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#### Higgs-to-Invisible and Dark Matter Searches with the CMS experiment at the LHC

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Higgs Couplings 2017, 6-10 November 2017, Heidelberg (Germany)

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### Motivation

- Strong astrophysical evidence indicates that dark matter (DM) exists [1].
- There is no evidence yet for non-gravitational interactions between DM and standard model (SM) particles.



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 The LHC provides an opportunity to probe these interactions by directly producing DM particles.

### Why Higgs to Invisible?

- Higgs boson decays to invisible final states predicted by many beyond the SM (BSM) theories, where final state particles can be DM candidates.
- The Higgs boson (125 GeV) measurements are compatible with SM expectations.
- However, large uncertainties can accommodate BSM properties.
- BSM Higgs decays affect the total Higgs boson width.
- The SM branching ratio H(inv.) is ~0.12% (H(4v)).
- Invisibly decaying Higgs boson is a hint of new physics.



### The CMS experiment

• All the CMS sub-detectors are vital for MET-searches.



#### **Direct Searches**

- Higgs boson has to recoil against a visible system.
- Missing transverse energy (MET) has to be present in the final state.



#### **Direct Searches**

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- CMS EXO-16-052 [Submitted to Eur. Phys. J. C] uses 35.9/fb (2016 data).
- Leptons (opposite-sign same-flavour) coming from Z boson decay are expected to be isolated from hadronic activity in the event.
- There are many SM processes that mimic the dilepton+MET final state:
  - continuum ZZ(2*l*2v), irreducible background (60%);
  - WZ(*tvtl*), where *t* from W is mis-identified (25%);
  - others: WW( $\ell \nu \ell \nu$ ), Top quark, Drell Yang Z/ $\gamma^*(\ell \ell)$ .
- Methods based on control samples in data are used to estimate backgrounds.
- minΔφ(jet,MET) > 0.5 rad is used to further reduce background due to jet mismeasurement.
- *Method* A: A shape-based analysis binned in MET is employed to extract the final result.
- Method B: A multivariate classifier is employed to increase the sensitivity, using a set of 12 variables to train a multiclass boosted decision tree (BDT).
- $|m_{\ell\ell} m_Z|$  (dilepton mass);
- $p_{\rm T}^{\ell 1}$  (leading lepton transverse momentum);
- $p_{\rm T}^{\ell 2}$  (subleading lepton transverse momentum);
- $p_{\rm T}^{\ell\ell}$  (dilepton transverse momentum);
- $|\eta^{\ell 1}|$  (leading lepton pseudorapidity);
- $|\eta^{\ell 2}|$  (subleading lepton pseudorapidity);
- $E_{\rm T}^{\rm miss}$  (missing transverse energy);
- $m_T(p_T^{\ell_1}, E_T^{\text{miss}})$  (leading lepton transverse mass);
- $m_T(p_T^{\ell 2}, E_T^{\text{miss}})$  (subleading lepton transverse mass);
- $\Delta \phi(\vec{p_T}^{\ell}, \vec{p_T}^{miss})$  (azimuthal separation between dilepton and missing energy);
- $\Delta R_{\ell\ell}$  (separation between leptons); and
- $|\cos \theta_{\ell 1}^{CS}|$  (cosine of Collins–Soper angle for leading lepton).



Higgs boson mass [GeV]

• Method B: 0.40 (0.42).



#### **Direct Searches**

- Higgs boson has to recoil against a visible system.
- Missing transverse energy (MET) has to be present in the final state.



# ggH(inv.)/V(jj)H(inv.)

- CMS PAS EXO-16-048 uses 35.9/fb (2016 data).
- The final state is characterised by: no leptons, at least one energetic jet (from ISR, or hadronic decays of a W or Z boson), and large MET.
- mono-V: MET > 250 GeV, conditions on leading fat-jet  $(p_T > 250 \text{ GeV}, \eta < 2.4, 65 < m_J < 105 \text{ GeV}).$
- mono-jet: MET > 200 GeV, fails any of the mono-V fat-jet requirements.

