



# QCD Phase Transitions and Green's Functions

Heidelberg, 7 May 2010

Lorenz von Smekal



# Special Credit and Thanks to:



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

Reinhard Alkofer  
Jens Braun  
Christian Fischer  
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Lisa Haas  
Axel Maas  
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Bernd-Jochen Schaefer  
Daniel Spielmann  
Nucu Stamatescu  
Andre Sternbeck  
Jochen Wambach



Helmholtz Young Investigator Group  
'Nonperturbative Phenomena in QCD'

**HIC** | **FAIR**  
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Helmholtz International Center

**LOEWE** – Landes-Offensive zur  
Entwicklung Wissenschaftlich-  
ökonomischer Exzellenz



**ExtreMe Matter Institute**

Helmholtz Alliance 'Cosmic Matter in the Laboratory'



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# Contents

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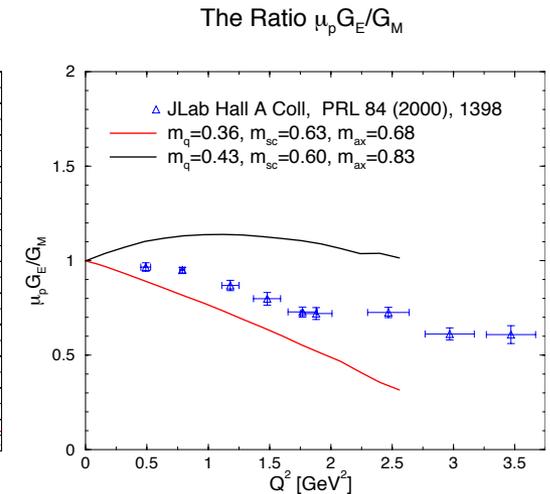
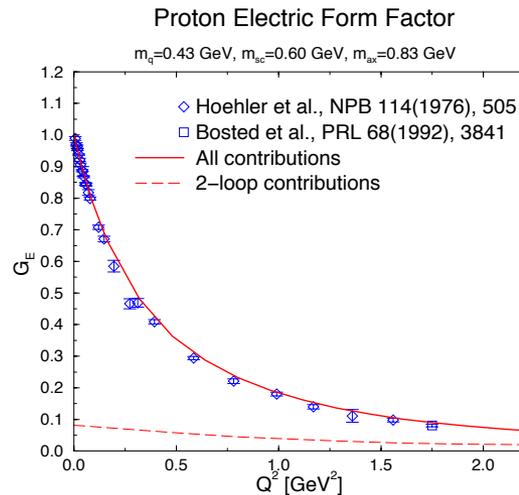
- **Introduction: why Green's functions?**
- **Functional methods**
- **What do we know?**  
(zero temperature and density)
- **Applications to QCD phase transitions**  
(deconfinement and chiral symmetry restoration)
- **Summary and Outlook: what may we expect?**

# Introduction

## Why QCD Green's Functions?

- quantum field theoretic building blocks for hadron phenomenology  
hadron masses, decay constants, form factors, magnetic moments, charge radii etc.  
from covariant bound state equations (Bethe-Salpeter and Faddeev)

need QCD quark and gluon  
Green's functions as input



covariant Faddeev equation for baryons

→ **G. Eichmann, PhD Thesis, Graz (2009)**  
[arXiv:0909.0703 [hep-ph]]

Oettel, Pichowsky, LvS, EPJA 8 (2000) 251

Oettel, Alkofer, LvS, EPJA 8 (2000) 553

# Introduction

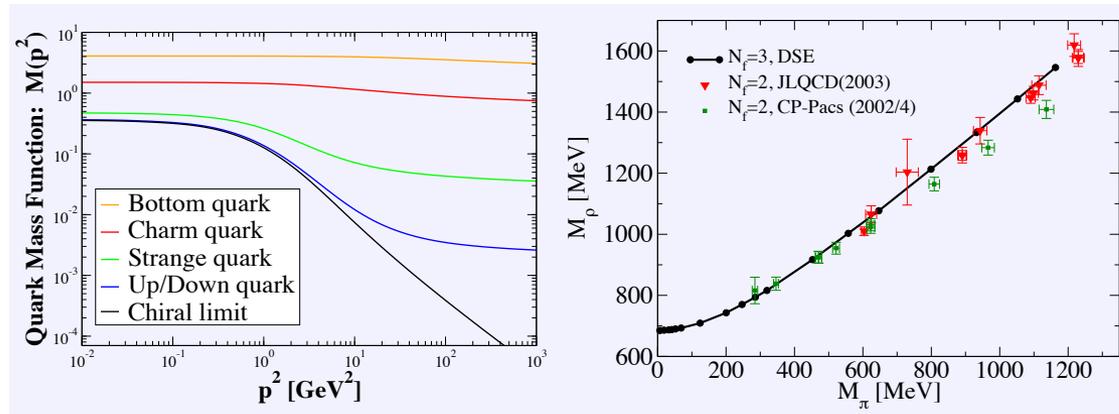
## Why QCD Green's Functions?

- confinement, dynamical mass generation, chiral symmetry breaking and Goldstone's theorem

TOPCITE 500+

Alkofer & LvS, Phys. Rept. 353/5-6 (2001) 281

Fischer, J. Phys. G 32 (2006) 253



Fischer, Alkofer, PRD 67 (2003) 094020

Fischer, Watson, Cassing, PRD 72 (2005) 094025

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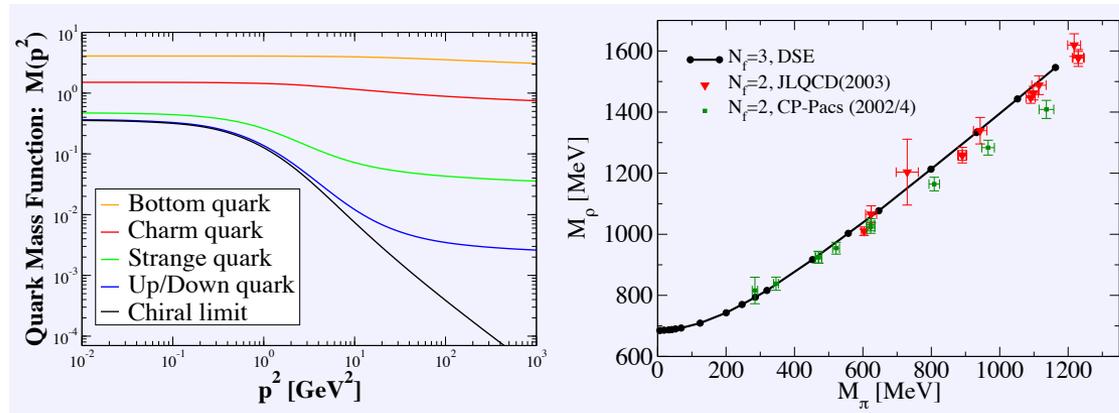
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Here:

- chiral symmetry restoration and deconfinement transition . . .

# Generalities – Partition Function

$$Z(T, V, \mu) = \int \mathcal{D}[A, c, \psi] \delta(\partial A) \exp \left\{ - \int_0^{1/T} dt \int_V d^3x \left[ \frac{1}{4} F^2 + \bar{c} \partial D c + \bar{\psi} (-\not{D} + m - \mu \gamma_0) \psi \right] \right\}$$

**Landau gauge:** Lorenz condition,  $\partial_\mu A_\mu = 0$

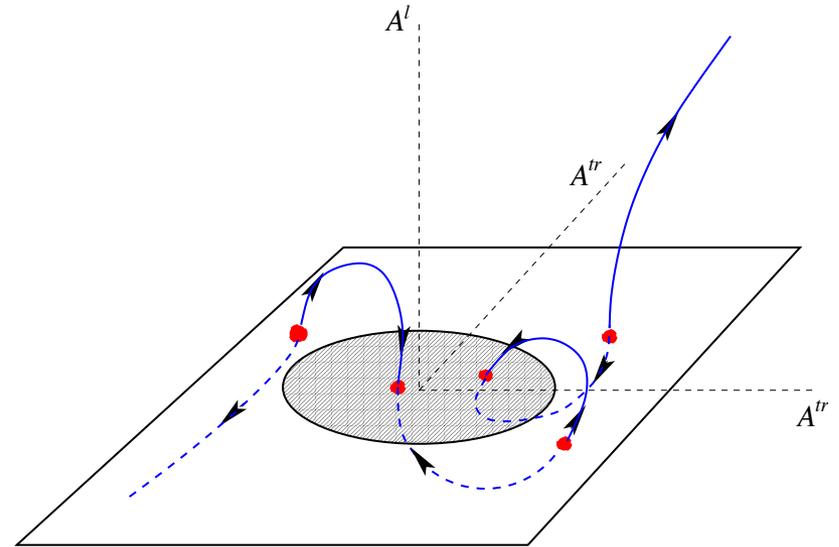
**Faddeev-Popov ghosts and determinant:**  $\bar{c}, c \rightsquigarrow \text{Det}(-\partial_\mu D_\mu(A))$

**Field strengths:**  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu + ig[A_\mu, A_\nu]$

**Quark fields with a.p. b.c.'s:**  $\psi(1/T, \vec{x}) = -\psi(0, \vec{x})$

# Note on Gribov Copies

- **Gribov, Singer (1978):**  
gauge copies unavoidable.
- **Fujikawa, Hirschfeld (1979):**  
average over copies  
(sign-weighted).
- **Sharpe (1984), Neuberger (1987), Schaden (1998):**  
perfect cancellation, produces 0!
- **LvS (2008):**  
can be avoided → non-perturbative Becchi-Rouet-Stora-Tyutin (BRST) symmetry  
(on the lattice, but with sign problem).



$\partial A = 0$  for  $A = A^{tr}$ , many copies!

# Non-Perturbative Tools

- **Functional Methods:**

- (a) **Dyson-Schwinger Equations (DSEs)**

- Partition Function → Generating Functional  
→ Equations of Motion for Green's Functions

- (b) **Functional Renormalisation Group (FRG)**

- Effective Action → Energy-Momentum Cutoff  
→ RG Flow → Wetterich Equation

- + **chiral fermions**
      - + **no sign problem**
      - + **dynamical hadronisation**

- **Lattice Gauge Theory**

- + **no truncations**
  - + **manifest gauge invariance**

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  - + **manifest gauge invariance**

— **combine & compare** —

# Historical Note

Historically: infrared enhanced gluon exchange,

$$D_{\text{gluon}} \propto \frac{\sigma}{p^4}, \quad V(\vec{r}) \propto \sigma r$$

but no – it's ghosts that dominate the long-range/infrared correlations!

