

3 Geodesics, Curvature, Einstein-Hilbert action

3.1 Geodesics

- A geodesic is the generalization of the concept of a straight line of euclidean geometry to metric manifolds.
- An obvious way to define this mathematically is to demand that the tangent vector does not change its direction along the curve:

$$\text{curve } x(\tau) \quad ; \quad \underbrace{\left(\frac{dx^\mu}{d\tau} \cdot D_{\mu} \right)}_{\substack{\text{covar. directional} \\ \text{derivative} \\ \text{along curve}}} \underbrace{\frac{dx^s}{d\tau}}_{\substack{\text{tangent} \\ \text{vector}}} = \underbrace{d \cdot \frac{dx^s}{d\tau}}_{\substack{\text{some vector} \\ \text{proportional} \\ \text{to tangent} \\ \text{vector}}} .$$

- Since the length of the tangent vector of a curve at any point depends on the parametrization, we can always choose a parametrization where

$$\boxed{\left(\frac{dx^\mu}{d\tau} D_{\mu} \right) \frac{dx^s}{d\tau} = 0}$$

We will work with this simpler definition of a geodesic from now on.

- More explicitly, the above reads

$$\frac{dx^\mu}{d\tau} \left(\frac{\partial}{\partial x^\mu} \cdot \left(\frac{dx^s}{d\tau} \right) + \Gamma_{\mu\nu}^s \frac{dx^\nu}{d\tau} \right) = \frac{d^2 x^s}{d\tau^2} + \Gamma_{\mu\nu}^s \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = 0$$

or

$$\frac{d^2 x^s}{d\tau^2} + g^{s\sigma} (\partial_{\mu} g_{\nu\sigma}) \dot{x}^{\mu} \dot{x}^{\nu} - \frac{1}{2} g^{s\sigma} (\partial_{\sigma} g_{\mu\nu}) \dot{x}^{\mu} \dot{x}^{\nu} = 0 .$$

3.2 Extremizing the invariant length

- Consider first manifolds with a positive definite metric (i.e., all eigenvalues of $g_{\mu\nu}$ are positive; such manifolds are also known as "Riemannian manifolds").
- On such manifolds, there will in general be curves with minimal invar. length connecting two points. We will show that such curves are geodesics:

- Let $x(t)$ be a curve connecting p_1 and p_2 ($p_1, p_2 \in M$). (We may assume, e.g., $t \in [0, 1]$.)

- $\ell = \int_0^1 d\tau \sqrt{g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau}}$ is the inv. length.

- $\delta\ell = \int_0^1 d\tau \frac{1}{2\sqrt{\dot{x}^2}} \left(2 g_{\mu\nu} \dot{x}^\mu \left(\frac{d\delta x^\nu}{d\tau} \right) + \frac{\partial g_{\mu\nu}}{\partial x^s} \delta x^s \dot{x}^\mu \dot{x}^\nu \right)$

[Without loss of generality, we choose a parametrization in which $\dot{x}^2 = \text{const.}$]

$$= \int_0^1 \frac{d\tau}{\sqrt{\dot{x}^2}} \left(-\frac{d}{d\tau} (g_{\mu\nu} \dot{x}^\mu) + \frac{1}{2} (\partial_\nu g_{\mu s}) \dot{x}^\mu \dot{x}^s \right) \delta x^\nu$$

$$= - \int_0^1 \frac{d\tau}{\sqrt{\dot{x}^2}} \left(g_{\mu\nu} \frac{d^2 x^\mu}{d\tau^2} + (\partial_s g_{\mu\nu}) \dot{x}^s \dot{x}^\mu - \frac{1}{2} (\partial_\nu g_{\mu s}) \dot{x}^\mu \dot{x}^s \right) \delta x^\nu$$

raising the index μ , this becomes precisely the expression that was shown to vanish for a geodesic at the end of Sect. 3.1.

- Thus, minimal-length curves on Riemannian manifolds are geodesics.

