_\kappa''}}$$

Minkowski Regime

→ $\kappa \lesssim H$ and $|V_\kappa''| \ll H^2$

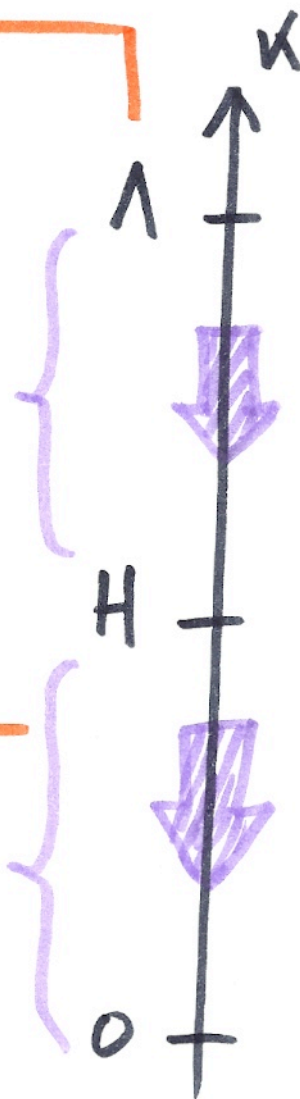
$$\kappa \partial_\kappa V_\kappa \approx \frac{1}{\sqrt{2d+1}} \frac{\kappa^2}{\kappa^2 + V_\kappa''}$$

[Compare to $\frac{\kappa^{d+2}}{\kappa^2 + V_\kappa''}$ in flat Euclidean space]



Effective dimensional reduction

$$D_{\text{eff}} = 0$$



Zero-dimensional field theory

$$e^{-\mathcal{Z}_{D+1} W_\kappa(J)} = \int d\varphi e^{-\mathcal{Z}_{D+1} (V_{\text{eff}}(\varphi) + J\varphi + \frac{\kappa^2}{2} \varphi^2)}$$

$$V_\kappa(\phi) = W_\kappa(J) - J\phi - \frac{\kappa^2}{2} \phi^2$$

with $W'_\kappa(J) \equiv \phi$

$$\kappa \partial_\kappa V_\kappa(\phi) = \frac{1}{\mathcal{Z}_{D+1}} \frac{\kappa^2}{\kappa^2 + V_\kappa''(\phi)}$$

Adjust V_{eff} by matching
at the scale $\kappa \approx H$

$$V_{\text{eff}}(\varphi) \approx V_H(\varphi)$$

Relation to the stochastic approach

[Starobinsky, Yokoyama ('94)]

Effective Langevin eqn. for IR modes

$$\dot{\varphi} + \frac{1}{d} V'_{\text{soft}}(\varphi) = \xi \quad ; \quad \langle \xi(t) \xi(t') \rangle = \frac{2}{d\Omega_{D+1}} \delta(t-t')$$

Fokker-Planck equation

$$\partial_t P(\varphi, t) = \frac{1}{d} \frac{\partial}{\partial \varphi} \left\{ V'_{\text{soft}} P + \frac{1}{\Omega_{D+1}} P' \right\}$$

$$P(\varphi, t \rightarrow \infty) \propto \exp \left\{ -\Omega_{D+1} V_{\text{soft}} \right\}$$

$$\langle \varphi^2 \rangle = \int d\varphi P(\varphi) \varphi^2 = \frac{1}{\Omega_{D+1}} \underline{\underline{W''_{K=0}(J=0)}}$$

provided $V_{\text{soft}} = V_{\text{eff}} \approx V_H$

Relation to Euclidean de Sitter

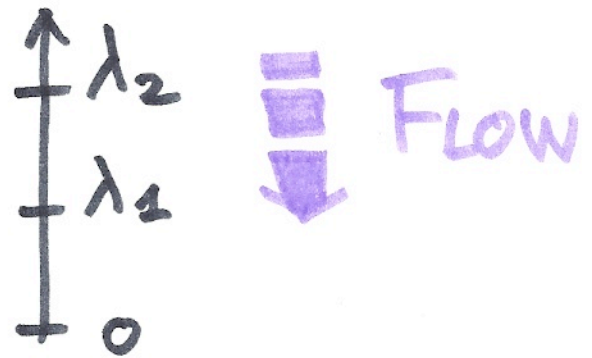
[Rajaraman ('80); Beneke, Pock ('13); Benedetti ('15)]

$$\text{NPRG on } S_D : S \rightarrow S + \frac{1}{2} \int_{xy} \varphi \underline{R}_\kappa \varphi$$

Compact space \Rightarrow discrete spectrum

$$\square Y_L^2 = -\lambda_L Y_L^2$$

$$\lambda_L = L(L+D-1)H^2$$



For $\kappa^2 < \lambda_1$, heavy modes decouple
 \Rightarrow zero mode only $\bar{\varphi}$

$$e^{-\Omega_{D+1} \bar{W}_\kappa(\mathbb{I})} = \int d\bar{\varphi} e^{-\Omega_{D+1} (\bar{V}_{\text{eff}}(\bar{\varphi}) + \mathbb{I} \bar{\varphi} + \frac{\kappa^2}{2} \bar{\varphi}^2)}$$

with
$$e^{-\Omega_{D+1} \bar{V}_{\text{eff}}(\bar{\varphi})} = \int \mathcal{D}\hat{\varphi} e^{-\bar{S}[\bar{\varphi}, \hat{\varphi}]}$$

From UV to IR : onset
of gravitational effects

■ Massive theory in UV ($\kappa \gtrsim H$)
(i.e. Minkowski symmetric phase)

