

Nuclear Physics from lattice QCD at strong coupling

Philippe de Forcrand
ETH Zürich and CERN

PhD thesis of Michael Fromm (ETH)

arXiv:0811.1931, 0907.1915 → PRL, 0912.2524
and in progress

ETH

Eidgenössische Technische Hochschule Zürich
Swiss Federal Institute of Technology Zurich

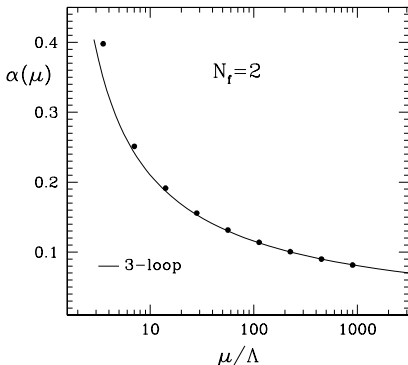
Nuclear physics from lattice QCD ?

- QCD is accepted theory of strong interactions among gluons & quarks:

$$\mathcal{L} = \frac{1}{4} F_{\mu\nu} F_{\mu\nu} + \sum_{i=1}^{N_f} \bar{\Psi}_i (\not{D} + m_i) \Psi_i$$

Asymptotic freedom:

lattice simulations



perturbation theory

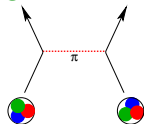
Successes of lattice QCD simulations

Physics of **color singlets**

- “One-body” physics: **confinement**
hadron masses
form factors, etc..

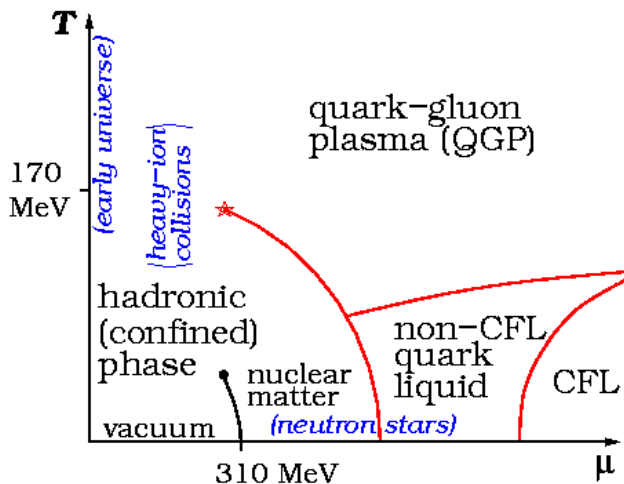


- Two-body physics: **nuclear interactions**
just starting **Hatsuda et al, Savage et al**



- **Many-body** physics: **nuclear matter**
 (μ, T) phase diagram

QCD phase diagram according to Wikipedia



This talk is about: **hadron** \leftrightarrow **nuclear matter** transition
and $T = 0$ **nuclear interactions**


The difficulty with many-body physics

Non-zero baryon density \implies “**sign problem**”

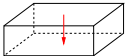
$$Z = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp\left(-\frac{1}{4}F_{\mu\nu}F_{\mu\nu} + \sum_{i=1}^{N_f} \bar{\psi}_i(\not{D} + m_i)\psi_i\right)$$

Integrate out fermions \rightarrow **det**($\not{D} + m + \mu\gamma_0$), **complex** when $\mu \neq 0$ (or $i\mu_I$)

Integration measure $d\bar{\omega}$ **must** be complex for correct physics:



$$\langle \text{Tr Polyakov} \rangle = e^{-\frac{1}{T}F_q} = \int \text{Re}(\text{Pol}) \times \text{Re}(d\bar{\omega}) - \text{Im}(\text{Pol}) \times \text{Im}(d\bar{\omega})$$



$$\langle \text{Tr Polyakov}^\dagger \rangle = e^{-\frac{1}{T}F_{\bar{q}}} = \int \text{Re}(\text{Pol}) \times \text{Re}(d\bar{\omega}) + \text{Im}(\text{Pol}) \times \text{Im}(d\bar{\omega})$$

$$F_q \neq F_{\bar{q}} \implies \text{Im}(d\bar{\omega}) \neq 0$$

Complex integrand \implies cancellations \implies CPU resources \propto **exp(Volume)**

No way out?

$$Z = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp\left(-\frac{1}{4}F_{\mu\nu}F_{\mu\nu} + \sum_{i=1}^{N_f} \bar{\psi}_i(\not{D} + m_i)\psi_i\right)$$

Try integrating over the gauge field first!

