

# A Remnant of Supersymmetry on the Lattice

Georg Bergner

December 15, 2007

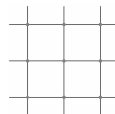
- 1 Introduction: blocking the continuum
- 2 Blocking induced symmetry relations
- 3 Quadratic action
- 4 Additional condition
- 5 Application to supersymmetric quantum mechanics with a quadratic action
- 6 Conclusions

# Introduction



continuum  
path integral

symmetries



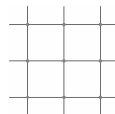
lattice  
N (finite) integrations

? (broken by the  
lattice?, anomalies?,  
realization?)

# Introduction

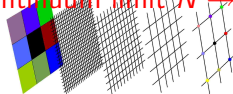


continuum  
path integral



lattice  
N (finite) integrations

continuum limit  $N \rightarrow \infty$

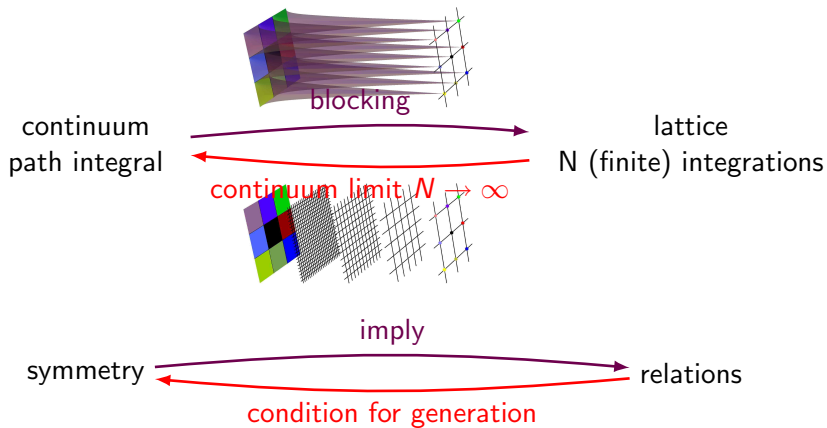


symmetry

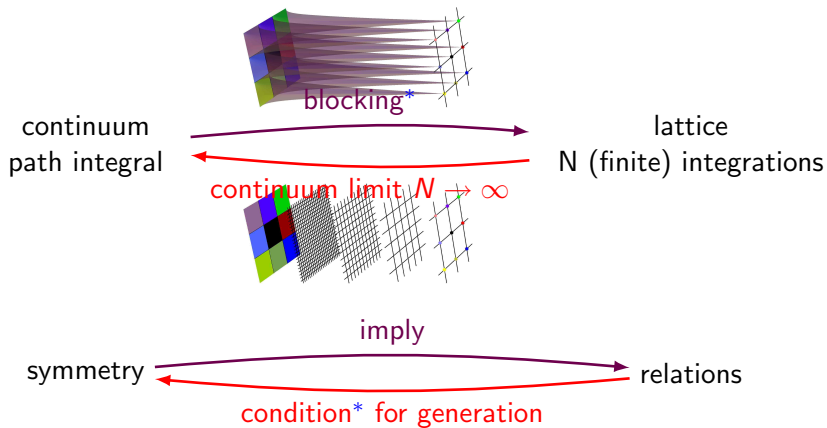
should generate  
fine tuning

? (broken by the  
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# Introduction



# Introduction



\* blocking not unique; not invertible (condition necessary?)

# The blocking transformation

- averaging of the continuum field  $\varphi$ :

$$\Phi_t[\varphi] \equiv c \int f_t(x) \varphi(x) dx = c \int f(x - x_t) \varphi(x) dx$$

dimension

$f(x)$  peaked  
around zero e. g.



lattice point  
 $x_t = ta$

- associate the degrees of freedom on the lattice with averaged continuum fields e. g.

$$e^{-S_L(\{\phi_t\})} = \int \mathcal{D}\varphi \prod_t \delta(\phi_t - \Phi_t[\varphi]) e^{-S[\varphi]}$$