LvS, Hauck, Alkofer (1997)

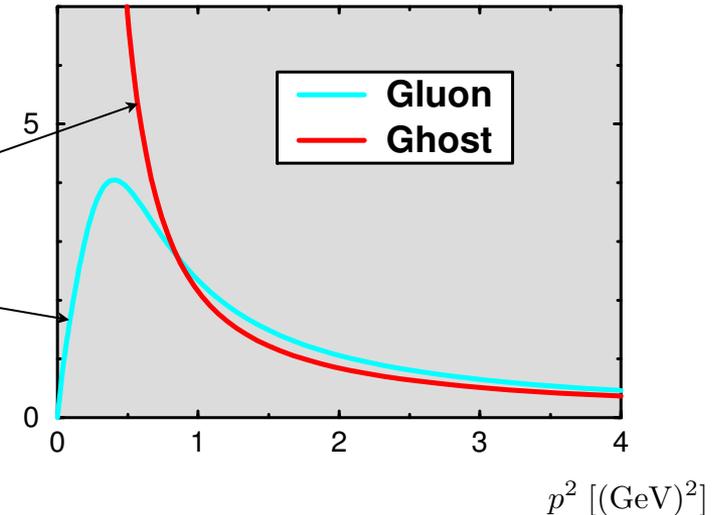
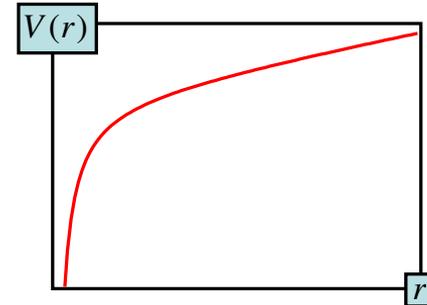
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Kugo, Ojima (1979)

(a) avoid Higgs mechanism

(b) mass gap

→ all physical states are color singlets.



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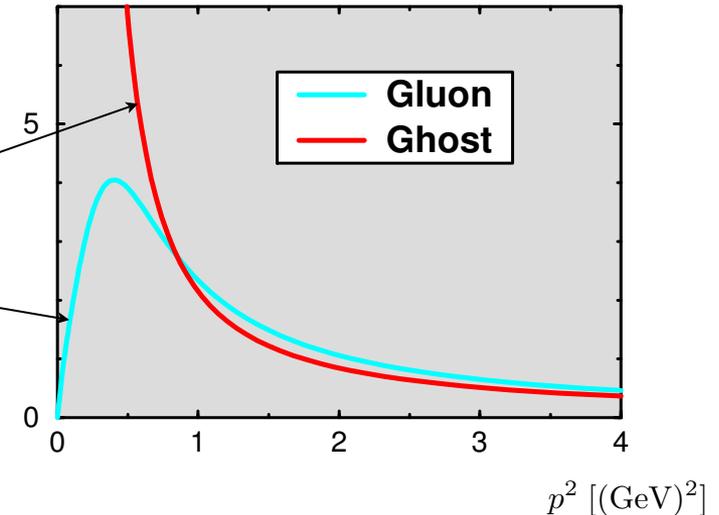
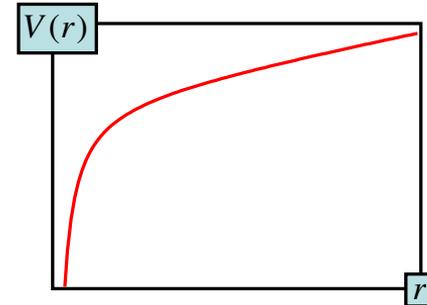
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F. Coester:

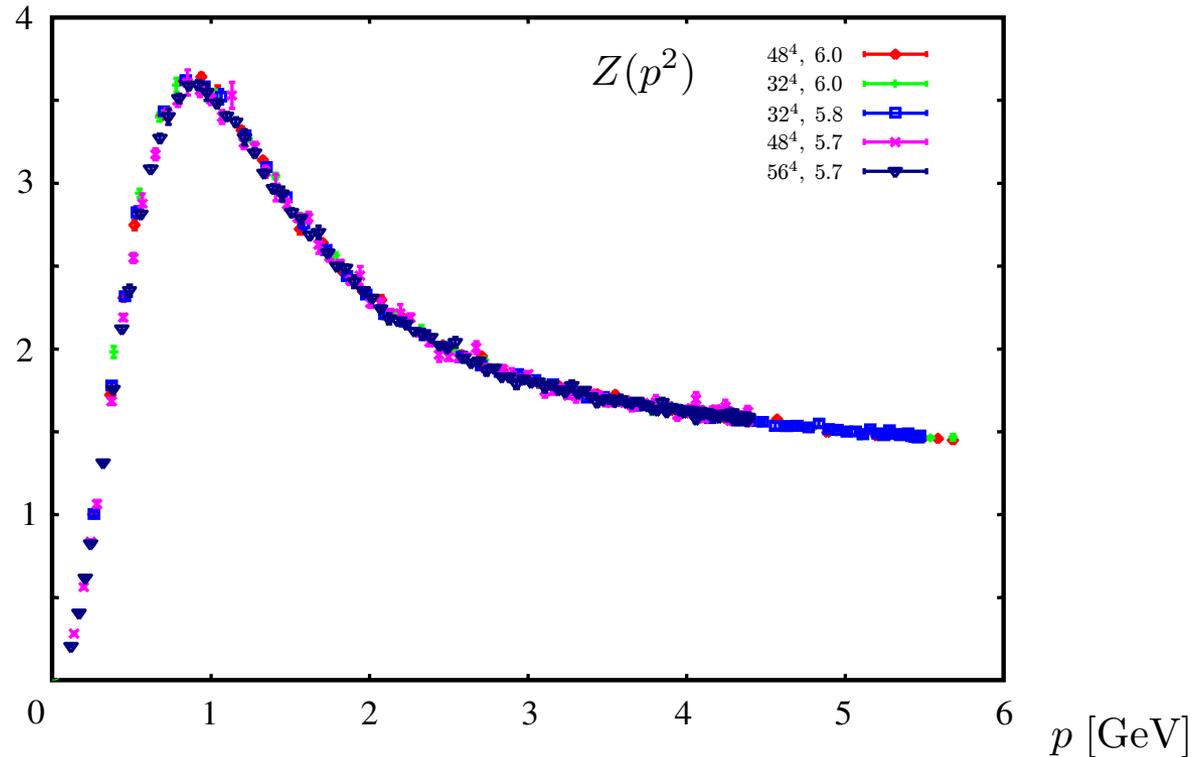
**“Farbloser Quark zusammengeleimt von Gespenstern mit farbigem Leim”**



# Gluon Propagator

Pure Yang-Mills,  $T = 0$

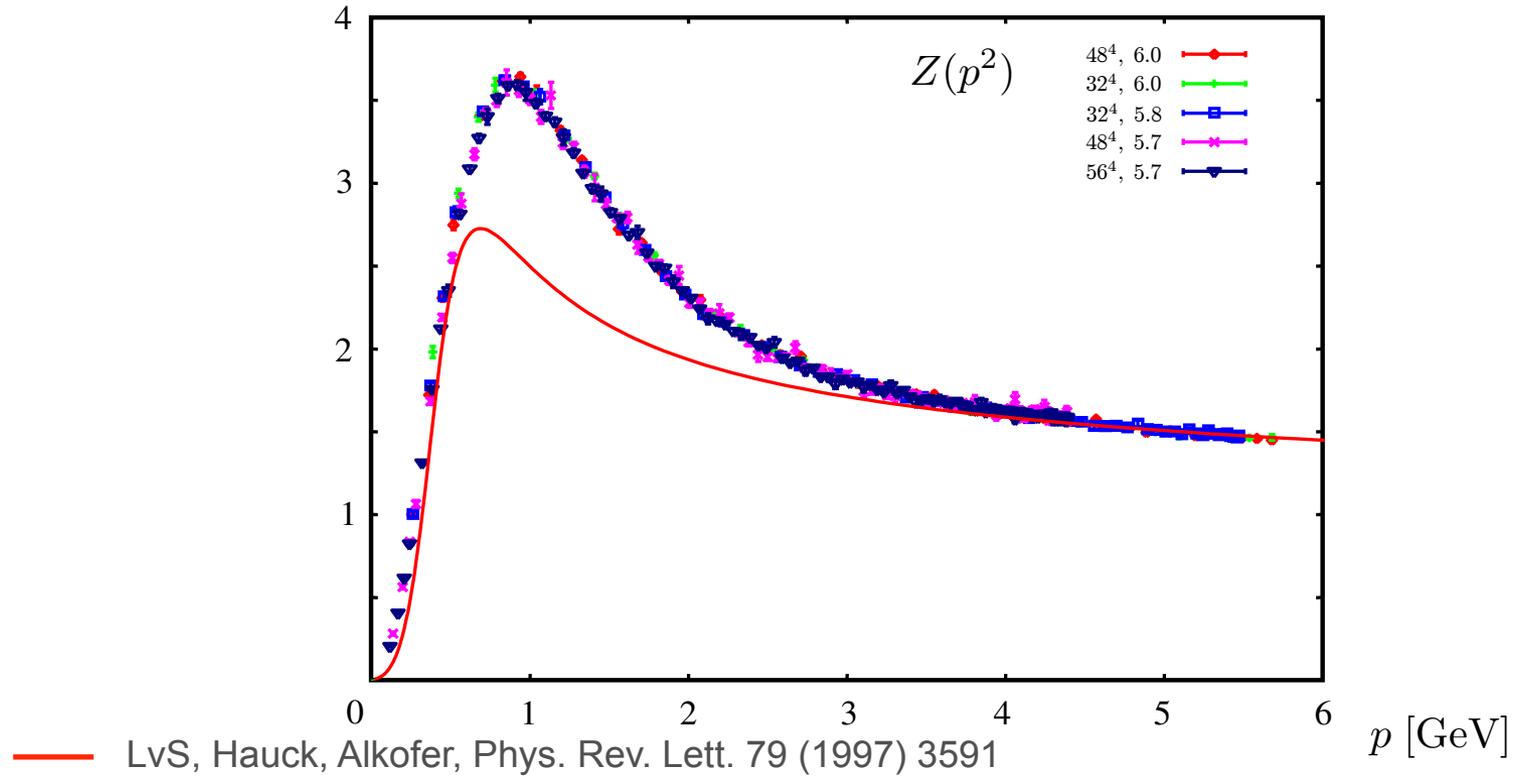
Sternbeck et al., PoS LAT2006, 76



$$\langle A_\mu(x) A_\nu(y) \rangle : \quad D_{\mu\nu}(p) = \frac{Z(p^2)}{p^2} \left( \delta_{\mu\nu} - \frac{p_\mu p_\nu}{p^2} \right)$$