- Problem: $-\frac{1}{4}F_{\mu\nu}F_{\mu\nu} \rightarrow \beta_{\text{gauge}} \text{Tr} U_{\text{Plaquette}}$, ie. **4-link interaction**
- Solution: set $\beta_{\text{gauge}} = \frac{2N_c}{g^2}$ to **zero**, ie. $g = \infty$, strong coupling limit
- Then integral over gauge links *factorizes*: $\sim \int \prod dU \exp(\bar{\psi}_x U_{x,\hat{\mu}} \psi_{x+\hat{\mu}})$
 - analytic 1-link integral \rightarrow only **color singlets** survive
 - perform Grassmann integration last \rightarrow hopping of color singlets
 \rightarrow **hadron worldlines**
 - sample gas of worldlines by Monte Carlo

Note: when $\beta_{\text{gauge}} = 0$, quarks are *always* confined $\forall(\mu, T)$, ie. **nuclear matter**

The price to pay: not continuum QCD

Strong coupling LQCD: why bother ?

Asymptotic freedom: $a(\beta_{\text{gauge}}) \propto \exp\left(-\frac{\beta_{\text{gauge}}}{4N_c b_0}\right)$

ie. $a \rightarrow 0$ when $\beta_{\text{gauge}} \equiv \frac{2N_c}{g^2} \rightarrow +\infty$. Here $\beta_{\text{gauge}} = 0$!!

- Lattice “infinitely coarse”
- Physics not universal

Nevertheless:

- Properties similar to QCD: **confinement** and χSB
- Include (perhaps) next term in strong coupling expansion, ie. $\beta_{\text{gauge}} > 0$

When $\beta_{\text{gauge}} = 0$, sign problem is **manageable** \rightarrow **complete solution**

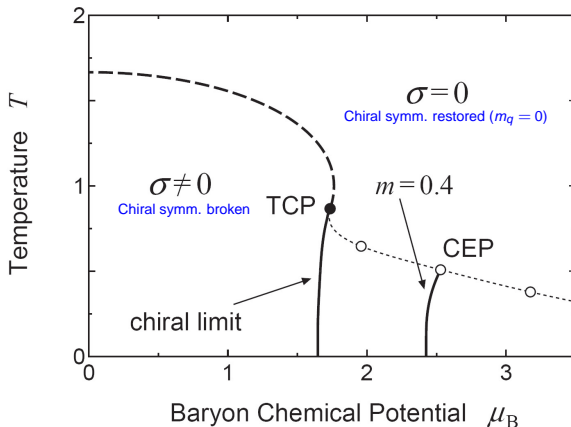
Valuable insight?

Further motivation

- 25⁺ years of analytic predictions:
 - 80's: Kluberg-Stern et al., Kawamoto-Smit, Damgaard-Kawamoto
 $\mu_c(T=0) = 0.66, T_c(\mu=0) = 5/3$
 - 90's: Petersson et al., $1/g^2$ corrections
 - 00's: detailed (μ, T) phase diagram: Nishida, Kawamoto,...
 - now: Ohnishi et al. $o(\beta)$ & $o(\beta^2)$, Münster & Philipsen, ...
 How accurate is mean-field ($1/d$) approximation?
- Almost no Monte Carlo crosschecks:
 - 89: Karsch-Mütter \rightarrow MDP formalism $\rightarrow \mu_c(T=0) \sim 0.63$
 - 92: Karsch et al. $T_c(\mu=0) \approx 1.40$
 - 99: Azcoiti et al., MDP ergodicity ??
 - 06: PdF-Kim, HMC \rightarrow hadron spectrum $\sim 2\%$ of mean-field

Can one trust the details of analytic phase-diagram predictions?

Phase diagram from Nishida (2004, mean field, cf. Fukushima)



- Very similar to conjectured phase diagram of $N_f = 2$ QCD
- But no deconfinement here: high density phase is **nuclear matter**
- Baryon mass = $M_{\text{proton}} \Rightarrow$ lattice spacing $a \sim 0.6$ fm not universal

Strong coupling $SU(3)$ with staggered quarks

$Z = \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp(-\bar{\psi}(\not{D}(U) + m)\psi)$, no plaquette term ($\beta_{\text{gauge}} = 0$)

- One complex colored fermion field per site (no Dirac indices, spinless)
- $\not{D}(U) = \frac{1}{2} \sum_{x,v} \eta_v(x) (U_v(x) - U_v^\dagger(x - \hat{v}))$, $\eta_v(x) = (-)^{x_1 + \dots + x_{v-1}}$

$U(1)_V \times U(1)_A$ symmetry:

$$\left. \begin{array}{l} \psi(x) \rightarrow e^{i\theta} \psi(x) \\ \bar{\psi}(x) \rightarrow e^{-i\theta} \bar{\psi}(x) \end{array} \right\} \text{unbroken} \Rightarrow \text{quark number} \Rightarrow \text{chem. pot.}$$

$$\left. \begin{array}{l} \psi(x) \rightarrow e^{i\varepsilon(x)\theta} \psi(x) \\ \bar{\psi}(x) \rightarrow e^{i\varepsilon(x)\theta} \bar{\psi}(x) \\ \varepsilon(x) = (-)^{x_1 + x_2 + x_3 + x_4} \end{array} \right\} \text{spont. broken } (m = 0) \Rightarrow \text{quark condensate}$$