- “smeared” version<sup>1</sup> ( $\alpha$  blocking matrix):

$$e^{-S_L(\{\phi_t\})} = \int \mathcal{D}\varphi e^{-\frac{1}{2}(\phi_t - \Phi_t[\varphi])\alpha_{tt'}(\phi_{t'} - \Phi_{t'}[\varphi])} e^{-S[\varphi]}$$

$$\exp(-\frac{1}{2}(\phi_t - \Phi_t[\varphi])\alpha_{tt'}(\phi_{t'} - \Phi_{t'}[\varphi])) \xrightarrow{\alpha_{tt'} \rightarrow \infty} \prod_t \delta(\phi_t - \Phi_t[\varphi])$$

<sup>1</sup>used in [Ginsparg, Wilson]

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## Symmetry on the lattice

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 $S[\varphi + \delta\varphi] \equiv S[(1 + \varepsilon\tilde{Q})\varphi] = S[\varphi]$   
 $(\varphi(x) \rightarrow \varphi_\sigma(x) \text{ (multiplet)}; \Phi_i \equiv \Phi_{\sigma t} = c \int f_t(x) \varphi_\sigma(x) dx)$
- to translate the continuum symmetry transformations ( $\tilde{Q}$ ) into a lattice transformations ( $Q$ ):

$$\varepsilon Q_{ij} \Phi_j = \varepsilon Q_{\sigma t, \rho t'} \Phi_{\rho t'} \equiv \varepsilon c \int f_t(x) \tilde{Q}_{\sigma,\rho} \varphi_\rho(x) dx$$

- can not be defined for every  $\tilde{Q}$  and  $f \hookrightarrow$  additional condition
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## Fermionic fields

- fermionic fields can be included in  $\varphi$  (real fields):

$$\alpha_{ij} = \alpha_{\sigma t, \rho t'} = \begin{pmatrix} \overbrace{\text{sym-}}^{\sigma \text{ bosonic}} \overbrace{\text{metric}}^{\sigma \text{ fermionic}} & 0 \\ 0 & \text{antisym-} \end{pmatrix} \left. \begin{array}{l} \rho \text{ bo-} \\ \text{sonic} \\ \rho \text{ fer-} \\ \text{mionic} \end{array} \right\}$$

- $\varepsilon$  can be fermionic (Graßmann)
- the additional minus sign if  $\varepsilon$  is commuted with a fermionic field can be absorbed in  $\alpha \rightarrow \alpha^T$   
( $\alpha^{(T)}$  means  $\alpha^T$  if  $\varepsilon$  fermionic)

## Inherited symmetry of the blocked action

- a transformation inside the blocked action (use symmetry of the continuum action and additional condition):

$$e^{-S_L(\{\phi_i + \varepsilon Q_{ij} \phi_j\})} = \int \mathcal{D}\varphi e^{-\frac{1}{2}(1+\varepsilon Q)_{ij}(\phi - \Phi[\varphi])_j \alpha_{ik} (1+\varepsilon Q)_{kl}(\phi - \Phi[\varphi])_l} e^{-S[\varphi]}$$

- consider only terms linear in  $\varepsilon$ :

$$\varepsilon e^{-S_L} Q_{ij} \phi_j \frac{\partial}{\partial \phi_i} S_L = \varepsilon \int \mathcal{D}\varphi \frac{1}{2} (\phi - \Phi) (Q^T \alpha + \alpha^{(T)} Q) (\phi - \Phi) \times \\ \times e^{-(\phi - \Phi) \alpha (\phi - \Phi)} e^{-S}$$

- use  $\frac{\partial}{\partial \phi} M \frac{\partial}{\partial \phi} e^{-\frac{1}{2} \phi \alpha \phi} = (\phi \alpha^T M \alpha \phi - (M \alpha)_{ii}) e^{-\frac{1}{2} \phi \alpha \phi}$

$$\varepsilon e^{-S_L} \phi_i Q_{ij}^T \left( \frac{\partial}{\partial \phi_j} S_L \right) = \varepsilon \frac{1}{2} \left( \frac{\partial}{\partial \phi_i} (\alpha^{-1})_{ij}^T (Q^T \alpha + \alpha^{(T)} Q)_{jk} (\alpha^{-1})_{kl} \frac{\partial}{\partial \phi_l} \right. \\ \left. + \frac{1}{2} (\alpha^{-1})_{ij}^T (Q^T \alpha + \alpha^{(T)} Q)_{ji} \right) e^{-S_L}$$

