

# QCD with chemical potential in a small hyperspherical box

Simon Hands, Timothy J. Hollowood, and Joyce C. Myers

Swansea University

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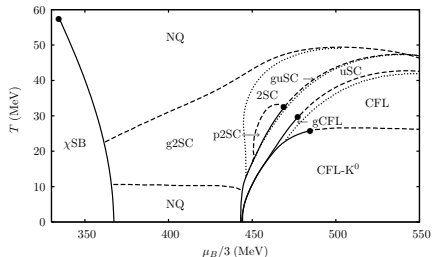
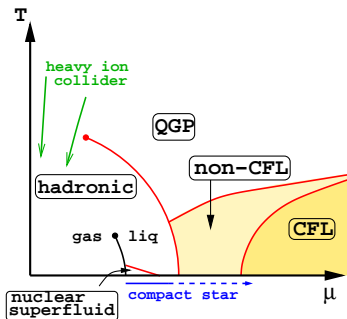
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# Outline

- Overview of QCD at non-zero quark chemical potential
- Formulation of QCD on  $S^1 \times S^3$  using perturbation theory
- Results for various observables for  $N = 3$  and  $N = \infty$ , preliminary  $N = 2$  lattice results.

# Conjectured phase diagram of QCD

Progress has been made towards obtaining the phase diagram of QCD at non-zero density using lattice simulations as well as models of QCD.



(Left) Conjectured phase diagram of QCD in the  $\mu - T$  plane. (Right) Detailed possible phase diagram from an NJL model (from [Alford arXiv:0907.0200](https://arxiv.org/abs/0907.0200)).

## Partition Function of QCD

The partition function of QCD at finite temperature  $T = 1/\beta$ , for  $N_f$  quark flavors, each with a mass  $m_f$  and coupled to a chemical potential  $\mu_f$  is:

$$Z_{QCD} = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-\int_0^\beta d\tau \int d^3\mathbf{x} \mathcal{L}_{QCD}}$$

where  $\psi$  and  $\bar{\psi}$  are the fundamental and anti-fundamental fermion fields, respectively, and  $A$  is the  $SU(N)$  gauge field,  $A_\mu = A_\mu^a T^a$ .

The Lagrangian is

$$\mathcal{L}_{QCD} = \frac{1}{4g^2} \text{Tr}_F (F_{\mu\nu} F_{\mu\nu}) + \sum_{f=1}^{N_f} \bar{\psi}_f (\not{D}_F(A) - \gamma_0 \mu_f + m_f) \psi_f,$$

with covariant derivative

$$D_\mu(A) \equiv \partial_\mu - A_\mu.$$

# What makes QCD at non-zero $\mu$ so difficult?

## The Sign Problem:

QCD at finite quark chemical potential  $\mu$  has a complex action:

$$\begin{aligned} e^{S_f} &= \exp \int \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-\int_0^\beta d\tau \int d^3\mathbf{x} \bar{\psi} (\not{D}_F(A) - \gamma_0 \mu + m) \psi} \\ &= \log \det (\not{D}_F(A) - \gamma_0 \mu + m) \sim \sum_{n=1}^{\infty} \left[ e^{n\beta\mu} e^{i\theta_i n} + e^{-n\beta\mu} e^{-i\theta_i n} \right] \end{aligned}$$

- The boltzmann weight  $e^{-S}$  is complex so it is not possible to perform lattice simulations which use importance sampling.
- The sign problem also complicates large  $N$  analysis: In the large  $N$  limit the saddle point approximation becomes valid, but the stationary point of a complex action with respect to the angles of the Polyakov line  $P = \mathcal{P} e^{\int_0^\beta dt A_0(x)} = \text{diag}\{e^{i\theta_1}, \dots, e^{i\theta_N}\}$  lies in the space where the angles are complex. Therefore the eigenvalues of the Polyakov line lie off the unit circle on an arc in the complex plane.

$\implies$  Need to generalize our techniques to handle a complex action.

# Region of validity of 1-loop calculations

Properties of  $SU(N)$  gauge theories on  $S^1 \times S^3$

- Valid for  $\min[R_{S^1}, R_{S^3}] \ll \Lambda_{QCD}^{-1}$ 
  - ▶  $\mathbb{R}^3 \times S^1$ , small  $S^1$ :
    - ★ Good: Allows study at any  $N$  and in the limit of large 3-volume.  
YM/QCD:  $m = 0, \mu = 0$ : Gross, Pisarski, Yaffe (Rev.Mod.Phys.53:43,1981),
    - ★ Bad: Have to be in the limit of high temperatures (or small  $S^1$ )
  - ▶  $S^3 \times S^1$ , small  $S^3$ :
    - ★ Good: Allows study at any temperature (or any  $S^1$ ).  
YM: Aharony et al (hep-th/0310285 (JHEP)),
    - ★ Bad: Must be in small 3-volume. Finite  $N$  studies are more complicated.