($N_f = 1 \rightarrow U(1)$ chiral symmetry)

Strong coupling $SU(3)$ with staggered quarks

$Z = \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp(-\bar{\psi}(\not{D}(U) + m)\psi)$, no plaquette term ($\beta_{\text{gauge}} = 0$)

- One complex colored fermion field per site (no Dirac indices, spinless)
- $\not{D}(U) = \frac{1}{2} \sum_{x,v} \eta_v(x) (U_v(x) - U_v^\dagger(x - \hat{v}))$, $\eta_v(x) = (-)^{x_1 + \dots + x_{v-1}}$
- Chemical potential $\mu \rightarrow \exp(\pm a\mu) U_{\pm 4}$
- **Alternative 1**: integrate over **fermions**

$Z = \int \mathcal{D}U \det(\not{D}(U) + m) \rightarrow$ HMC, severe sign pb. for $\mu \neq 0$

Strong coupling $SU(3)$ with staggered quarks

$Z = \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp(-\bar{\psi}(\not{D}(U) + m)\psi)$, no plaquette term ($\beta_{\text{gauge}} = 0$)

- One complex colored fermion field per site (no Dirac indices, spinless)
- $\not{D}(U) = \frac{1}{2} \sum_{x,v} \eta_v(x) (U_v(x) - U_v^\dagger(x - \hat{v}))$, $\eta_v(x) = (-)^{x_1 + \dots + x_{v-1}}$
- Chemical potential $\mu \rightarrow \exp(\pm a\mu) U_{\pm 4}$

- **Alternative 1:** integrate over fermions

$Z = \int \mathcal{D}U \det(\not{D}(U) + m) \rightarrow$ HMC, severe sign pb. for $\mu \neq 0$

- **Alternative 2:** $\mathcal{D}U = \prod dU$ factorizes \rightarrow integrate over links Rossi & Wolff
 \rightarrow **Color singlet** degrees of freedom:
 - **Monomer** (meson $\bar{\psi}\psi$) $M(x) \in \{0, 1, 2, 3\}$
 - **Dimer** (meson hopping), non-oriented $n_v(x) \in \{0, 1, 2, 3\}$
 - **Baryon** hopping, oriented $\bar{B}B_v(x) \in \{0, 1\} \rightarrow$ self-avoiding loops C

Point-like, hard-core baryons in pion bath

Strong coupling $SU(3)$ with staggered quarks

$Z = \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp(-\bar{\psi}(\not{D}(U) + m)\psi)$, no plaquette term ($\beta_{\text{gauge}} = 0$)

- One complex colored fermion field per site (no Dirac indices, spinless)
- $\not{D}(U) = \frac{1}{2} \sum_{x,v} \eta_v(x) (U_v(x) - U_v^\dagger(x - \hat{v}))$, $\eta_v(x) = (-)^{x_1 + \dots + x_{v-1}}$
- Chemical potential $\mu \rightarrow \exp(\pm a\mu) U_{\pm 4}$

- **Alternative 1:** integrate over fermions

$Z = \int \mathcal{D}U \det(\not{D}(U) + m) \rightarrow$ HMC, severe sign pb. for $\mu \neq 0$

- **Alternative 2:** $\mathcal{D}U = \prod dU$ factorizes \rightarrow integrate over links Rossi & Wolff
 \rightarrow **Color singlet** degrees of freedom:

- **Monomer** (meson $\bar{\psi}\psi$) $M(x) \in \{0, 1, 2, 3\}$
- **Dimer** (meson hopping), non-oriented $n_v(x) \in \{0, 1, 2, 3\}$
- **Baryon** hopping, oriented $\bar{B}B_v(x) \in \{0, 1\} \rightarrow$ self-avoiding loops C

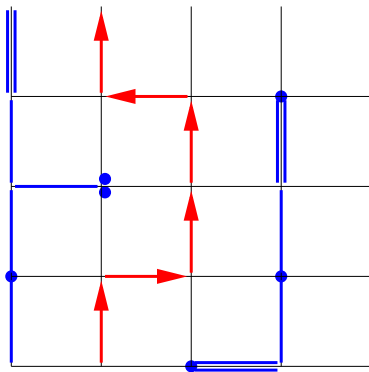
$$Z(m, \mu) = \sum_{\{M, n_v, C\}} \prod_x \frac{m^{M(x)}}{M(x)!} \prod_{x,v} \frac{(3 - n_v(x))!}{n_v(x)!} \prod_{\text{loops } C} \rho(C)$$

with **constraint** $(M + \sum_{\pm v} n_v)(x) = 3 \forall x \notin \{C\}$

MDP Monte Carlo

$$Z(m, \mu) = \sum_{\{M, n_v, C\}} \prod_x \frac{m^{M(x)}}{M(x)!} \prod_{x,v} \frac{(3 - n_v(x))!}{n_v(x)!} \prod_{\text{loops } C} \rho(C)$$