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# Supersymmetry relation for the blocked action

- Supersymmetry:  $\varepsilon$  fermionic

$$\left(\frac{\partial}{\partial\phi}((\alpha^{-1})^T Q^T + Q\alpha^{-1})\frac{\partial}{\partial\phi} = 2\frac{\partial}{\partial\phi}(Q\alpha^{-1})\frac{\partial}{\partial\phi}\right)$$

$$\begin{aligned} \varepsilon\phi Q^T \left(\frac{\partial}{\partial\phi} S_L\right) &= \varepsilon \left(\frac{\partial}{\partial\phi} S_L\right) (Q\alpha^{-1}) \left(\frac{\partial}{\partial\phi} S_L\right) \\ &\quad - (Q\alpha^{-1})_{ij} \left(\frac{\partial}{\partial\phi_i} \frac{\partial}{\partial\phi_j} S_L\right) \quad (+\text{Tr}_B(Q) \text{ vanishes}) \end{aligned}$$

- $\alpha_S^{-1}$  drops out if  $((\alpha_S^{-1})^T Q^T + Q\alpha_S^{-1}) = 0 \leftrightarrow$  invariant blocking
- $\alpha^{-1}$  equivalent to  $\alpha^{-1} + \alpha_S^{-1}$  (true for any symmetry but not always possible to construct  $\alpha_S^{-1}$ )

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## Matrix relation for a quadratic action

- consider a quadratic action:  $S_L = \phi_i K_{ij} \phi_j$
- naive Symmetry:  $\varepsilon \phi Q^T \left( \frac{\partial}{\partial \phi} S_L \right) = 2\varepsilon \phi Q^T K \phi = 0 \Leftrightarrow$

$$\boxed{Q^T K + K^T Q = 0} \quad (\text{like } \alpha_S)$$

- blocking induced symmetry:

$$2\varepsilon \phi Q^T K \phi = 4\varepsilon \phi K^T (Q\alpha^{-1}) K \phi \quad (-(Q\alpha^{-1})_{ij} K_{ij} \text{ vanishes})$$

- define a  $K$  dependent  $\hat{Q}^T \equiv Q^T - 2K^T Q\alpha^{-1}$   
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## The additional condition for supersymmetry

- must solve

$$Q_{\sigma t, \rho t'} \Phi_{\rho t'} \equiv Q_{\sigma t, \rho t'} c \int f_t(x) \varphi_\rho(x) dx = c \int f_t(x) \tilde{Q}_{\sigma, \rho} \varphi_\rho(x) dx$$

for  $Q$  and  $f_t(x) = f(x - x_t)$

- off-shell supersymmetry:  $\tilde{Q}$  is a matrix acting on  $\sigma, \rho$  (✓) but contains derivative operators ( $\hookrightarrow$  solve)

- $\nabla$  is the lattice derivative inside  $Q$ :

$$\nabla_{tt'} \int f_{t'}(x) \varphi(x) dx = \int f_t(x) \partial_x \varphi(x) dx \quad \forall \varphi \Leftrightarrow$$

$$\boxed{\nabla_{tt'} f_{t'}(x) + \partial_x f_t(x) = 0}$$

- if  $\lambda_n$  are the eigenvalues of  $\nabla_{tt'}$  the solution is :

$$f_t(x) = B_t^{(0)} + \sum_{n=-(N-1)/2}^{(N-1)/2} B_n e^{i \frac{2\pi}{N} n t - \lambda_n x} \stackrel{!}{=} f(x - t)$$

- fulfilled if  $\hat{\lambda}_n = i \frac{2\pi}{N} n$  if  $\hat{\lambda}_n$  are the  $\lambda_n$  with  $B_n \neq 0$
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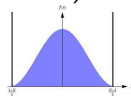
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## Solution of the additional condition

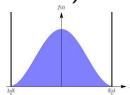
- maximum number of  $\hat{\lambda}_n$  is  $N$  (SLAC-derivative); minimum to get “sensible”  $f(x)$  is  $\hat{\lambda}_n = \{0, \pm i2\pi/N\}$