# 1-loop Lagrangian

Introduce fluctuations around a background field:  $A_0 = \alpha + g\mathcal{A}_0$ , then gauge fix and retain the one-loop contributions:

$$\begin{aligned}\mathcal{L}_{QCD} = & -\frac{1}{2}\mathcal{A}_0^a(D_0^2(\alpha) + \Delta^{(s)})\mathcal{A}_0^a - \frac{1}{2}B_i^a(D_0^2(\alpha) + \Delta^{(v,T)})B_i^a \\ & - \frac{1}{2}C_i^a(D_0^2(\alpha) + \Delta^{(v,L)})C_i^a - \bar{c}(D_0^2(\alpha) + \Delta^{(s)})c \\ & + \sum_{f=1}^{N_f} \bar{\psi}_f(\not{D}_F(\alpha) - \gamma_0\mu_f + m_f)\psi_f\end{aligned}$$

where

$$A_i = B_i + C_i.$$

- $B_i =$  transverse:  $\nabla_i B_i = 0$
- $C_i =$  longitudinal:  $C_i = \nabla_i f$

## 1-loop partition function

Performing the Gaussian integrals the almost-cancellation of the scalar field contributions simplifies the one loop effective partition function:

$$Z(\alpha) =$$

$$\det_{\ell=0}^{1/2} \left( D_0^2(\alpha) + \Delta^{(s)} \right) \det^{-1} \left( -D_0^2(\alpha) + \Delta^{(v,T)} \right) \det^{N_f} \left( \not{D}_F(\alpha) - \gamma_0 \mu + m \right)$$

Eigenvalues  $\varepsilon_l$  and degeneracies  $d_l$  of Laplacians on  $S^3$ :

$$\Delta^{(type)} \Omega_{j,l,m_1,m_2}(\theta_1, \dots, \theta_3) = -\varepsilon_l^{(type)2} \Omega_{j,l,m_1,m_2}(\theta_1, \dots, \theta_3)$$

Example: scalars

$$\varepsilon_l^{(s)2} = l(l+2)/R^2$$

$$d_l^{(s)} = (l+1)^2$$

where  $l = 0, 1, \dots$ , and  $R$  is the radius of  $S^3$ .



## 1-loop partition function: $S^1$ contribution

The eigenvalues of the Dirac operator can be computed in frequency space in terms of the Matsubara frequencies:

$$D_0(\alpha) \rightarrow i\omega_n^- - \alpha,$$

where the Matsubara frequencies, for antiperiodic (thermal) boundary conditions are

$$\omega_n^- = (2n + 1)\pi/\beta.$$

We define the Polyakov loop:

$$P = \mathcal{P} e^{\int_0^\beta dt A_0(x)} = e^{\beta\alpha} = \text{diag}\{e^{i\theta_1}, \dots, e^{i\theta_N}\}$$

## 1-loop effective action

Simplification of the effective partition function leads gives the effective action

$$\begin{aligned} S(P) &= -\log Z(P) \\ &= \sum_{n=1}^{\infty} \frac{1}{n} (1 - z_b(n\beta/R)) \text{Tr}_A P^n \\ &\quad + \sum_{n=1}^{\infty} \frac{(-1)^n}{n} N_f z_f(n\beta/R, mR) \left[ e^{n\beta\mu} \text{Tr}_F P^n + e^{-n\beta\mu} \text{Tr}_F P^{\dagger n} \right], \end{aligned}$$

where

$$\begin{aligned} z_b(\beta/R) &= \sum_{\ell=1}^{\infty} d_{\ell}^{(v,T)} e^{-\beta\epsilon_{\ell}^{(v,T)}} = 2 \sum_{\ell=1}^{\infty} \ell(\ell+2) e^{-n\beta(\ell+1)/R} \\ z_f(\beta/R, mR) &= \sum_{\ell=1}^{\infty} d_{\ell}^{(f)} e^{-\beta\epsilon_{\ell}^{(f,m)}} = 2 \sum_{\ell=1}^{\infty} \ell(\ell+1) e^{-\beta\sqrt{(\ell+\frac{1}{2})^2 + m^2 R^2}/R} \end{aligned}$$

For the pure Yang-Mills theory the weak-coupling analogue of the deconfinement transition temperature can be calculated in the large  $N$  limit:  $T_d R \simeq 0.759$  or  $\beta_d/R \simeq 1.317$  [Aharony et al (hep-th/0310285)].

## Important observables

At finite  $N$  we can calculate observables by numerically performing the integrals over the gauge field angles  $\theta_i$ .

$$\langle \mathcal{O} \rangle \equiv \frac{\int [d\theta] e^{-S} \mathcal{O}}{Z}, \quad Z = \int [d\theta] e^{-S}.$$

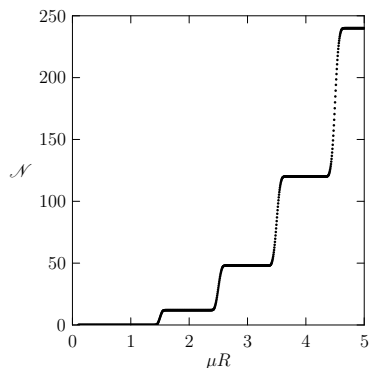
- Polyakov loop order parameters for phase transitions:  $\langle \text{Tr} P \rangle$ ,  $\langle \text{Tr} P^\dagger \rangle$ .

$$\mathcal{P}_1 = \langle \text{Tr} P \rangle$$

$$\mathcal{P}_{-1} = \langle \text{Tr} P^\dagger \rangle$$

- ▶ These should differ for  $N > 2$ .
- Average Phase:  $\langle e^{i\phi} \rangle_{pq} \equiv Z / Z_{pq}$ .
  - ▶ This tells us where the sign problem is severe.
- Average number  $\mathcal{N} = \langle N_{quarks} - N_{antiquarks} \rangle$ .
  - ▶ Gives the net number of quarks allowed at a given value of  $\mu$ .
- Pressure:  $\mathcal{P}$
- Energy:  $E$

## Average fermion number $\mathcal{N}$ ( $N = 3, N_f = 1, mR = 0$ )



- Average fermion number for QCD on  $S^1 \times S^3$  with  $m = 0$ ,  $\beta/R = 30$  (low T).