3.3 Motion of point-particles in an external gravit. field

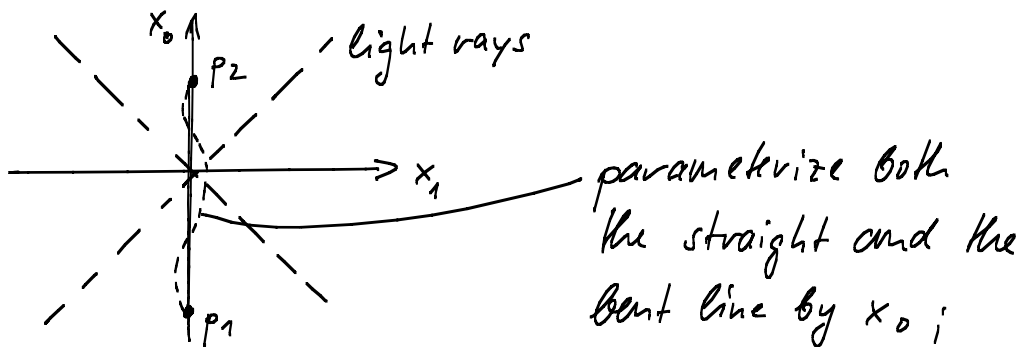
- As we will see below, the metric characterizes the physical gravitational field. Thus, the motion of point-particles is simply the motion on a Lorentzian manifold.
- The action for a massive point-particle is the obvious generalization of the Minkowski-space action:

$$S = -m \int d\tau \sqrt{-g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu} = -m \int d\tau \sqrt{-\dot{x}^2}.$$

- The curve (trajectory) is time-like at every point.
- $\delta S = 0$ implies, via a calculation identical to that of Sect. 3.2, that the trajectory is a time-like geodesic:

$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma_{\mu\nu}^\sigma \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = 0.$$

- Note that the trajectory has maximal rather than minimal invariant length. To see this, consider 2d-Minkowski space:



It is clear that for the bent line

$$-\dot{x}^2 = \left(\frac{dx^0}{d\tau}\right)^2 - \left(\frac{dx^1}{d\tau}\right)^2 = 1 - \left(\frac{dx^1}{dx^0}\right)^2$$

is always smaller than for the straight line.

- Geodesics on a Lorentz manifold are always time-like (resp. space-like, $\dot{x}^2 > 0$; resp. light-like; $\dot{x}^2 = 0$) at every point since \dot{x}^μ is covar. constant and hence \dot{x}^2 is constant.
- It can be shown* on the basis of Maxwell's equation in curved space that light propagates on light-like geodesics. (Although our above point particle action does not apply: The variation of a light-like geodesic will, in general, be a curve that changes between time-like and space-like. Such curves have no well-defined invar. length.) * see later in these notes
- Space-like geodesics connecting two given points are in general neither maxima nor minima of the invariant-distance-functional. They are just stationary points ("saddle points").

3.4 Action for massless point-particle

- As we have seen, the action

$$S = -m \int d\tau \sqrt{-\dot{x}^2}$$

is not applicable in the massless case. However, there exists a generalization of the above action in which the limit $m \rightarrow 0$ can be taken.

- To write down such an action, we introduce a metric on the trajectory (= world line = 1-dim. manifold) of the particle:

$$\text{metric: } \gamma_{\tau\tau}(\tau) < 0$$

↑
in analogy to the "-1" of $\eta_{\mu\nu}$.

- invar. distance : $ds^2 = g_{\tau\tau} d\tau^2$
- square root of the "determinant" : $\eta(\tau) \equiv \sqrt{-g_{\tau\tau}(\tau)}$
- action :
$$S = \frac{1}{2} \int d\tau (\eta^{-1} \dot{x}^2 - \eta m^2)$$
- problem: Check that this action is invariant under reparametrizations $\tau \rightarrow \tau' = \tau'(\tau)$.
(For this you need to obtain the transformation of η under such reparametrizations.)
- Varying the above action with respect to η and demanding stationarity, we find

$$-\eta^{-2} \dot{x}^2 - m^2 = 0 \quad \text{or} \quad \eta^2 = -\dot{x}^2/m^2.$$

