

# Gauge coupling unification without leptoquarks

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# Outline

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- Motivation
- Gauge coupling unification without leptoquarks
- Scale and conformal invariance
- Conclusions

# Motivation

# Standard Model

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Standard Model: all interactions are based on different gauge groups.

But this looks rather arbitrary:

- Gauge group  $SU(3) \times SU(2) \times U(1)$ . Why?
- Quantum numbers and the choice of representations of matter fields appear to be random.
- Electric charge is quantised. Why so - the  $U(1)$  group is Abelian?

Proposal, going back to 70ties: Strong, weak and electromagnetic interactions are part of the same gauge force and are unified at high energies:

$$SU(3) \times SU(2) \times U(1) \in G$$

- 1973 - Pati, Salam:  $G = SU(4) \times SU(2) \times SU(2)$ . Lepton number as 4th colour, left-right symmetry
- 1974 - Georgi, Glashow  $G = SU(5)$
- 1975 - Fritzsch, Minkowski  $G = SO(10)$ . All fermions of one generation are in one representation 16!

Generic features of GUTs:

- charge quantisation is automatic
- quantum numbers of SM fermions can be understood
- $\sin^2 \theta_W$  can be predicted: gauge coupling unification.
- some relations between quark and lepton masses (e.g. bottom quark and  $\tau$  lepton) can appear
- common prediction: instability of matter, proton decay

Looks great!

# Main trouble: hierarchy problem

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Extra particles beyond the SM – leptoquarks (vector and scalar) must be very heavy,  $M_X > 10^{15}$  GeV

- this is required by the gauge coupling unification
- this is needed for stability of matter, proton lifetime  $\tau_p > 10^{34}$  years

Hierarchy:  $\left(\frac{M_X}{M_W}\right)^2 \simeq 10^{28}$

# Two faces of hierarchy, SU(5)

Gauge bosons are in 24, 15 SM fermions of each generation are in 5 and 10, scalars are in 24,  $\Sigma$  and 5,  $H$

Chain of spontaneous symmetry breaking

$$SU(5) \xrightarrow{24} SU(3) \times SU(2) \times U(1) \xrightarrow{5} SU(3) \times U(1) .$$

$$\langle \Sigma \rangle = \frac{v_{GUT}}{\sqrt{15}} \text{diag}(1, 1, 1, -3/2, -3/2) ,$$

$v_{GUT} \sim 10^{15}$  GeV, gives mass to leptoquarks

$$\langle H \rangle = \frac{v_{EW}}{\sqrt{2}} (0, 0, 0, 0, 1)^T ,$$

$v_{EW} \sim 10^2$  GeV, gives masses to the SM particles.

# Tree level tunings

Scalar potential:

$$V = -\frac{1}{2}m_{\Sigma}^2 \text{Tr}(\Sigma^2) - \frac{1}{2}m_H^2 H^\dagger H + \frac{1}{4}\lambda_{\Sigma\Sigma} (\text{Tr}(\Sigma^2))^2 + \frac{15}{14}\lambda'_{\Sigma\Sigma} \text{Tr}(\Sigma^4) \\ + \frac{1}{4}\lambda_{HH} (H^\dagger H)^2 + \frac{1}{2}\lambda_{\Sigma H} \text{Tr}(\Sigma^2) H^\dagger H + \frac{5}{3}\lambda'_{\Sigma H} H^\dagger \Sigma^2 H .$$

Minimum of the potential corresponds to

$$v_{GUT}^2 = \frac{2(\lambda_{HH}m_{\Sigma}^2 - (\lambda_{\Sigma H} + \lambda'_{\Sigma H})m_H^2)}{\lambda_{HH}(\lambda_{\Sigma\Sigma} + \lambda'_{\Sigma\Sigma}) - (\lambda_{\Sigma H} + \lambda'_{\Sigma H})^2} , \\ v_{EW}^2 = \frac{2((\lambda_{\Sigma\Sigma} + \lambda'_{\Sigma\Sigma})m_H^2 - (\lambda_{\Sigma H} + \lambda'_{\Sigma H})m_{\Sigma}^2)}{\lambda_{HH}(\lambda_{\Sigma\Sigma} + \lambda'_{\Sigma\Sigma}) - (\lambda_{\Sigma H} + \lambda'_{\Sigma H})^2} .$$

