

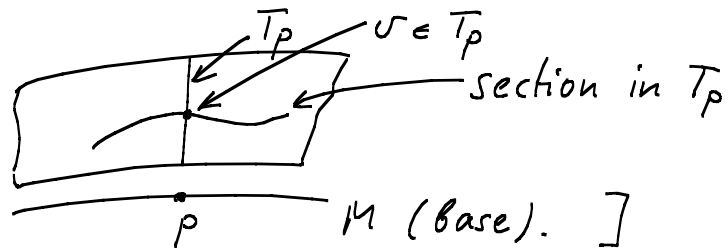
6 Differential Forms in General Relativity

6.1 Differential forms

- Recall that a vector field is a prescription assigning to every $p \in M$ an element of T_p .

[More abstractly: all T_p 's form the tangent bundle of M .

A vector field is a section in the tangent bundle:



- Analogously, a 1-form is a prescription assigning to every $p \in M$ an element of T_p^* (i.e., a section in the cotangent bundle).
- Any fct. f defines in a natural way a 1-form df by

$$df(v) \equiv v^\mu \partial_\mu f \quad (v \text{ is a vector field}).$$
- In particular, in a given parametrization any coordinate (viewed as a fct. on M) defines a 1-form dx^μ .
- Since $v = v^\mu \partial_\mu$, we see from the above action of 1-forms on vector fields that $\partial_\mu f$ are the components of df in the basis dual to $\{\partial_\mu\}$, i.e.

$$df = (\partial_\mu f) dx^\mu.$$
- We can call fcts. 0-forms and think of "d" as a map from 0-forms to 1-forms:

$$d: f \mapsto df.$$
- The natural extension to higher forms is as follows:

- A n -form ω_n (or just ω , if the degree is understood) is a prescription assigning to every $p \in M$ an element of $(T_p^* \otimes \dots \otimes T_p^*)$ antisymmetrized.
 n factors

$[(T_p^* \otimes \dots \otimes T_p^*)_{\text{antisymm.}} \equiv (T_p^* \otimes \dots \otimes T_p^*)_A$ are linear functionals on $(T_p \otimes \dots \otimes T_p)$ which are totally antisymm. in all their arguments, i.e.

$$\omega_n(v_1, \dots, v_k, \dots, v_\ell, \dots, v_k, \dots, v_n) = -\omega_n(v_1, \dots, v_\ell, \dots, v_k, \dots, v_n).$$

We can also view elements of $(T_p^* \otimes \dots \otimes T_p^*)_A = (T_p^{*\otimes n})_A$ as equivalence classes inside $T_p^{*\otimes n}$. The equivalence is defined by performing (as often as desired) the operation

$$a_1 \otimes \dots \otimes a_k \otimes a_{k+1} \otimes \dots \otimes a_n \rightarrow -a_1 \otimes \dots \otimes a_{k+1} \otimes a_k \otimes \dots \otimes a_n.]$$

- From tensor multiplication, we clearly inherit the possibility to multiply p -forms (more common notation than n -forms, but clashes with our $p \in M$):

$$\omega_p \wedge \omega_q = \omega_{p+q}, \text{ defined as the equivalence class of } \omega_p \otimes \omega_q.$$

- A natural basis of $(T^{*\otimes p})_A$ is inherited from $T^{*\otimes p}$:
 $dx^{i_1} \wedge \dots \wedge dx^{i_p}$ (suppress p to avoid confusion with p of ω_p).
 (equiv. class of $dx^{i_1} \otimes \dots \otimes dx^{i_p}$).

- The corresponding linear functional is the totally antisymm. element of $T^{*\otimes n}$ in the same equiv. class, e.g.

$$dx^{i_1} \wedge \dots \wedge dx^{i_p} = \frac{1}{p!} \sum_{\sigma} \text{sgn}(\sigma) dx^{\sigma(1)} \otimes \dots \otimes dx^{\sigma(p)} \quad (\sigma \text{ runs over all permutations})$$

- This fixes the action on vectors:

$$dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p} (v_1, \dots, v_p) = \frac{1}{p!} \sum_{\sigma} \text{sgn}(\sigma) \omega_{\sigma(1)}^{\mu_1} \dots \omega_{\sigma(p)}^{\mu_p}$$

↑
all permutations.