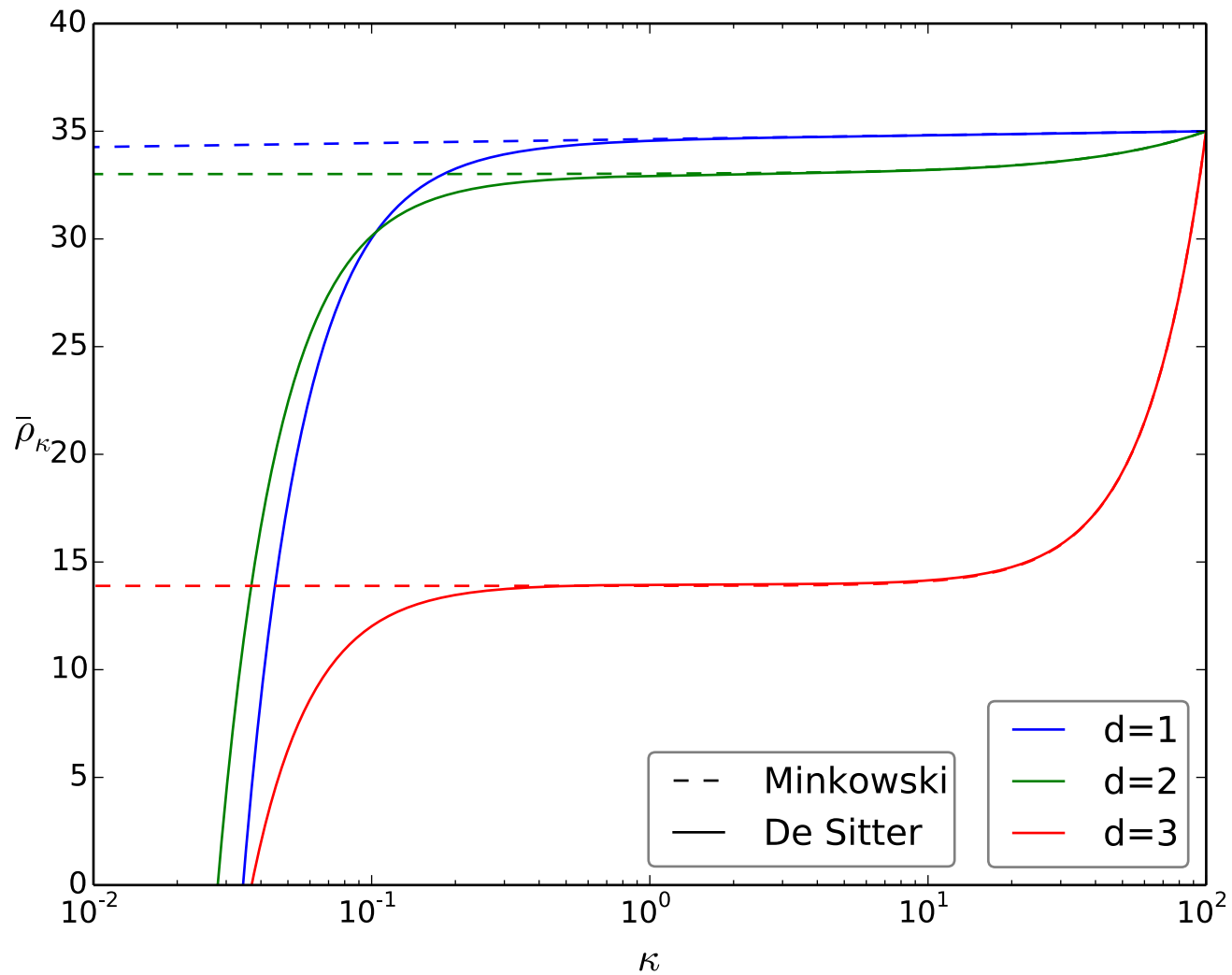
⇒ $V_H'' \gtrsim H^2$: Minkowski flow

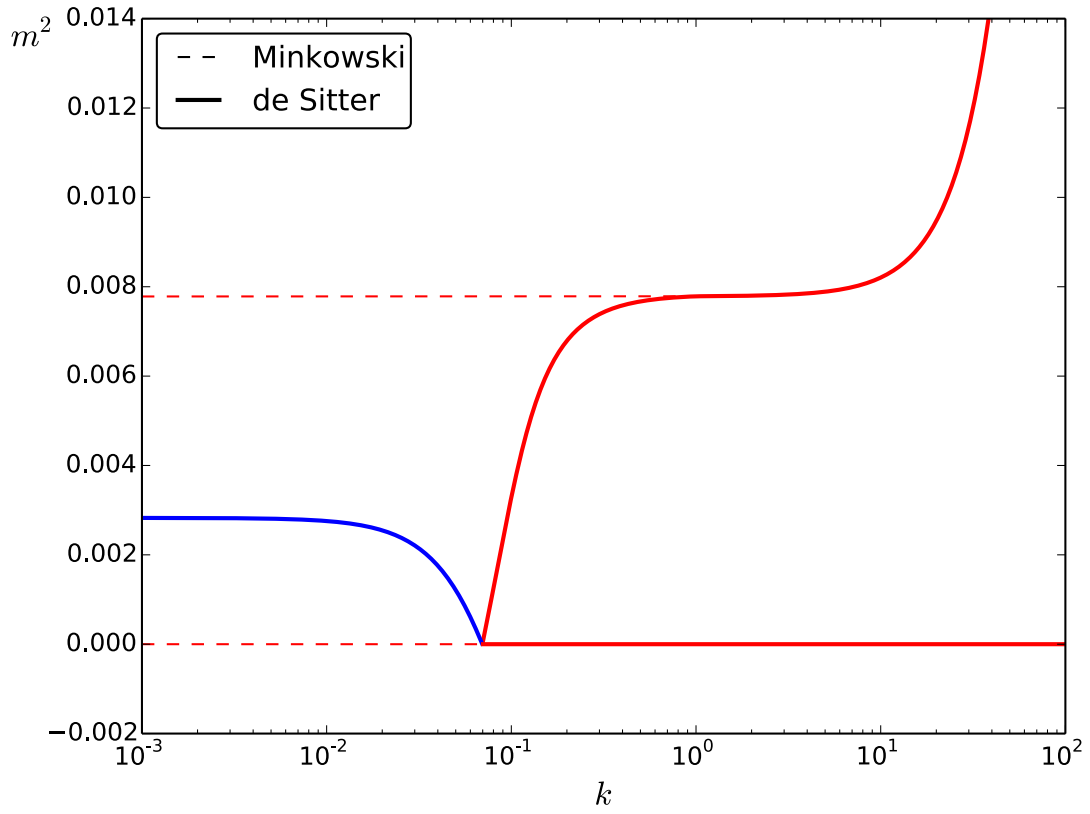
■ Massless modes / flat potential
(e.g. Minkowski critical theory or
broken phase)