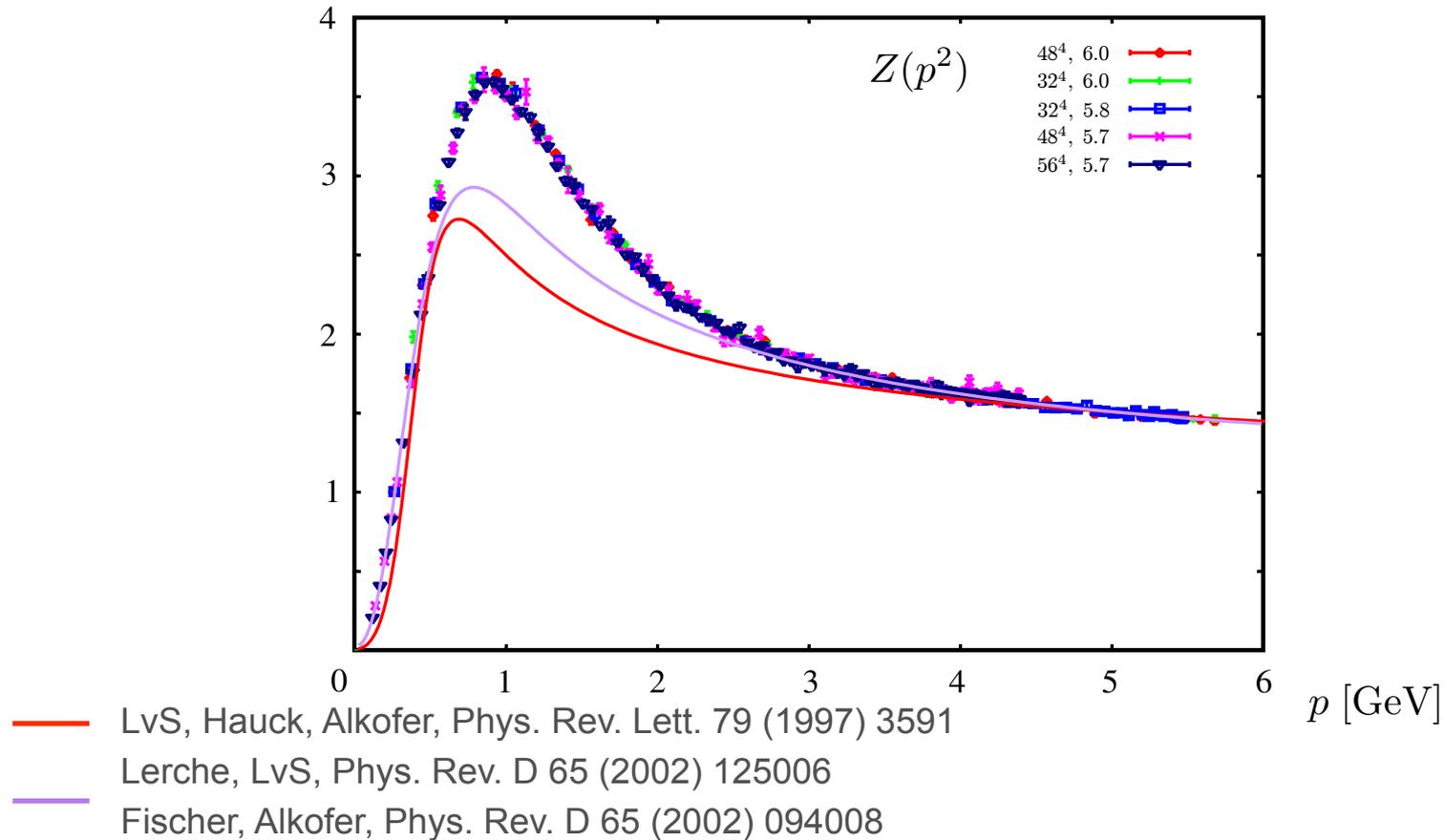
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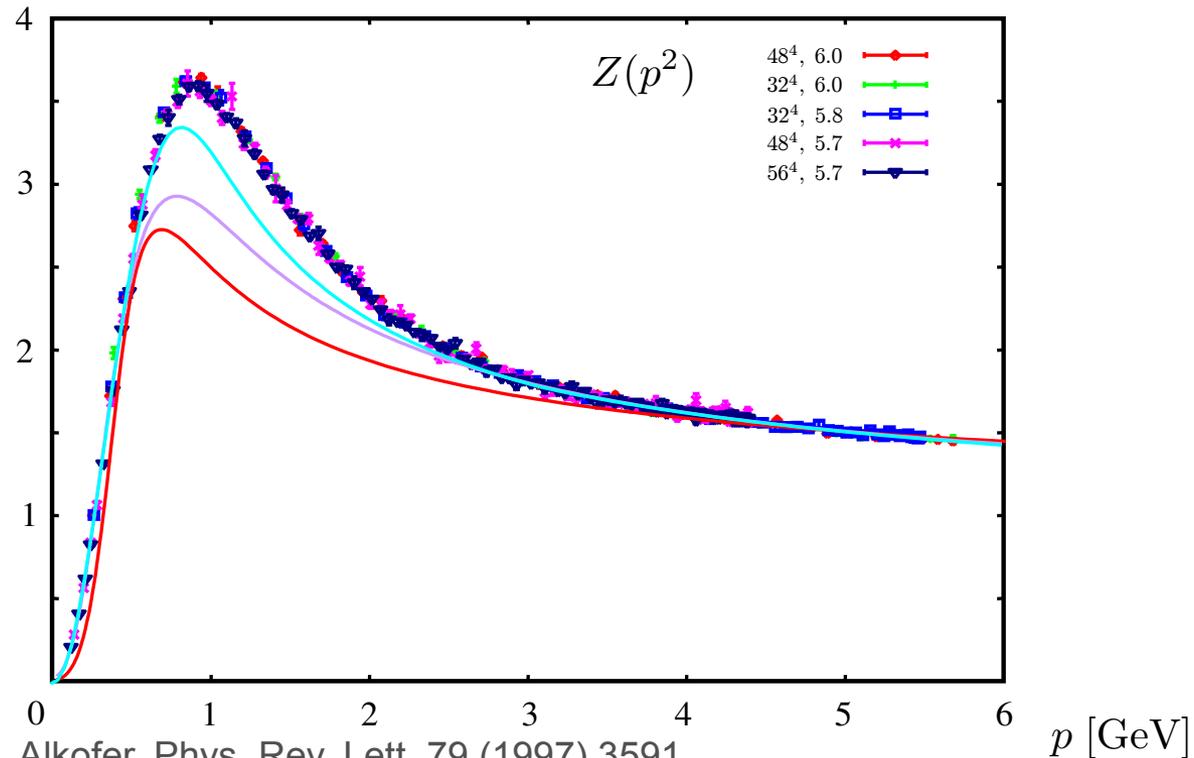
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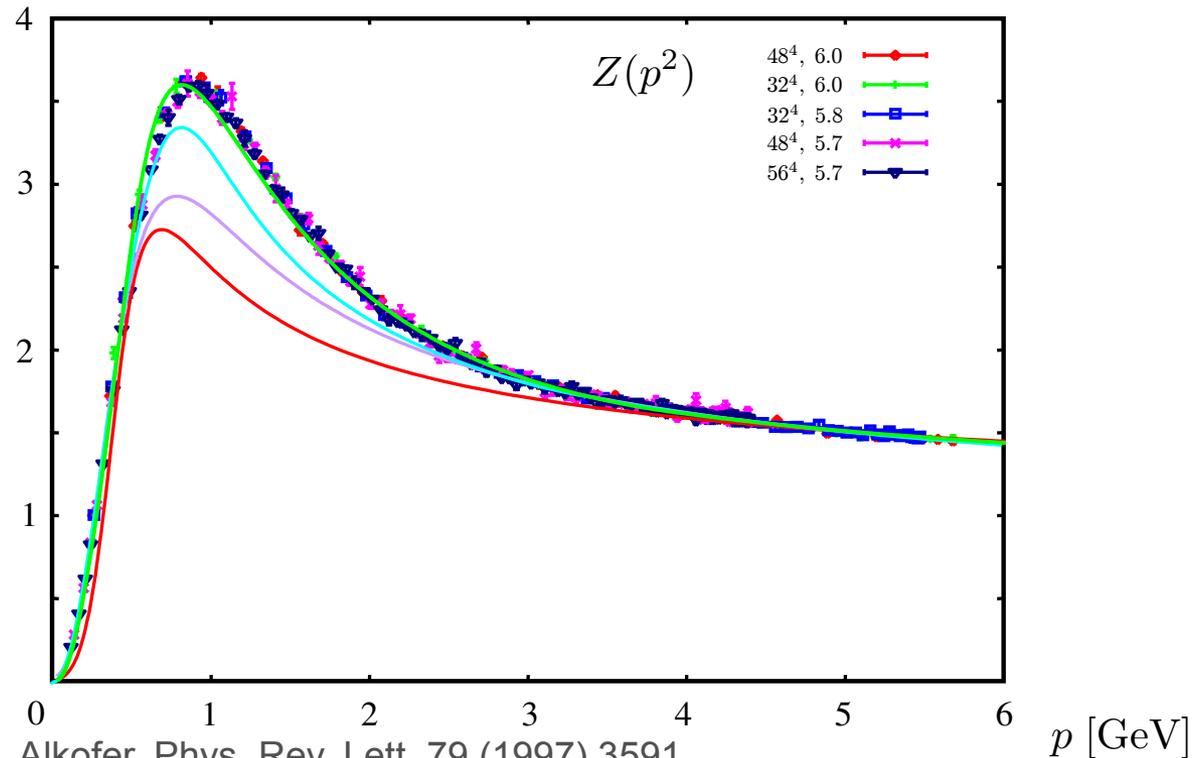
Sternbeck et al., PoS LAT2006, 76



- LvS, Hauck, Alkofer, Phys. Rev. Lett. 79 (1997) 3591
- Lerche, LvS, Phys. Rev. D 65 (2002) 125006
- Fischer, Alkofer, Phys. Rev. D 65 (2002) 094008
- Pawłowski, Litim, Nedelko, LvS, Phys. Rev. Lett. 93 (2004) 152002; Pawłowski (2006), unpubl.

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— Fischer, Maas, Pawlowski, Annals Phys. 324 (2009) 2408; Pawlowski, in prep.

