

# Challenges and phenomenological opportunities for the LVS

Arthur Hebecker (Heidelberg)

based on work with **Xin Gao/Schreyer/Venken**, with **Cicoli/Jaeckel/Wittner**,  
with **Jaeckel/Kuespert** and with **Friedrich/Strauss/Salmhofer/Walcher**

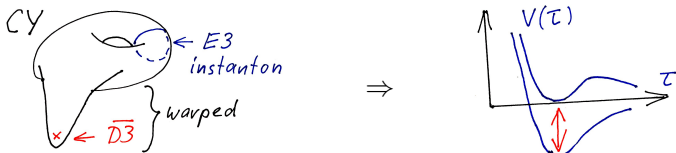
(includes comments on earlier work with **Xin Gao/Junghans** )

## Outline

- Reminder of the singular-bulk problem of KKLT.
- The 'parametric tadpole constraint' of the LVS.
- Light fields in the LVS:  
QCD-Axion, Dark Radiation, Mixing with hidden  $U(1)$ s.
- A novel ('local WDW') proposal for the measure problem.

## KKLT

- Reminder:



- The dS vacuum relies on the competition of two small quantities:

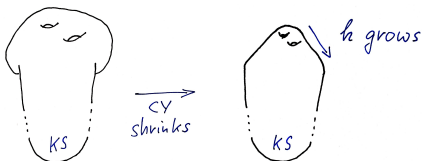
$$V_{AdS} \sim \exp(-\tau) \quad \text{and} \quad V_{up} \sim \exp(-N/g_s M^2)$$

A simple parametric analysis shows that this implies a 'throat-gluing problem' ....

Carta/Moritz/Westphal '19  
see also Blumenhagen/Gligovic/Kaddachi '22

## Control problem of KKLT ....

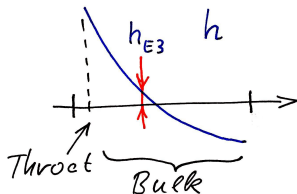
- This is not necessarily deadly ....



- But, what is worse, this situation entails a (potentially deadly) 'singular bulk problem':

Gao/AH/Junghans '20

$$ds_{10}^2 = h(y)^{-1/2} \eta_{\mu\nu} dx^\mu dx^\nu + h(y)^{1/2} \tilde{g}_{mn} dy^m dy^n$$



(see however Carta/Moritz, Demirtas et al. '21)

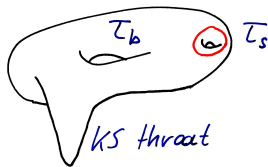
## Control problem also for LVS?

- The LVS is naively safe since the volume  $\mathcal{V} \sim \tau_b^{3/2}$  is exponentially large:

$$V_{LVS} \sim \frac{g_s \sqrt{\tau_s} e^{-2\tau_s}}{\mathcal{V}} + \frac{g_s \tau_s W_0 e^{-\tau_s}}{\mathcal{V}^2} + \frac{\xi W_0^2}{\sqrt{g_s} \mathcal{V}^3}$$

$\Rightarrow$

$$\tau_s \sim \xi^{2/3} / g_s \quad , \quad \mathcal{V} \sim W_0 e^{\tau_s}$$



- However, the combination of several constraints may nevertheless lead to control problems ....

Junghans '22

## Our analysis shows:

- There is indeed a constraint on  $\mathcal{V}$   
(related to the total D3 charge)

Gao/AH/Schreyer/Venken '22  
+ talk by Venken

which limits the achievable quality of control.

- We quantify this as the 'LVS parametric tadpole constraint'.
- The starting point is, as before, the competition between ...

$$V_{AdS} \sim \frac{g_s \sqrt{\tau_s} W_0^2}{\mathcal{V}^3} \quad \text{and} \quad V_{up} \sim \frac{1}{g_s M^2 \mathcal{V}^{4/3}} \exp(-N/g_s M^2)$$

(Recall that  $M$  is the flux on the A-cycle of the KS throat and  $g_s M^2$  may be viewed as controlling the  $\overline{D3}$ -brane metastability.)

$$V_{AdS} \sim W_0^2/\mathcal{V}^3 \quad \text{vs.} \quad V_{up} \sim \exp(-N/\dots)/\mathcal{V}^{4/3}$$

- Small  $V_{up}$  needs large  $N$ , limited by  $N < |Q_3|$ .

- Large  $W_0$  helps, but is limited by  $2\pi g_s W_0^2 \leq |Q_3|$ .

Denef/Douglas '04, ... thanks to Plauschinn.

- Smallness of warping corrections controlled by

$$1 \gg \frac{1}{c_N} \equiv \# \frac{N}{g_s \mathcal{V}^{2/3}} \sim \frac{N}{\exp(N/\dots)}.$$

[Precise def. of  $c_N$  uses corrections from  $\int d^4x d^6y R_{10}^4 e^{-2A(y)}$  to  $V_{LVS}$ .]