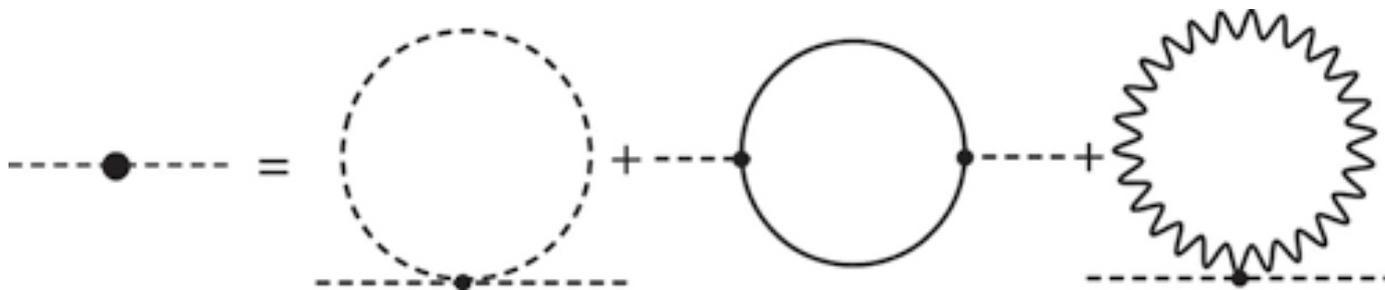
The correct hierarchy between the vacuum expectation values of the fields requires that

$$(\lambda_{\Sigma\Sigma} + \lambda'_{\Sigma\Sigma})m_H^2 - (\lambda_{\Sigma H} + \lambda'_{\Sigma H})m_\Sigma^2 \approx 0 ,$$

a relation that has to hold with an accuracy of **26 orders** of magnitude!

# Loop level tunings: stability of EW scale

Stability of the Higgs mass against radiative corrections [Gildener, '76](#)



$$\delta m_H^2 \simeq \alpha_{GUT}^n M_X^2$$

Tuning is needed up to **14th order** of perturbation theory!

# Proposed solutions

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Stability of EW scale: requirement of “naturalness”:

- Low energy SUSY: compensation of bosonic loops by fermionic loops
- Composite Higgs boson - new strong interactions
- Large extra dimensions

All require new physics right above the Fermi scale, which was expected to show up at the LHC

However, the LHC has discovered something **quite unexpected** : the Higgs boson and nothing else, confirming the Standard Model.

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For **125 GeV** Higgs mass the Standard Model is a self-consistent weakly coupled effective field theory for all energies up to the quantum gravity scale  $M_P \sim 10^{19}$  GeV

Should we abandon Grand Unification?

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Should we accept fine tunings in many orders of perturbation theory?

Main problem of the stability of the Higgs mass against radiative corrections: existence of superheavy particles,  $\delta m_H^2 \propto M_X^2$ .

Do we need lepto-quarks for GUTs?

Yes, if the Nature we know at EW scale repeats itself at the gauge coupling unification scale!

Physics at EW scale  $\equiv$  dynamical Higgs mechanism  $\equiv$  true Higgs boson

Perhaps, the physical meaning of the GUT scale is different from that of EW scale?

# Gauge coupling unification without leptoquarks

**Idea:** Take some GUT and remove all heavy degrees of freedom by imposing gauge-invariant constraints.

How does it work? SU(5) example.