Category	Expected signal composition				
mono-jet	72.82% ggH, 21.52% VBF, 3.31% WH, 1.94% ZH, 0.63% ggZH				
mono-V	38.71% ggH, 7.05% VBF, 32.90% WH, 14.62% ZH, 6.72% ggZH				

- Backgrounds to this search are: Z(vv)+jets, irreducible background, and W(&)+jets, where l from W is mis-identified (90%).
- CRs: dimuon, dielectron, single-muon, single-electron, and γ+jets.
- minΔφ(jet,MET) > 0.5 rad is used to reduce QCD multijet background in which large MET arises from jet mismeasurement.
- V+jets backgrounds are determined through a simultaneous maximum likelihood fit to all the CRs (hadronic recoil p<sub>T</sub>, proxy) and SRs (MET).



monoiet

400

10<sup>5</sup>

10

10

Data / Pred.

Data-Pred.)



γ/Ζ, W/Ζ.



The observed (expected) 95% confidence ٠ level upper limit on  $\mathcal{B}(H(inv.))$  is: mono-jet 0.74 (0.57), mono-V 0.49 (0.45), combined 0.53 (0.40).



Monoiet

Mono-V

#### **Direct Searches**

- Higgs boson has to recoil against a visible system.
- Missing transverse energy (MET) has to be present in the final state.



## VBF H(inv.)

- CMS HIG-16-016 [JHEP 02 (2017) 135] uses 5.1, 19.7, and 2.3/fb (2015 data).
- The VBF channel is the most sensitive, with no colour connection between the jets which have a large pseudo-rapidity gap.
- The final state is characterised by: two jets with large pseudo-rapidity separation and large MET well-separated from any jets.
- The major backgrounds are:
  - Z(vv)+jets, irreducible background;
  - W(*tv*)+jets, where *t* from W is missed;
  - QCD multijet.
- minΔφ(jet,MET) > 2.3 rad is used to reduce QCD multijet background in which large MET arises from jet mismeasurement.



# VBF H(inv.)

Process		Signal	Control regions					
		Region	Single e	Single $\mu$	Single $\tau$	$\mu^+\mu^-$	QCD	
$7(u^+u^-)$ tiots	QCD				—	$4.2\pm1.1$	—	
$\Sigma(\mu \ \mu)$ +jets	EW					$2.0\pm0.7$		
$\mathbf{Z}(1,1,1)$ isto	QCD	$47 \pm 12$						
$\Sigma(\nu\nu)$ +jets	EW	$21\pm7$						
M(111) Lists	QCD	$13\pm2$		$53\pm5$	$0.4 \pm 0.2$		$45\pm5$	
$vv(\mu v)$ +jets	EW	$4.3\pm0.8$		$27\pm3$	_		$6.0\pm0.9$	
M(au) lists	QCD	$9.3 \pm 1.5$	$17\pm3$		$0.2\pm2.2$		$39\pm4$	
w(ev)+jets	EW	$5.4 \pm 1.1$	$7.8 \pm 1.3$		$0.2\pm0.1$		$6.1 \pm 1.0$	
$\mathbf{W}(\boldsymbol{\tau}_{1})$ lieto	QCD	$13\pm2$	$0.06\pm0.06$		$12\pm 2$		$74\pm9$	
$VV(\tau v)$ +jets	EW	$5.5\pm1.2$			$5.1 \pm 1.2$		$24\pm3$	
Top quark		$2.3\pm0.4$	$1.5\pm0.3$	$6.8\pm0.9$	$7.1 \pm 1.0$	$0.22\pm0.06$	$82 \pm 11$	
QCD multijet		$3\pm 23$		$5\pm3$	$0.4\pm0.3$		$1200\pm170$	
Dibosons		$0.7\pm0.3$	$0.4\pm0.4$	$0.8\pm0.4$	_	$0.02\pm0.02$	$1.8\pm0.7$	
Total bkg.		$125\pm28$	$27\pm3$	$91\pm8$	$25\pm4$	$6.4\pm1.4$	$1500\pm170$	
Data		126	29	89	24	7	1461	
Signal	qqH	$53.6\pm4.9$				,		
$m_{\rm H} = 125  {\rm GeV}$	ggH	$5.4\pm3.6$						

- The search is based on a cut-and-count method, and no significant excess of events is observed over the SM expectation.
- The observed (expected) 95% confidence level upper limit on  $\mathcal{B}(H(inv.))$  assuming SM production rate is: 0.44 (0.31) for the combination of Run1 + Run2-2015 VBF-only H(inv.).