Junghans '22

- Combining this set of constraints, one straightforwardly derives a bound on the required negative tadpole of the model:

$$|Q_3| > N = N_* \left( \frac{1}{3} \ln N_* + \frac{1}{3} \ln \frac{c_N^5 a_s^3}{\kappa_s^2} + 8.2 + \mathcal{O}(\ln(\ln)) \right),$$

with 
$$N_* \equiv \frac{9g_s M^2}{16\pi} \sim \frac{g_s M^2}{5}.$$

- For the crucial control parameter  $g_s M^2$  of  $\overline{D3}$ -brane metastability, bounds of 12...46 have been discussed.

KPV, Bena et al., Blumenhagen et al. Scalisi et al., Lüst/Randall '22

- Agnostically/conservatively, let us look at one example:

$$g_s M^2 = 90; c_N = 5; a_s = 2\pi; \xi = \kappa_s = 1 \Rightarrow N \gtrsim 220.$$

- In view of explicit models with  $-Q_3 \simeq 150 \dots 250$   
(or even  $-Q_3 \simeq 3300$  for Whitney branes),  
see e.g. Crino/Quevedo/Schachner/Valandro '22  
the **parametric tadpole constraint** looks  
**relevant but not deadly**.
- Recently, a further 'PTC-related' analysis by Junghans  
appeared claiming much larger  $|Q_3|_{min}$ .
- A key difference is that, in addition to  $g_s M^2 \gg 1$ , also the  
constraint  $g_s M \gg 1$  is invoked (for a large  $S^3$  in KS).  
Our constraint implies the latter **only asymptotically**.
- How large a number for  $g_s M$  one has to demand remains  
unclear since no corresponding decay channel is known...
- Further work needed to fix numbers....



## Loop corrections

- .... relevant for the LVS in many cases (though not threatening the existence).
- First discussed many years ago in field-theory context, but already for generic CY.

Gersdorff/AH '05

Method: Dimensional/Scaling arguments.

$$\Rightarrow \quad \delta K \sim \frac{1}{\tau^2}, \quad \delta V \sim \frac{g_s W_0^2}{\nu^2} \cdot \frac{1}{\tau^2}.$$

- Subsequently: Explicit string loop calculation, but restricted to torus orientifolds:

Berg/Haack/Koers '05

$$\Rightarrow \quad \delta K \sim \frac{g_s}{\tau} + \frac{1}{\tau^2}, \quad \delta V \sim \frac{g_s W_0^2}{\nu^2} \cdot \left( \frac{g_s^2}{\tau^2} + \frac{1}{\tau^2} \right).$$

see also Berg/Haack/Pajer, Cicoli/Conlon/Quevedo '07

## Loop corrections - our recent paper

Gao/AH/Schreyer/Venken

- **Goal:** Understand discrepancy concerning the  $g_s/\tau$  term between the BHK/BHP string loop analysis and field-theory expectations (Gersdorff/AH).
- **Goal:** Derive statement of BHP-conjecture studying directly loops effects on CY (using 10d field theory).

cf. talk by Schreyer for detailed results

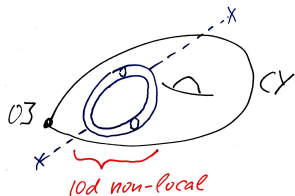
- One key result:  
'String Loops' correspond, in field-theory language, to three types of effects:

Genuine Loops ; Local  $\alpha'$  Corrections ; Warping

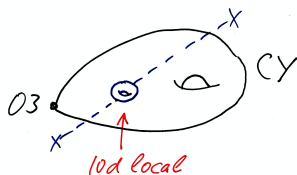
The BHK/BHP  $g_s/\tau$  correction is a **local  $\alpha'$  correction**.  
(It is a 'UV-scale' higher-dimension operator effect.)

## Illustration of 'genuine loop' and 'local $\alpha'$ effects

- Genuine loop correction  
(needs only  $\mathcal{N} < 2$  SUSY).



- Local  $\alpha'$  correction  
as part of a string loop effect.



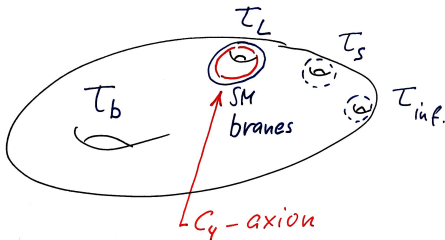
.... in fact, the most relevant case is not for the closed but for the open string, corresponding to  $R^4$  on D7 (log enhanced!)

... let us take the optimistic view that, one way or another, the LVS will survive and to turn to ...

### Phenomenology: QCD Axions and Dark Radiation

- Specifically, we wanted to take the promise of a 'natural' stringy QCD axion seriously and see what it implies.

Cicoli/AH/Jaeckel/Wittner



- The basic setting requires a D7-brane Standard Model on a loop-stabilized cycle  $\tau_L$ .

see in particular Conlon '06, Cicoli/Goodsell/Ringwald '12

## QCD Axion and the 'Dark Radiation Hydra' (continued)

- Cosmological bounds on  $f_a$  enforce large  $\mathcal{V}$  and hence LVS.
- Since SM must be on D7, we have  $m_{3/2} \gg \text{TeV}$ .
- The fine-tuned Higgs mass operator induces strong coupling  $\tau_b hh$  and solves 'conventional' DR problem.  
(as originally discovered by Cicoli/Conlon/Quevedo & Higaki/Takahashi)
- But now the inflaton (of blowup-inflation) becomes the longest-lived particle and again creates (too much?) DR.
- But, fortunately, the mixing  $\tau_{inf} - \tau_L$  is large enough to produce so many SM gauge bosons that we land spot-on at a non-excluded but discoverable amount of DR!