# Landau gauge QCD Propagators

- **Since 1997, DSEs, FRGEs, Stochastic Quantisation, Lattice Simulations:**

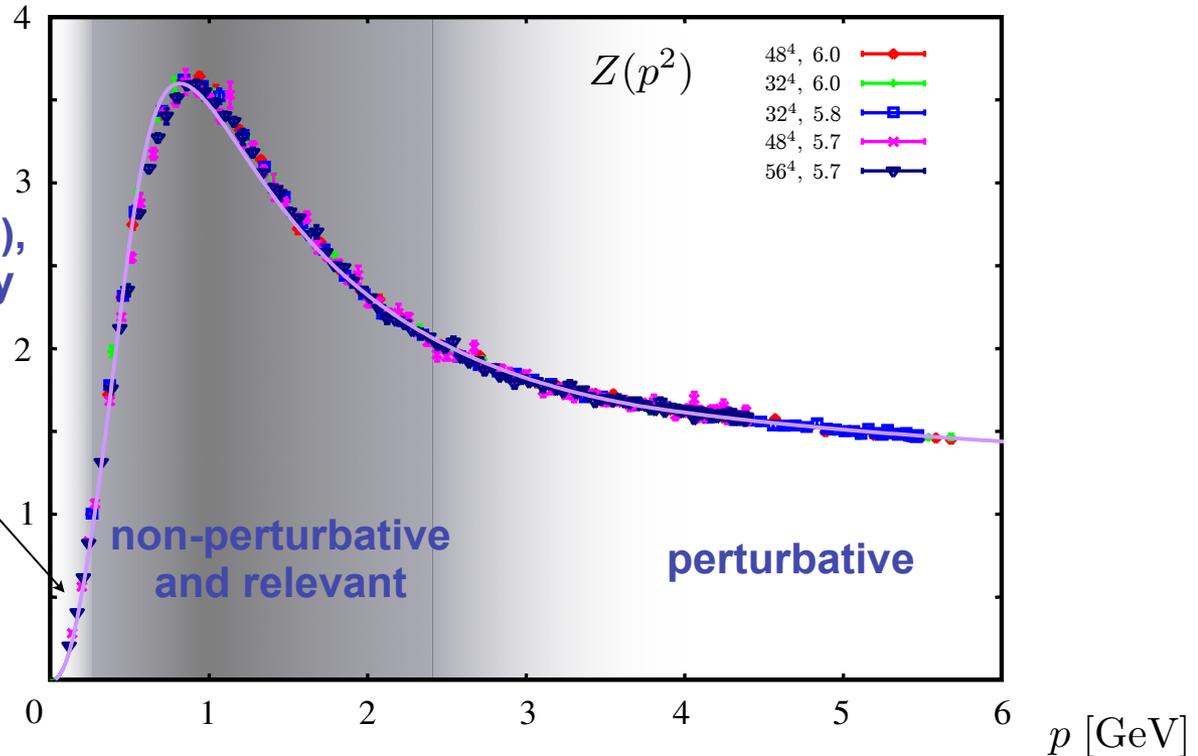
Alkofer, Aguilar, Binosi, Bicudo, Bloch, Boucaud, Bogolubsky, Bornyakov, Bowman, Braun, Cucchieri, De Soto, Dudal, Fischer, Gies, Gracey, Huber, Ilgenfritz, Langfeld, Leinweber, Leroy, Litim, Llanes-Estrada, Nakamura, Natale, Nedelko, Maas, Mendes, Micheli, Mitryushkin, Müller-Preußker, Oliveira, Papavassiliou, Pawłowski, Pene, Petreczky, Quandt, Reinhardt, Rodriguez-Quintero, Schwenzer, Silva, Skullerud, Sorella, Stamatescu, Sternbeck, Vandersickel, Verschelde, LvS, Wambach, Williams, Zwanziger, ....

- **Numerical solutions & analytic infrared asymptotics**

(infrared-scaling, confinement criteria, Gribov ambiguity ...).

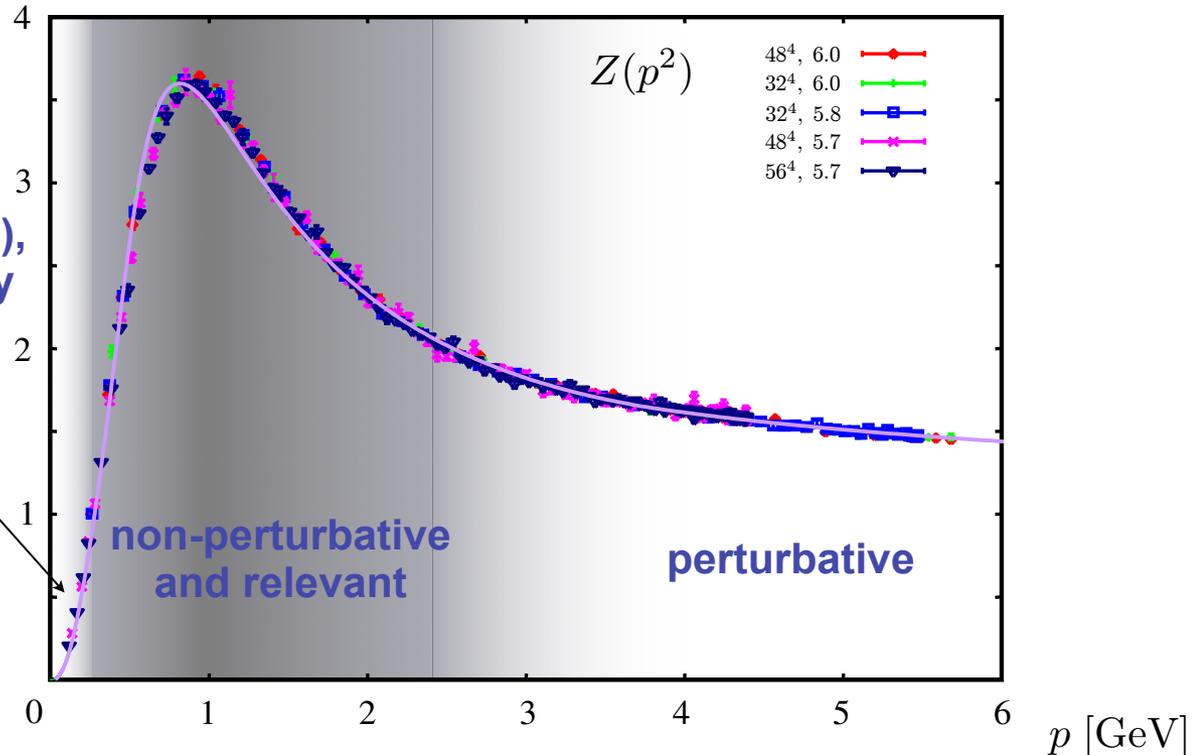
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Gribov ambiguity, of fundamental interest (mass gap, Kugo-Ojima), but phenomenologically not relevant!



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- Combine functional methods (FRGEs + DSEs).
- Compare with lattice simulations where possible.

# Running Coupling

- From Landau gauge gluon and ghost propagators:

$$\alpha_S^{\text{MM}}(p^2) = \frac{g^2}{4\pi} Z(p^2) G^2(p^2) \xrightarrow{p^2 \rightarrow 0} 2.97 \quad (\text{infrared-scaling})$$

LvS, Hauck, Alkofer, Annals Phys. 267 (1998) 1

Lerche, LvS, Phys. Rev. D 65 (2002) 125006

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- “MiniMOM” scheme

precise definition, known to 4 loops

LvS, Maltman, Sternbeck, Phys. Lett. B 681 (2009) 336

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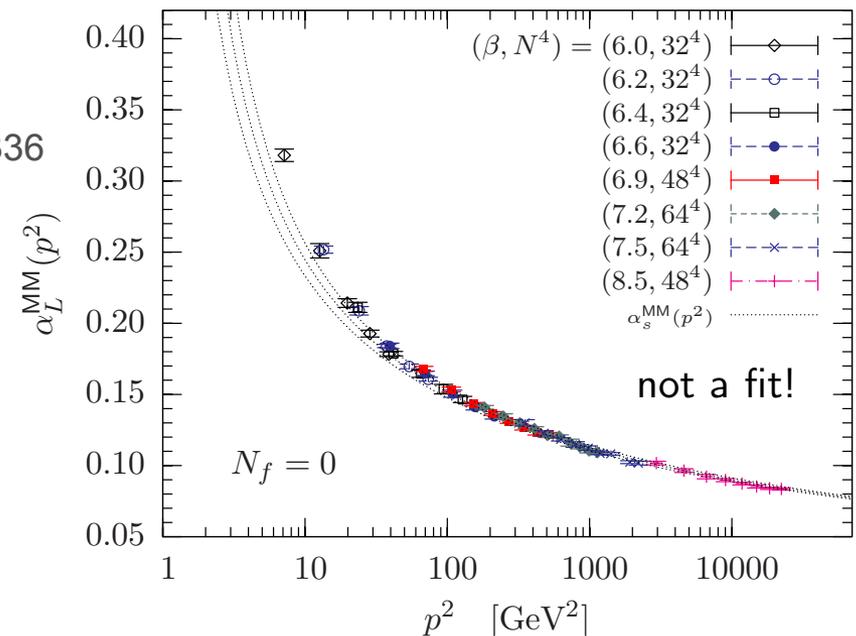
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LvS, Maltman, Sternbeck, Phys. Lett. B 681 (2009) 336

- Lattice determination of  $\alpha_s$

Sternbeck et al. (LvS), PoS LAT2009, 210

$$r_0 \Lambda_{\overline{MS}}^{(0)} = 0.62(1), \quad r_0 \Lambda_{\overline{MS}}^{(2)} = 0.60(3)(2)$$