↪ Regime of dimensional reduction

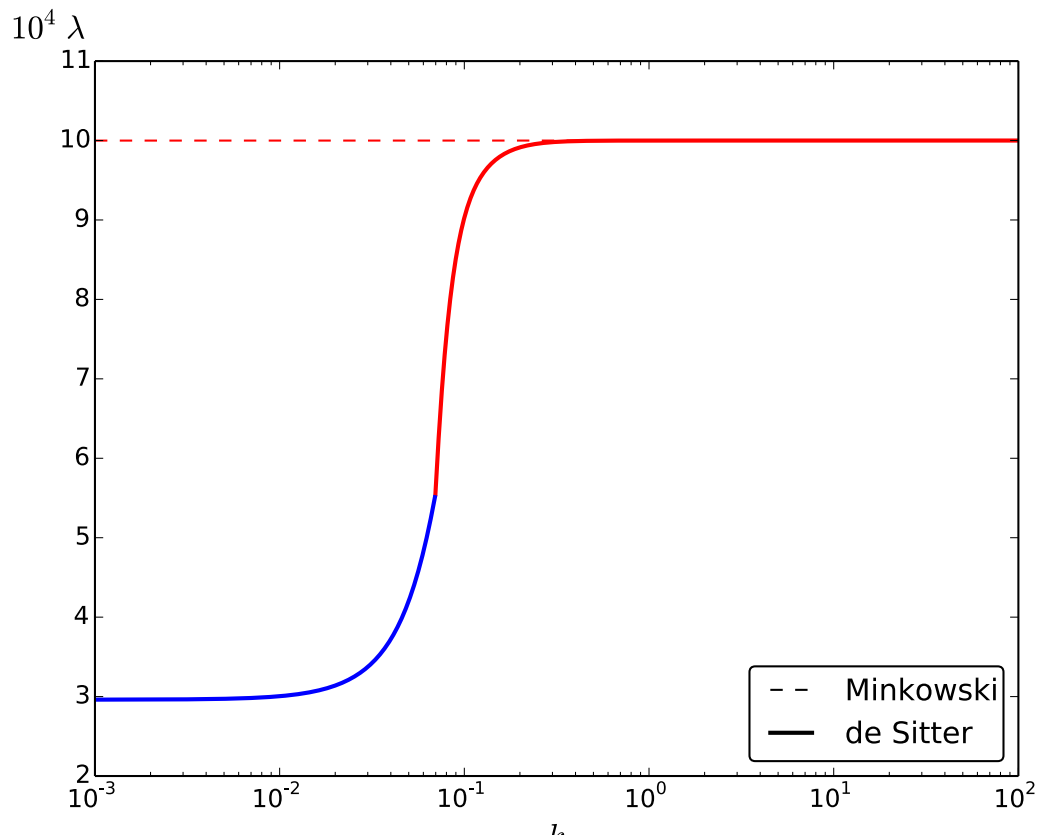


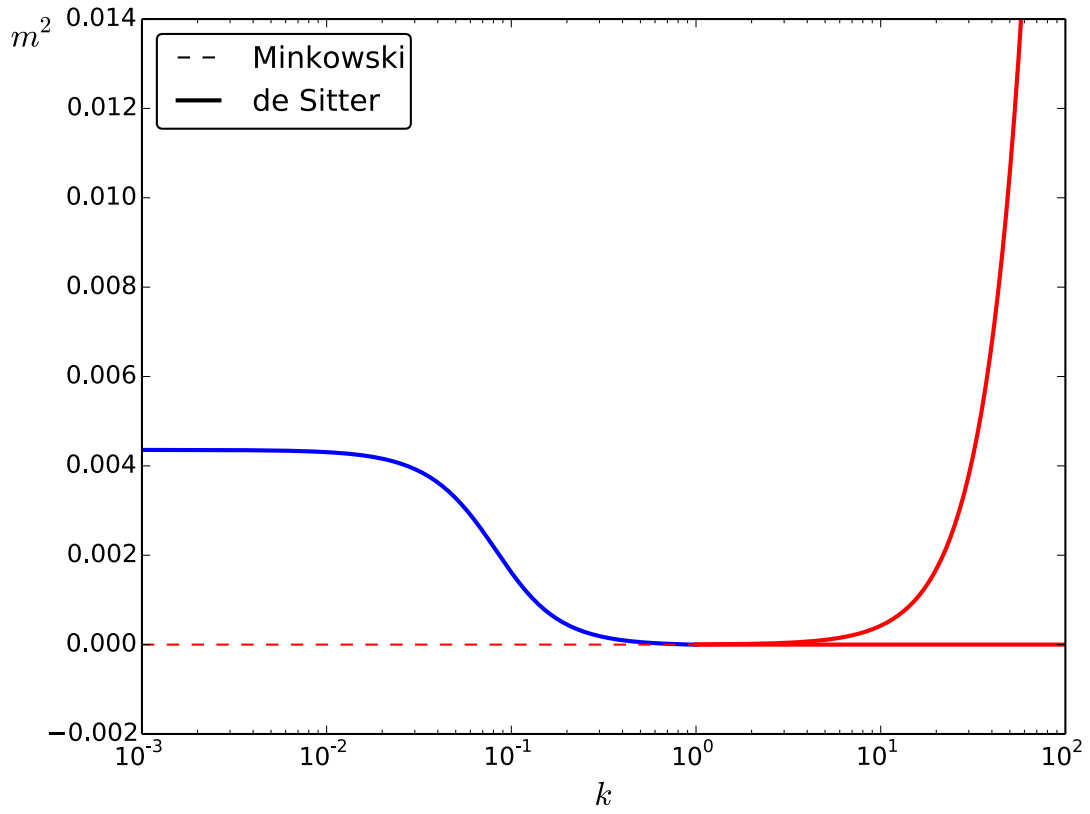
Symmetry restoration
Mass (re)generation