- Inserting this in the action, we find

$$\begin{aligned} S &= \frac{1}{2} \int d\tau \left(\frac{1}{\sqrt{-\dot{x}^2/m^2}} \cdot \dot{x}^2 - \sqrt{-\dot{x}^2/m^2} m^2 \right) \\ &= -\frac{m}{2} \int d\tau (\sqrt{-\dot{x}^2} + \sqrt{-\dot{x}^2}) = -m \int d\tau \sqrt{-\dot{x}^2}, \end{aligned}$$

i.e., the standard massive point-particle action.

- For $m=0$, the action reads $S = \frac{1}{2} \int d\tau \eta^{-1} \dot{x}^2$
and variation w.r.t. η gives $\dot{x}^2=0$, i.e., the trajectory is light-like.

- Now we vary w.r.t. $x(\tau)$:

$$\delta S = \frac{1}{2} \int d\tau \eta(\tau)^{-1} \left(2 g_{\mu\nu} \dot{x}^\mu \frac{d\delta x^\nu}{d\tau} + \partial_\rho (g_{\mu\nu}) \delta x^\rho \dot{x}^\mu \dot{x}^\nu \right)$$

- If we now choose a parameterization such that $\eta(\tau) = \text{const.}$,

We can perform a calculation identical to that for time-like geodesics in Sect. 3.2 and demonstrate that the extremal trajectory is a light-like geodesic.

- Comment: The above action for point particles does not only have the advantage that the $m=0$ case is included. It is also convenient because of the absence of the $\sqrt{\dots}$. Its generalization to the string, $\int d\tau \rightarrow \int d\tau \cdot d\sigma$



is called the "Polyakov action" and forms the basis for the quantization of the string in modern string theory.

3.5 Curvature tensor

- Now that we can measure distances and describe point-particle motion on a Lorentz-manifold, we need to characterize the dynamics of the metric ($\hat{=}$ the gravit. field).
- For this we need an action, which is normally given by integrating a scalar over all space-time (cf. $\int d^4x F_{\mu\nu} F^{\mu\nu}$ of electrodynamics).
- As in this example, this scalar (the Lagrange density or Lagrangian) is normally constructed by contracting indices of tensors.

- The only tensor we have so far is $g_{\mu\nu}$, but $g_{\mu\nu} g^{\mu\nu} = \text{tr } \mathbb{1} = 4$, which is not suitable. Furthermore, we normally need derivatives in the Lagrangian to get interesting dynamics. Thus, we should use D_μ . However, $D_\mu g_{\nu\sigma} = 0$.
- Crucial idea: We can construct a tensor from D_μ which is independent of any specific tensor field to which D_μ may be applied.
- Consider $[D_\mu, D_\nu]$ as an operator mapping covector-field \rightarrow covector field.
- Let D_μ be some connection (not necessarily the Riem. conn.) and calculate

$$\begin{aligned} [D_\mu, D_\nu] f &= D_\mu \partial_\nu f - D_\nu \partial_\mu f = \Gamma_{\mu\nu}^\sigma \partial_\sigma f - \Gamma_{\nu\mu}^\sigma \partial_\sigma f \\ &= (\Gamma_{\mu\nu}^\sigma - \Gamma_{\nu\mu}^\sigma) \partial_\sigma f \equiv T_{\mu\nu}^\sigma \partial_\sigma f \end{aligned}$$