Thanks to Michele Cicoli!

.... for details see v3 of our paper.

## Phenomenology: Hidden $U(1)$ s and kinetic mixing

AH/Jaeckel/Kuespert, in preparation

- It's a very old story ....

Holdom '86', Dienes '97, Abel/Goodsell/Jaeckel/Khoze/Ringwald '08, ....

$$\mathcal{L}_{4d} \supset F_1^2 + F_2^2 + \chi_{12} F_1 F_2 .$$

... with recently revived activity

(related to Swampland/WGC and dark matter pheno).

.... Benakli/Branchina/Laforge-Marmet, Obied/Parikh,  
Ban et al., Guidetti/Righi/Venken/Westphal '22

- Historically, the challenge was to convince oneself that, if a hidden  $U(1)$  exists, then kinetic mixing is expected:

$$\chi_{12} \sim g_1 g_2$$

from



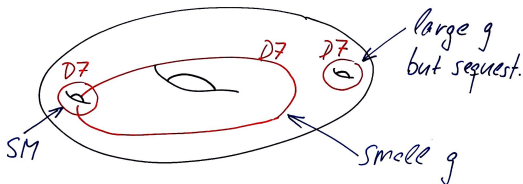
## Our new perspective:

### Study mechanisms by which mixing can be kept small

for details see talk by Kuespert

- Indeed, WGC and completeness appear to enforce  $\chi_{12} \sim g_1 g_2$ .
- Making one of the  $g$ 's small is limited by the WGC.

Benakli et al., Obied/Parikh



- But, obviously, small mixing ( $\chi \ll g_1 g_2$ ) nevertheless arises from **stringy** and **symmetry-based sequestering**....

What are the achievable limits?

And now for something completely different:

## The Measure Problem (for landscape-based predictions)

- In spite of the problem remaining fundamental and unsolved, activity has recently been low.
- This may be natural if one is questioning the very existence of dS and eternal inflation.
- But it is wrong since, even if **just two short-lived inhabitable vacua** exist, the fundamental challenge of predicting (statistically) which one is ours remains.
- In what follows, I will start from eternal inflation in the landscape, but the result will turn out to remain meaningful if no such thing exists.

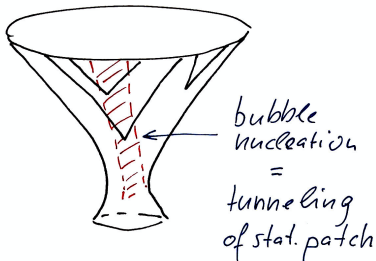
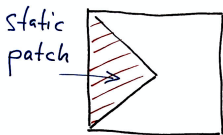


## A local Wheeler-DeWitt Measure

Friedrich/AH/Salmhofer/Strauss/Walcher '22

- Input 1: **Cosmological central dogma:** dS space is a finite QM-system with Hilbert space dimension  $\exp(M_P R^2)$ .

Banks, Susskind



- Input 2: **Wheeler-DeWitt equation:** We must search for a stationary wave function on...

related 'local' approaches:  
e.g. Nomura, Garriga/Vilenkin

$$\mathcal{H} = \sum_i \mathcal{H}_{dS,i} + \sum_\alpha \mathcal{H}_{AdS,\alpha}$$

## A local Wheeler-DeWitt Measure (continued)

- Approx. block-diagonal Hamiltonian, with off-diagonal terms  $H_{ij}, H_{i\alpha} \sim$  semiclass. tunneling rates.
- Find consistency with **ergodictiy / Shirelman theorem** (largest Hilbert-subspaces are most probable)
- **But:** since probabily flow goes off to infinity in AdS subspaces, stationarity demands presence of sources.
  - $\Rightarrow$  Must allow for universe creation a la **Linde/Vilenkin** or **Hartle/Hawking**.
- Eventually: Arrive at stationary solution for rate equation with sources and 'sinks'. Either dS-dS tunneling or LV/HH-type sources may dominate.
  - cf. similar rate eqs. in Linde/Mezhlumian, Garriga/Vilenkin

More details: Talk by Friedrich.

## Summary / Conclusions

- Unambiguously establishing/excluding stringy dS remains a key challenge.  
Danielsson/Van Riet, Obied/.../Vafa '18
- Given the issues of KKLT (Singular-Bulk Problem), the focus is maybe shifting to the LVS.
- As it turns out, the LVS is also not free of problems. But a sufficiently large tadpole provides parametric control, in principle..... (Parametric Tadpole Constraint).
- In parallel to these efforts, the optimist may continue thinking about pheno implications (axions, dark radiation,  $U(1)$ -mixing) and the measure problem (Local WDW Measure).