- Using our summation convention, we can decompose any p -form in this basis:

$$\omega_p = \frac{1}{p!} \underbrace{(\omega_p)_{\mu_1 \dots \mu_p}}_{\text{totally antisymmetric (tensor field)}} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p}$$

- We also have

$$\begin{aligned} \omega_p \wedge \omega_q &= \frac{1}{p!} \frac{1}{q!} (\omega_p)_{\mu_1 \dots \mu_p} (\omega_q)_{\nu_1 \dots \nu_q} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p} \wedge dx^{\nu_1} \wedge \dots \wedge dx^{\nu_q} \\ &= \frac{1}{(p+q)!} (\omega_p \wedge \omega_q)_{\mu_1 \dots \mu_{p+q}} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_{p+q}} \end{aligned}$$

$$\text{or } (\omega_p \wedge \omega_q)_{\mu_1 \dots \mu_{p+q}} = \frac{(p+q)!}{p! q!} (\omega_p)_{[\mu_1 \dots \mu_p} (\omega_q)_{\mu_{p+1} \dots \mu_{p+q}]}$$

- We are now ready to extend our "exterior derivative" d to higher forms. We require linearity, Leibnitz rule and $d^2 = d \circ d = 0$.

$$d\omega_p = \omega_{p+1} \quad ; \quad d(\omega_p \wedge \omega_q) = d\omega_p \wedge \omega_q + (-1)^p \omega_p \wedge d\omega_q$$

- With the above, we can simply calculate the action of d on any ω_p in a basis:

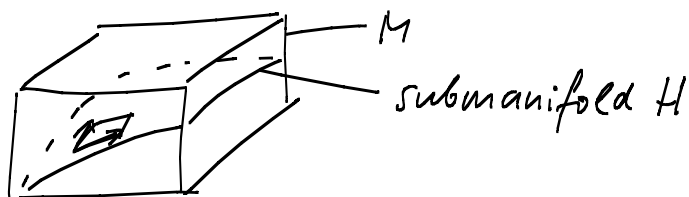
$$\begin{aligned} d\omega_p &= d\left(\frac{1}{p!} (\omega_p)_{\mu_1 \dots \mu_p} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p}\right) \quad \leftarrow \text{use the above properties!} \\ &= \frac{1}{p!} \partial_{\mu} (\omega_p)_{\mu_1 \dots \mu_p} dx^{\mu} \wedge dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p} \\ &= \frac{1}{(p+1)!} \partial_{\mu_1} (\omega_p)_{\mu_2 \dots \mu_{p+1}} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_{p+1}} \end{aligned}$$

$$\Rightarrow (d\omega_p)_{\mu_1 \dots \mu_{p+1}} = (p+1) \partial_{[\mu_1} (\omega_p)_{\mu_2 \dots \mu_{p+1}]} .$$

[It is easy to check that $d = dx^\mu \partial_\mu$, where dx^μ has to be multiplied with "1" from the left.]

6.2 Integration with p-forms

- The basic reason behind the above formalism is that a p-form is naturally integrated over p-dim. submanifold:



- Cut it into little parallelepipeds (we will attempt to sum over them shortly; in the spirit of the Riemann integral). Each parallelepiped can be characterized by p linearly independent tangent vectors v_a ($a = 1 \dots p$). (Each edge goes from some $x_{(0)}^\mu$ to $x_{(0)}^\mu + v_a^\mu$.) To integrate, we need to ascribe a real number to each infinitesimal piece of the surface. While the ordering of the edges does not matter in detail, we can define an orientation by saying which orderings are even and which are odd. Thus, what we are looking for is a linear functional on $v_1 \dots v_p$ which is only sensitive to the sign of the permutation of the arguments, i.e. a p-form.

- Define (very roughly):

$$\int_H \omega_p = \lim_{\text{parall.} \rightarrow 0} \sum_{\text{all parall.}} \omega_p(v_1, \dots, v_p) .$$

- Given ω_p on M , we can define the pullback ω_p^* on the submanifold H .

- Then the integral reads:

$$\int_H \omega_p = \int (\omega_p^*)_{12\dots p} dx^1 dx^2 \dots dx^p.$$

(Check reparameterization invariance!)

- Without proof: Stoke's theorem: $\int_M d\omega_p = \int_{\partial M} \omega_{p-1}$
 \uparrow \uparrow
 p -dim. manifold its boundary
 (a $(p-1)$ -dim. manifold)

- Given a metric $g_{\mu\nu}$ on p -dim. manifold M , one can show that $V_{\mu_1 \dots \mu_p} = \sqrt{-g} \epsilon_{\mu_1 \dots \mu_p}$ represent the components (Levi-Civita symbol)

of a tensor. Hence, we have defined a p -form V .