# Applications I

## Deconfinement Transition

• **Pure gauge theory with static quarks:**  $P(\vec{x}) = \mathcal{P} \exp \left\{ ig \int_0^{1/T} dt A_0(t, \vec{x}) \right\}$

and (global)  $Z_3$  center symmetry:  $P(\vec{x}) \rightarrow Z P(\vec{x})$ ,

$$Z \in \{1, \exp 2\pi i/3, \exp 4\pi i/3\}$$

• **Order parameter:**

$$\Phi = \frac{1}{3} \langle \text{tr } P(\vec{x}) \rangle \sim e^{-F_q/T}$$

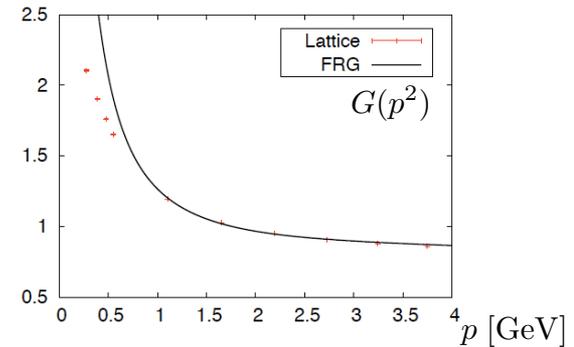
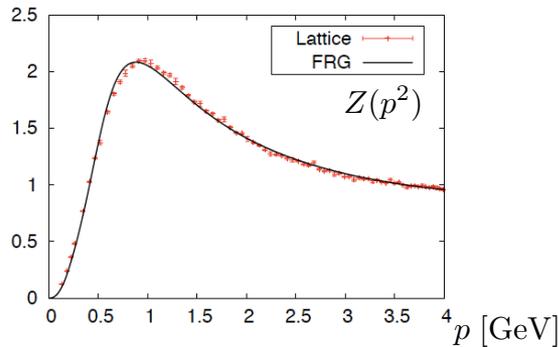
$$\rightarrow \begin{cases} \Phi = 0, \text{ confined, (center) symmetric, disordered} \\ \Phi \neq 0, \text{ deconfined, spontan. broken } Z_3, \text{ ordered} \end{cases}$$

# Effective Potential

Braun, Gies, Pawłowski, Phys. Lett. B 684 (2010) 262

- **Constant background:**  $a = \frac{1}{T} \langle gA_0^3 \rangle$ ,  $\Phi[a] = \frac{1}{3} \left( 1 + 2 \cos \frac{a}{2} \right)$ ,

$$V[a] = \int_k \left\{ \frac{1}{2} \left( \text{Diagram 1} - \text{Diagram 2} \right) \right\}$$



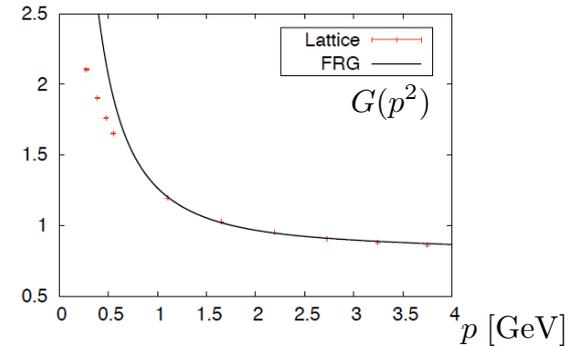
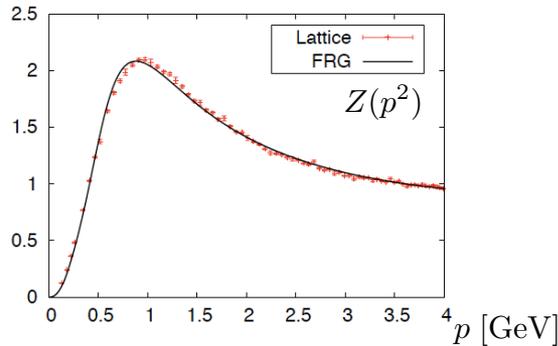
**with:**  $p_0 = 2\pi T (n - \nu a/4\pi)$ ,  
 $\nu = \{0, \pm 1, \pm 2\}$

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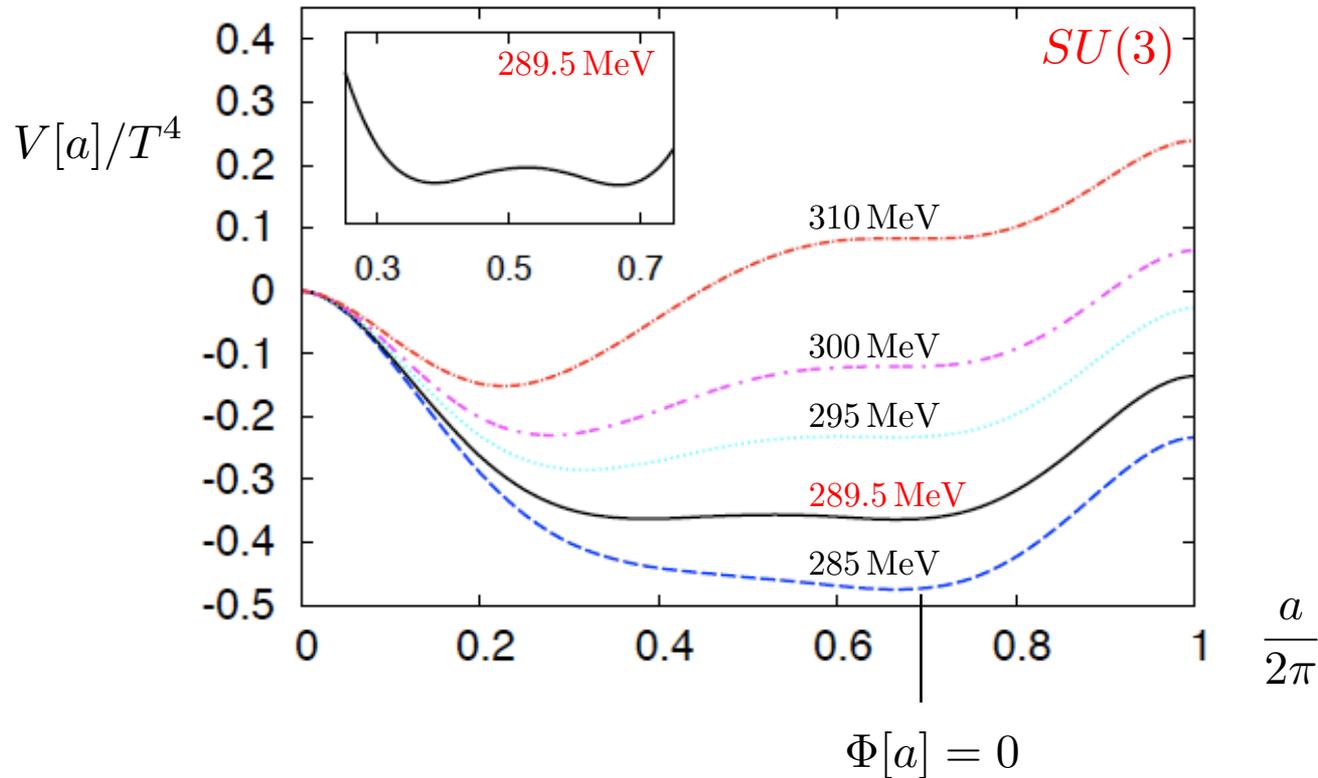
- **Up to terms**  $\mathcal{O}(V''[a])$   
 (suppressed at  $T_c$ )

# Effective Potential

$$T_c = 289.5 \pm 10 \text{ MeV},$$

Braun, Gies, Pawłowski, Phys. Lett. B 684 (2010) 262

$$T_c/\sqrt{\sigma} = 0.658 \pm 0.023 \quad (\text{consistent with lattice average})$$

