

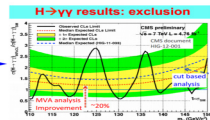
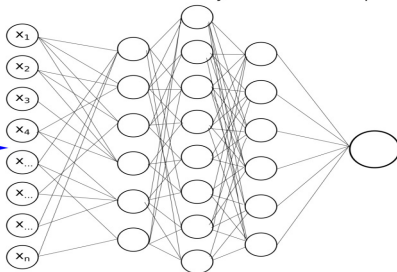
# Machine learning in Higgs analyses

Higgs Couplings 2017

Marco A. Harrendorf on behalf of the CMS collaboration | October 9th, 2017

INSTITUT FÜR EXPERIMENTELLE TEILCHENPHYSIK (ETP)

Input values      Hidden layers      Output layer



# From AlphaGo to AlphaGo Zero<sup>1</sup>



## The game Go

Go being complexer than chess:  $10^{10^{48-170}}$  vs.  $10^{43-50}$  possible positions  
⇒ Excellent playing field for machine learning

## Difference between AlphaGo and AlphaZero

- AlphaGo: Supervised learning from human expert moves and reinforcement learning from self-play
- AlphaGo Zero: Solely based on reinforcement learning and knowledge of game rules

<sup>1</sup>Nature 550 (2017) 354, published on 19th of October

# AlphaGo Zero: Learning and playing superhuman moves

## Discovery of new corner sequences (joseki) by neural network

- Corner sequences (joseki): Important move sequences in the opening phase of Go
- AlphaGo Zero: Learned the already known corner sequences, but later discovered and played a new variation.

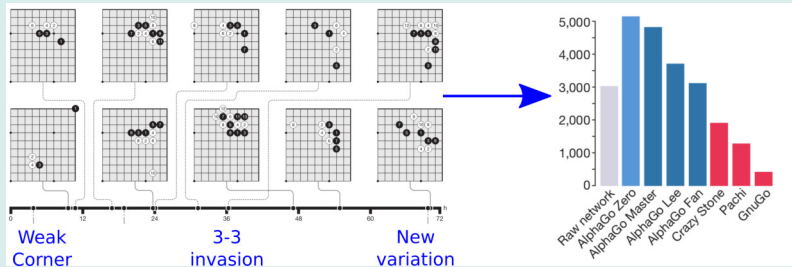


Fig.: Adopted from Nature Vol. 550

# Further examples for late-breaking applications of neural networks

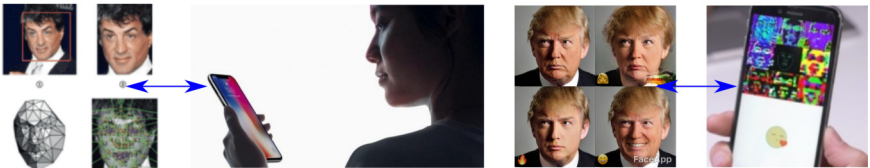
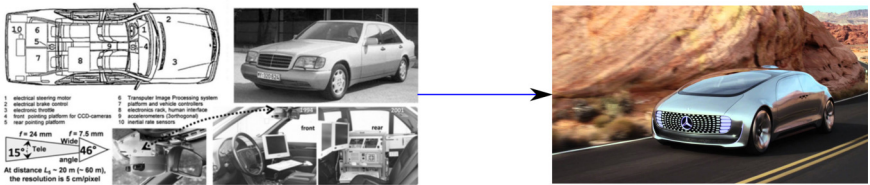
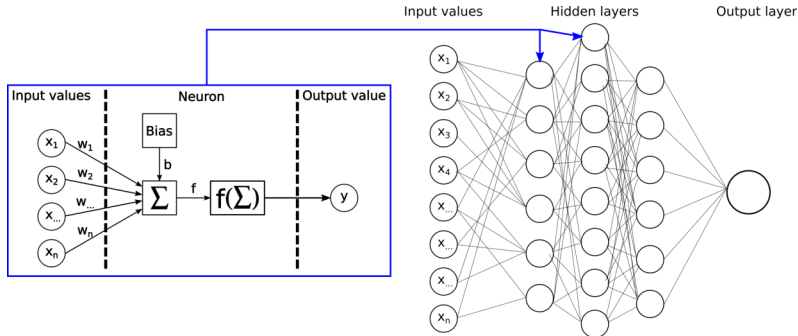