with **constraint** $(M + \sum_{\pm v} n_v)(x) = 3 \forall x \notin \{C\}$



Constraint: 3 blue symbols or a baryon loop at every site

MDP Monte Carlo

$$Z(m, \mu) = \sum_{\{M, n_v, C\}} \prod_x \frac{m^{M(x)}}{M(x)!} \prod_{x, v} \frac{(3 - n_v(x))!}{n_v(x)!} \prod_{\text{loops } C} \rho(C)$$

with **constraint** $(M + \sum_{\pm v} n_v)(x) = 3 \quad \forall x \notin \{C\}$

- **sign** of $\prod_C \rho(C)$: geometric factor $\varepsilon(C) = \pm 1$ for each baryon loop C

Karsch & Mütter: **Resum** analytically \rightarrow sign pb. **eliminated** at $\mu = 0$

\rightarrow “MDP ensemble”

MDP Monte Carlo

$$Z(m, \mu) = \sum_{\{M, n_v, C\}} \prod_x \frac{m^{M(x)}}{M(x)!} \prod_{x,v} \frac{(3 - n_v(x))!}{n_v(x)!} \prod_{\text{loops } C} \rho(C)$$

with **constraint** $(M + \sum_{\pm v} n_v)(x) = 3 \forall x \notin \{C\}$

- sign of $\prod_C \rho(C)$: geometric factor $\varepsilon(C) = \pm 1$ for each baryon loop C

Karsch & Mütter: Resum analytically \rightarrow sign pb. **eliminated** at $\mu = 0$

\rightarrow “MDP ensemble”

Further, algorithmic difficulties:

- changing **monomer number** difficult: weight $\sim m^{\sum_x M(x)}$
monomer-changing update (Karsch & Mütter) restricted to $m \sim O(1)$
- tight-packing **constraint** \rightarrow local update inefficient, esp. as $m \rightarrow 0$

Solved with **worm algorithm** (Prokof'ev & Svistunov 1998)

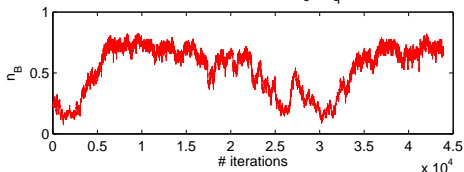
Worm algorithm for MDP

- Sample $G(x, y)$ rather than Z , ie. add source and sink
- Monte Carlo: guided random walk of sink, ie. *local* steps (“**worm**”)
- When $y = x$, contribution to $Z \rightarrow$ *global* change
- cf. “directed path” (Adams & Chandrasekharan) for $U(N)$

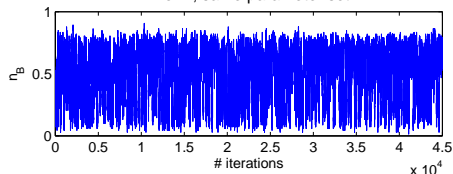
Worm algorithm for MDP

- Sample $G(x, y)$ rather than Z , ie. add source and sink
- Monte Carlo: guided random walk of sink, ie. *local* steps (“worm”)
- When $y = x$, contribution to $Z \rightarrow$ *global* change
- cf. “directed path” (Adams & Chandrasekharan) for $U(N)$
- **Efficient even when $m_q = 0$**

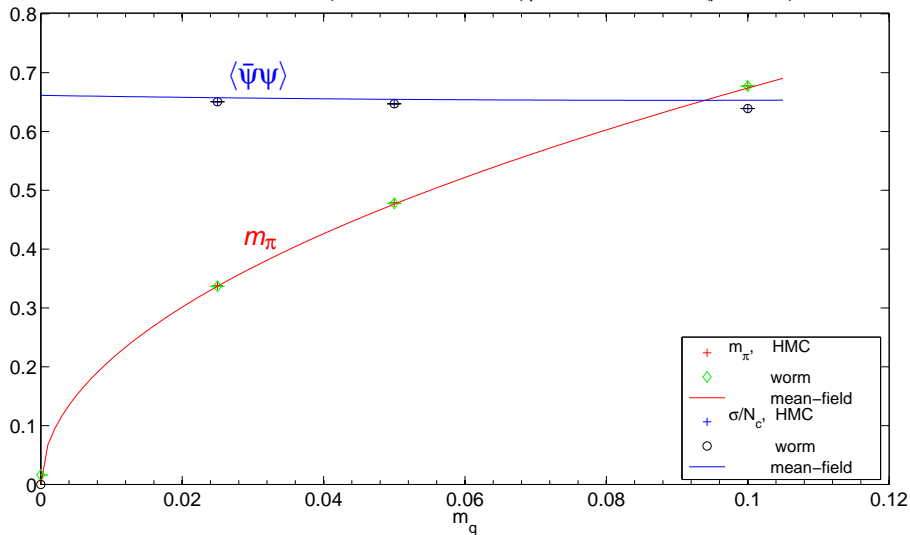
Local Metropolis, $4^3 \times 2$ at μ_c , $m_q = 0.025$