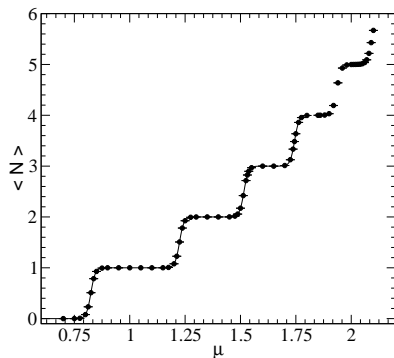
$$\begin{aligned}\mathcal{N} &= \frac{1}{\beta} \left( \frac{\partial \ln Z}{\partial \mu} \right) \\ &= \frac{-1}{\beta Z} \int [d\theta] e^{-S} \left( \frac{\partial S}{\partial \mu} \right)\end{aligned}$$

$$\mathcal{N} \xrightarrow{\beta \rightarrow \infty} \frac{2N_f}{Z} \int [d\theta] e^{-S} \sum_{l=1}^{\infty} \sum_{i=1}^N l(l+1) \left[ \frac{e^{\beta\mu}}{e^{\beta\mu} + e^{-i\theta_i + \beta(l+1/2)/R}} \right]$$

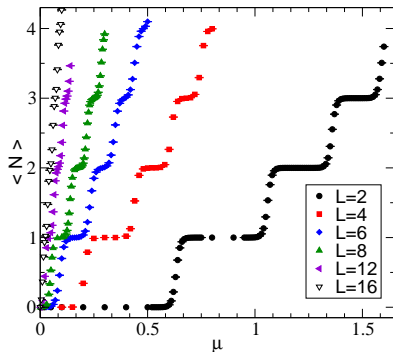
Each level  $L$  starts at  $(\mu R)_0 = L + 1/2$  and has:

$$\text{height : } h_L = NN_f \sum_{l=1}^L 2l(l+1); \quad \text{width : } w = \Delta(\mu R) = 1$$

# Classical non-linear $O(2)$ sigma-model results from lattice (Banerjee and Chandrasekharan, arXiv:1001.3648)

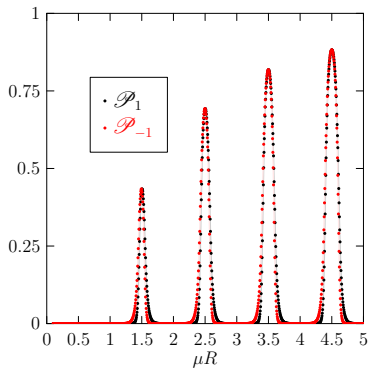


Average charge number



Levels appear to go away with increasing  $L_s = L$ , but this may not be true if  $L_t/L_s$  is kept fixed at a large value.

Polyakov lines:  $\mathcal{P}_1 = \langle \text{Tr} P \rangle$  and  $\mathcal{P}_{-1} = \langle \text{Tr} P^\dagger \rangle$  for  $m = 0$



$$\mathcal{P}_1 \equiv \frac{\int [d\theta] e^{-S} \sum_{i=1}^N e^{i\theta_i}}{Z},$$

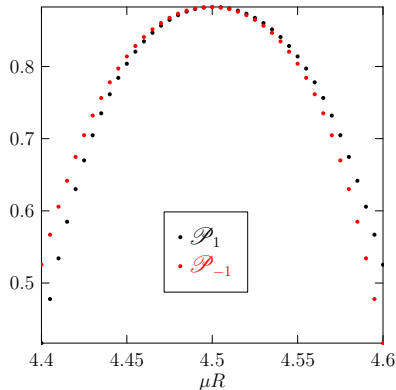
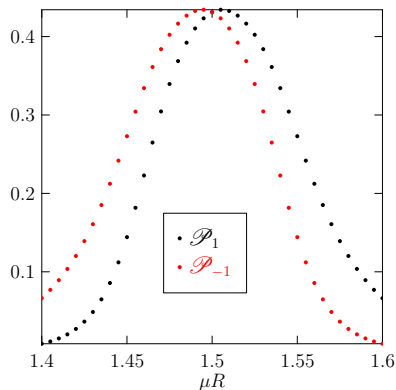
$$\mathcal{P}_{-1} \equiv \frac{\int [d\theta] e^{-S} \sum_{i=1}^N e^{-i\theta_i}}{Z}.$$

$\mathcal{P}_1 \neq \mathcal{P}_{-1}$  because the non-zero chemical potential led to

$$S_f \sim \sum_{n=1}^{\infty} [e^{n\beta\mu} e^{i\theta_i n} + e^{-n\beta\mu} e^{-i\theta_i n}]$$

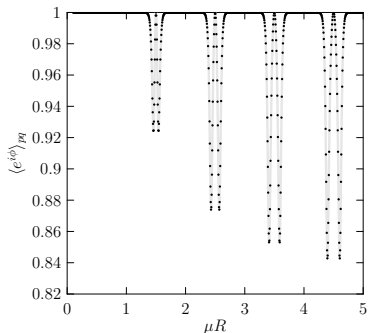
- There is a spike in  $\mathcal{P}_1$  and  $\mathcal{P}_{-1}$  corresponding to each jump in the average fermion number,  $\mathcal{N}$ .
- Deconfinement occurs in between the levels, as they are being filled.
- Given our notation,  $\mathcal{P}_{-1}$  always precedes  $\mathcal{P}_1$  at each transition.