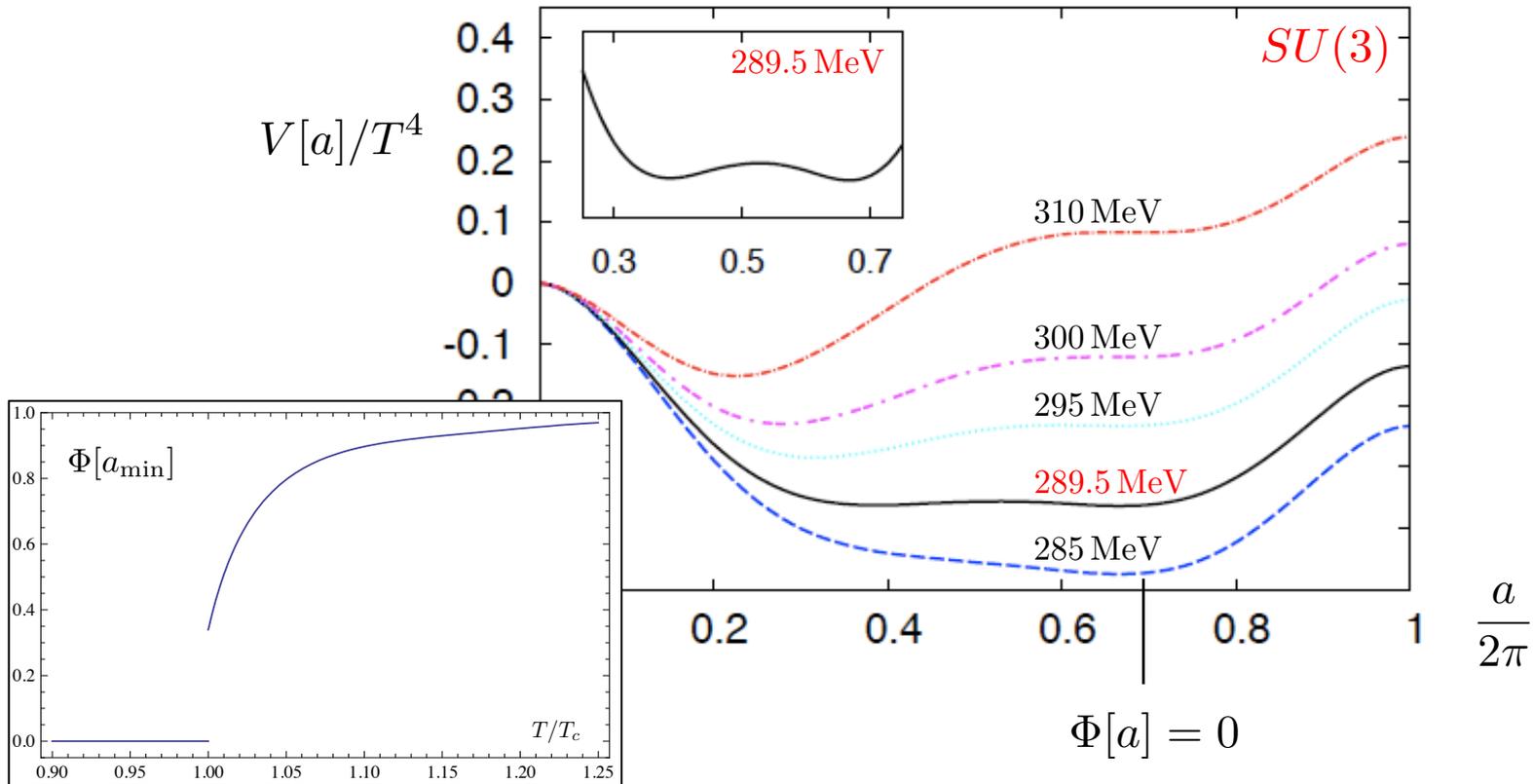


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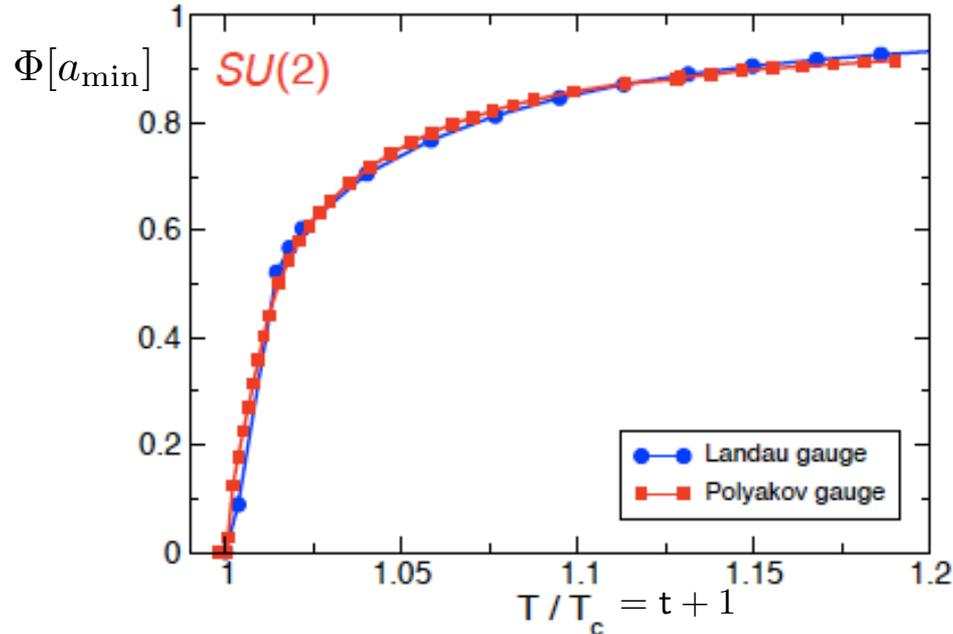


# Order Parameter

- Polyakov gauge:**

Marhauser, Pawłowski, arXiv:0812.1144

$$T_c = 305_{-55}^{+40} \text{MeV}$$



$$V[a] = - \int_k \text{flow} [V''[a], \alpha_s^{\text{MM}}(k)]$$

- critical exponent from screening mass:**

$$V''[a_{\min}] \propto |t|^{2\nu}, \quad \nu = 0.65(2) \quad [\nu_{\text{sing}} = 0.63]$$

# Finite Temperature Propagators

- **Pure (Landau) gauge:**

$$D_{\mu\nu}(p) = \frac{Z_T(p^2)}{p^2} P_{\mu\nu}^T + \frac{Z_L(p^2)}{p^2} P_{\mu\nu}^L$$

$$T = 0 : \quad Z_T = Z_L = Z$$

$$T > 0 : \quad Z_T \lesssim Z$$

- **Lattice & DSEs:**

Cucchieri, Karsch, Petreczky, Phys. Lett. B 497 (2001) 80

Cucchieri, Karsch, Petreczky, Phys. Rev. D 64 (2001) 036001

Maas, Wambach, Grüter, Alkofer, EPJC 37 (2004) 335

Maas, Wambach, Alkofer, EPJC 42 (2005) 93

Cucchieri, Maas, Mendes, Phys. Rev. D 75 (2007) 076003

Maas, arXiv:0911.0348

- **Running coupling, FRG:**

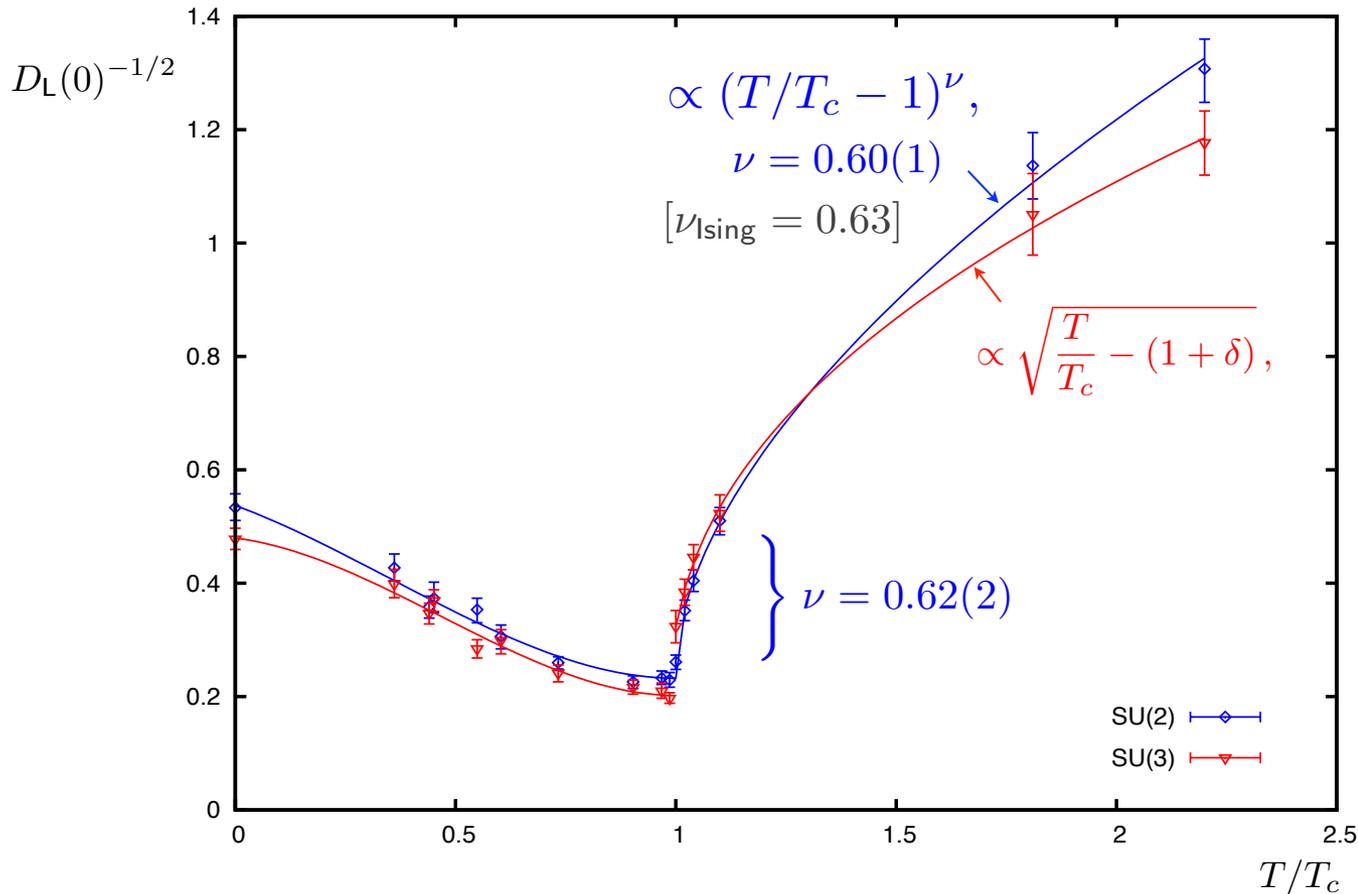
Braun, Gies, JHEP 06 (2006) 024

Braun, Gies, Phys. Lett. B 645 (2007) 53

Marhauser, Pawłowski, arXiv:0812.1144

3-dim. fixed-point behavior for  $p < T$ ,  
only weakly  $T$ -dependent otherwise,  
 $N_f$  dependence

# Electric Screening Mass



- finite volume
- Gribov copies
- critical behavior? (well established)

Maas, LvS, in progress

**Data:** Maas, private communication  
Fischer, Maas, Müller, arXiv:1003.1960

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# Applications II

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## Deconfinement & Chiral Symmetry Restoration

— quenched —

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— quenched —

- **Allow quarks with b.c.'s:**  $\psi(1/T, \vec{x}) = -e^{2\pi i\theta} \psi(0, \vec{x})$
- **in observables:**  $\mathcal{O}(\theta) = \langle O[\psi_\theta] \rangle$  e.g.,  $\theta$ -dependent quark condensate, mass function, density ...

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- **in observables:**  $\mathcal{O}(\theta) = \langle O[\psi_\theta] \rangle$  e.g.,  $\theta$ -dependent quark condensate, mass function, density ...
- **observe:**  $\mathcal{O}(\theta + 1) = \mathcal{O}(\theta)$  , always  $\rightsquigarrow$  Fourier series  
 $\mathcal{O}(\theta + 1/3) = \mathcal{O}(\theta)$  , in  $Z_3$ -symmetric confined phase

# Dual Order Parameters

- Order parameter for confinement:

$$\tilde{\mathcal{O}} = \int_0^1 d\theta \mathcal{O}(\theta) e^{-2\pi i\theta} \quad , \text{ dual quark condensate, dual mass, density ...}$$

( $Z_3$ -symmetry, confinement  $\Rightarrow \tilde{\mathcal{O}} = 0$ )