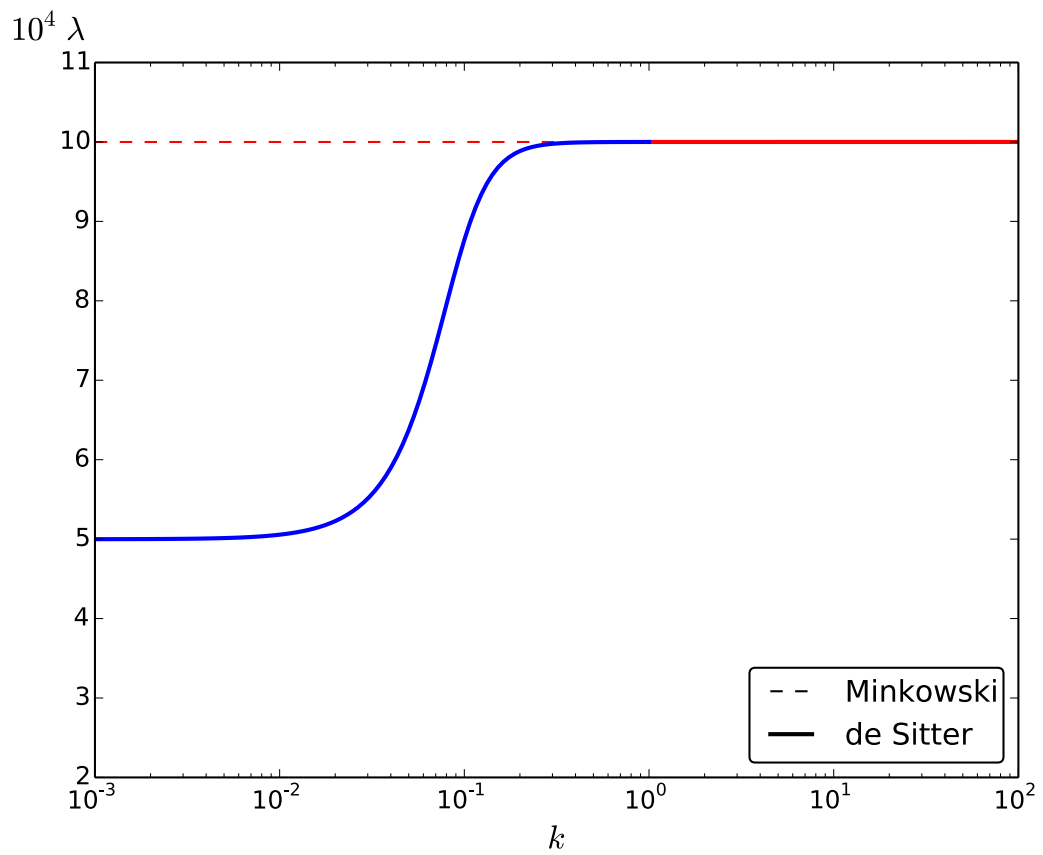


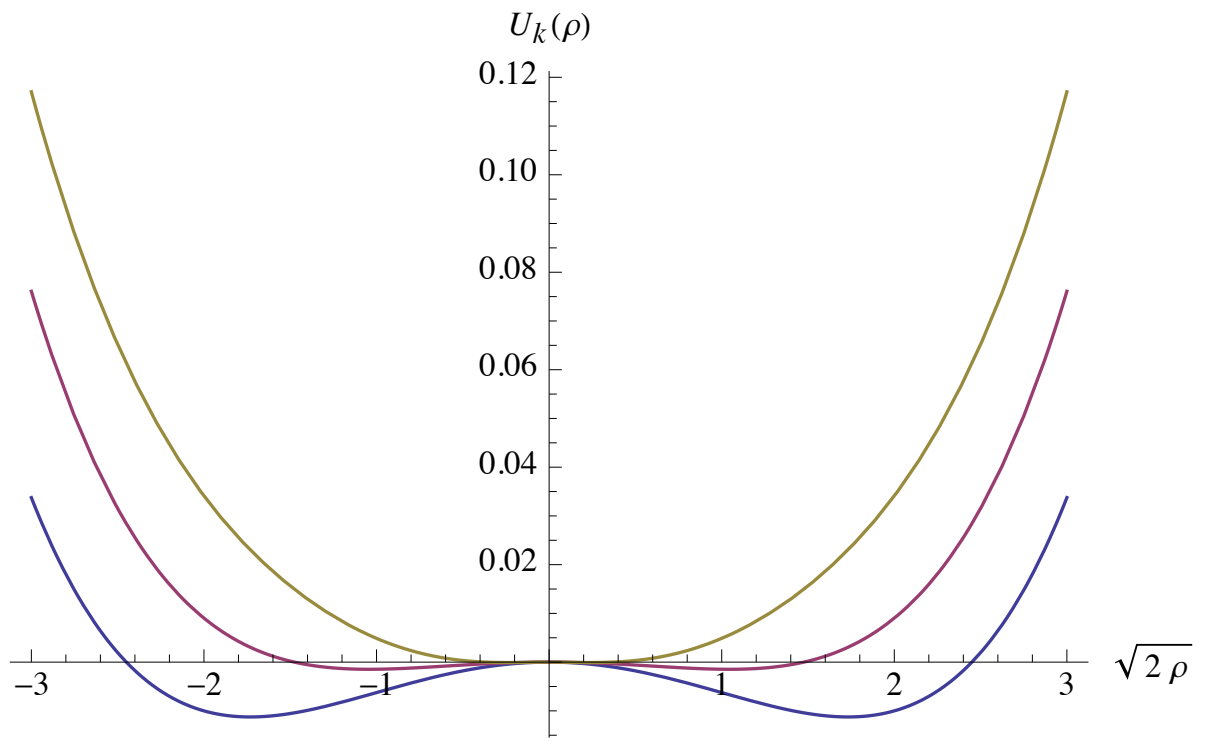
$N \rightarrow \infty$, “broken symmetry” case





$N \rightarrow \infty$, “critical” case





$$N = 1$$

Beyond LPA : derivative expansion

[Guilleux, Serreau ('16)]

Dynamics of long wavelength
(superhorizon) modes :

expansion in powers of $\Gamma_\mu \phi$?