## Polyakov lines: $\mathcal{P}_1$ and $\mathcal{P}_{-1}$ with increasing $\mu$



- As  $\mu$  increases the peaks of  $\mathcal{P}_1$  and  $\mathcal{P}_{-1}$  get wider indicating that the regions of deconfinement become larger with increasing  $\mu$ .

## Average phase $\langle e^{i\phi} \rangle_{pq}$ for $m = 0$



$$\langle e^{i\phi} \rangle_{pq} \equiv \frac{Z}{Z_{pq}},$$

where the denominator is the “phase quenched” (real action) partition function:

$$Z_{pq} = \int [d\theta] |e^{-S}| = \int [d\theta] e^{\text{Re}[-S]}$$

- The average phase shows where the sign problem is severe.
- $\langle e^{i\phi} \rangle_{pq}$  is smallest (largest) when  $|\mathcal{P}_1 - \mathcal{P}_{-1}|$  is largest (smallest).



## Large $N$ theory at low $T$

In the large  $N$  limit the saddle point method is valid and it is possible to solve for several observables analytically. Considering a single level transition and performing the sum over  $n$  the action reduces to

$$S(\theta_i) = -\frac{1}{2} \sum_{i,j=1}^N \log \sin^2 \left( \frac{\theta_i - \theta_j}{2} \right) + N \sum_{i=1}^N V(\theta_i)$$

$$V(\theta) = i\mathcal{N}\theta - \sigma \log \left( 1 + \xi e^{i\theta} \right)$$

- $\mathcal{N}$  is a Lagrange multiplier necessary to satisfy the  $\det P = 1$  constraint:  $\sum_{i=1}^N \theta_i = 0$ .
- $\sigma \equiv \sigma_l \equiv 2l(l+1) \frac{N}{N_f}$
- $\xi \equiv \exp(\beta(\mu - \varepsilon))$
- $\varepsilon \equiv \varepsilon_l \equiv \sqrt{m^2 + (l + 1/2)^2 R^{-2}}$

## Equation of Motion

The saddle point solution is found by solving the equation of motion  $\partial S / \partial \theta_i = 0$ . This becomes:

$$i\mathcal{N} - \frac{i\sigma\xi e^{i\theta_i}}{1 + \xi e^{i\theta_i}} = \frac{1}{N} \sum_{j(\neq i)} \cot\left(\frac{\theta_i - \theta_j}{2}\right)$$

Define the eigenvalues of the Polyakov line:  $z_i = e^{i\theta_i}$ . Then the equation of motion is

$$\mathcal{N} - \frac{\sigma\xi z_i}{1 + \xi z_i} = \frac{1}{N} \sum_{j(\neq i)} \frac{z_i + z_j}{z_i - z_j}$$

The trace of the Polyakov line is

$$\mathcal{P}_n = \langle \text{Tr} P^n \rangle = \frac{1}{N} \sum_{i=1}^N e^{in\theta_i}$$

$\implies \mathcal{P}_{-n} \neq \mathcal{P}_n^*$  ( $\langle \text{Tr} P^{-n} \rangle \neq \langle \text{Tr} P^n \rangle^*$ ) for the saddle point solution.

## Fermion number

Adding EOMs for all the  $\theta_i$ s we find that the Lagrange multiplier is

$$\mathcal{N} \xrightarrow{N \rightarrow \infty} \frac{1}{N} \sum_i \frac{\sigma \xi z_i}{1 + \xi z_i} = \frac{T}{N^2} \frac{\partial \log Z}{\partial \mu}$$

which is the effective fermion number,  $\mathcal{N} = \mathcal{N}/N^2$ , valid in the large  $N$  limit.

Limits:

$$\text{As } \xi \rightarrow 0 \quad \mathcal{N} \rightarrow 0,$$

$$\text{As } \xi \rightarrow \infty \quad \mathcal{N} \rightarrow \sigma.$$

This is in agreement with the  $N = 3$  results for a single level transition.

## Small $\xi$ confined phase

As  $\xi$  ( $\mu$ ) increases from 0 the eigenvalues are continuously distributed along a closed contour  $\mathcal{C}$  in the  $z$ -plane up to some critical value.

It is useful to consider a map between the theory on the unit circle and the theory in the complex  $z$ -plane of the Polyakov line eigenvalues. To this end

$$\frac{1}{N} \sum_i \longrightarrow \int_{-\pi}^{\pi} \frac{ds}{2\pi} = \oint_{\mathcal{C}} \frac{dz}{2\pi i} \varrho(z) ,$$

The contour is given by the inverse map  $z(s)$ , which can be obtained by solving the differential equation

$$i \frac{ds}{dz} = \varrho(z)$$

subject to the initial condition  $z = e^{is}$  when  $\xi = 0$ .

## Constraints

The distribution must satisfy the normalization condition

$$\oint_{\mathcal{C}} \frac{dz}{2\pi i} \varrho(z) = 1$$

and the  $\det P = 1$  constraint

$$\int_{\mathcal{C}} \frac{dz}{2\pi i} \varrho(z) \log z = 0$$

## EOM for eigenvalues on a closed contour

Using

$$\frac{1}{N} \sum_i \longrightarrow \int_{-\pi}^{\pi} \frac{ds}{2\pi} = \oint_C \frac{dz}{2\pi i} \varrho(z)$$

we convert the EOM to an integral form

$$zV'(z) = \mathfrak{P} \oint_C \frac{dz'}{2\pi i} \varrho(z') \frac{z+z'}{z-z'}, \quad zV'(z) = \mathcal{N} - \frac{\sigma \xi z}{1 + \xi z}.$$

where  $\mathfrak{P}$  indicates principal value and the integral over the closed contour allows for evaluation of the right-hand side using Cauchy's theorem.