#### • CMS HIG-16-016 [JHEP 02 (2017) 135]

• The data collected corresponds to integrated luminosities of 5.1, 19.7, and 2.3 /fb at centre-of-mass energies of 7, 8, and 13 TeV respectively.

Analysis	Final state	Int. $\mathcal{L}$ (fb <sup>-1</sup> )		Expected signal composition (%)			
		7 TeV	8 TeV	13 TeV	7 or 8 TeV	13 TeV	
qqH-tagged	VBF jets		19.2 [17]	2.3	7.8 (ggH), 92.2 (qqH)	9.1 (ggH), 90.9 (qqH)	
	$Z(\ell^+\ell^-)$	4.9 [17]	19.7 [17]	2.3	100	(ZH)	
VH-tagged	$Z(bar{b})$		18.9 [17]		100 (ZH)		
	V(jj)	—	19.7 [61]	2.3	25.1 (ggH), 5.1 (qqH),	38.7 (ggH), 7.1 (qqH),	
					23.0 (ZH), 46.8 (WH)	21.3 (ZH), 32.9 (WH)	
ggH-tagged	Monojet		19.7 [61]	2.3	70.4 (ggH), 20.4 (qqH),	69.3 (ggH), 21.9 (qqH),	
					3.5 (ZH), 5.7 (WH)	4.2 (ZH), 4.6 (WH)	

 The observed (expected) 95% confidence level upper limit on *B*(H(inv.)) assuming SM production rate is: 0.24 (0.23).



#### **DM Interpretation**

- $\mathcal{B}(H(inv.))$  translated into DM-nucleon spin-independent cross-section limits as a function of DM mass (90% CL to compare to direct detection experiments).
- Use Higgs-Portal models [9] assuming scalar/fermion DM.
- LHC limits complementary to direct detection experiments.



### mono-H(bb+ $\gamma\gamma$ ) + DM

- CMS EXO-16-012 [accepted by JHEP, arXiv 1703.05236] uses 2.3/fb (2015 data).
- <u>H(bb)</u>:
  - It has the largest branching fraction (~58%), but with relatively poor mass resolution (~10%).
  - The final state is characterised by: jet(s) in the boosted (resolved) regime with  $p_T^{j(j)} > 150$  (200) GeV, and large MET.
  - Backgrounds:
    - VH is an irreducible background;
    - Z(vv)+jets, Top quark, and W+jets CRs (or Top quark + W+jets) for the resolved (boosted) regime.
  - Signal and backgrounds events are compared to data and fitted simultaneously in the SR and CRs in three p<sub>T</sub><sup>miss</sup> bins for both resolved and boosted regimes.

### mono-H(bb+ $\gamma\gamma$ ) + DM

- CMS EXO-16-012 [accepted by JHEP, arXiv 1703.05236] uses 2.3/fb (2015 data).
- <u>H(yy)</u>:
  - It has a small branching fraction (~0.2%), but with high precision in reconstructed m<sub>yy</sub> (resolution 1-2%).
  - The search seeks excess of events over SM prediction in spectrum  $m_{\gamma\gamma}$  , large MET.
  - Backgrounds:
    - main source arises from jets with high-EM energy content;
    - VH is an irreducible background;
    - resonant backgrounds arise from decays of the SM Higgs boson to two photons;
    - there are several non-resonant backgrounds: dijet and multijet; EWK (t)t,
       (Z)Z, or W+(γ)γ; γγ and γ+jets; and DY+jets.

- Signal and resonant backgrounds are estimated through counting approach in SR, using  $p_T^{\gamma\gamma}$ ,  $m_{\gamma\gamma}$  and MET as discriminating variables.
- Control samples in data are used to estimate reducible background.

### mono-H(bb+ $\gamma\gamma$ ) + DM

- The results have been interpreted using a benchmark "simplified model" [7]: Z'-two-Higgs-doublet-model [8].
- The results are interpreted in terms of an upper limit on the production cross section of DM candidates in association with a Higgs boson via Z'(AH).