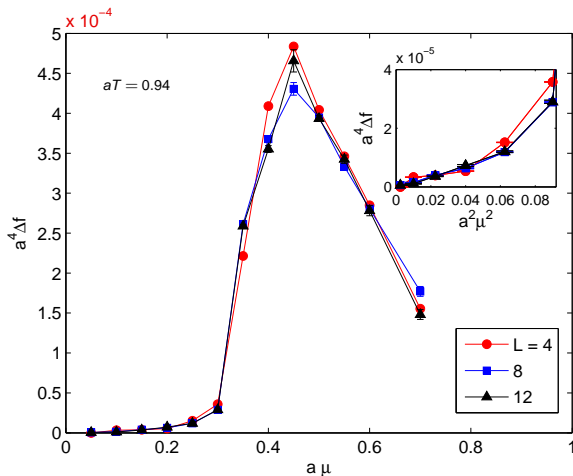


Worm, same parameter set

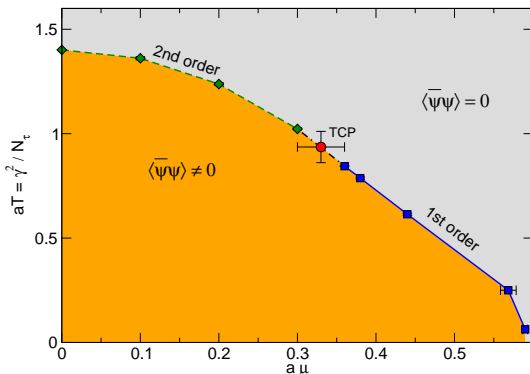


[Non-trivial] consistency check with HMC

Worm-MDP vs. HMC (Forcrand and Kim '06) $\beta = 0$, same volume ($\mu = T = 0$)

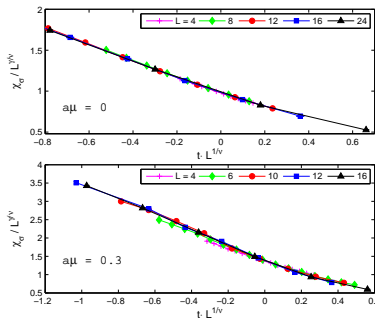
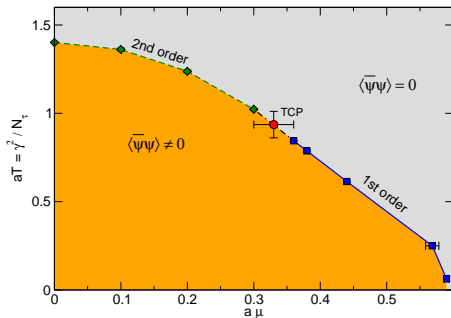
Sign problem? Monitor $-\frac{1}{V} \log \langle \text{sign} \rangle$ 

- $\langle \text{sign} \rangle = \frac{Z}{Z_{||}} \sim \exp(-\frac{V}{T} \Delta f(\mu^2))$ as expected; $\Delta f \sim \mu^2 + o(\mu^4)$
- Can reach $\sim 16^3 \times 4 \forall \mu$, ie. adequate

Phase diagram in the chiral limit $m_q = 0$ 

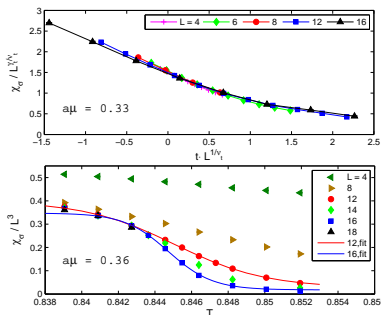
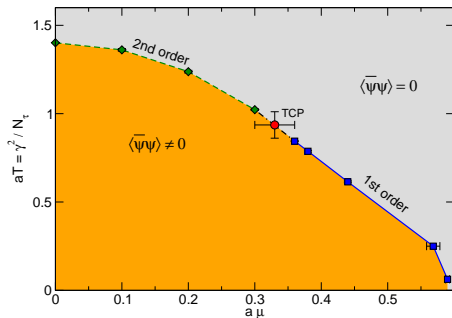
- Phase boundary for breaking/restoration of $U(1)$ chiral symmetry
- Mean field analysis: 2nd order at $\mu = 0$, $T_c = 5/3$
- If 2nd order, then expect 3d $O(2)$ universality class
- Monte Carlo: 2nd order at $\mu = 0$ (Karsch et al, 1992)
- 1st order at $T = 0$: ρ_B jumps from 0 to 1 baryon per site \implies tricrit. pt. TCP