## Distribution $\varrho(z)$ for eigenvalues on a closed contour $\mathcal{C}$

We start from the distribution  $\varrho(z)$  with  $z \equiv re^{i\phi}$  in the form of delta-functions:

$$\begin{aligned}\frac{1}{N} \sum_{j=1}^N &= \int dr d\phi \frac{1}{N} \sum_{j=1}^N \delta(r - r_j) \delta(\phi - \phi_j) \\ &= \oint \frac{dz}{iz} \frac{1}{N} \sum_{j=1}^N \delta(\phi - \phi_j - i \log(r/r_j))\end{aligned}$$

so

$$\varrho(z) = \frac{2\pi}{zN} \sum_{j=1}^N \delta(\phi - \phi_j - i \log(r/r_j))$$

then we can solve the EOM assuming using this most general form to obtain the form constrained by the potential:

$$\varrho(z) = \frac{c_1}{c_2 z} - \frac{\mathcal{N}}{c_2 z} + \frac{\sigma \xi / c_2}{1 + \xi z} \propto V'(z)$$

## Distribution $\varrho(z)$ in the small $\xi$ confined phase

The small  $\xi$  confined phase with the pole  $-\xi^{-1}$  outside. The EOM and the normalization condition give

$$\varrho(z) = \frac{1}{z} + \frac{\sigma\xi}{1 + \xi z} .$$

with  $\mathcal{N} = 0$  as expected.

Solving the differential equation  $i\frac{ds}{dz} = \varrho(z)$  leads to

$$e^{is} = z(1 + \xi z)^\sigma$$

which we invert to get the contour  $z(s)$ . The Polyakov lines are

$$\mathcal{P}_1 = \int_C \frac{dz}{2\pi i} \varrho(z) z = 0 , \quad \mathcal{P}_{-1} = \int_C \frac{dz}{2\pi i} \varrho(z) \frac{1}{z} = \sigma\xi .$$

where  $\mathcal{P}_{-1} \neq \mathcal{P}_1^*$  as advertised.



## Extent of the small $\xi$ confined phase

As  $\xi$  is increased the condition that the pole  $-\xi^{-1}$  lies outside  $\mathcal{C}$  must break down. Indeed, as  $\xi$  is increased there comes a point when  $\varrho(z)$  vanishes,  $z = -\frac{1}{\xi(1+\sigma)}$ . This happens when

$$\xi = \xi_1 = \frac{\sigma^\sigma}{(1+\sigma)^{1+\sigma}}$$

and a gap opens up on the negative  $z$ -axis signaling a phase transition as in the matrix model of Gross and Witten [[Phys. Rev. D \*\*21\*\* \(1980\) 446](#)].

In terms of  $\mu$  and  $\varepsilon$  the line of transitions in the  $(\mu, T)$  plane is

$$\mu = \varepsilon - T[(1+\sigma)\log(1+\sigma) - \sigma\log\sigma]$$

valid in the low  $T$  limit.

## Large $\xi$ confined phase

The vanishing of the potential term in the action in the large  $\xi$  limit requires that the contour closes here too. The analysis is similar to that of the small  $\xi$  confined phase and we find that

$$\varrho(z) = \frac{1 + \sigma + \xi z}{z(1 + \xi z)},$$

from the requirement that  $-\xi^{-1}$  lies inside  $\mathcal{C}$ . This gives  $\mathcal{N} = \sigma$  as expected and the level is occupied.

The Polyakov line expectation values are

$$\mathcal{P}_1 = \frac{\sigma}{\xi}, \quad \mathcal{P}_{-1} = 0.$$

where comparing with the small  $\xi$  confined phase  $\mathcal{P}_{\pm 1}$  swaps over along with the replacement  $\xi \rightarrow \xi^{-1}$ .

## Extent of the large $\xi$ confined phase

As  $\xi$  is decreased the condition that the pole  $-\xi^{-1}$  lies inside  $\mathcal{C}$  must break down. Indeed, as  $\xi$  is decreased there comes a point when  $\varrho(z)$  vanishes,  $z = -\frac{1+\sigma}{\xi}$ . This happens when

$$\xi = \xi_2 = \frac{(1 + \sigma)^{1+\sigma}}{\sigma^\sigma} .$$

and a gap opens up again on the negative  $z$ -axis.

In terms of  $\mu$  and  $\varepsilon$  the line of transitions in the  $(\mu, T)$  plane is

$$\mu = \varepsilon + T[(1 + \sigma) \log(1 + \sigma) - \sigma \log \sigma]$$

valid in the low  $T$  limit.