- $T_{\mu\nu}^\sigma$ is the torsion tensor (we know that it is a tensor from the transformation properties of the l.h. side).
- Now apply $[D_\mu, D_\nu] - T_{\mu\nu}^\sigma \partial_\sigma$ to a covector field $f \cdot \omega_\sigma$:

$$\begin{aligned} ([D_\mu, D_\nu] - T_{\mu\nu}^\sigma \partial_\sigma) \cdot f \omega_\sigma &= D_\mu ((D_\nu f) \omega_\sigma + f D_\nu \omega_\sigma) - \{\mu \leftrightarrow \nu\} \\ &\quad - T_{\mu\nu}^\sigma ((D_\sigma f) \omega_\sigma + f D_\sigma \omega_\sigma) \\ &= (T_{\mu\nu}^\sigma \partial_\sigma f) \omega_\sigma + (D_\nu f) (D_\mu \omega_\sigma) - (D_\mu f) (D_\nu \omega_\sigma) + (D_\mu f) (D_\nu \omega_\sigma) \\ &\quad - (D_\nu f) (D_\mu \omega_\sigma) + f [D_\mu, D_\nu] \omega_\sigma - T_{\mu\nu}^\sigma (D_\sigma f) \omega_\sigma - T_{\mu\nu}^\sigma f D_\sigma \omega_\sigma \\ &= f ([D_\mu, D_\nu] - T_{\mu\nu}^\sigma \partial_\sigma) \omega_\sigma. \end{aligned}$$

- We see from this, in complete analogy to our previous discussion of the operator $(D_\mu - D'_\mu)$, that the operator $[D_\mu, D_\nu] = T_{\mu\nu}^\sigma D_\sigma$ at a certain point is

only sensitive to the value of the covector field $f \cdot \omega_\sigma$ at this point. Thus, it is a linear operator $T_p^* \rightarrow T_p^*$. It can be characterized by a matrix $R_{\mu\nu\sigma}^\sigma$, i.e.

$$\boxed{[D_\mu, D_\nu] \omega_\sigma = T_{\mu\nu}^\sigma D_\sigma \omega_\sigma + R_{\mu\nu\sigma}^\sigma \omega_\sigma}$$

for any covector field ω_σ .

- Since we are primarily interested in the Riemann connection, $T_{\mu\nu}^\sigma = 0$ and the only tensor we get from this construction is the curvature tensor $R_{\mu\nu\sigma}^\sigma$. (= Riemann tensor)
- Explicit calculation:

$$\begin{aligned} [D_\mu, D_\nu] \omega_\sigma &= D_\mu (\partial_\nu \omega_\sigma - \Gamma_{\nu\sigma}^\tau \omega_\tau) - \{\mu \leftrightarrow \nu\} \\ &= \partial_\mu (\partial_\nu \omega_\sigma - \Gamma_{\nu\sigma}^\tau \omega_\tau) - \Gamma_{\mu\nu}^\tau (\partial_\tau \omega_\sigma - \Gamma_{\tau\sigma}^\rho \omega_\rho) - \Gamma_{\mu\sigma}^\tau (\partial_\nu \omega_\tau - \Gamma_{\nu\tau}^\rho \omega_\rho) \\ &\quad - \{\mu \leftrightarrow \nu\} \end{aligned}$$

[all terms with derivatives acting on ω cancel out;
 $\Gamma_{\mu\nu}^\sigma$ is symmetric in $\mu\nu$]

$$= -\partial_\mu \Gamma_{\nu\sigma}^\tau \omega_\tau + \Gamma_{\mu\sigma}^\tau \Gamma_{\nu\tau}^\rho \omega_\rho - \{\mu \leftrightarrow \nu\}$$

$$\Rightarrow \boxed{R_{\mu\nu\sigma}^\sigma = -\partial_\mu \Gamma_{\nu\sigma}^\tau + \Gamma_{\mu\sigma}^\tau \Gamma_{\nu\tau}^\rho - \{\mu \leftrightarrow \nu\}}$$

• Recalling that $\Gamma_{\mu\nu}^{\sigma} = \frac{1}{2} g^{\sigma\epsilon} (\partial_{\mu} g_{\nu\epsilon} + \partial_{\nu} g_{\mu\epsilon} - \partial_{\epsilon} g_{\mu\nu})$, we see that $R_{\mu\nu\sigma}^{\epsilon}$ is a tensor constructed only from 1st & 2nd derivatives of the metric. In fact, any other tensor involving only the metric and not more than two partial derivatives can be constructed from $R_{\mu\nu\sigma}^{\epsilon}$ and $g_{\mu\nu}$. In this sense, $R_{\mu\nu\sigma}^{\epsilon}$ is unique.