This volume form is the natural object for integrating over a metric manifold.

$$\text{(e.g. } S_{\text{gravit.}} = \frac{1}{2} \int \underset{\substack{\uparrow \\ \text{volume form}}}{V} \cdot \underset{\substack{\leftarrow \\ \text{Ricci scalar}}}{R} \text{)}$$

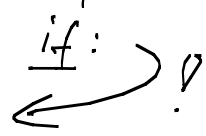
- Using the metric, there is a natural way to assign to every p -form on an n -dim. manifold an $(n-p)$ -form:

$$(*\omega)_{\mu_1 \dots \mu_{n-p}} = \frac{1}{p!} \sqrt{-g} \epsilon_{\mu_1 \dots \mu_n} g^{\mu_{n-p+1} \nu_1} \dots g^{\mu_n \nu_p} \omega_{\nu_1 \dots \nu_p}$$

(This is referred to as the Poincaré dual of ω or its Hodge star.)

Problem: check that $* * = (-1)^{p(n-p)+1}$.

6.3 Electrodynamics with diff. forms

- charged scalar field: φ - complex 0-form
- gauge trf.: $\varphi \rightarrow \varphi' = e^{-i\lambda} \varphi$ (with real 0-form λ)
- covariant exterior derivative: $D\varphi = d\varphi + iA\varphi$,
- gauge potential: A - 1-form
- gauge trf. of $D\varphi$: $D\varphi \rightarrow D'\varphi' = d(e^{-i\lambda}\varphi) + iA'e^{-i\lambda}\varphi$
 $= e^{-i\lambda}(d - i(d\lambda) + iA')\varphi = e^{-i\lambda} D\varphi$

- gauge trf.: $A \rightarrow A' = A + d\lambda$
- field strength: $F = dA$ (F - 2-form)
- action: $S = \frac{1}{2} \int_{\text{space-time}} F \wedge (*F) + \int_{\text{world-line of charged particle}} A$

(Note that the normalizations of the components of A & F are different from the usual formulation. But this is purely conventional.)

- current: j - $(n-1)$ -form

(This is clear since one should be able to integrate a current over a (hyperplane of space) x (time interval)
 $n-2$ dims.

to get the amount of charge passing through this plane during a certain interval of time.

Alternatively, in the static case, the integral of j over all space ($n-1$ dims.) should give the total charge.)

- Hence, the "smeared out" version of $\int A$ reads $\int j \wedge A$.
World line space-time
- Let us now vary the action

$$S = \int \left(\frac{1}{2} F \wedge *F + j \wedge A \right) \quad ; \quad F = dA$$

with respect to A :

$$\delta S = \int \left(\frac{1}{2} (d\delta A) \wedge *F + \frac{1}{2} F \wedge *(d\delta A) + j \wedge \delta A \right)$$

$$\text{Use } F \wedge * \delta F = * F \wedge \delta F$$

and $\int d(\dots) = 0$ (ignoring boundary terms).

$$\delta S = \int \delta A \wedge (d*F - j) \Rightarrow \left. \begin{array}{l} d*F = j \\ dF = 0 \end{array} \right\} \begin{array}{l} \text{Maxwell} \\ \text{eqs.} \end{array}$$

(The second eq. is an obvious consequence of $d^2 = 0$.)

Comment: More generally, if there were also magnetic charges, we would have

$$d*F = j_{el.}$$

$$dF = j_{mag.}$$

Now it is impossible to find A with $F = dA$.

However, if $j_{el.} = 0$ and $j_{mag.} \neq 0$, we could

declare $*F \equiv \tilde{F}$ to be our fundamental field strength and write

$$d\tilde{F} = 0 \quad \text{with } \tilde{F} = d\tilde{A}.$$

$$d*\tilde{F} = j_{\text{mag.}}$$

(This is the "dual formulation" of electrodynamics)

Note: This is not only a nice way of describing electrodynamics but also a good preparation for string theory, where "higher form fields" ($F_p = dA_{p-1}$; $p > 2$) are ubiquitous.

6.4 Vielbein (tetrad or vierbein in $n=4$)

- In an alternative approach to GR, one starts with a basis of basis vectors at every point of M :

$$\underline{\text{the vielbein } e_a{}^\mu}. \quad a = 1 \dots n$$

($a = 1 \dots 4$ in 4 dims.)