Fig.: Created using pictures from Heise, Mercedes Benz, Wikipedia

## Artificial neuron

- 1 Input values  $x_j$ : Multiplied by weights  $w$  obtained from a training algorithm
- 2 Summing up all inputs and adding / subtracting additional bias  $b$
- 3 Total sum  $\Sigma$  is modified by transfer function  $f$  to derive output value  $y$



## Machine learning applied in particle physics for a long time

- For example: Boosted decision trees and similar methods are and were used for many LHC Run I and Run II Higgs analyses <sup>a</sup>
- Also, neural networks were already tried in the 1990s <sup>b</sup>

<sup>a</sup>e.g. CMS  $t\bar{t}H(bb)$  analysis, EPJC 75 (2015) 251

<sup>b</sup>e.g. JetNet package, Comp. Phys. Com. 81

## Neural networks gaining traction by recent events

- Due to availability of GPU computing and large RAM resources: Usage of elaborated neural networks becomes feasible
- Major step forward: Release of Tensorflow by Google in Nov. 2015
- Since this year: Tensorflow part of CMS software framework  
⇒ Many upcoming analysis with NN-methods in the next months, but only few published analyses so far.

## So far: Human mind developing algorithms

Physics principles and mathematical laws together with physicists understanding of system considered: Basis for algorithm development  
⇒ Algorithm as mapped thought process of physicist using known (and hopefully understood) observables

## From now on: Machines developing algorithms

- Machines can derive algorithms on their own based on simple rules and principles but without the prior knowledge / bias of a physicist
- Machine algorithms exploit data deeper than physicist's algorithms
- Algorithms derived by machine can be a black box

⇒ Fundamental change: Superhuman insights require human's trust in algorithms obtained through machine learning  
⇒ Establish new coping strategies and reliability measures

# DeepCSV: Example of heavy flavour tagging

## (Heavy) jet flavour tagging

- Trying to identify jets stemming from heavy flavour quarks (b, c) vs. jets from light quarks and gluons (u, d, s, g)  
⇒ Multiclassification problem
- Important for  $H \rightarrow bb$  signal and various SM and BSM analyses with  $t\bar{t}$  backgrounds (branching ratio ( $t \rightarrow Wb$ )  $\approx 100\%$ )  
⇒ Many Higgs searches / analyses

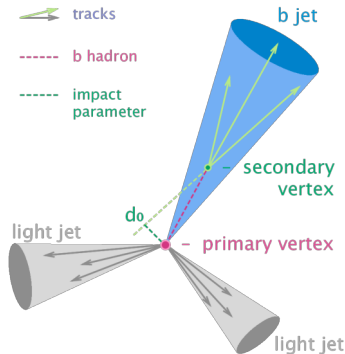


Fig.: Taken from Wikipedia



# DeepCSV: Comparison with CSVv2 tagger

## CMS default b-tagging algorithm: CSVv2 (CMS DP-2017/012)

- Combination of secondary vertex and track-based lifetime information
- Updated version of Run I algorithm: Now combining the two sets of information with shallow neural networks instead of likelihood ratio
- Uses higher level features like masses of vertices and relatively raw information like significance of impact parameter per track.

## New CMS algorithm: DeepCSV (CMS DP-2017/005)

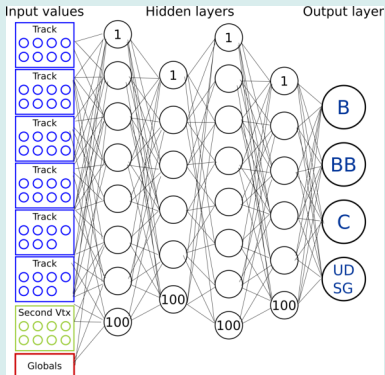
- Based on same set of variables as CSVv2 tagger, but using more charged particle tracks
- Based on “deep”<sup>a</sup> neural network with 4 hidden layers containing 100 neurons each (see next slide)