• For  $m_A$ =300 GeV, the observed data exclude 600 <  $m_{Z'}$  < 1860 GeV using  $g_{Z'}$ =0.8, and 770 <  $m_{Z'}$  < 2040 GeV using the constrained value of  $g_{Z'}$ .



# mono-H( $\gamma\gamma$ ) + DM

- CMS PAS EXO-16-054 uses 35.9/fb (2016 data).
- A new result for H(γγ) using an additional interpretation (Baryonic Z' model).



- Z'-2HDM: for  $m_A = 300$  GeV, the observed data exclude  $m_{Z'} < 900$  GeV using  $g_{Z'}=0.8$
- $Z_{B}'$ : for  $m_{\chi} = 1$  GeV, the observed data exclude  $m_{Z'} < 800$  GeV using  $g_{q} = 0.25$ .



#### Conclusions

• Direct searches for Higgs boson decays to invisible final states have been carried out in the three main production channels.

Channel	Observed (Expected) Upper Limit @ 95% CL on ${\mathcal B}({\sf H}({\sf inv.}))$		
ZH (MVA-BDT)	0.40 (0.42)		
mono-jet + mono-V	0.53 (0.40)		
VBF (Run1 + Run2-2015)	0.44 (0.31)		
Combination	0.24 (0.23)		

- Using Z'-two-Higgs-doublet-model, a combination of mono-H searches excludes:
  - $600 < m_{Z'} < 1860 \text{ GeV}$  using  $g_{Z'}=0.8$ ;
  - 770 <  $m_{Z'}$  < 2040 GeV using the constrained value of  $g_{Z'}$ .
- Using  $Z_B'$  model, mono-H( $\gamma\gamma$ ) excludes  $m_{Z'}$  < 800 GeV.

### **Backup Slides**



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### Dark Matter

- The dark matter is supposed to be a thermal relic of the early Universe.
- The comparison between direct and indirect detection is model dependent.
- A theoretical guidance is needed (LHC DM forum).





arXiv:1603.04156

### CL<sub>S</sub> method

- The limit is computed using the modified frequentist approach CL<sub>s</sub> (confidence level) [2,3] based on asymptotic formulas [4,5], exploiting a simultaneous maximum likelihood fit to the signal region as well as the control regions, in which the systematic uncertainties are incorporated as nuisance parameters.
- Perform a single bin counting experiment assuming  $B(H \rightarrow inv.) = 100\%$ .
- CL<sub>s</sub> statistic is used, which is the number of times more likely the signal hypothesis is than the background hypothesis.

$$CL_s = rac{P(q_\mu \geqslant q_\mu^{obs} | \mu \cdot s + b)}{P(q_\mu \geqslant q_\mu^{obs} | b)} \qquad \qquad q_\mu = -2 \ln rac{\mathcal{L}(obs | \mu \cdot s + b, \hat{ heta}_\mu)}{\mathcal{L}(obs | \hat{\mu} \cdot s + b, \hat{ heta})}$$

• Excluding signal models which are less than 5% likely to give data means to exclude everything with  $CL_{S+B} < 5\%$ .

- The final state is characterised by:
  - pair of same-flavour, oppositely charged, isolated electrons or muons;

  - no additional leptons;
  - no high-p<sub>T</sub> jets or b-tagged jets;
  - large MET.
- The event selection is optimised using three main variables (to mainly face DY and Top quark), and leading to the criteria:
  - MET > 100 GeV;
  - Δφ(ℓℓ,MET) > 2.6 (2.8, CMS PAS EXO-16-038) rad;
  - $|MET-p_T^{\ell}|/p_T^{\ell} < 0.4.$
- minΔφ(jet,MET) > 0.5 rad is used to further reduce background due to jet mismeasurement.