Standard in flat (Euclidean) space
[Wetterich ('93); Morris ('94); Canet et al. ('03)]

$$\Gamma_\kappa[\phi] = \int d^D x \left\{ V_\kappa(\phi) + \frac{Z_\kappa(\phi)}{2} (\nabla\phi)^2 + \dots \right\}$$

$$\eta_\kappa = - \frac{\dot{Z}_\kappa}{Z_\kappa} = - \frac{1}{Z_\kappa} \left. \frac{\partial \dot{\Gamma}_\kappa^{(2)}(k)}{\partial k^2} \right|_{k^2 = 0 \text{ min}}$$

Issues in curved spacetimes

■ $\nabla\phi \sim \nabla g \xrightarrow{\text{metric}} k \sim H$

[e.g. Shapiro, de Moraes Texeira, Wipf ('15)]

Not valid for superhorizon modes

➔ $\nabla\phi \sim \nabla R \xleftarrow{\text{curvature}}$

OK, but many terms at each order

ex: $O(\nabla^2)$

$$\begin{aligned} & A(\phi, R, R_{\mu\nu}R^{\mu\nu}, \dots) \nabla_\mu \phi \nabla^\mu \phi \\ + & B(\phi, R, R_{\mu\nu}R^{\mu\nu}, \dots) \nabla_\mu \phi \nabla^\mu R \\ + & C(\phi, R, R_{\mu\nu}R^{\mu\nu}, \dots) R_{\mu\nu} \nabla^\mu \phi \nabla^\nu \phi \\ + & \dots \end{aligned}$$

Issues in curved spacetimes

+ the expansion is ambiguous

$$\underline{[\nabla_\mu, \nabla_\nu]} V^\lambda = V^\sigma \underline{R^\lambda}_{\sigma\mu\nu}$$

For example :

$$\nabla_\mu \nabla_\nu \nabla^\mu \nabla^\nu \phi = \square^2 \phi + \frac{1}{2} \nabla_\mu R \nabla^\mu \phi + \underbrace{R_{\mu\nu} \nabla^\mu \nabla^\nu \phi}_{O(\nabla^2)}$$

$O(\nabla^4)$

Maximally symmetric spaces (dS)

$$\nabla_\mu R_{\alpha\beta\gamma\delta} = 0$$

$$R = d(d+1)H^2; \quad R_{\mu\nu}R^{\mu\nu} = d^2(d+1)H^4 \dots$$

$$\nabla_\mu \nabla_\nu \nabla^\mu \nabla^\nu \phi = \square^2 \phi + dH^2 \square \phi$$

We can define an expansion in powers of $\nabla_\mu \phi$ for fixed H

$$\Gamma_\kappa = -\int d^D x \sqrt{-g} \left\{ V_\kappa(\phi) + \frac{Z_\kappa(\phi)}{2} \nabla_\mu \phi \nabla^\mu \phi + o(\nabla^4) \right\}$$

Access to Z_κ, \dots

Flat spacetime:

$$\square e^{-ik \cdot x} = -k^2 e^{-ik \cdot x}$$

$$\Gamma_\kappa^{(2)}(k) = \int d^D x e^{ik(x-x')} \Gamma_\kappa^{(2)}(x, x')$$
$$= -V_\kappa''(\phi) + Z_\kappa(\phi) k^2 + \dots$$

$$Z_\kappa(\phi) = \partial_{k^2} \Gamma_\kappa^{(2)}(k) \Big|_{k^2=0}$$

de Sitter:

$$-\square_p = +\partial_t^2 + dH\partial_t + \vec{p}^2$$

$$\vec{p} = \vec{k}/a(t)$$

∂_{p^2} ? No because of grav. redshift

$$\square_p^2 = \square_{p=0}^2 - 2p^2 \square_{p=0} - (2d-4)H^2 p^2 + p^4$$

All $\square_p^{n \geq 1}$ contribute to ∂_{p^2} !

Access to $Z_k \dots$

A way out : $\|\vec{p}\| \rightarrow 0$

long wavelength / late times
($k \rightarrow 0$) ($at \rightarrow \infty$)

$$\square_{p=0} = -\partial_t^2 - dH\partial_t$$

$$\square_{p=0} e^{-i\omega t} = \underline{\alpha_\omega} e^{-i\omega t}$$

with $\alpha_\omega = \omega^2 + idH\omega$

$$\Gamma_k^{(2)}(\omega) = \int_{\mathcal{E}} dt' a^d(t') e^{i\omega(t-t')} \Gamma_k^{(2)}(t, t', k=0)$$

+ expand in powers of α_ω

Access to $Z_k \dots$

$$\Gamma_k^{(2)}(\omega) = \int_{\mathcal{E}} dt' a^d(t') e^{i\omega(t-t')} \Gamma_k^{(2)}(t, t', k=0)$$

① $\Gamma_k^{(2)}(\omega)$ is time-independent
(dS isometries)

② $\Gamma_k^{(2)}(\omega) = -i \overline{\Gamma}_{R,k}^{(2)}(\omega + i \frac{dH}{2}, k=0)$
(retarded vertex)

⇒ standard for $H \rightarrow 0$

③ $\Gamma_k^{(2)}(\omega) = -V_k'' + Z_k \alpha \omega + o(\alpha \omega^2)$

$$\dot{V}_k'' = -\dot{\Gamma}_k^{(2)}(\omega=0)$$

$$\dot{Z}_k = \left. \frac{\partial \Gamma_k^{(2)}(\omega)}{\partial \alpha \omega} \right|_{\omega=0}$$

etc.