● Scalar leptoquarks in [24](#)

Consider eigenvalues  $\sigma_i$  of  $\Sigma^2$ . They are gauge invariant - any condition on them does not break gauge symmetry

$$\sigma_1 = \sigma_2 = \sigma_3 = v_{GUT}^2, \quad \sigma_4 = \sigma_5 = \frac{9}{4}v_{GUT}^2,$$

From the geometrical point of view, this operation confines the theory on a specific manifold in the field-space. When this is done, a generic  $\Sigma$  field can be expressed as

$$\Sigma^2 = U \begin{pmatrix} \sigma_1 & 0 & 0 & 0 & 0 \\ 0 & \sigma_2 & 0 & 0 & 0 \\ 0 & 0 & \sigma_3 & 0 & 0 \\ 0 & 0 & 0 & \sigma_4 & 0 \\ 0 & 0 & 0 & 0 & \sigma_5 \end{pmatrix} U^\dagger ,$$

with  $U \in G$ . The above spans the twelve-dimensional space of Goldstones.

- Scalar leptoquarks in [5](#)

$$H^\dagger \Sigma^2 H - \frac{3}{10} \text{Tr}(\Sigma^2) H^\dagger H = 0 .$$

This requirement eliminates the color triplet contained in  $H$ , but leaves intact the remaining two components which are identified with the SM Higgs field

- Vector leptoquarks in [24](#)

$$\text{Tr}([\Sigma, D_\mu \Sigma]^2) = 0 ,$$

All the heavy vector leptoquarks are set to zero, together with corresponding Goldstones. The twelve SM gauge fields are not affected.

Resulting theory: Renormalisable Standard Model which inherits from SU(5)

- fermion quantum numbers
- relations between the gauge couplings
- relations between the Yukawa couplings

Small Higgs mass requirement:

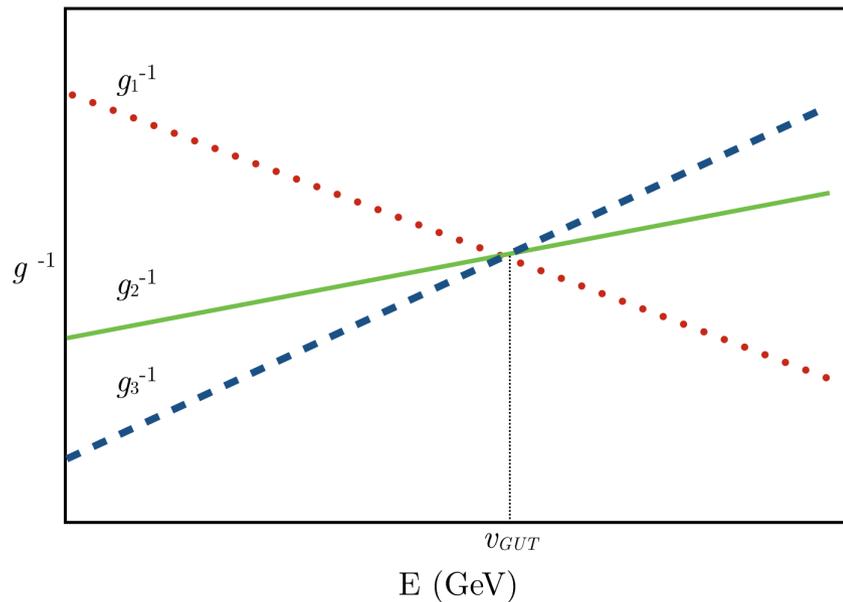
$$m_H^2 - \frac{1}{2}(\lambda_{HH}v_{EW}^2 + (\lambda_{\Sigma H} + \lambda'_{\Sigma H})v_{GUT}^2) \sim \mathcal{O}(10^4) \text{ GeV}^4 .$$

This relation constitutes a fine-tuning that is not explained. It is, however a **technically natural** condition due to absence of superheavy particles.