Phase diagram in the chiral limit



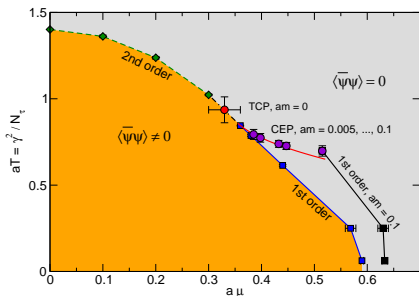
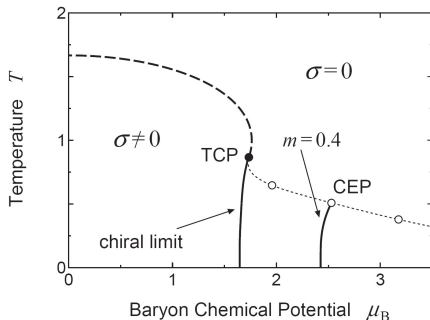
- Chiral susc. $\chi_\sigma = \frac{1}{V} \frac{\partial^2}{\partial m_q^2} \log Z = \langle \sum_x \bar{\psi}\psi(x) \bar{\psi}\psi(0) \rangle \sim L^{\gamma/v} \tilde{\chi}(tL^{1/v})$
 $\rightarrow \frac{\chi_\sigma}{L^{\gamma/v}}$ is *universal function* of $tL^{1/v}$
- Data collapse using 3d O(2) exponents for $a\mu = 0$ and 0.30

Phase diagram in the chiral limit



- Data collapse using mean-field exponents ($d=3$ is TCP upper crit. dim.) for $a\mu = 0.33$
- 1st-order Borgs-Kotecky: $Z(T) = \exp(-\frac{V}{T}f_1(T)) + c \exp(-\frac{V}{T}f_2(T))$ for $a\mu = 0.36$

Compare with Nishida (2004)



- TCP: $(\mu, T) = (0.33(3), 0.94(7))$ (Monte Carlo) vs $(0.577, 0.866)$ (mean-field)
Beware of quantitative mean-field predictions for phase diagram
- No reentrant phase diagram (caused by *decreasing* entropy in dense phase)
 cf. Clausius-Clapeyron: $\frac{dT}{d\mu} = -\frac{\Delta n}{\Delta S} \rightarrow$ vertical at $T = 0$
- $m_q \neq 0$: trajectory of CEP obeys tricritical scaling

Transition to nuclear matter: $T = 0, \mu = \mu_c$

Puzzle:

- Mean-field baryon mass is $\approx 3 \Rightarrow$ expect $\mu_c = \frac{1}{3} F_B(T=0) \approx 1$
- Mean-field estimate $\mu_c \sim 0.55 - 0.66$ much smaller, ie. $\mu_c^B \sim 600$ MeV !

Mean field gives wrong M_B ? wrong μ_c ?

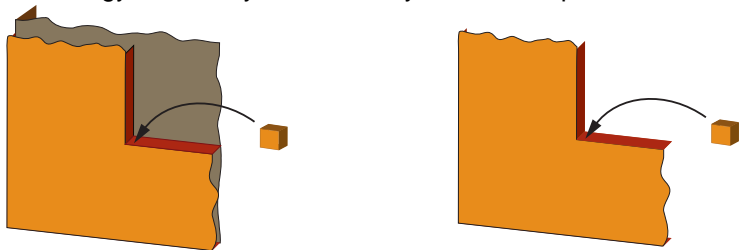
- Check M_B and μ_c by Monte Carlo \rightarrow ok (next slide)

Remaining explanation: nuclear attraction $\sim 1/3$ baryon mass !!

Why so large ? Nuclear potential ? Nuclear spectroscopy ??

$\mu_C(T=0)$

- $T = 0$ dense phase is **baryon crystal** (1 baryon per site)
- μ_C is free energy necessary to add 1 baryon to dense phase

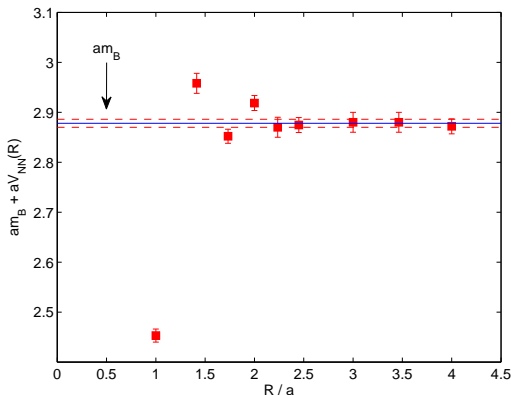


- Monte Carlo: $a\mu_C^B = 1.78(1)$ compared with $am_B = 2.88(1)$
- Each baryon binds to 3 nearest-neighbours \rightarrow attraction

$$V_{NN}(r=a) \sim \frac{2.88-1.78}{3} a^{-1} \sim 120 \text{ MeV} !!$$
- **Surface tension**: first layer of dense phase \rightarrow 2 nearest-neighbours only