• The kinematic selection requirements for the MET-based analysis are:

Variable	Selection	Reject
$N_\ell$	= 2	WZ, VVV
al	>25/20 GeV (electrons)	QCD
$p_{\mathrm{T}}$	>20 GeV (muons)	QCD
Z boson mass requirement	$ m_{\ell\ell}-m_Z  < 15{ m GeV}$	WW, top quark
Jet counting	$\leq 1$ jet with $p_{ m T}^{ m J} > 30{ m GeV}$	$Z/\gamma^*  ightarrow \ell\ell$ , top quark, VVV
$p_{\mathrm{T}}^{\ell\ell}$	$> 60 \mathrm{GeV}$	$Z/\gamma^*  o \ell\ell$
b tagging veto	CSVv2 < 0.8484	Top quark, VVV
au lepton veto	$0 \tau_{\rm h}$ candidates with $p_{ m T}^{ au} > 18  { m GeV}$	WZ
$E_{\mathrm{T}}^{\mathrm{miss}}$	> 100  GeV	$Z/\gamma^*  o \ell \ell$ , WW, top quark
$\Delta \phi (ec{p_{\mathrm{T}}}^{\ell\ell},ec{p_{\mathrm{T}}}^{\mathrm{miss}})$	> 2.6	$Z/\gamma^*  o \ell \ell$
$ E_{\mathrm{T}}^{\mathrm{miss}}-p_{\mathrm{T}}^{\ell\ell} /p_{\mathrm{T}}^{\ell\ell}$	< 0.4	$Z/\gamma^*  o \ell \ell$
$\Delta \phi(\vec{p_{\rm T}}^j, \vec{p_{\rm T}}^{\rm miss})$	> 0.5 rad	$Z/\gamma^*  o \ell \ell$ , WZ
$\Delta R_{\ell\ell}$	< 1.8	WW, top quark

Source of up cortainty	Effect (%)				Impact on the	
		ZZ	WZ	Nonresonant	DY	expected limit
* VV electroweak corrections	-	10	-4	-	-	14 %
* Renorm./fact. scales, VV backgrounds	-	9	4	-	-	
* Renorm./fact. scales, Higgs boson signal	3.5	-	-	-	-	
* Renorm./fact. scales, dark matter signal	5	-	-	-	-	
* PDF, WZ background	-	-	1.5	-	-	<b>)</b> 0/
* PDF, ZZ background	-	1.5	-	-	-	Ζ /0
* PDF, Higgs boson signal	1.5	-	-	-	-	
* PDF, dark matter signal	1-2	-	-	-	-	
* MC sample size, nonresonant	-	-	-	5	-	
* MC sample size, DY	-	-	-	-	30	
* MC sample size, ZZ	-	0.1	-	-	-	1 0/_
* MC sample size, WZ	-	-	2	-	-	1 /0
* MC sample size, Higgs boson signal	1	-	-	-	-	
* MC sample size, dark matter signals	3	-	-	-	-	
NRB extrapolation to the signal region	-	-	-	20	-	< 1 %
DY extrapolation to the signal region	-	-	-	-	100	< 1 %
Nonprompt WZ lepton (signal region)	-	-	3	-	-	< 1 %
Nonprompt backgrounds (WZ control region)	-	-	-	-	30	< 1 %
Integrated luminosity			2	.5		< 1 %
* Electron efficiency			1	.5		
* Muon efficiency				1		
* Electron energy scale	1-2					
* Muon energy scale	1-2					
* Jet energy scale	1-3 (typically anticorrelated with yield)					1 %
* Jet energy resolution	1 (typically anticorrelated)					
* Unclustered energy scale ( $E_{\rm T}^{\rm miss}$ )	1-4 (typically anticorrelated), strong in DY					
* Pileup		1 (typ	ically a	nticorrelated)		
* b mistag rate			0	.1		