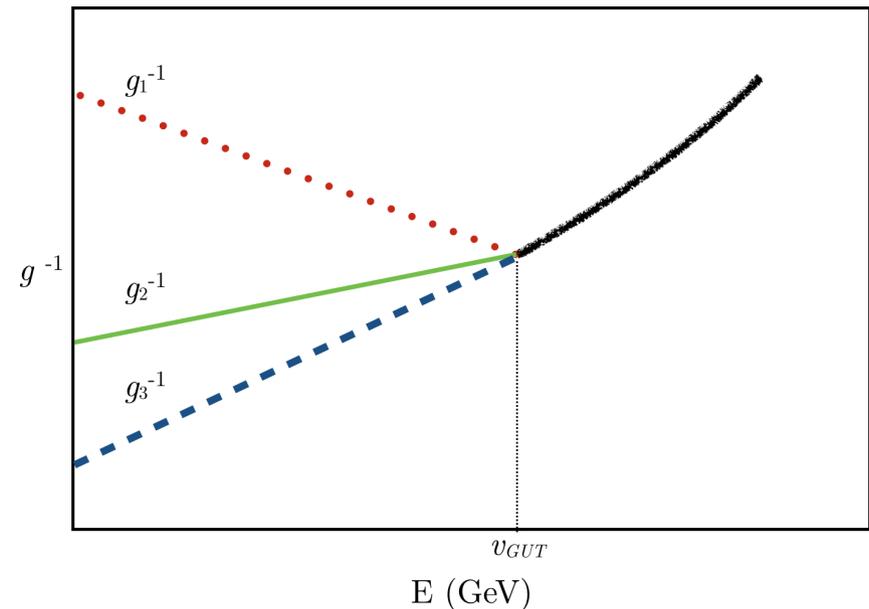
**No proton decay!**

# Gauge coupling unification

New



Old



As in the Minimal SU(5):

- $v_{GUT} \simeq 10^{14}$  GeV, but no problem with the proton decay
- $\sin^2 \theta_W \simeq 0.2$  – too small

How to correct  $\sin^2 \theta_W$ ? Proposal goes back to Hill; Shafi and Wetterich: add higher-dimensional operators suppressed by the Planck scale,

$$\mathcal{O}_{4+n} = \text{Tr} [F_{\mu\nu} \Sigma^k F^{\mu\nu} \Sigma^{n-k}] , \quad 0 \leq k < n , \quad n > 0 ,$$

With our constraint on  $\Sigma$ , these terms modify the relation  $g_1 = g_2 = g_3$  at the GUT scale, change the prediction of  $\sin^2 \theta_W$ , and modifying  $v_{GUT}$ . The theory is still renormalisable and no new degrees of freedom are introduced!

A viable possibility:  $v_{GUT} \simeq M_P$  – unity of all forces at the Planck scale?

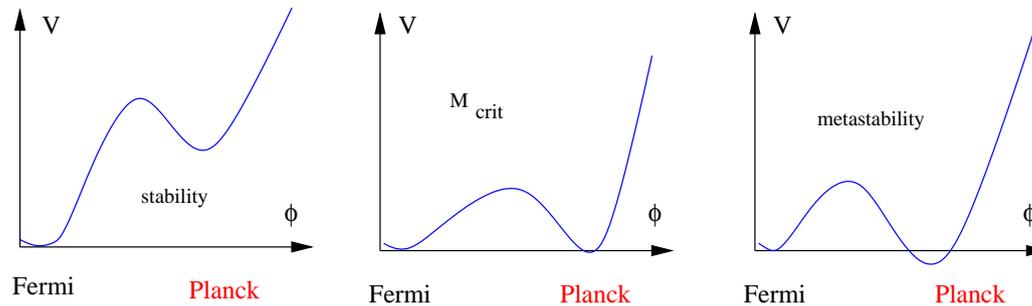
# Other problems of the SM

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In our approach we have no new particles up to the gravitational Planck scale. How to deal with the SM problems:

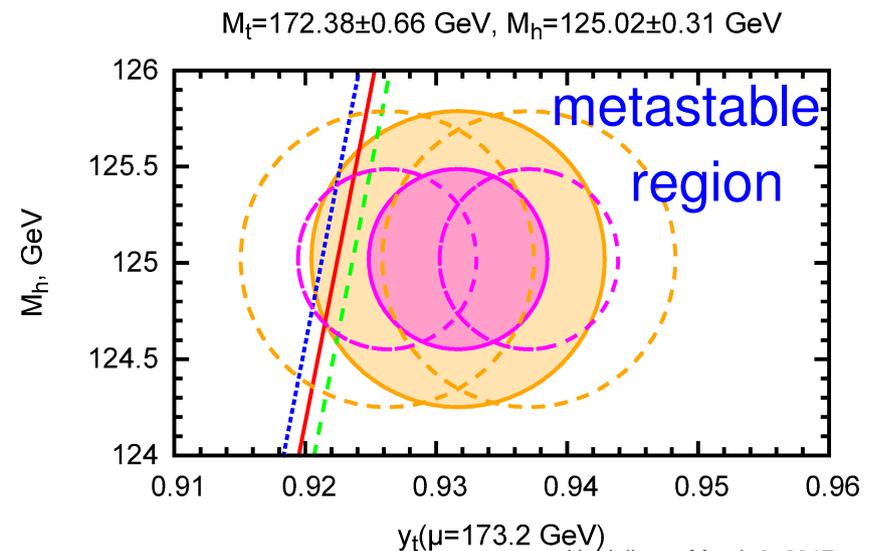
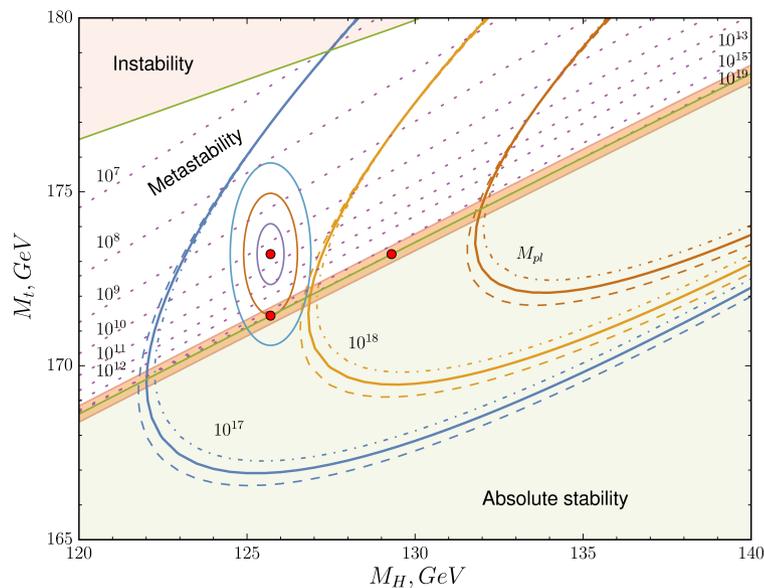
- Observations of neutrino oscillations (in the SM neutrinos are massless and do not oscillate)
- Evidence for Dark Matter (SM does not have particle physics candidate for DM).
- No antimatter in the Universe in amounts comparable with matter (baryon asymmetry of the Universe is too small in the SM)
- Cosmological inflation is absent in canonical variant of the SM
- Accelerated expansion of the Universe (?) - though can be “explained” by a cosmological constant.

- Marginal evidence (less than  $2\sigma$ ) for the SM vacuum metastability given uncertainties in relation between Monte-Carlo top mass and the top quark Yukawa coupling