## The deconfined (open) phase: $\xi_1 \leq \xi \leq \xi_2$

In the deconfined phase the distribution has a gap and the eigenvalues lie on an arc  $\mathcal{C}$  in the complex  $z$ -plane with endpoints  $\tilde{z}$  and  $\tilde{z}^*$ . To solve for the case where the contour is open it is necessary to use the resolvent / spectral curve method. In analogy with the Gross-Witten-Wadia model the resolvent is

$$\omega(z) = -\frac{1}{N} \sum_j \frac{z + z_j}{z - z_j} = - \int_{\mathcal{C}} \frac{dz'}{2\pi i} \varrho(z') \frac{z + z'}{z - z'}$$

which is continuous everywhere except on the contour which lies on a (square root) branch cut in the  $z$ -plane. It is clear that

$$\lim_{|z| \rightarrow 0} \omega(z) = 1, \quad \lim_{|z| \rightarrow \infty} \omega(z) = -1.$$

We take the resolvent to be everywhere continuous except over the branch cut. Then from the Plemelj formulae the EOM is

$$zV'(z) = -\frac{1}{2} [\omega(z + \epsilon) + \omega(z - \epsilon)], \quad z \in \mathcal{C}$$

## The distribution of the eigenvalues in the deconfined phase

The spectral density of eigenvalues is obtained from

$$z\rho(z) = \frac{1}{2}[\omega(z + \epsilon) - \omega(z - \epsilon)] , \quad z \in \mathcal{C} .$$

which implies that we can solve for various observables using an average of the form

$$\int_{\mathcal{C}} \frac{dz}{2\pi i} \rho(z) F(z) = \oint_{\tilde{\mathcal{C}}} \frac{dz}{4\pi i z} \omega(z) F(z)$$

Following the technique of Wadia [EFI-79/44-Chicago] we solve the EOM for the resolvent and density of eigenvalues

$$\omega(z) = -zV'(z) + f(z)\sqrt{(z - \tilde{z})(z - \tilde{z}^*)} , \quad z\rho(z) = f(z)\sqrt{(z - \tilde{z})(z - \tilde{z}^*)} .$$

where

$$f(z) = \frac{\sigma}{(1 + \xi z) \left| \frac{1}{\xi} + \tilde{z} \right|} ,$$

$$\tilde{z} = \frac{-1}{\xi(1 + \sigma - \mathcal{N})^2} \left[ \mathcal{N}^2 + 1 + \sigma - \mathcal{N}\sigma + 2i\sqrt{\mathcal{N}(\sigma - \mathcal{N})(1 + \sigma)} \right] .$$

## Fermion number and Polyakov lines

We impose the  $SU(N)$  condition

$$\oint_{\tilde{C}} \frac{dz}{4\pi iz} \omega(z) \log z = 0 .$$

to obtain the effective fermion number  $\mathcal{N}$  from

$$\xi = \frac{(\sigma - \mathcal{N})^{\sigma - \mathcal{N}} (1 + \mathcal{N})^{1 + \mathcal{N}}}{\mathcal{N}^{\mathcal{N}} (1 + \sigma - \mathcal{N})^{1 + \sigma - \mathcal{N}}} .$$

The Polyakov lines are obtained from an expansion of the resolvent

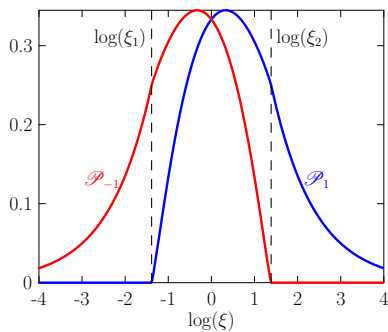
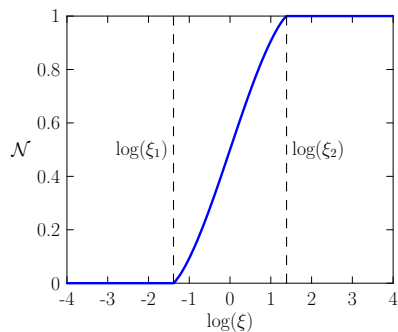
$$\omega(z) = -1 - 2 \sum_{n=1}^{\infty} \frac{1}{z^n} \mathcal{P}_n$$

$$\omega(z) = 1 + 2 \sum_{n=1}^{\infty} z^n \mathcal{P}_{-n}$$

For a single winding

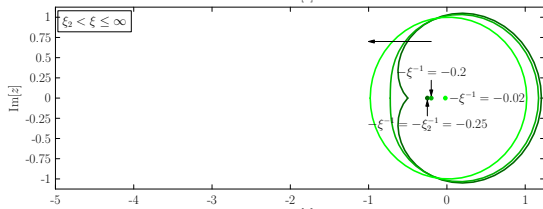
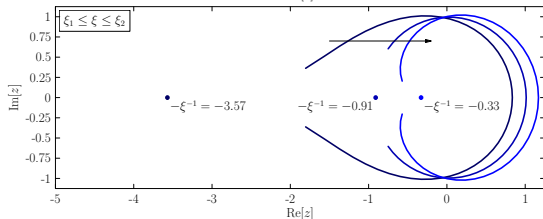
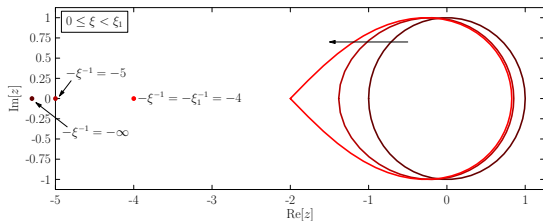
$$\mathcal{P}_1 = \frac{\mathcal{N}}{\sigma + 1 - \mathcal{N}} \frac{1}{\xi} , \quad \mathcal{P}_{-1} = \frac{\sigma - \mathcal{N}}{1 + \mathcal{N}} \xi$$

## Large $N$ theory at low $T$



- The discontinuities in the effective fermion number and the Polyakov lines mark the third-order Gross-Witten-Wadia transitions.