• Action of $[D_{\mu}, D_{\nu}]$ on vector fields:

$$[D_{\mu}, D_{\nu}] (v^{\sigma} \omega_{\sigma}) = 0 \quad (\text{torsion freeness})$$

$$\begin{aligned} [D_{\mu}, D_{\nu}] (v^{\sigma} \omega_{\sigma}) &= D_{\mu} (D_{\nu} v^{\sigma}) \omega_{\sigma} + v^{\sigma} D_{\mu} \omega_{\sigma} - \{\mu \leftrightarrow \nu\} \\ &= (D_{\mu} D_{\nu} v^{\sigma}) \omega_{\sigma} + (D_{\nu} v^{\sigma}) (D_{\mu} \omega_{\sigma}) + (D_{\nu} v^{\sigma}) (D_{\mu} \omega_{\sigma}) + v^{\sigma} D_{\mu} D_{\nu} \omega_{\sigma} \\ &\quad - \{\mu \leftrightarrow \nu\} \end{aligned}$$

$$= ([D_{\mu}, D_{\nu}] v^{\sigma}) \omega_{\sigma} + v^{\sigma} [D_{\mu}, D_{\nu}] \omega_{\sigma}$$

$$\Rightarrow ([D_{\mu}, D_{\nu}] v^{\sigma}) \omega_{\sigma} = - R_{\mu\nu\sigma}^{\epsilon} v^{\sigma} \omega_{\epsilon} \quad , \quad \text{for any } \omega !$$

$$\Rightarrow [D_{\mu}, D_{\nu}] v^{\sigma} = - R_{\mu\nu\sigma}^{\epsilon} v^{\sigma} \quad (\text{in complete analogy to the action of } \Gamma_{\mu\nu}^{\sigma} \text{ on vectors and covectors})$$

• The generalization to arbitrary tensors is obvious:

$$\begin{aligned} [D_{\mu}, D_{\nu}] t^{\sigma_1 \dots \sigma_m}_{\epsilon_1 \dots \epsilon_n} &= -R_{\mu\nu\tau}^{\sigma_1} t^{\tau \dots \sigma_m}_{\epsilon_1 \dots \epsilon_n} - \dots - R_{\mu\nu\tau}^{\sigma_m} t^{\sigma_1 \dots \tau}_{\epsilon_1 \dots \epsilon_n} \\ &\quad + R_{\mu\nu\epsilon_1}^{\tau} t^{\sigma_1 \dots \sigma_m}_{\tau \dots \epsilon_n} + \dots + R_{\mu\nu\epsilon_n}^{\tau} t^{\sigma_1 \dots \sigma_m}_{\epsilon_1 \dots \tau} \end{aligned}$$

• Since $g_{\mu\nu}$ is covariantly constant, we have

$$0 = [D_{\mu}, D_{\nu}] g_{\sigma\epsilon} = R_{\mu\nu\sigma}^{\tau} g_{\tau\epsilon} + R_{\mu\nu\epsilon}^{\tau} g_{\sigma\tau} = R_{\mu\nu\sigma\epsilon} + R_{\mu\nu\epsilon\sigma}$$

- Thus $R_{\mu\nu\sigma\epsilon}$ is antisymm. in σ, ϵ .
- $R_{\mu\nu\sigma\epsilon}$ is also antisymm. in μ, ν (by its definition)
- Thus, if we want to construct a tensor with fewer indices from $R_{\mu\nu\sigma\epsilon}$, we have to multiply with $g^{\nu\sigma}$ (or $g^{\mu\epsilon}$ or $g^{\nu\epsilon}$ or $g^{\mu\sigma}$)

These choices are equivalent to the first choice (possibly up to a sign)

- We define the Ricci tensor $R_{\mu\sigma} = R_{\mu\nu\sigma\epsilon} g^{\nu\epsilon} = R_{\mu\nu\sigma}{}^{\nu}$.
- Useful fact: $R_{\mu\nu} = R_{\nu\mu}$