- Hermiticity:  $\nabla(N, p) = i \sum_l C_l(N) \sin(lp)$   
( $\lambda_n = \nabla(N, \frac{2\pi}{N}n)$ )
- continuum limit:  $\partial_p \nabla(N, p=0) = i$  for all  $N$   
(more general  $\lim_{a \rightarrow 0} 1/a \nabla(1/a, ap) = ip$ )
- if  $\hat{\lambda}_i = 0, \pm i2\pi/N, \pm i4\pi/N, \dots, \pm iL2\pi/N$  then  
 $i2\pi/N = \nabla(N, 2\pi/N); \dots; iL2\pi/N = \nabla(N, L2\pi/N)$
- $L+1$  conditions to solve use  $C_1, \dots, C_{L+1}$
- in position space:  $i \sin(lp) \rightarrow 1/2(\delta_{t,t'+l} - \delta_{t,t'-l})$  (the larger  $L$  the more off diagonal elements needed until the whole matrix is covered)

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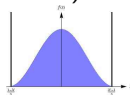
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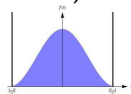
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- if  $\hat{\lambda}_i = 0, \pm i2\pi/N, \pm i4\pi/N, \dots, \pm iL2\pi/N$  then  
 $i2\pi/N = \nabla(N, 2\pi/N); \dots; iL2\pi/N = \nabla(N, L2\pi/N)$
- $L+1$  conditions to solve use  $C_1, \dots, C_{L+1}$
- in position space:  $i \sin(lp) \rightarrow 1/2(\delta_{t,t'+l} - \delta_{t,t'-l})$  (the larger  $L$  the more off diagonal elements needed until the whole matrix is covered)

## Solution of the additional condition

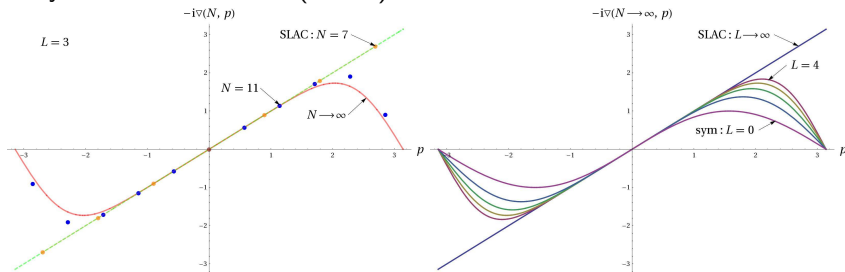
- maximum number of  $\hat{\lambda}_n$  is  $N$  (SLAC-derivative); minimum to get “sensible”  $f(x)$  is  $\hat{\lambda}_n = \{0, \pm i2\pi/N\}$



- **Hermiticity:**  $\nabla(N, p) = i \sum_l C_l(N) \sin(lp)$   
 $(\lambda_n = \nabla(N, \frac{2\pi}{N}n))$
- **continuum limit:**  $\partial_p \nabla(N, p=0) = i$  for all  $N$   
 (more general  $\lim_{a \rightarrow 0} 1/a \nabla(1/a, ap) = ip$ )
- if  $\hat{\lambda}_i = 0, \pm i2\pi/N, \pm i4\pi/N, \dots, \pm iL2\pi/N$  then  
 $i2\pi/N = \nabla(N, 2\pi/N); \dots; iL2\pi/N = \nabla(N, L2\pi/N)$
- $L + 1$  conditions to solve use  $C_1, \dots, C_{L+1}$
- in position space:  $i \sin(lp) \rightarrow 1/2(\delta_{t,t'+l} - \delta_{t,t'-l})$  (the larger  $L$  the more off diagonal elements needed until the whole matrix is covered)

# Derivatives that solve the additional condition

Interpolation between SLAC-derivative ( $L = (N - 1)/2$ ) and symmetric derivative ( $L = 0$ ):



other solution:  $C(N)\nabla^S(p)$  where  $C(N \rightarrow \infty) \rightarrow \frac{1}{2}$

# Transformations for supersymmetric quantum mechanics

For a quadratic action only the matrices  $Q$ ,  $K$  and  $\alpha$  need to be specified.