30

\* shape uncertainty

$$\begin{split} & \textbf{ggH(inv.)/V(jj)H(inv.)} \\ \mathcal{L}_{k}(\mu^{Z(\nu\bar{\nu})},\mu,\theta) = \prod_{i} \operatorname{Poisson} \left( d_{i}^{\gamma}|B_{i}^{\gamma}(\theta) + \frac{\mu_{i}^{Z(\nu\bar{\nu})}}{R_{i}^{\gamma}(\theta)} \right) \\ & \quad \times \prod_{i} \operatorname{Poisson} \left( d_{i}^{\mu\mu}|B_{i}^{\mu\mu}(\theta) + \frac{\mu_{i}^{Z(\nu\bar{\nu})}}{R_{i}^{\mu\mu}(\theta)} \right) \\ & \quad \times \prod_{i} \operatorname{Poisson} \left( d_{i}^{ee}|B_{i}^{ee}(\theta) + \frac{\mu_{i}^{Z(\nu\bar{\nu})}}{R_{i}^{ee}(\theta)} \right) \\ & \quad \times \prod_{i} \operatorname{Poisson} \left( d_{i}^{\mu}|B_{i}^{\mu}(\theta) + \frac{f_{i}(\theta)\mu_{i}^{Z(\nu\bar{\nu})}}{R_{i}^{\mu}(\theta)} \right) \\ & \quad \times \prod_{i} \operatorname{Poisson} \left( d_{i}^{e}|B_{i}^{e}(\theta) + \frac{f_{i}(\theta)\mu_{i}^{Z(\nu\bar{\nu})}}{R_{i}^{e}(\theta)} \right) \\ & \quad \times \prod_{i} \operatorname{Poisson} \left( d_{i}^{e}|B_{i}^{e}(\theta) + (1+f_{i}(\theta))\mu_{i}^{Z(\nu\bar{\nu})} + \mu S_{i}(\theta) \right) \end{split}$$

- Poisson(x|y) =  $y^x e^{-y}/x!$
- d<sub>i</sub> = observed number of events
- f<sub>i</sub> = transfer factor/constrain between V+jets backgrounds
- R<sub>i</sub> = transfer factor from the CR
- B<sub>i</sub> = contributions from other backgrounds
- $\mu_i^{Z(vv)}$  = yield of Z(vv)+jets in each bin i of MET in SR this parameter is left floating in the fit
- S<sub>i</sub> = nominal signal prediction
- $\mu_i^{Z(vv)}$  = signal strength parameter
- The systematic uncertainties are modeled as nuisance parameters ( $\theta$ )



# VBF H(inv.)

- Dedicated VBF trigger selects events with two jets with high rapidity gap and large dijet mass.
- Event selections for the VBF H(inv.) search at 8 and 13 TeV:



# VBF H(inv.)

• Dominant sources of systematic uncertainties and their impact on the fitted value of  $\mathcal{B}(H(inv.))$  in the VBF analysis at 13 TeV are:

Systematic uncertainty	Impact
Common	
W to Z ratio in QCD produced V+jets	13%
W to Z ratio in EW produced V+jets	6.3%
Jet energy scale and resolution	6.0%
QCD multijet normalisation	4.3%
Pileup mismodelling	4.2%
Lepton efficiencies	2.5%
Integrated luminosity	2.2%
Signal specific	
ggH acceptance	3.8%
Renorm. and fact. scales and PDF (qqH)	1.8%
Renorm. and fact. scales and PDF (ggH)	<0.2%
Total systematic	$^{+15}_{-19}\%$
Total statistical only	$^{+28}_{-27}$ %
Total uncertainty	+32 -33

#### Feynman diagrams for the combination of H(inv.) searches







- The 95% confidence level upper limit on  $\mathcal{B}(H(inv.))$  is expressed as for different assumptions on production (non-SM).
- It shows the result of varying coupling modifiers of Higgs boson to SM fermions  $(\kappa_F)$  and vector bosons  $(\kappa_V)$ .

 The 95% confidence level upper limit on B(H(inv.)) varies between 20-30% within LHC couplings constraints.



### mono-H( $\gamma\gamma$ ) + DM



# mono-H( $\gamma\gamma$ ) + DM

Variable	Low- $p_{\rm T}^{\rm miss}$ Category	High- $p_{\rm T}^{\rm miss}$ Category
$p_{T1}/m_{\gamma\gamma}$	> 0.45	> 0.5
$p_{T2}/m_{\gamma\gamma}$	> 0.25	> 0.25
$p_{T\gamma\gamma}$	> 75 GeV	> 90 GeV
$p_{\mathrm{T}}^{\mathrm{miss}}$	[50,130] GeV	> 130 GeV



#### Higgs to invisible decays constraints

- LHC indirect limits on *B*(H(inv.)) (HIG-15-002): 0.34 (exp. 0.35) @ 95% CL
- VBF, VH and ggH production modes combined in Run-1
   CMS HIG-15-012: 0.36 (exp. 0.30) @ 95% CL
- ATLAS JHEP 1511 (2015) 206:

0.25 (exp. 0.27) @ 95% CL