- Originally from lattice

Gattringer, Phys. Rev. Lett. 97 (2006) 032003

Synatschke, Wipf, Wozar, Phys. Rev. D 75 (2007) 114003

Synatschke, Wipf, Langfeld, Phys. Rev. D 77 (2008) 114018

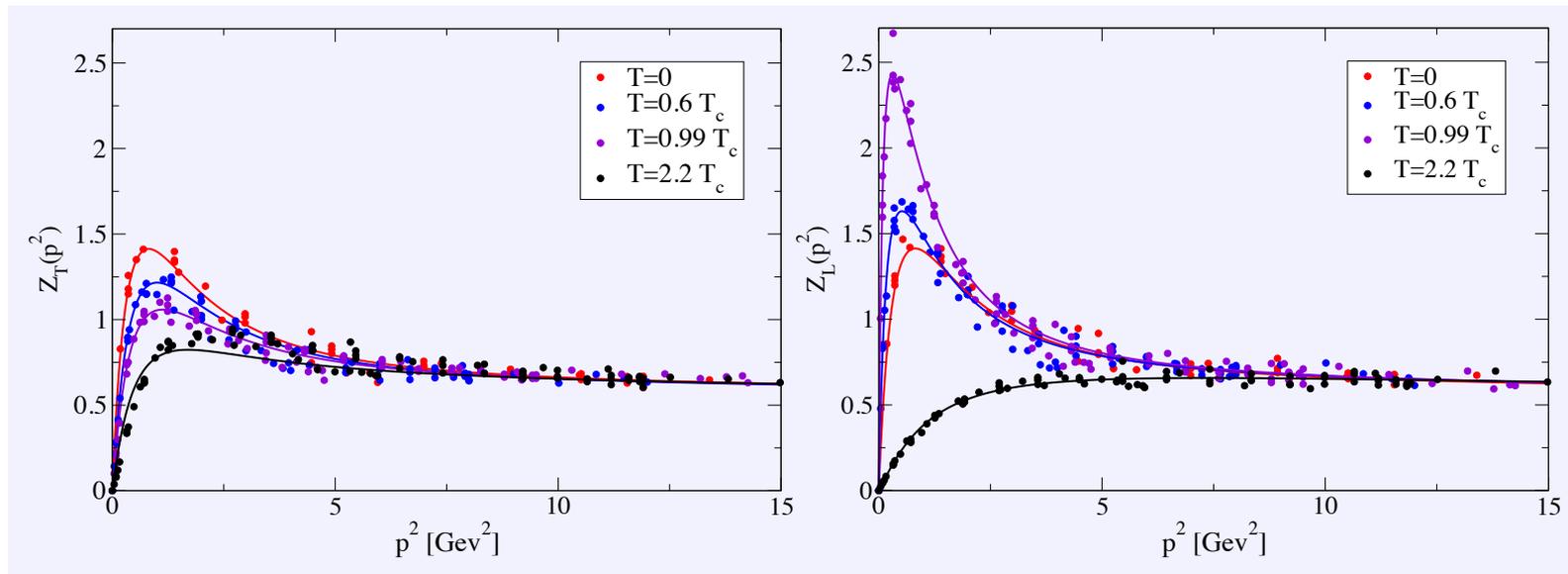
Bilgici, Bruckmann, Gattringer, Hagen, Phys. Rev. D 77 (2008) 094007

# T-dependent Gluon Propagator

- Input for quark DSE:

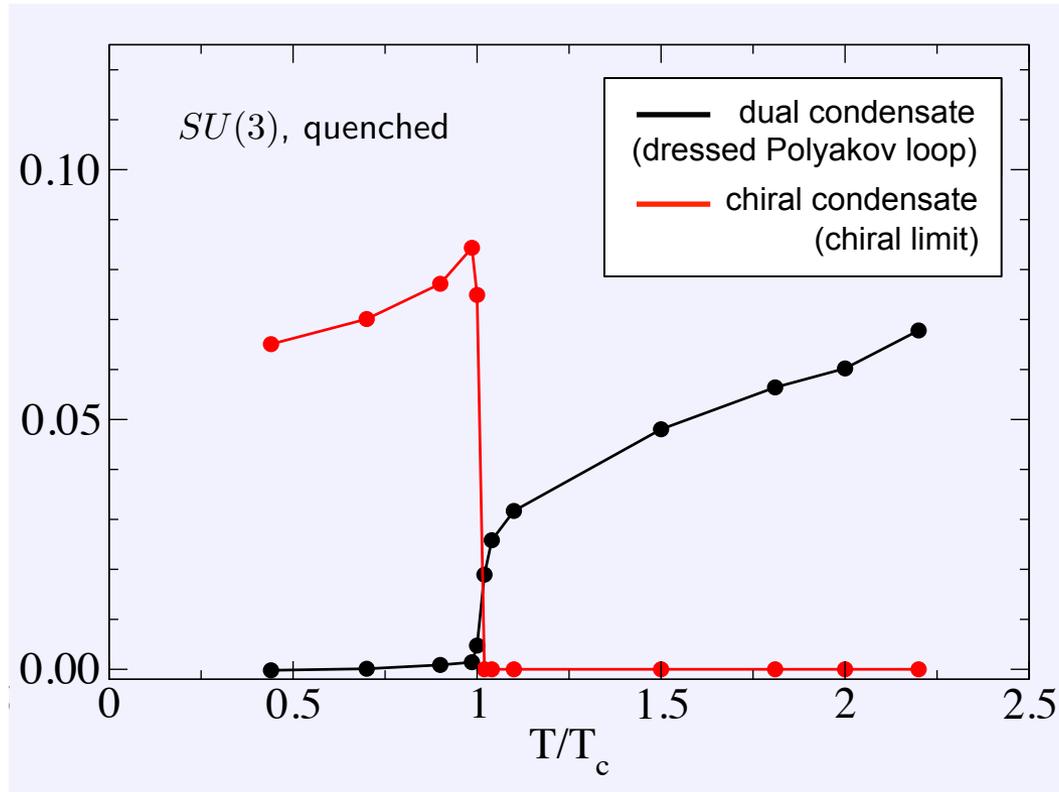
Fischer, Phys. Rev. Lett. 103 (2009) 052003

Fischer, Müller, Phys. Rev. D 80 (2009) 074029



Fischer, Maas, Müller, arXiv:1003.1960

# Chiral & Deconfinement Transition(s)



$T_{\text{chiral}} \approx T_{\text{conf}} \approx 277 \text{ MeV}$

Fischer, Maas, Müller, arXiv:1003.1960

→ Christian Fischer's talk

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# Applications III

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## Full Dynamical QCD

— at zero & imaginary chemical potential —

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- Quarks with b.c.'s:

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- but observables:  $\mathcal{O}_\theta(\theta) = \langle O[\psi_\theta] \rangle_\theta$

observables in different theories,  
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## Full Dynamical QCD

— at zero & imaginary chemical potential —

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- **but observables:**  $\mathcal{O}_\theta(\theta) = \langle O[\psi_\theta] \rangle_\theta$       observables in different theories,  
built on  $\theta$ -dependent ground states
- **observe:**  $\mathcal{O}(\theta + 1/3) = \mathcal{O}(\theta)$       **now always!**  
Roberge, Weiss, Nucl. Phys. B 257 (1986) 734
- **imaginary chemical potential:**  $\mu = 2\pi i T\theta$

# Full Dynamical QCD

- Landau gauge Yang-Mills with background, compare:

(a)  $a_0 = \frac{1}{T} \langle gA_0^3 \rangle_{\theta=0}$ , → breaks Roberge-Weiss symmetry, dual observables, deconfinement transition (dual condensate, dual density ...)

(b)  $a_\theta = \frac{1}{T} \langle gA_0^3 \rangle_\theta$ , quark condensate, mass, density,  $f_\pi, \dots$ , order parameters for chiral phase transition in  $\text{QCD}_\theta$  (but no dual order parameters)

- include  $N_f = 2$  dynamical quarks  
massless, chiral limit

- coupled to mesons  
dynamical hadronisation

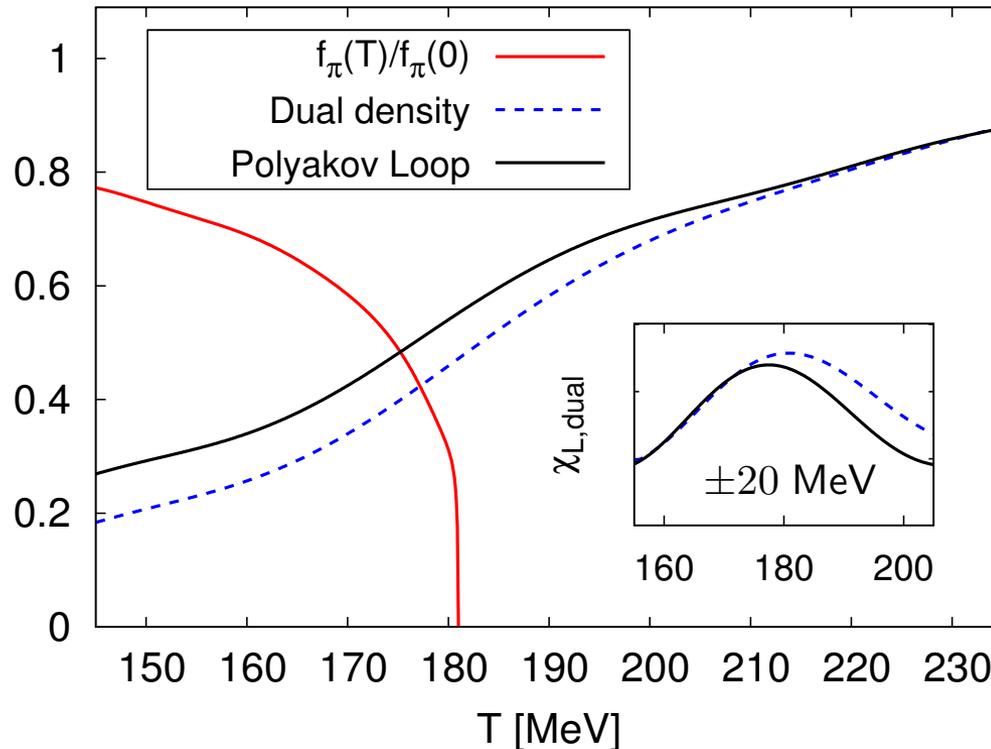
c.f., quark meson model:

Berges, Tetradis, Wetterich, Phys. Rept. 363 (2002) 223  
Schaefer, Wambach, Phys. Part. Nucl. 39 (2008) 1025