Transformations in the continuum ( $\varphi_\sigma = (\tilde{\varphi}, F, \psi, \bar{\psi})$ ):

$$\begin{aligned}\delta\tilde{\varphi} &= -\bar{\varepsilon}\psi + \varepsilon\bar{\psi} & \delta F &= -\bar{\varepsilon}\partial\psi - \varepsilon\partial\bar{\psi} \\ \delta\psi &= -\varepsilon\partial\tilde{\varphi} - \varepsilon F & \delta\bar{\psi} &= \bar{\varepsilon}\partial\tilde{\varphi} - \bar{\varepsilon}F\end{aligned}$$

Transformations on the lattice ( $\nabla$  solution to additional condition,

$\phi_{\sigma,t} = (\hat{\varphi}_t, \hat{F}_t, \hat{\psi}_t, \hat{\bar{\psi}}_t)$ ):

$$\delta \begin{pmatrix} \hat{\varphi} \\ \hat{F} \\ \hat{\psi} \\ \hat{\bar{\psi}} \end{pmatrix} = \begin{pmatrix} 0 & 0 & -\bar{\varepsilon} & \varepsilon \\ 0 & 0 & -\bar{\varepsilon}\nabla & -\varepsilon\nabla \\ -\varepsilon\nabla & -\varepsilon & 0 & 0 \\ \bar{\varepsilon}\nabla & -\bar{\varepsilon} & 0 & 0 \end{pmatrix} \begin{pmatrix} \hat{\varphi} \\ \hat{F} \\ \hat{\psi} \\ \hat{\bar{\psi}} \end{pmatrix} = (\varepsilon Q + \bar{\varepsilon}\bar{Q})\phi$$

# Ansatz for the lattice action in supersymmetric quantum mechanics

in the continuum:

$$S = \frac{1}{2}(\partial\tilde{\varphi})^2 - mF\varphi - \frac{1}{2}F^2 + \bar{\psi}(\partial + m)\psi$$

on the lattice:

$$S = \phi_i K_{ij} \phi_j = \frac{1}{2} \begin{pmatrix} \hat{\varphi}_t \\ \hat{F}_t \\ \hat{\psi}_t \\ \hat{\bar{\psi}}_t \end{pmatrix}^T \begin{pmatrix} -\square_{tt'} & -\mathbf{m}_{b,tt'} & 0 & 0 \\ -\mathbf{m}_{b,tt'} & -\mathbb{1}_{tt'} & 0 & 0 \\ 0 & 0 & 0 & (\tilde{\nabla} - \mathbf{m}_f)_{tt'} \\ 0 & 0 & (\tilde{\nabla} + \mathbf{m}_f)_{tt'} & 0 \end{pmatrix} \begin{pmatrix} \hat{\varphi}_{t'} \\ \hat{F}_{t'} \\ \hat{\psi}_{t'} \\ \hat{\bar{\psi}}_{t'} \end{pmatrix}$$

Hermiticity:  $\square$ ,  $\mathbf{m}_b$ ,  $\mathbf{m}_f$  symmetric;  $\tilde{\nabla}$  antisymmetric  
(undetermined)

translation invariance: all circulant matrices  $\rightarrow$  commutative algebra

## A blocking matrix $\alpha$

general ( $\alpha \sim \delta_{tt'}$ ):

$$\alpha_G = \begin{pmatrix} b_1 & b_4 & 0 & 0 \\ b_4 & b_3 & 0 & 0 \\ 0 & 0 & 0 & -b_2 \\ 0 & 0 & b_2 & 0 \end{pmatrix} \delta_{tt'}; \quad \alpha_G^{-1} = \begin{pmatrix} \beta_1 & \beta_4 & 0 & 0 \\ \beta_4 & \beta_3 & 0 & 0 \\ 0 & 0 & 0 & \beta_2 \\ 0 & 0 & -\beta_2 & 0 \end{pmatrix} \delta_{tt'}$$

$\alpha^{-1}$  and  $\alpha^{-1} + \alpha_S^{-1}$  lead to the same relations (are equivalent)