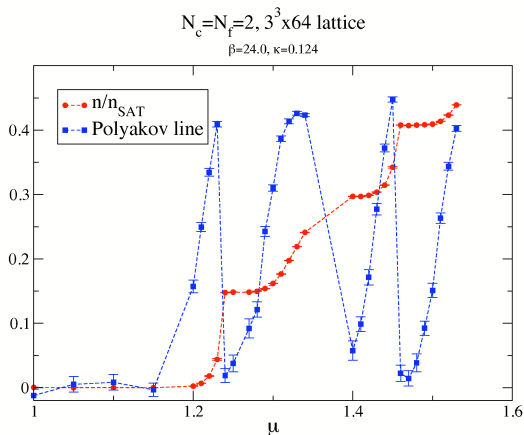
# Distribution in the deconfined phase



- The contour  $\mathcal{C}$ , which gives the distribution of the eigenvalues of the Polyakov line, showing the transition from the small  $\xi$  closed phase (in red), the open phase (in blue) and the large  $\xi$  closed phase (green).

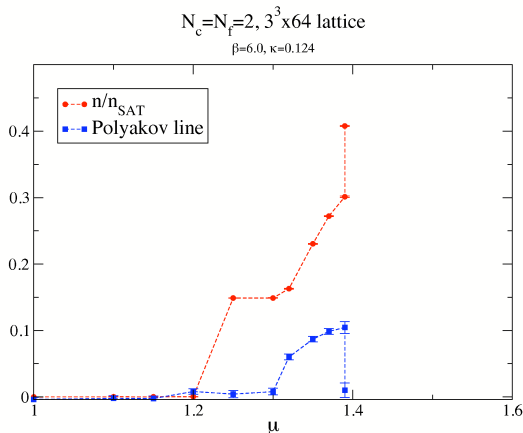


# Preliminary lattice results from 2-color QCD



Simulation results for  $N = 2$  QCD confirm the level structure of the fermion number and the associated spikes in the Polyakov line at each level transition. The curious smooth  $\rightarrow$  sharp feature of the observables at the transitions needs study to determine if it is a result of larger coupling, or perhaps resulting from working on the 4-torus.

## Strong coupling lattice results from 2-color QCD



Simulation results from  $N = 2$  QCD considering larger coupling strength (smaller  $\beta = 2N/g^2$ ) show that the spikes in the Polyakov line are scaled down. A small spike in  $\mathcal{P}_1$  is expected around  $\mu = 1.2$  but more data is needed to determine if it is there.

## Conclusions

- QCD at finite chemical potential on  $S^1 \times S^3$  has a complex action which results the stationary solution lying in the configuration space of complexified gauge fields.
- Expectation values for observables can be obtained at finite  $N$  by numerically integrating over the gauge fields.
- Observables and the distribution of the gauge field eigenvalues can be calculated analytically in the large  $N$  limit using the saddle point method of Gross, Witten, and Wadia generalized to deal with a complex action.
- For small  $mR$ , the fermion number as a function of the chemical potential suggests a level-structure where the level transitions correspond to spikes in the Polyakov line.
- For large  $mR$ , a continuum limit is obtained and the observables exhibit the “Silver blaze” feature, remaining zero until onset is reached at  $\mu = m$ . The confinement-deconfinement transitions return for sufficiently large  $\mu$ .

# Outlook

- Add more flavors and look for color-superconducting phases through calculation of observables like  $\psi\psi$ ,  $(\bar{\psi}\psi)^2$
- Make a connection with Complex Langevin which is a non-perturbative technique
- Consider higher-loop corrections and go beyond the Gaussian approximation to obtain effects from increased coupling strength
- Formulate a related theory from the gravity side (eg.  $\mathcal{N} = 4$  SYM + fundamental flavor branes and chemical potential)
- Calculate the phase diagram for imaginary chemical potential and compare with lattice simulations.

## Continuum results (large $mR$ )

Since all of our observables are a function only of  $\beta/R$ ,  $mR$ , or  $\mu R$ , then we can obtain a continuum limit by taking:

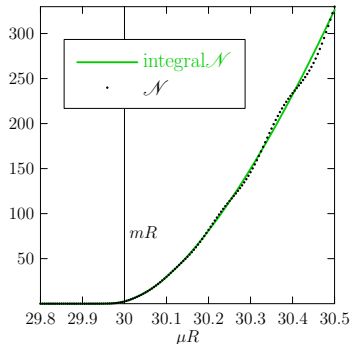
- $\beta/R$  small (high  $T$  perturbation theory),
- $\mu R$  large (high density perturbation theory),
- $mR$  large (heavy quarks).

We take  $mR$  large. Then, in the vicinity of  $\mu = m$ :

$$\begin{aligned} z_f(n\beta/R, mR) &= 2 \sum_{l=0}^{\infty} l(l+1) e^{-n\beta \sqrt{(l+1/2)^2 R^{-2} + m^2}} \\ &= 2 \int_0^{\infty} dy \left( y^2 - \frac{1}{4} \right) e^{-\frac{n\beta}{R} \sqrt{y^2 + m^2 R^2}} \\ &\quad + 4 \int_{mR}^{\infty} dy \frac{y^2 + \frac{1}{4}}{e^{2\pi y} + 1} \sin \left( \frac{n\beta}{R} \sqrt{y^2 - m^2 R^2} \right) \\ &\xrightarrow{mR \rightarrow \infty} 2 \int_0^{\infty} dy \left( y^2 - \frac{1}{4} \right) e^{-n(\beta/R) \sqrt{y^2 + m^2 R^2}} \end{aligned}$$

$\mathcal{N}$  for  $m \rightarrow \infty$

- For non-zero quark mass the expectation value  $\mathcal{N}$  exhibits "Silver Blaze" behavior: Bulk observables are zero until onset.
- Onset occurs at the mass of the lightest particle  $\mu \simeq m$ .