Remark : Lorentz violation

$$\blacksquare R_{\kappa}(t, t', p) = \underline{\delta(t-t')} R_{\kappa}\left(p = \frac{\mathbf{k}}{a(t)}\right)$$

The time direction is not regulated

⇒ breaks (local) Lorentz symmetry

One should allow $Z_{\kappa}^t, Z_{\kappa}^s \dots$

$$Z_{\kappa}^t \phi (\partial_t^2 + dH \partial_t) \phi + Z_{\kappa}^s \phi \frac{\vec{\nabla}^2}{a^2(t)} \phi$$

$$Z_{\kappa}^t = \partial_{\omega} \Gamma_{\kappa}^{(z)}(\omega) |_{\omega=0} \quad \checkmark$$

$$Z_{\kappa}^s = ???$$

For now, we assume $Z_{\kappa}^s \approx Z_{\kappa}^t$
(OK in flat space)

A practical test : LPA'

$$\Gamma_{\kappa}^{\text{LPA}'}[\phi] = -\int d^D x \sqrt{-g} \left\{ V_{\kappa}(\phi) + \frac{z_{\kappa}}{2} (\nabla\phi)^2 \right\}$$

Infrared and massless regime
 ($\kappa \rightarrow 0$ and $V_{\kappa}'' \ll H^2$)

$$\dot{\Gamma}_{\kappa}^{(2)}(\omega) = \frac{\kappa^2}{\Omega_{D+1} M_{\kappa}^4} \left(1 - \frac{\eta_{\kappa}}{2} \right)$$

$$M_{\kappa}^2 = \kappa^2 + \frac{V_{\kappa}''}{z_{\kappa}}$$

$$\times \left(\frac{V_{\kappa}^{(4)}}{z_{\kappa}} - \frac{2 V_{\kappa}^{(3)2}}{z_{\kappa}^2} \frac{4 M_{\kappa}^2 - i\omega}{(2 M_{\kappa}^2 - i\omega)^2} \right)$$

$$\dot{V}_{\kappa} = \left(1 - \frac{\eta_{\kappa}}{2} \right) \frac{\kappa^2}{\Omega_{D+1} M_{\kappa}^2}$$

$$\eta_{\kappa} = \left(1 - \frac{\eta_{\kappa}}{2} \right) \frac{3 V_{\kappa}^{(3)2}}{2 z_{\kappa}^3} \frac{\kappa^2}{\Omega_{D+1} M_{\kappa}^8} \Big|_{\text{min}}$$

Consequences of dimensional reduction.

$$\dot{V}_\kappa \equiv \beta_V(V_\kappa'', \kappa^2)$$

In the IR (dim. red.) regime:

$$\beta_V(\alpha V_\kappa'', \alpha \kappa^2) = \beta_V(V_\kappa'', \kappa^2) \neq \alpha$$