Bednyakov et al, '15

Vacuum is unstable at  $1.3\sigma$

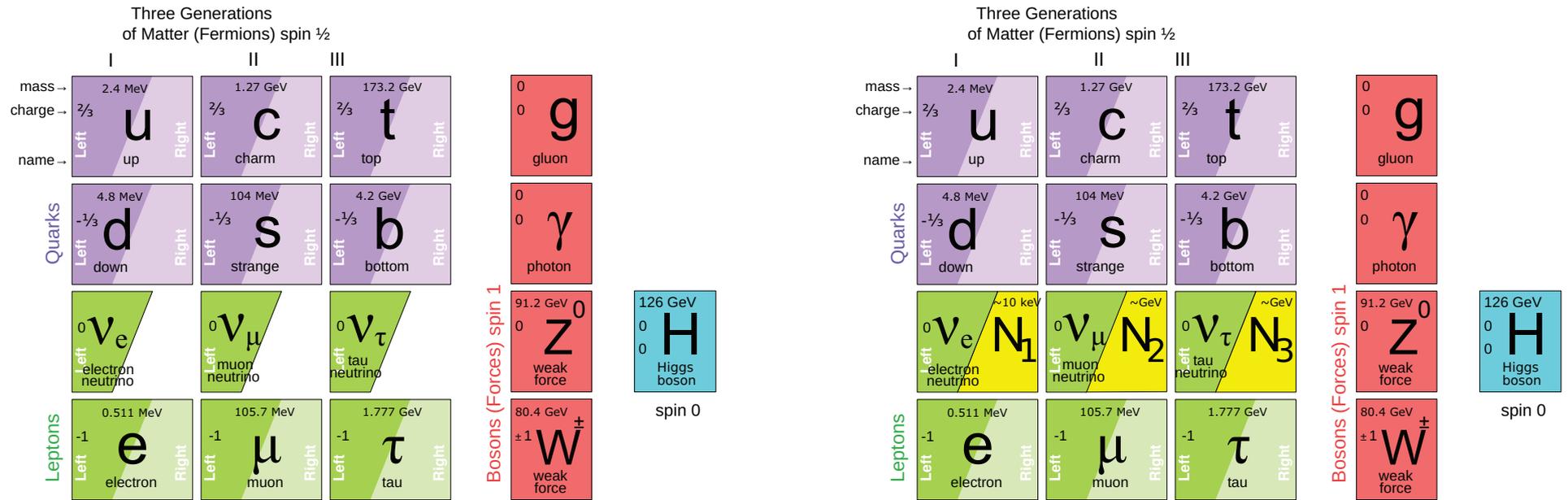


# Where is new physics?

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Below the Fermi scale

# New physics below the Fermi scale: the $\nu$ MSM



**Role** of the Higgs: EW symmetry breaking, inflation

**Role** of  $N_1$  with mass in keV region: dark matter.

**Role** of  $N_2$ ,  $N_3$  with mass in 100 MeV – GeV region: “give” masses to neutrinos and produce baryon asymmetry of the Universe.

All fermions can be embedded in SO(10)

# Scale and conformal invariance. FRG?

# Why scale invariance?

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If the mass of the Higgs boson is put to **zero** in the SM, the Lagrangian has a wider symmetry: it is scale and conformally invariant.

**Dilatations** - global scale transformations ( $\sigma = \text{const}$ )

$$\Psi(x) \rightarrow \sigma^n \Psi(\sigma x) ,$$

$n = 1$  for scalars and vectors and  $n = 3/2$  for fermions.

It is tempting to use this symmetry for solution of the hierarchy problem

# Quantum scale invariance

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Common lore: quantum scale invariance does not exist, divergence of dilatation current is not-zero due to quantum corrections:

$$\partial_\mu J^\mu \propto \beta(g) G_{\alpha\beta}^a G^{\alpha\beta a} ,$$

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The way out: scale independent subtraction of divergences Englert, Truffin '76; Wetterich '88; MS, Zenhausern, '08

# Scale-invariant SU(5) construction

Extra field - dilaton  $\chi$ . Also appears as a normalisation point in renormalisation procedure. Constraint for  $\Sigma$  should be replaced by

$$\sigma_1 = \sigma_2 = \sigma_3 = \alpha\chi^2, \quad \sigma_4 = \sigma_5 = \frac{9\alpha}{4}\chi^2,$$

where  $\alpha$  is a dimensionless constant. The remaining two conditions for vectors and  $H$  remain the same.

The scale-invariant potential for the theory: add a quartic self-interaction for the dilaton,  $\Lambda'\chi^4$ , and replace the mass terms for  $\Sigma$  and  $H$  by the dilaton couplings:

$$m_{\Sigma}^2 = \frac{15\nu\alpha}{4}\chi^2, \quad m_H^2 = \frac{15\mu\alpha}{2}\chi^2,$$

Resulting potential for the Higgs field  $h$ :

$$V = \lambda \left( h^\dagger h - \frac{\beta}{2\lambda} \chi^2 \right)^2 + (\Lambda + \Lambda') \chi^4 ,$$

and  $\lambda, \beta, \Lambda$  are related to the constants appearing in  $V$  as