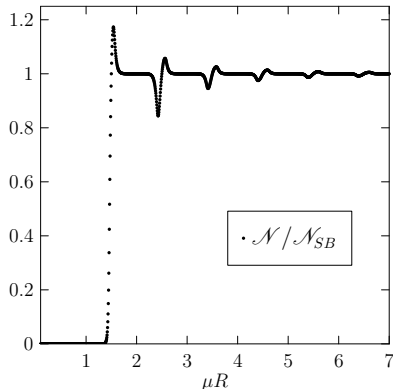
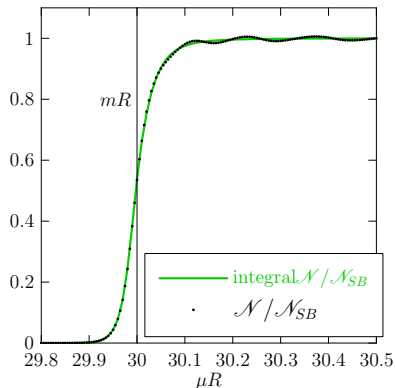
$$\mathcal{N} \xrightarrow{\beta \rightarrow \infty} \frac{2N_f}{Z} \int [d\theta] e^{-S} \int_0^\infty dy (y^2 - 1/4) \sum_{i=1}^N \left[ \frac{e^{\beta\mu}}{e^{\beta\mu} + e^{-i\theta_i + (\beta/R)\sqrt{y^2 + m^2 R^2}}} \right]$$

Each level L has:

$$\text{height : } h_L = NN_f \sum_{l=1}^L 2l(l+1) \rightarrow NN_f \int_0^L dy 2(y^2 - 1/4)$$

$$\text{width : } \Delta(\mu R) \rightarrow \left( \sqrt{(y+dy)^2 + m^2 R^2} - \sqrt{y^2 + m^2 R^2} \right) \rightarrow 0$$

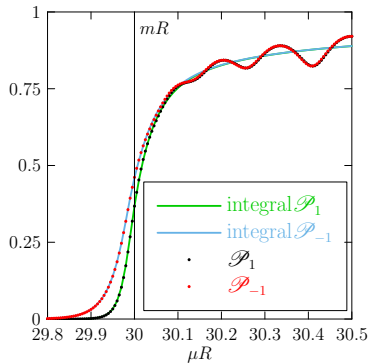
## $\mathcal{N}$ , $\mathcal{P}$ , $E$ approach the Stefan-Boltzmann limit



- The Stefan-Boltzmann limit is the zero interaction free fermion limit. On  $S^1 \times S^3$  we obtain it from the one-loop result taking all the  $\theta_i = 0$ , corresponding to the “deconfined” phase, e. g.

$$\mathcal{N}_{SB} \xrightarrow{\beta \rightarrow \infty} 2NN_f \int_0^\infty dy (y^2 - 1/4) \left[ \frac{e^{\beta\mu}}{e^{\beta\mu} + e^{(\beta/R)\sqrt{y^2 + m^2 R^2}}} \right]$$

## Polyakov lines: $\mathcal{P}_1$ and $\mathcal{P}_{-1}$ for $m \rightarrow \infty$

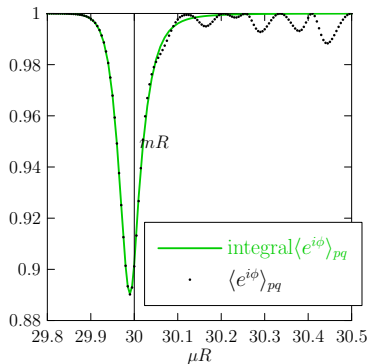


$\mathcal{P}_1$  and  $\mathcal{P}_{-1}$  as a function of chemical potential for large quark mass near onset at  $\mu R = mR = 30$ .  $N = 3$ ,  $N_f = 1$   $\beta/R = 30$  (low  $T$ ).

- At low but non-zero temperatures the confinement-deconfinement oscillations can be delayed by taking  $mR \rightarrow \infty$ .
- The transition in  $\mu R$  occurs around onset at  $mR$  and becomes sharper with increasing  $mR$ .
- The integral approximation to  $z_f$  (curves) breaks down shortly after the onset transition and the oscillations return. The larger we take  $mR$ , the farther in  $\mu R$  we can go before breakdown.



## Average phase $\langle e^{i\phi} \rangle_{pq}$



- In the limit of large  $mR$ , spike in the average phase as a function of  $\mu R$  marks the onset transition. This is followed by a brief respite from large phase fluctuations.
- Again we find that  $\langle e^{i\phi} \rangle_{pq}$  is smallest (largest) when  $|\mathcal{P}_1 - \mathcal{P}_{-1}|$  is largest (smallest).

# Successful techniques that deal with or avoid the sign problem

Lattice techniques valid for  $\mu/T < 1$

- Taylor expansion
- Reweighting
- Imaginary  $\mu$  + analytic continuation

Infinite volume perturbation theory

- chiral perturbation theory
- large  $\mu$  perturbation theory

Using models

- 2-color QCD
- Random Matrix Theory
- Nambu-Jona-Lasinio Models
- AdS/CFT

Other (New)

- Complex Langevin
- Finite spatial volume perturbation theory (this talk)