Derivation: $R_{\mu\nu\sigma\epsilon}$ has the properties

- (1) $R_{\mu\nu\sigma\epsilon} = -R_{\nu\mu\sigma\epsilon}$ (obvious)
- (2) $R_{\mu\nu\sigma\epsilon} = -R_{\mu\nu\epsilon\sigma}$ (see above)
- (3) $R_{[\mu\nu\sigma]\epsilon} = 0$

↑
"Antisymmetrization", i.e. $\frac{1}{3!}$ (sum of all permutations, with odd permutations getting a minus sign)

(Property (3) can be read off from the explicit expression in terms of $\Gamma_{\mu\nu}{}^{\sigma}$ using $\Gamma_{\mu\nu}{}^{\sigma} = \Gamma_{\nu\mu}{}^{\sigma}$; an abstract proof will be given later using diff-forms.)

Explicitly, property (3) reads

$$R_{\mu\nu\sigma\epsilon} + R_{\nu\sigma\mu\epsilon} + R_{\epsilon\mu\nu\sigma} - R_{\nu\mu\sigma\epsilon} - R_{\mu\sigma\nu\epsilon} - R_{\epsilon\nu\mu\sigma} = 0$$

$$\text{Using (1): } R_{\mu\nu\sigma\epsilon} + R_{\nu\sigma\mu\epsilon} + R_{\epsilon\mu\nu\sigma} = 0 \quad (3')$$

Now we can easily obtain the desired result:

$$\begin{aligned}
 R_{\mu\nu\sigma\epsilon} &\stackrel{(3')}{=} -R_{\nu\sigma\mu\epsilon} - R_{\sigma\mu\nu\epsilon} \stackrel{(2)}{=} R_{\nu\sigma\epsilon\mu} + R_{\sigma\mu\epsilon\nu} \\
 &\stackrel{(3')}{=} -R_{\sigma\epsilon\nu\mu} - R_{\epsilon\nu\sigma\mu} - R_{\mu\epsilon\sigma\nu} - R_{\epsilon\sigma\mu\nu} \\
 &\qquad\qquad\qquad \underbrace{\hspace{10em}} \\
 &\qquad\qquad\qquad \stackrel{(2)}{=} R_{\sigma\nu\mu\epsilon} + R_{\mu\sigma\nu\epsilon} \stackrel{(3')}{=} -R_{\nu\mu\epsilon\sigma} \\
 &\stackrel{(1), (2)}{=} 2R_{\sigma\epsilon\mu\nu} - R_{\mu\nu\sigma\epsilon}
 \end{aligned}$$

$$\Rightarrow 2R_{\mu\nu\sigma\epsilon} = 2R_{\sigma\epsilon\mu\nu}$$

Thus we have found a further useful property of $R_{\mu\nu\sigma\epsilon}$:

$$(4) \quad R_{\mu\nu\sigma\epsilon} = R_{\sigma\epsilon\mu\nu} \quad (\text{consequence of (1) -- (3)})$$

$$\Rightarrow R_{\mu\nu} = R_{\mu\sigma\nu\epsilon} g^{\sigma\epsilon} = R_{\nu\sigma\mu\epsilon} g^{\sigma\epsilon} = R_{\nu\epsilon\mu\sigma} g^{\epsilon\sigma} = R_{\nu\mu} \quad \square.$$

• Comment:

- Property (3) is also known as the 1st Bianchi identity
- The 2nd Bianchi identity (or simply Bianchi identity) is

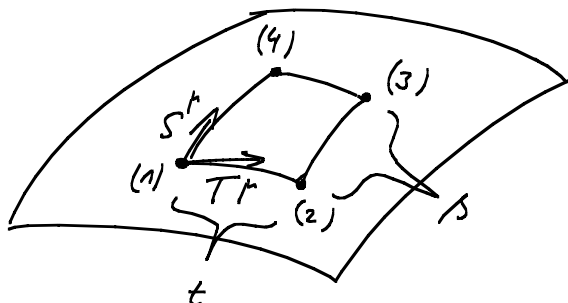
$$D_{[\mu} R_{\nu\sigma]\epsilon}{}^{\tau} = 0.$$

(Problem: Prove this using the definition of $R_{\mu\nu\sigma\epsilon}$ via a commutator of D_{μ} 's. Apply the Jacobi identity $[A, [B, C]] + \text{cycl. permutations} = 0$. (Derive also the Jacobi identity.))