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<sup>a</sup>NB: The concept of deep neural networks is not well-defined

## 66 inputs for neural network

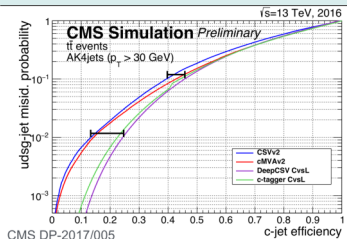
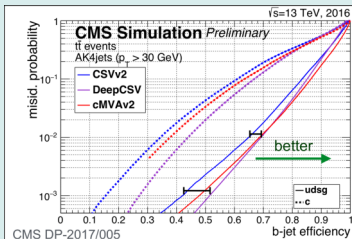
- 6 strongly preselected charged particle tracks with 8 (7) properties
- 1 selected secondary vertex with 8 properties
- 12 global per-jet variables



## DeepCSV outperforming other taggers

- In particular for high-purity selection (low misidentification probability)
- Also well-performing in terms of c-tagging

⇒ DeepCSV first deep-learned default tagger in CMS



## Glimpse into the future: DeepFlavour tagger

Using jet constituents / particle flow candidates as input for a convolutional neural network

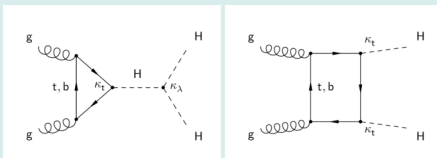
# Search for Higgs boson pair production in the $b\bar{b}l\nu l\nu$ final state

## Analysis CMS-HIG-17-006

Search for resonant and nonresonant Higgs boson pair production in the  $b\bar{b}l\nu l\nu$  final state in proton-proton collisions at  $\sqrt{s} = 13$  TeV  
arXiv:1708.04188 and submitted to J. High Energy Phys.

More details in Sebastien Wertz's talk on Tuesday

- Using the  $35.9 \text{ fb}^{-1}$  data of the 2016 LHC run
- One Higgs decaying into  $b\bar{b}$  and the other in  $W(l\nu)(l\nu)$  (or either in off-shell  $Z(l)Z(\nu\nu)$ )
- Invariant mass distribution of b-jet pairs in combination with neural network based on kinematic information as a discriminator



## Background processes

- Major bkg:  $t\bar{t}$ , DY, and single top (decreasing order)
- $t\bar{t}$  as irreducible background
- Further bkg: Diboson, triboson,  $t\bar{t}V$ , and SM Higgs production

## Event selection (Rough summary)

- Events collected with dileptonic triggers
- Identification of jets originating from b-quarks (b-tagged jets) with combined multivariate algorithm. Algorithm applies boosted decision tree on inputs like CSVv2 tagger among other taggers / inputs.
- Final object selection:
  - 2 opposite sign leptons
  - 2 b-tagged jets

## Improvement of signal-to-background-separation with deep neural network

- (D)NN<sup>a</sup> based on Keras framework<sup>b</sup>
- Due to irreducible  $t\bar{t}$  background: NN relies on kinematic information
- NN input variables: Exploitation of kinematics of the dilepton and dijet systems
  - Mass of the two lepton system
  - Transverse momentum of the two lepton or the two jet system
  - Minimal  $\Delta R$  between one lepton and one jet
  - $\Delta R$  between the two leptons or two jets
  - $\Delta\Phi$  between lepton and dijet system
  - Overall transverse mass
- Furthermore, NN utilizes parameterized machine learning technique

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<sup>a</sup>Since “deep” is not well-defined, I refrain from using DNN as a term

<sup>b</sup>see [keras.io](https://keras.io), High-level API running on top of Tensorflow and other NN providers

## Digression: Parameterized machine learning<sup>1</sup>

- Usual approach: Inputs to neural networks containing only measured / reconstructed features
- Parameterized ML: Expand inputs also with physics parameters