→ define $\tilde{\kappa} = \sqrt{Z_\kappa} \kappa$

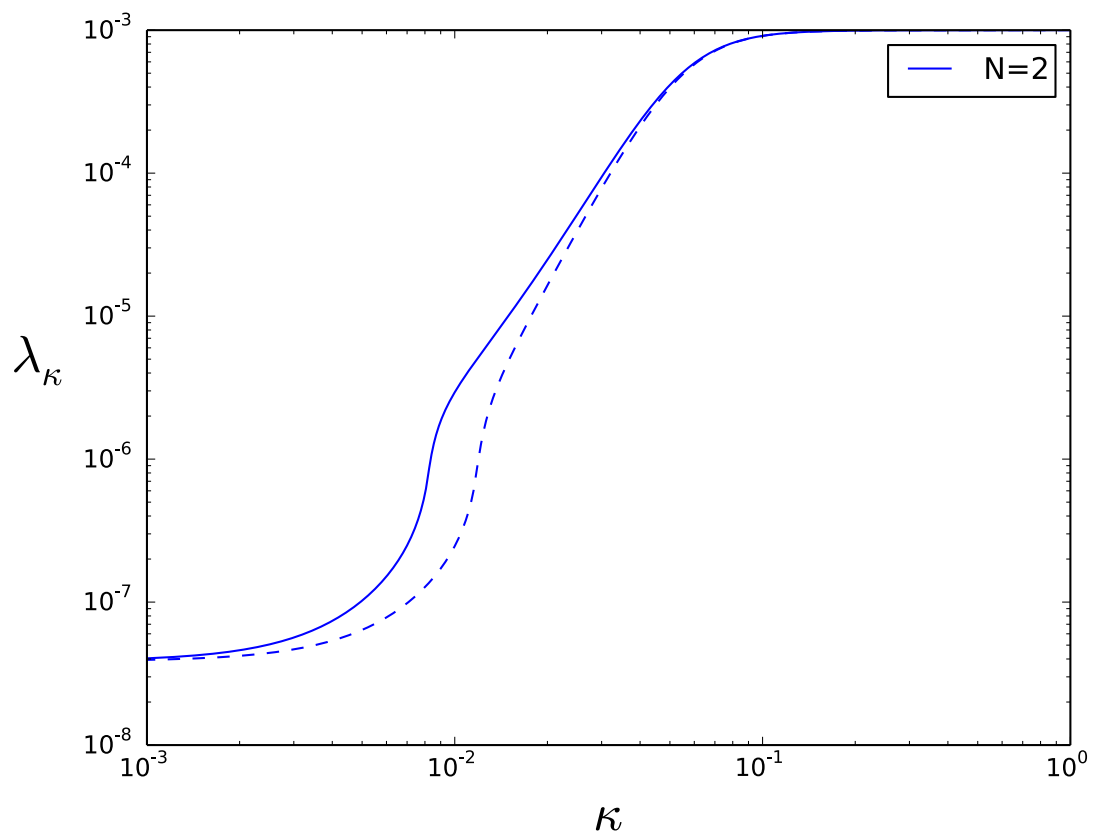
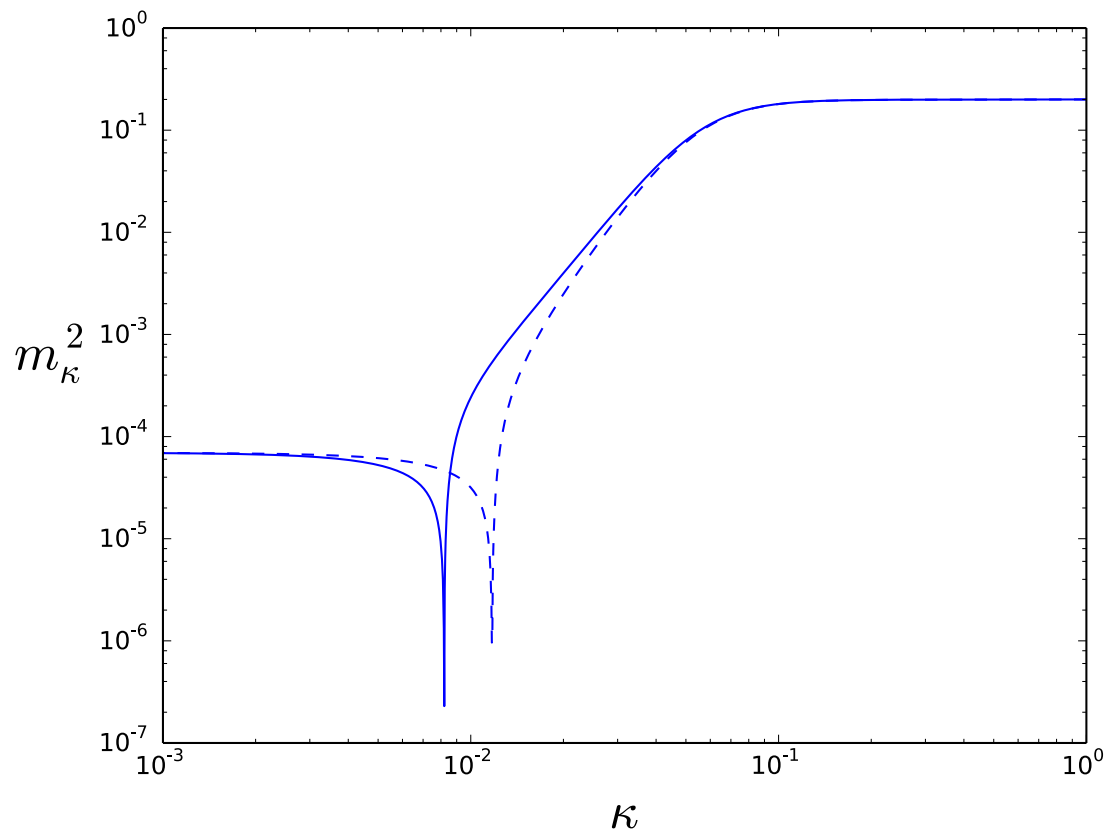
$$\kappa \partial_\kappa = (1 - \eta_{\kappa/2}) \tilde{\kappa} \partial_{\tilde{\kappa}}$$

$$\tilde{\kappa} \partial_{\tilde{\kappa}} V_\kappa = \frac{1}{\Omega_{D+1}} \frac{\tilde{\kappa}^2}{\tilde{\kappa}^2 + V_\kappa''}$$



$$V_{\kappa=0}^{\text{LPA}'}(\phi) = V_{\kappa=0}^{\text{LPA}}(\phi)$$

(for appropriate initial cond's)



Slowing down the flow

Generalization to $O(N)$ theory

$$\rho = \frac{\sum_k \phi^2}{2N}$$

Polynomial ansatz

$$V_k(\phi) = \frac{\lambda_k}{2} (\rho - \bar{\rho}_k) + \dots$$

$$\dot{\bar{\rho}}_k = -\eta_k \bar{\rho}_k + \frac{(2-\eta_k)k^2}{2N\Omega_{D+1}} \left[\frac{3}{(\kappa^2 + m_k^2)^2} + \frac{N-1}{\kappa^4} \right]$$

$$\dot{\lambda}_k = 2\eta_k \lambda_k + \frac{(2-\eta_k)k^2 \lambda_k^2}{N\Omega_{D+1}} \left[\frac{9}{(\kappa^2 + m_k^2)^3} + \frac{N-1}{\kappa^6} \right]$$

$$\eta_k = \frac{(2-\eta_k)k^2}{2N\Omega_{D+1} \bar{\rho}_k} \left[\frac{1}{\kappa^4} + \frac{1}{(\kappa^2 + m_k^2)^2} \right]$$

$$m_k^2 = 2\lambda_k \bar{\rho}_k$$

$$- \frac{8}{(2\kappa^2 + m_k^2)^2} \right]$$

Slowing down the flow

Regime $m_k^2 \gg \kappa^2$
(before symmetry restoration)