We can think of them as defining a Lorentz frame at every point. (Note however, that they are in general not the basis vectors of any coordinate system, not even in a small neighbourhood. If they were, spacetime would be flat, as we will see shortly.)

- The index a is called a local Lorentz-index (as opposed to the Einstein- or coordinate index μ).
- We can also introduce the inverse vielbein $e_a{}^\mu$ defined by

$$e_a{}^\mu e_\mu{}^b = \delta_a{}^b \quad \text{or, equivalently,} \quad e_a{}^\mu e_\nu{}^a = \delta^\mu{}_\nu.$$

(If we wish, we can also lower or raise the Lorentz index with η_{ab} , writing e.g. $e^{a\mu} = \eta^{ab} e_b{}^\mu$ or $e_{a\mu} = \eta_{ab} e^b{}_\mu$.)

- We can think of the inverse vielbein as a basis of 1-forms.

- Most importantly, given a vielbein, we can define a metric as

$$g_{\mu\nu} = \eta_{ab} e^a{}_\mu e^b{}_\nu.$$

Multiplying with the inverse vielbein,

$$g_{\mu\nu} e_a{}^\mu e_b{}^\nu = \eta_{ab},$$

We see that our T_p -basis is orthonormal in this metric.

- Multiplying both sides with one inverse vielbein,

$$g_{\mu\nu} e_a{}^\mu e_b{}^\nu e^c{}_\nu = \eta_{ab} e^c{}_\nu$$

$$g_{\mu\nu} e_a{}^\mu = \eta_{ab} e^b{}_\nu,$$

We see that vielbein and inverse vielbein are connected by raising (or lowering) indices with η_{ab} and $g_{\mu\nu}$.

- Since we still want only the metric to be a physical d.o.f., we introduce a gauge transformation (local Lorentz rotation), eliminating the extra information we have introduced at the beginning:

$$e^a{}_\mu \rightarrow e'^a{}_\mu = \Lambda^a{}_b e^b{}_\mu$$

\uparrow
 fct. on M .

(We declare two configurations linked by such a trf. to be physically equivalent.)

- Clearly, given a vielbein, any tensor can be written with Lorentz indices only:

$$t^{\mu_1 \dots \mu_m}_{\nu_1 \dots \nu_n} \leftrightarrow t^{a_1 \dots a_m}_{b_1 \dots b_n} = e^{a_1}_{\mu_1} \dots e^{a_m}_{\mu_m} e_{b_1}^{\nu_1} \dots e_{b_n}^{\nu_n} t^{\mu_1 \dots \mu_m}_{\nu_1 \dots \nu_n}.$$

- It would appear that we can now easily take derivatives of vector fields since, e.g.

$$v^a = e^a_{\mu} v^{\mu} \text{ is just a fld. and we could try to consider its derivative } \partial_{\mu} v^a \text{ (which is indeed a tensor in our old way of thinking).}$$

- However, this does not transform covariantly under local Lorentz rotations,

$$\partial_{\mu} v_a' = \partial_{\mu} (\Lambda_a^b v_b) \neq \Lambda_a^b \partial_{\mu} v_b',$$

as would be desirable.

- It is useful to reformulate this problem in our diff.-form language:

$$d v_a = (\partial_{\mu} v_a) dx^{\mu}$$

$$v_a \rightarrow \Lambda_a^b v_b ; \quad d v_a \not\rightarrow \Lambda_a^b d v_b.$$

6.5 Covariant exterior derivative & curvature

- We thus need to make d covariant, which can be done using a connection 1-form:

$$D v_a \equiv d v_a + \omega_a^b v_b \quad (\omega_a^b = (\omega_{\mu}^b)_a dx^{\mu})$$

↑ ↑
both terms are 1-forms.

- As in electrodynamics, we now prescribe gauge hf. properties to ω_a^b ensuring that Dv_a transforms like v_a under local Lorentz rotations. We suppress indices and think of Λ & ω as matrices:

$$Dv \rightarrow D'v' = (d + \omega')(\Lambda v) = d\Lambda v + \Lambda dv + \omega'\Lambda v \\ \stackrel{!}{=} \Lambda Dv = \Lambda dv + \Lambda \omega v$$

$$\Rightarrow d\Lambda + \omega'\Lambda = \Lambda \omega \quad \text{or} \quad \omega' = \Lambda \omega \Lambda^{-1} - d\Lambda \Lambda^{-1}$$

- Note: The last ("inhomogeneous") term in this hf.-law contributes $-\partial_\mu \Lambda \Lambda^{-1} dx^\mu$ to ω' .