$\hookrightarrow$  Use  $\alpha_S^{-1}$  to reduce matrix entries ( $\beta_4 = 0$  by shift in  $\beta_2$ ):

$$\alpha_S^{-1} = \begin{pmatrix} 0 & \beta'_4 & 0 & 0 \\ \beta'_4 & 0 & 0 & 0 \\ 0 & 0 & 0 & \beta'_4 \\ 0 & 0 & -\beta'_4 & 0 \end{pmatrix} \delta_{tt'}; \quad \alpha_A^{-1} = \begin{pmatrix} \beta_1 & 0 & 0 & 0 \\ 0 & \beta_3 & 0 & 0 \\ 0 & 0 & 0 & \beta_2 \\ 0 & 0 & -\beta_2 & 0 \end{pmatrix} \delta_{tt'}$$



# Solution for supersymmetric quantum mechanics with a quadratic action

- pure matrix identity,  $\hat{Q}^T K + K^T \hat{Q} = 0$

( $\hat{Q}^T = Q^T - 2K^T Q \alpha^{-1}$ ), leads to:

$$\begin{aligned}\nabla \tilde{\nabla} - \square + \nabla(m_f - m_b) &= -(\beta_1 \square \nabla + \beta_2 (\square + m_b \nabla))(m_f + \tilde{\nabla}) \\ m_f - m_b + \tilde{\nabla} - \nabla &= -(\beta_1 m_b \nabla + \beta_2 (\square + m_b \nabla))(m_f + \tilde{\nabla})\end{aligned}$$

- same conditions from  $\bar{Q}$

- solution:

$$\begin{aligned}\square &= \frac{(1 - \beta_1 m_b^2) \nabla^2}{1 - \beta_1 \nabla^2} \\ (\tilde{\nabla} + m_f) &= \frac{\nabla + m_b}{1 + \beta_2 m_b + \beta_2 \nabla + \beta_1 m_b \nabla}\end{aligned}$$

- $m_b$  not specified – could use  $m_b = m$
- if there are doublers in  $\nabla$  then a Wilson-term is needed:

$m_b = m + m_W \hookrightarrow$  for small  $\beta_1$  no doubler and sensible twopointfunctions (F integrated out)

## Continuum limit

- so far all in lattice units ( $a = 1$ )
  - according to dimension  $\lim_{a \rightarrow 0} \frac{1}{a^2} \square(a, m_{ph}a, ap_{ph})$   
( $m_{ph} = m/a$  fixed;  $\square(m, p)$ )
  - dimension of parameter  $b_{1ph} (\varphi_t b_1 \varphi_t)$ ;  $b_{2ph} (\bar{\psi}_t b_2 \psi_t)$  should be the same as  $m_{ph}^2$  and  $m_{ph}$
  - but keep  $b_1$  ( $b_2$ ) and not  $b_{1ph}$  ( $b_{2ph}$ ) fixed  $\hookrightarrow b_{1ph}$  ( $b_{2ph}$ ) diverges in the continuum limit and  

$$\exp \{ -(\phi_{ph} - \Phi_{ph}) b_{1ph} (\phi_{ph} - \Phi_{ph}) \} \xrightarrow{a \rightarrow 0} \prod \delta(\phi_{ph} - \Phi_{ph})$$
- $\hookrightarrow \beta_1, \beta_2, m_{ph}$  fixed

$$\frac{1}{a^2} \square(a, m_{ph}a, p_{ph}a, \beta_1, \beta_2) = -p^2 + O(a)$$

$$\frac{1}{a} (\tilde{\nabla} + m_f)(a, m_{ph}a, p_{ph}a, \beta_1, \beta_2) = (ip + m) + O(a)$$

# Conclusions

- symmetry of a continuum action implies the fulfillment of certain relations for the lattice action which ensure a symmetric continuum limit
- requirement: definition of a lattice transformation by the “averaged” continuum symmetry transformation (additional condition)
- if  $\alpha_S^{-1}$  exists the relations can be reduced to the naive lattice symmetry – otherwise the naive symmetry is modified by terms proportional to  $\alpha^{-1}$
- in case of off-shell supersymmetry the additional condition can be fulfilled and it is possible to find  $\alpha_S^{-1}$
- susy. with quadratic action: it is possible to fulfill the naive symmetry if an  $\alpha_S^{-1}$  is used as well as the modified symmetry if a more general  $\alpha^{-1}$  is taken into account

# Outlook

- In a non quadratic (local) supersymmetric lattice model at most a part of the naive supersymmetry can be preserved.
- In general there are two different kinds of problems:
  - chiral symmetry: no  $\alpha_S^{-1}$  can be used
  - supersymmetry: no (non quadratic) local action found that preserves all naive symmetries – but  $\alpha_S^{-1}$  possible
- hope for supersymmetry: find an action that is not naively symmetric but local and fulfills the modified symmetry
- a quadratic action is only a simple model to show the modification of the symmetry on the lattice  $\hookrightarrow$  interesting case: apply the procedure to a non quadratic action



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Ginsparg-Wilson relation and lattice supersymmetry.