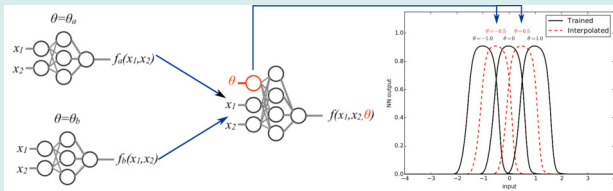


Fig.: Graphics adopted from arXiv:1601.07913

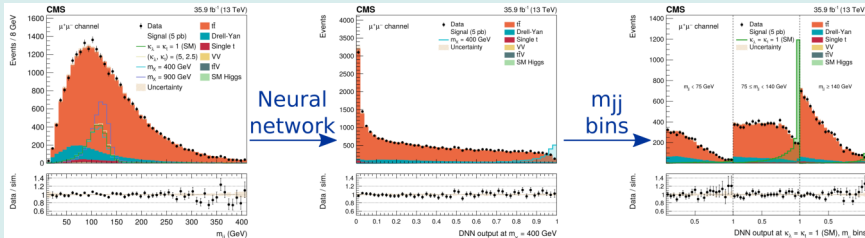
- ⇒ Resulting parameterized NN classifier can smoothly interpolate between physics parameters
- ⇒ Performance of single parameterized neural network similar to multiple individual networks

# Search for Higgs boson pair production

## Parameterized machine learning in this analysis

- Resonant search: Adding the mass of the resonance  $m_\chi$
- Nonresonant search: Adding the coupling modifiers  $\kappa_\lambda$  and  $\kappa_t$
- Both cases: Adding the dilepton flavour channel ( $e^+e^-$ ,  $\mu^+\mu^-$ ,  $e^\pm\mu^\mp$ )

## Analysis workflow for resonant search

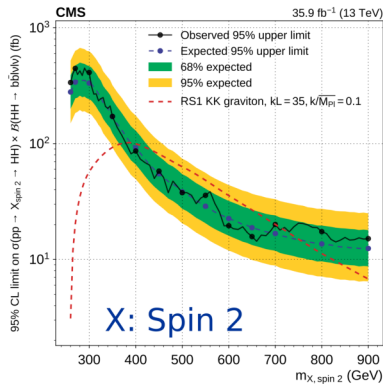
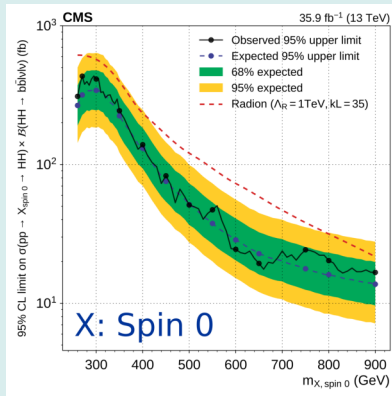




# Search for Higgs boson pair production

## Result of resonant search

- Best fit signal cross sections obtained using maximum-likelihood fit
- No significant excess for X particle mass hypothesis observed between 260 and 900 GeV.



## Neural networks and machine learning tools

- In many cases providing more insight in data than established tools
- Increasing spread not only in particle physics, but also in many other fields and industrial applications

## My prediction for 2018 and beyond

- In 2018: Publication of several analyses showing the benefits of neural networks
  - ⇒ Stay tuned and look out for new results
- Run II and beyond: Neural networks becoming important tools to deal with large amount of data and increasing demand for precision measurements
  - ⇒ Better become acquainted with them now

# Best type of neural network for your specific analysis / problem?

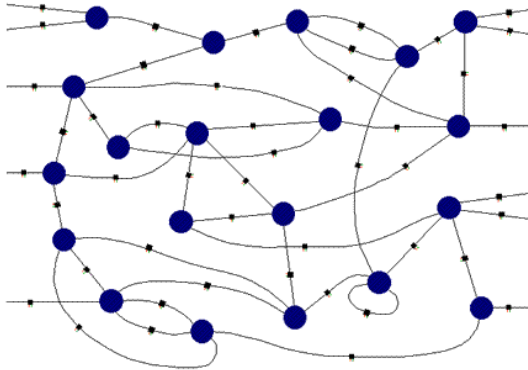


Fig.: Taken from [http://www.alanturing.net/turing\\_archive/graphics/bigb.gif](http://www.alanturing.net/turing_archive/graphics/bigb.gif)