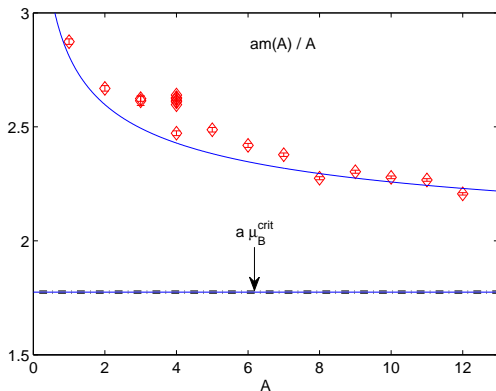
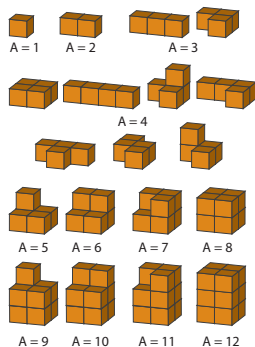
$$\sigma \approx \frac{1}{2} |V_{NN}(r=a)| a^{-2} \sim 200 \text{ MeV/fm}^2$$
- Complete nuclear potential ?

Nuclear potential



- Nucleons are point-like → no ambiguity with definition of static potential
- Nearest-neighbour attraction ~ 120 MeV at distance ~ 0.5 fm: cf. real world Baryon worldlines self-avoiding → no meson exchange here (just **hard core**)
Attraction due to bath of neutral pions: cf. **Casimir effect** (see later)

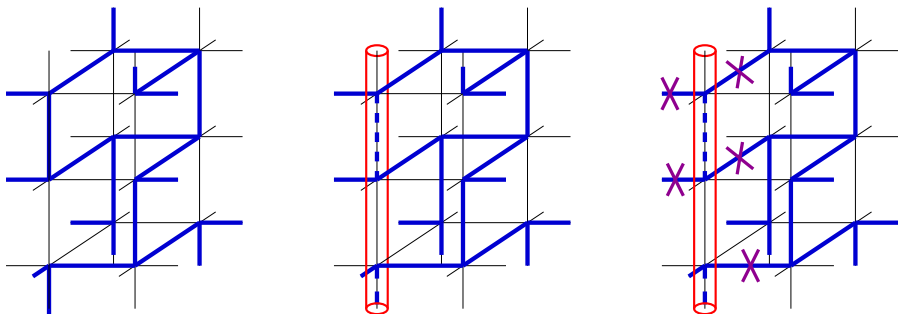
Nuclear spectroscopy



- Can compare masses of differently shaped “isotopes”
- $E(B=2) - 2E(B=1) \sim -0.4$, ie. “deuteron” binding energy ca. 120 MeV
- $am(A) \sim a\mu_B^{\text{crit}} A + (36\pi)^{1/3} \sigma a^2 A^{2/3}$, ie. (bulk + surface tension)
 Bethe-Weizsäcker parameter-free (μ_B^{crit} and σ fixed)
- “Magic numbers” with increased stability: $A = 4, 8, 12$

How the nucleon got its mass

- Point-like nucleon **distorts pion bath** cf. Casimir



- Energy = nb. time-like pion lines

Constraint: 3 pion lines per site ($m_q = 0$) \rightarrow energy density = 3/4 in vacuum

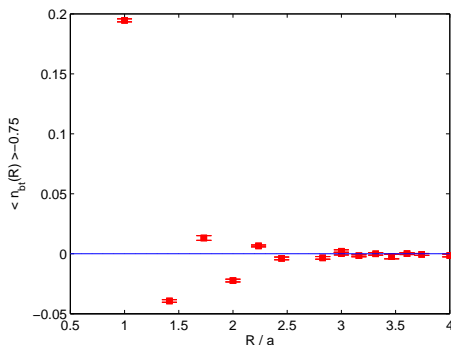
No spatial pion lines connecting to site occupied by nucleon \rightarrow **energy increase**

Steric effect

- $am_B \approx 2.88 = (3 - 0.75) + \Delta E_\pi$, ie. "valence"(78%) + "**pion cloud**"(22%)

How the nucleon got its mass

- Point-like nucleon **distorts pion bath** cf. Casimir



- Energy = nb. time-like pion lines

Constraint: 3 pion lines per site ($m_q = 0$) \rightarrow energy density = 3/4 in vacuum