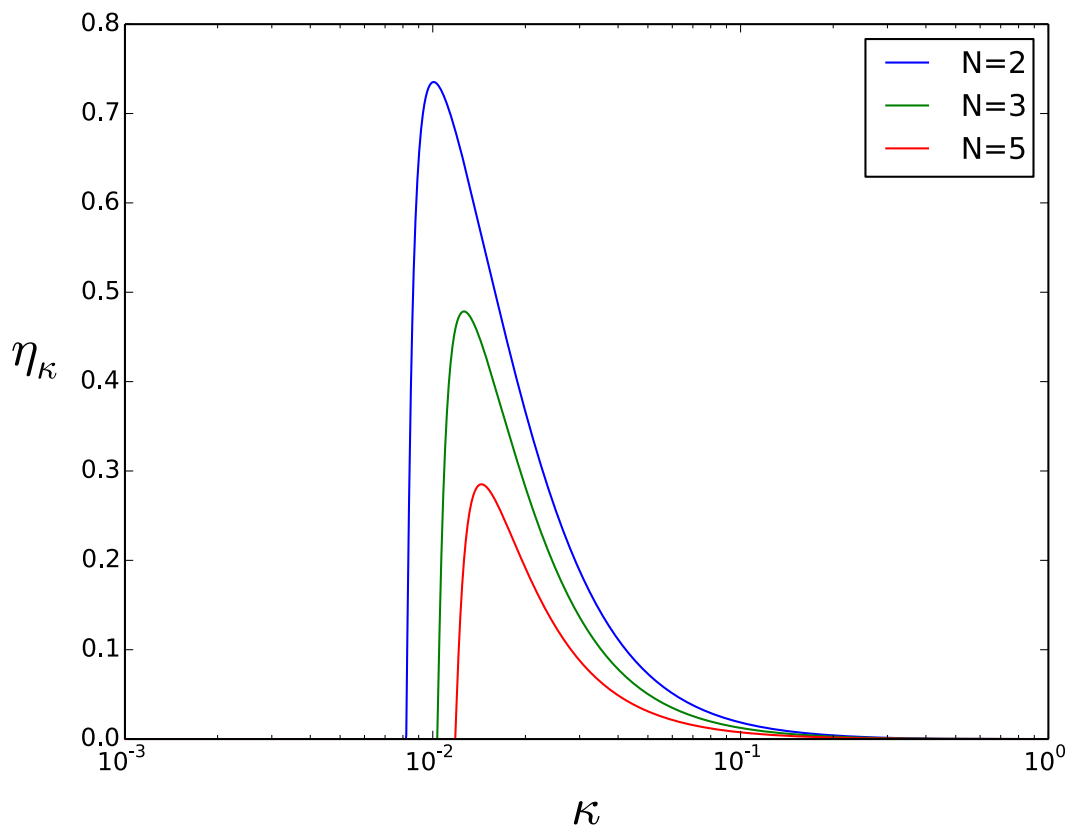
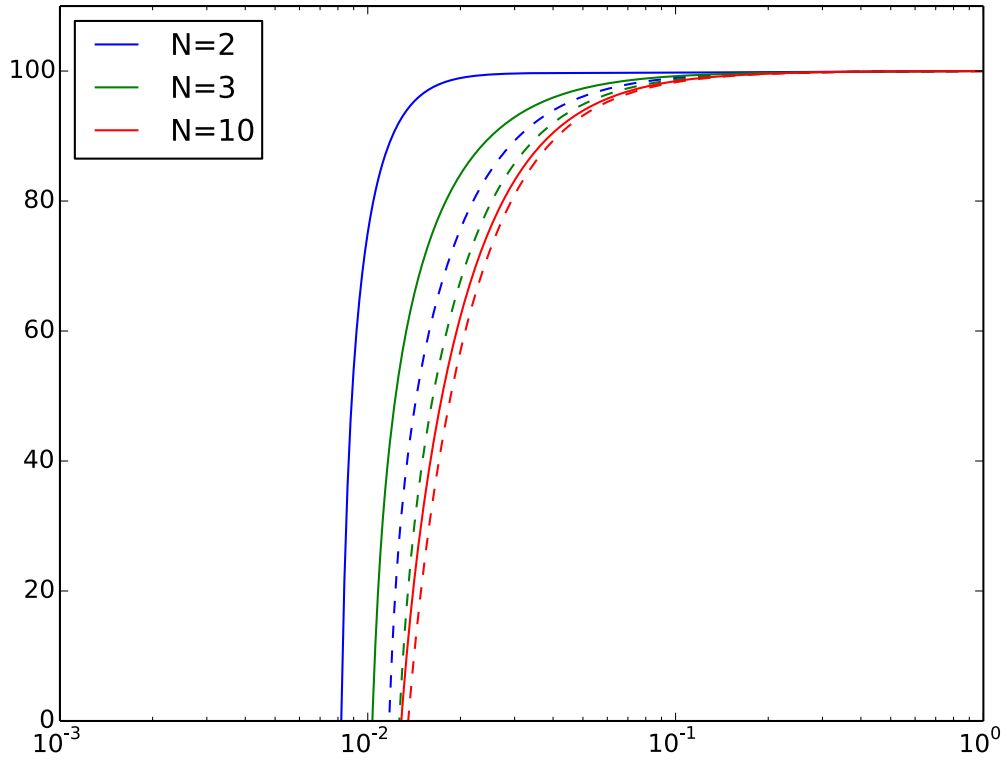
$$\eta_k \approx \frac{2 - \gamma_k}{2N\Omega_{D+1} \bar{p}_k \kappa^2}$$

$$\dot{\bar{p}}_k \approx \frac{(2 - \gamma_k)}{2N\Omega_{D+1} \kappa^2} \left[N - 2 + o\left(\frac{\kappa^2}{m_k^2}\right) \right]$$

$$\tilde{K} \partial_k \bar{p}_k \approx \frac{N - 2}{N\Omega_{D+1} \kappa^2}$$

LPA-like with $N-1 \Rightarrow N-2$

(cf. KT-transition in flat $d=2$)



CONCLUSIONS

NPRG (on closed time contour)

➔ Useful to tackle nonperturbative infrared dynamics in dS space

➔ Successful implementation at LPA, LPA'

➔ Nontrivial phenomena (dym. red / sym. rest.) $\forall d$, $\forall N$

GO BEYOND !

PERSPECTIVES

- Derivative expansion at complete $\mathcal{O}(D^2)$: $Z_\kappa(\phi)$
- Blaisot - Mendes Galain - Wehebor (BTW) scheme in dS
- dS-invariant regulator
- Ward identities for Lorentz symmetry
- ...