We can think of a varying Λ eg. as $e^{\Sigma t} \Lambda$ near $t=0$ (with $\Lambda \in \text{Lie}(SO(1,3))$, i.e., "generators of $SO(1,3)$ ").

$$\text{Thus, } \partial_t (e^{\Sigma t} \Lambda) \Lambda^{-1} \Big|_{t=0} = \Sigma.$$

This motivates the definition $\omega_\mu \in \text{Lie}(SO(1,3))$

or: ω is an $\text{Lie}(SO(1,3))$ -valued 1-form.

- To check equivalence to our previous discussion of connections and covariant derivatives, we can always write $D = dx^\mu D_\mu$, thereby defining what D_μ means.

Given any tensor, we can now first write it with Lorentz indices, apply this D_μ , and then go back to Einstein indices. (For explicit consistency checks of this type, see problems.)

- We have not yet demanded the consistency of the connection the metric (or vielbein).

- We define: $-T^a = D e^a$ where $e^a = e^a_\mu dx^\mu$

↑
Thus, the torsion is, in this approach, a
Lorentz-vector-valued 2-form.

- For GR, we demand, as before, vanishing torsion, i.e., covariant constancy of the vielbein:

$$0 = D e^a = d e^a + \omega^a_b \wedge e^b$$

(as before, this fixes ω)

- The curvature is defined as

$$R = D \circ D = (d + \omega)(d + \omega)$$

$$= d \circ d + d \circ \omega + \omega \circ d + \omega \wedge \omega$$

$$= 0 + (d\omega) - \omega d + \omega d + \omega \wedge \omega$$

$$\boxed{R = d\omega + \omega \wedge \omega} \quad \left(\begin{array}{l} \text{Lie}(SO(1,3))\text{-valued} \\ \text{2-form} \end{array} \right)$$

↑
Wedge product of forms together
with matrix-multiplication of two
Lie(SO(1,3))-matrices

[It is a nice exercise to check this is consistent with

$$[D_\mu, D_\nu] \psi_a = (R_{\mu\nu})^b_a \psi_b \quad \left(\text{with } D_\mu \psi_a = \partial_\mu \psi_a + (\omega_\mu)_a^b \psi_b \right)$$

and this is, in turn, consistent with our earlier definition]

Final comments:

- At a technical level, we will see in the derivation of the Schwarzried-solution that it is sometimes easy to "guess" $\omega_a{}^b$ from $de_a + \omega_a{}^b e_b = 0$ and then calculate R from $R_a{}^b = d\omega_a{}^b + \omega_a{}^c \omega_c{}^b$.
- At a conceptual level, the vielbein / differential-form approach has the advantage of showing clearly that GR is really just a gauge theory with gauge group $SO(1,3)$. [This will be even more impressive when you learn about non-abelian gauge theories in the QFT course.]
- Finally, Bianchi-identities are very easy in this context:

1st: $DR = 0$ (corresponds to $D_{[\mu} R_{\nu\sigma]}{}^\tau = 0$ above)

(derivation: $DR = dR + \omega \wedge R - R \wedge \omega$ ← need to act on both indices of R !)

$$= d(dw + \omega \wedge \omega) + \omega \wedge R - R \wedge \omega$$

$$= d\omega \wedge \omega - \omega \wedge d\omega + \omega \wedge d\omega + \omega \wedge \omega \wedge \omega - d\omega \wedge \omega - \omega \wedge d\omega$$

$$= 0.)$$

2nd: $(Re)^a = 0$ (corresponds to $R_{\mu\nu\sigma}{}^\sigma + (\text{cycl. perms in } \mu\nu\sigma) = 0$)

(This is obvious since $De^a = 0$.) | recall: $e_b = e_b{}^\mu dx^\mu$ etc.

To derive the component form: $(Re)_a = R_a{}^b e_b$;

$(Re)^a = -R_b{}^a e^b$ (follows since $e_a e^a$ is a scalar)

$\Rightarrow (Re)^a = -R_b{}^a e^b = -e^c e^d R_{cdb}{}^a e^b = 0 \Rightarrow$ antisymm. of cdb gives zero.