*Phys. Lett.*, B457:314-318, 1999



M. Lüscher

Exact chiral symmetry on the lattice and the Ginsparg-Wilson relation.

*Phys. Lett.*, B428:342-345, 1998

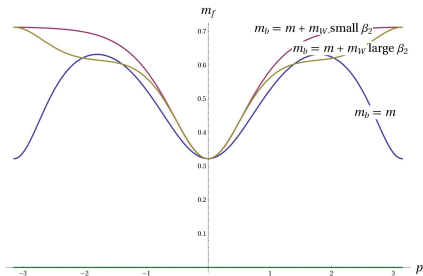
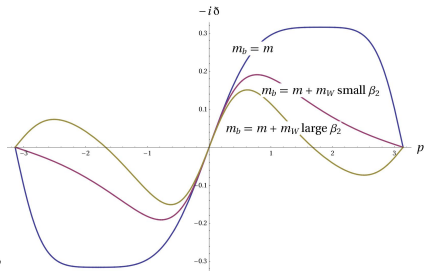
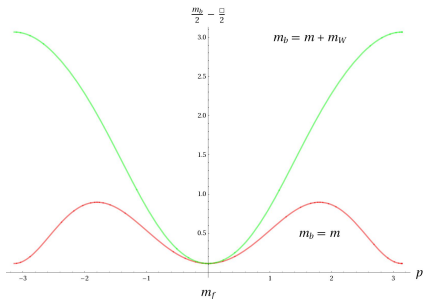


P. H. Ginsparg and K. G. Wilson

A Remnant of Chiral Symmetry on the Lattice.

*Phys. Rev.*, D25:2649, 1982

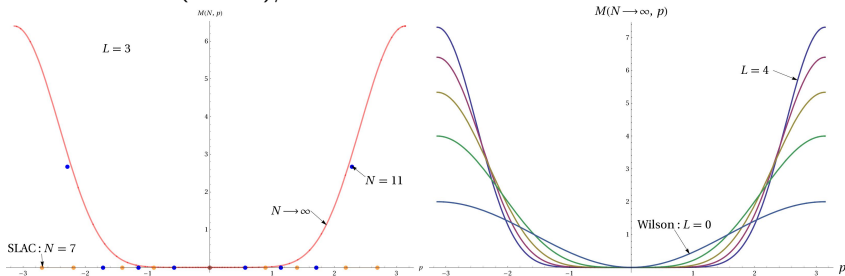
# Dispersion relations of the operators



# Spectral improved Wilson terms

Introduce a Wilson term with  $2L + 1$  eigenvalues  $= 0$

SLAC:  $L = (N - 1)/2$



## Dispersion relations of the operators

$$\square = \frac{(1 - \beta_1 m_b^2) \nabla^2}{1 - \beta_1 \nabla^2}$$

$$\tilde{\nabla} = \frac{(1 - \beta_1 m_b^2) \nabla}{(1 + \beta_2 m_b)^2 - (\beta_2 + \beta_1 m_b)^2 \nabla^2}$$

$$m_f = \frac{m_b(1 + \beta_2 m_b) - (\beta_2 + \beta_1 m_b) \nabla^2}{(1 + \beta_2 m_b)^2 - (\beta_2 + \beta_1 m_b)^2 \nabla^2}$$

$$m_b = m + m_W = m + (1 - \cos(p))$$

$$L = 1 \hookrightarrow \nabla = iC_1 \sin(p) + i\frac{1}{2}(1 - C_1) \sin(2p)$$

$$\text{with } C_1(N) = \frac{\frac{2\pi}{N} - \frac{1}{2} \sin(\frac{4\pi}{N})}{\sin(\frac{2\pi}{N}) - \frac{1}{2} \sin(\frac{4\pi}{N})}$$