- Finally, having defined Riemann tensor $R_{\mu\nu\sigma\epsilon}$ and the Ricci tensor $R_{\mu\nu}$, we define the Ricci scalar or curvature scalar $R = R_{\mu}{}^{\mu} = R_{\mu\nu} g^{\mu\nu}$.

3.6 "Physical" interpretation of the curvature

- Curvature characterizes the non-triviality of parallel transport around closed loops:



- Consider a manifold with a point (1) and two vectors T^μ & S^μ in the tangent space $T_{(1)}$ at this point.
- Consider some curve through (1) with tangent vector T^μ . Move a distance t (in the parametrization used to define T^μ) along this curve to define the point (2). (We say that is a motion of distance t in the direction of T^μ .)
- Parallel transport S^μ to (2). Then move a distance s in the direction of $S^\mu_{(2)}$ to define (3).
- Then move a distance t in the direction of $-T^\mu_{(3)}$ to define (4).
- Finally, move a distance s in the direction of $-S^\mu_{(4)}$ to define (1)'.

[If the torsion vanishes, (1)' will agree with (1) at leading order in t, s for $t \rightarrow 0$ and $s \rightarrow 0$ (\rightarrow problems)]

- Next, parallel transport a vector v^μ at (1) along the closed loop defined above to define v'^μ , also at (1). Define $\delta v^\mu = v'^\mu - v^\mu$.

- Claim: $\nabla_{\nu} v^{\mu} = t.s. T^{\nu}{}_{\sigma} R^{\sigma}{}_{\nu\delta}{}^{\mu} v^{\delta} + \text{higher orders in } t \& s.$
(Problem: Derive this by an explicit calculation.)

- Intuitive motivation of this result:

If there exists a covariantly constant vector field $v^{\mu}(x)$ with value v^{μ} at (1) , then $\nabla_{\nu} v^{\mu} = 0$. At the same time $[D_{\mu}, D_{\nu}] v^{\sigma} = 0$, i.e. $R_{\mu\nu}{}^{\sigma}{}_{\delta} v^{\delta} = 0$. Thus $R_{\mu\nu}{}^{\sigma}{}_{\delta} v^{\delta}$ characterizes the impossibility to extend v^{μ} to a covar. constant vector field (in the μ - ν submanifold).

Thus: $R_{\mu\nu}{}^{\sigma}{}_{\delta} v^{\delta}$ characterizes the development of a δ -component of a vector in the δ -direction upon parallel transport around an infinitesimal closed loop in the μ - ν -submanifold.

3.7 The Einstein-Hilbert action

In Minkowski space, an action for a field theory with field $\varphi(x)$ are generally given as

$$S[\varphi] = \int d^4x \mathcal{L}[\varphi] = \int d^4x \mathcal{L}(\varphi, \partial_{\mu}\varphi),$$

where \mathcal{L} is a scalar (a function) on Mink. space.

The simplest example is

$$\mathcal{L} = -\frac{1}{2} (\partial_{\mu}\varphi)(\partial^{\mu}\varphi) - \frac{1}{2} m^2 \varphi^2$$

for a scalar field with mass m . After quantization, this gives rise to spinless particles with mass m .

- An example are pions which, however, are bound states of quarks and gluons. (Thus, in this case the above action is only an effective description at low energies.)
- Another well-known action of this type is given by

$$\mathcal{L}[A_\mu] = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

of electrodynamics, characterizing free photons.