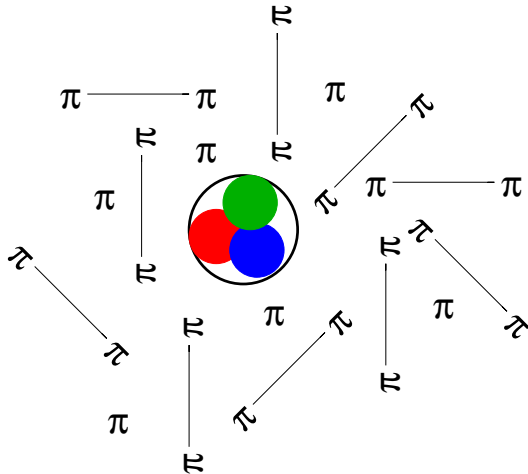
No spatial pion lines connecting to site occupied by nucleon \rightarrow **energy increase**

Steric effect

- $am_B \approx 2.88 = (3 - 0.75) + \Delta E_\pi$, ie. "valence"(78%) + "pion cloud"(22%)

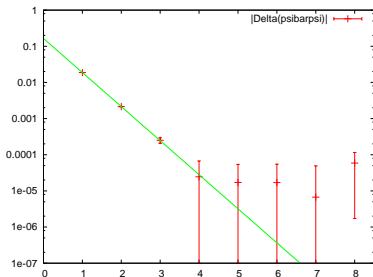
In fact, nucleon is *not* point-like

Point-like “bag” of 3 valence quarks \rightarrow **macroscopic** disturbance in pion vacuum

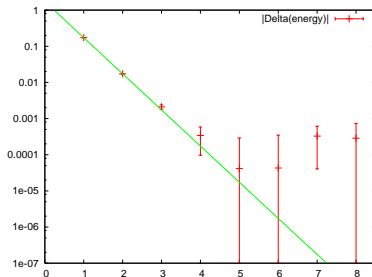


In fact, nucleon is *not* point-like

Point-like “bag” of 3 valence quarks \rightarrow **macroscopic** disturbance in pion vacuum



$|\Delta(\bar{\psi}\psi)|$

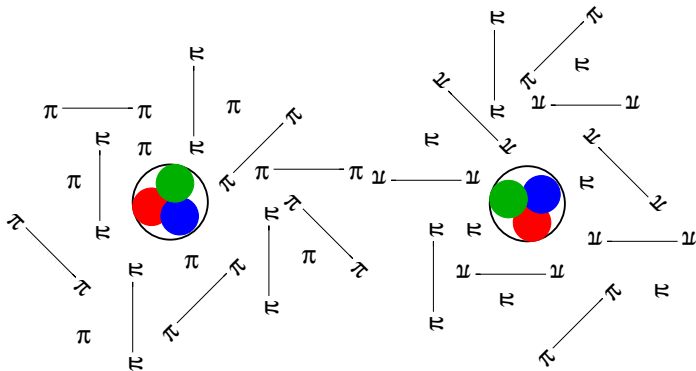


$|\Delta(\text{energy density})|$

Profile $\sim \exp(-\text{meson mass} \times r)$ (in progress)

Nuclear interaction via pion clouds

- Here, baryons make self-avoiding loops \rightarrow **no direct meson exchange**
- Interaction comes from **overlapping pion clouds**



“meson exchange” $\Delta E(r) \sim \exp(-mr)/r$

Nuclear interaction via pion clouds

- Here, baryons make self-avoiding loops \rightarrow **no direct meson exchange**
- Interaction comes from **overlapping pion clouds**
- Example: nearest-neighbour nucleon attraction
- Energy of pion cloud mostly in **6** nearest-neighbours of nucleon



- Nearest-neighbour nucleon pair has only **10** nearest-neighbour sites



$$\Delta E_{\pi}(2 \text{ nucleons}) < 2\Delta E_{\pi}(1 \text{ nucleon}) \rightarrow \text{attraction}$$

Conclusions

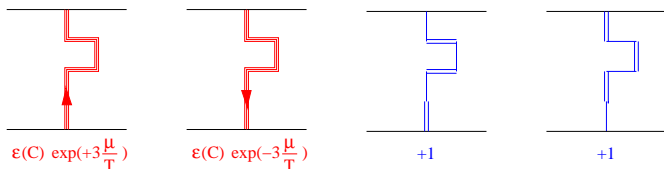
Summary

- Take mean-field results with a grain of salt
- “Clean-up” of phase diagram justified
- [Crude] **nuclear matter** from QCD
- Nucleon: point-like “bag” + large pion cloud
- “Understand” nuclear interaction as steric effect of valence quarks
- “Meson exchange” from overlapping pion clouds

Outlook

- Non-zero quark mass:
 - Critical end-point as a function of m_q
 - Nuclear potential & spectroscopy as a function of m_q
- Include second quark species → **isospin** (degenerate masses or not)
- Include $\mathcal{O}(\beta)$ effects ?

Backup: Karsch & Mütter's resummation



Karsch & Mütter: Resum into "MDP ensemble" \rightarrow sign pb. eliminated at $\mu = 0$