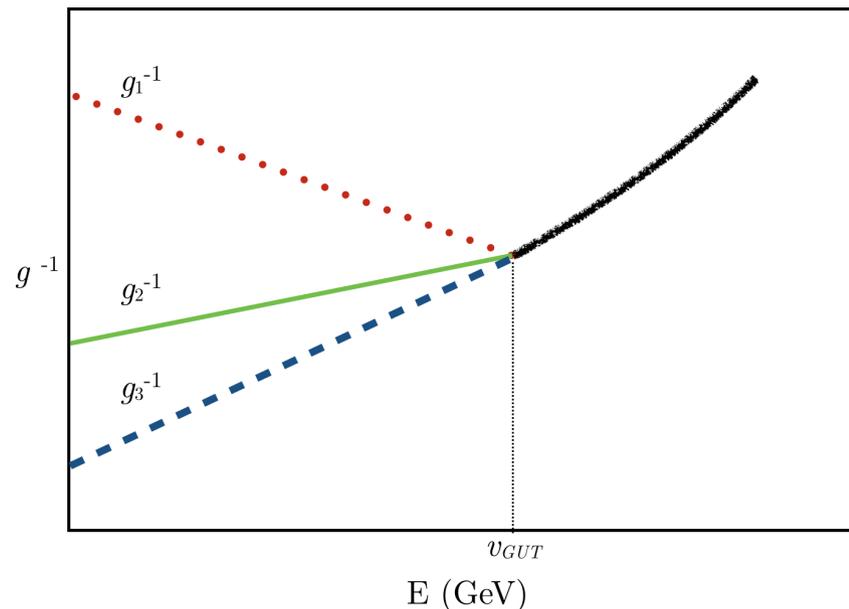
$$\lambda = \frac{\lambda_{HH}}{4} , \quad \beta = \frac{15\alpha}{4} (\mu - \lambda_{\Sigma H} - \lambda'_{\Sigma H}) ,$$

$$\Lambda = \left( \frac{15\alpha}{4} \right)^2 \left( \lambda_{\Sigma\Sigma} + \lambda'_{\Sigma\Sigma} - \nu - \lambda_{HH}^{-1} (\mu - \lambda_{\Sigma H} - \lambda'_{\Sigma H})^2 \right) .$$

Existence of flat direction (absence of cosmological constant) - unexplained fine-tuning,  $\Lambda + \Lambda' = 0$ . Gauge hierarchy condition  $\frac{\beta}{\alpha} \ll 1$ , is a technically natural requirement, since the dilaton has an approximate shift symmetry in the limit  $\beta \rightarrow 0, \Lambda + \Lambda' \rightarrow 0$ .

# UV limit? FRG?

High energy limit,  $E \gg v_{GUT}$ : equivalent to  $\chi \rightarrow 0$ ?  $\Sigma = 0$  as a solution to all constraints? If true, the UV degrees of freedom are SU(5) gauge bosons, fermions, dilaton and the Higgs 5-plet. Asymptotically free behaviour?



# Inclusion of gravity

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Planck scale: through non-minimal coupling of the dilaton to the Ricci scalar.

Gravity part

$$\mathcal{L}_G = - (\xi_\chi \chi^2 + \xi_h h^2) \frac{R}{2} ,$$

This term, for  $\xi_\chi \sim 1$ , does break the shift symmetry. However, this is a coefficient in front of graviton kinetic term. Since the graviton stays massless in any constant scalar background, the perturbative computations of gravitational corrections to the Higgs mass in scale-invariant regularisation are suppressed by  $M_P$ . There are no corrections proportional to  $M_P$ !

# Consequences

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- Fifth force or Brans-Dicke constraints are not applicable to it

# Conclusions

- The gauge coupling unification scale may be not related to the mass of any particle
- “Constrained GUTs” provide a specific example of unified theories without leptoquarks
- In these theories the EW scale is stable against radiative corrections

# Problems and weak points

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- The choice of GUT symmetry is arbitrary
- The choice of scalar multiplets is arbitrary
- Why 3 generations?
- Why the Planck scale is so different from the weak scale?
- Origin of constraints - why the one leading to the SM is the best one?