- In general relativity, we need to make these actions diffeomorphism-invariant. It is known from analysis that

$$\int d^4x f(x) = \int d^4x' \det\left(\frac{\partial x}{\partial x'}\right) f'(x'),$$

where $f'(x)$ is defined by $f(x) = f'(x'(x))$ and

$$\left(\frac{\partial x}{\partial x'}\right)^\mu{}_\nu = \frac{\partial x^\mu}{\partial x'^\nu}, \quad \text{with } x = x(x') \text{ is the inverse function of } x' = x'(x).$$

Claim: $\int d^4x \sqrt{-g} f(x)$ is diffeomorphism invariant.

(Here $g = \det(g_{\mu\nu})$. The "-" is necessary since, given that $g_{\mu\nu}$ can locally be brought to the form $\text{diag}(-1, 1, 1, 1)$, $\det(g_{\mu\nu}) < 0$.)

Demonstration: $g'_{\mu\nu} = g_{\alpha\beta} \frac{\partial x^\alpha}{\partial x'^\mu} \frac{\partial x^\beta}{\partial x'^\nu} = M_\mu{}^\alpha g_{\alpha\beta} (M^T)^\beta{}_\nu$

where $M_\mu{}^\alpha = \frac{\partial x^\alpha}{\partial x'^\mu}$.

Thus, $\sqrt{-g'} = \sqrt{-(\det M)(\det g_{\mu\nu})(\det M')} = \sqrt{-g} \det\left(\frac{\partial x}{\partial x'}\right)$.

$$\begin{aligned} \Rightarrow \int d^4x' \sqrt{g'} f'(x') &= \int d^4x \det\left(\frac{\partial x'}{\partial x}\right) \sqrt{g} \cdot \det\left(\frac{\partial x}{\partial x'}\right) f(x) \\ &= \int d^4x \sqrt{g} f(x) \quad \square. \end{aligned}$$

- We are thus naturally lead to the action

$$\begin{aligned} S &= \int d^4x \sqrt{g} (\mathcal{L}_{\text{gravity}} + \mathcal{L}_{\text{matter}}) \\ &= \int d^4x \sqrt{g} \left(\frac{M^2}{2} R + \mathcal{L}_{\text{matter}} \right), \end{aligned}$$

Where $\mathcal{L}_{\text{matter}}$ is, e.g., $\mathcal{L}_{\text{el.dyn.}}$ or $\mathcal{L}_{\text{scalar}}$ (see above). The gravity part is also known as the Einstein-Hilbert term. M^2 is the reduced planck mass, related to the usual planck mass $M_p = \frac{1}{G}$ by $M = M_p / \sqrt{8\pi}$ (for the origin of the factor $\sqrt{8\pi}$ see later).

Comments

- We could, already at this point, focus only on the R -term, make the ansatz $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$ ($h_{\mu\nu}$ small), and derive the quadratic action in $h_{\mu\nu}$

$$(R[\eta_{\mu\nu} + h_{\mu\nu}] = \mathcal{O}(h^2)).$$

This would give rise to EOMs similar to the Maxwell-egs. for A_μ and describe the propagation of gravity waves (see later for details).

- We could consider terms with more than two derivatives (e.g. R^2 or contractions of two $R_{\mu\nu\sigma\rho}$ -terms). However, at low curvature ($=$ small derivatives of $g_{\mu\nu}$) = large-

distance-physic) these terms are unimportant unless the coefficients are very large. Experimental bounds suggest that such contributions to the action are indeed unimportant for all practical purposes.

- If one is prepared to give up analyticity in \hbar , one can also consider terms like R^{-1} etc. Such terms are sometimes considered in cosmological models (for very large scales, i.e., small curvature).
- It is natural to add a term $\mathcal{L} = -\Lambda = \text{const.}$ (i.e. $S_\Lambda = -\int d^4x \sqrt{-g} \Lambda$), the so-called cosmological constant. (It can be viewed either as part of $\mathcal{L}_{\text{grav.}}$ or $\mathcal{L}_{\text{matter}}$; we view the second option as more natural.) Such a term is probably responsible for the observed accelerated expansion of the universe. It is irrelevant at small (including galactic) scales.