# Full Dynamical QCD, $N_f = 2$ , chiral limit

(a)  $a_0 = \frac{1}{T} \langle gA_0^3 \rangle_{\theta=0}, \mu = 0$

Braun, Haas, Marhauser, Pawłowski, arXiv:0908.0008



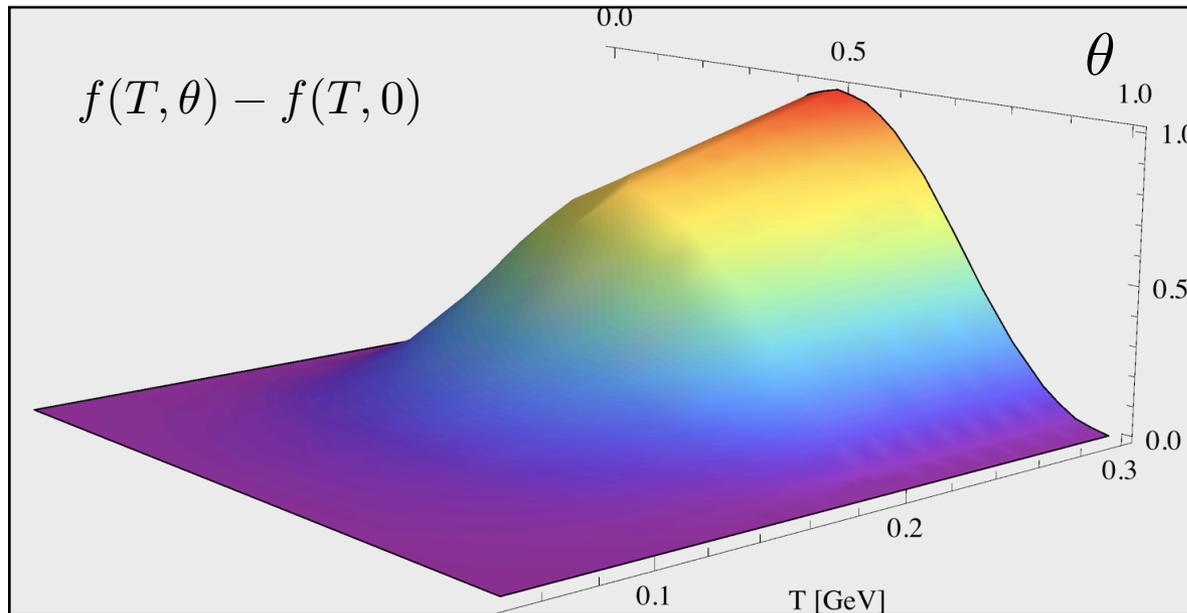
$T_{\text{chiral}} \approx T_{\text{conf}} \approx 180$  MeV

→ [Lisa Haas' talk](#)

# Full Dynamical QCD, $N_f = 2$ , chiral limit

Braun, Haas, Marhauser, Pawłowski, arXiv:0908.0008

- free energy difference:



change boundary conditions of confined quarks at no cost

# QCD<sub>θ</sub> – imaginary chemical potential

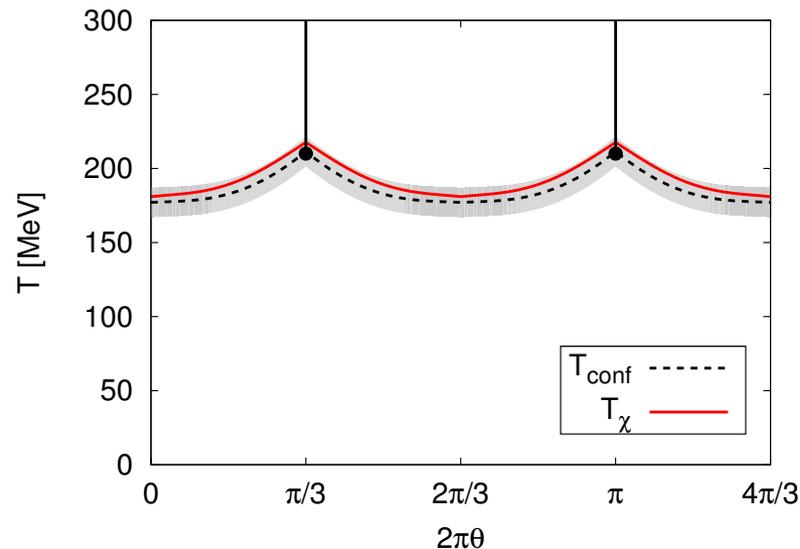
- Originally from lattice  
no sign problem

de Forcrand, Philipsen, Nucl. Phys. B 642 (2002) 290  
D'Elia, Lombardo, Phys. Rev. D 67 (2003) 014505  
Kratochvila, de Forcrand, Phys. Rev. D 73 (2006) 114512  
Wu, Luo, Chen, Phys. Rev. D 76 (2007) 034505

- Here

(b) QCD<sub>θ</sub>,  $\mu = 2\pi i T\theta$

Braun, Haas, Marhauser, Pawłowski, arXiv:0908.0008



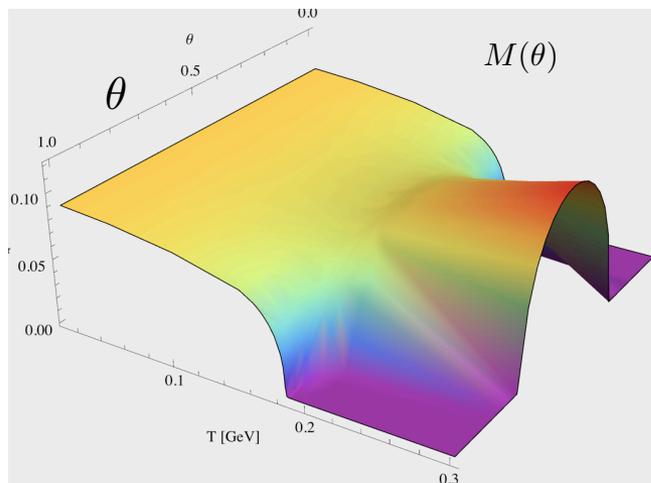
→ Lisa Haas' talk

# Dual Observables vs. Chiral Transition in QCD<sub>θ</sub>

- Quark mass parameter

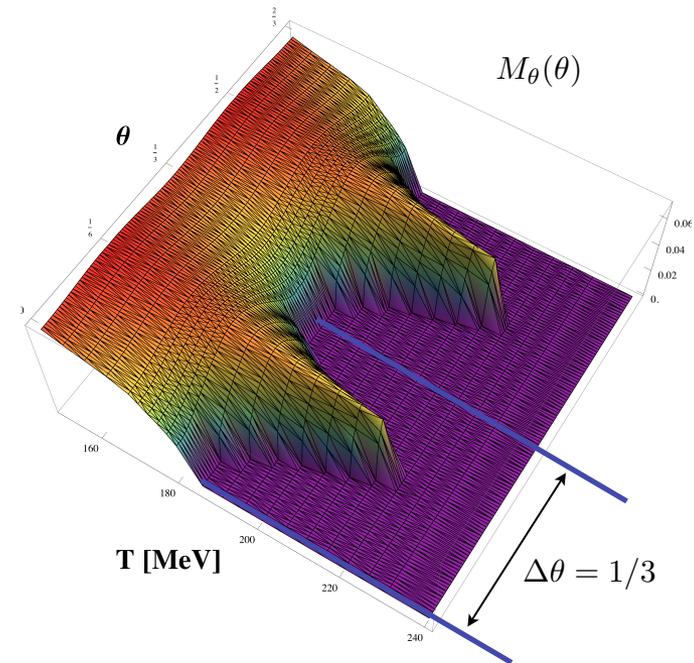
(a) dual mass, confinement

$$\widetilde{M} = \int_0^1 d\theta M(\theta) e^{-2\pi i\theta}$$



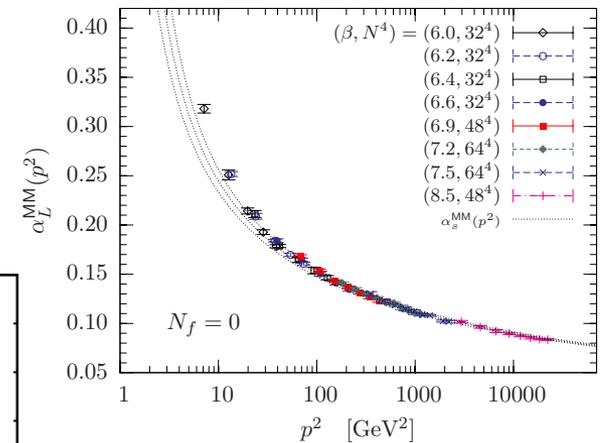
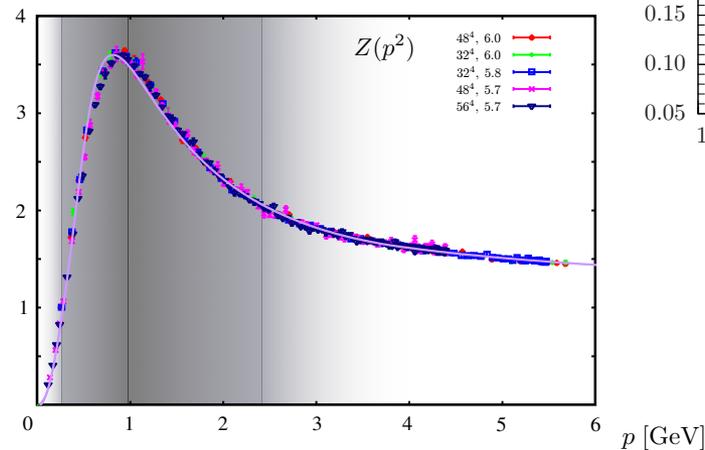
(b) mass in QCD<sub>θ</sub>, chiral symmetry breaking

$$M_\theta(\theta + 1/3) = M_\theta(\theta)$$



# Summary & Outlook

- **Propagators and Running Coupling of Landau Gauge QCD**  
very well understood at  $T=0$ ,  
increasingly well at finite  $T$



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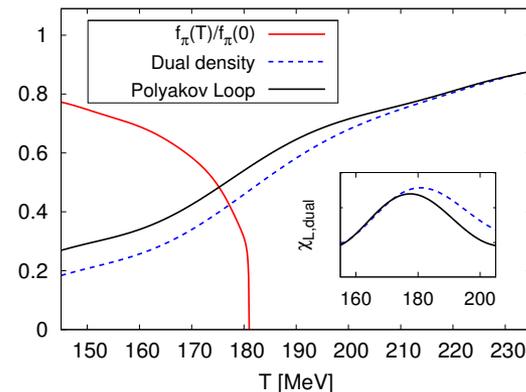
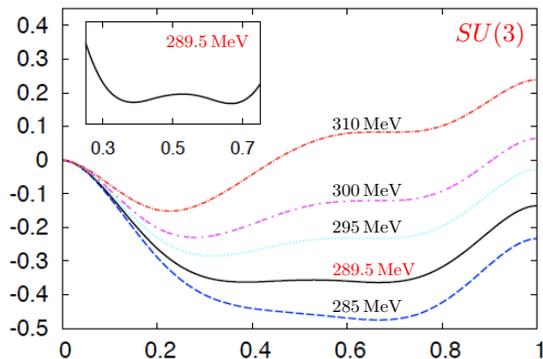
**The hard part done!**

- **1<sup>st</sup> Applications of range from:**

deconfinement phase transition  
of the pure gauge theory



deconfinement & chiral symmetry  
restoration in full QCD at zero  
and imaginary chemical potential



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**Thank You!**

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# Extra Sides

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## Some Additional Material

# Dyson-Schwinger Equations

Generating functional:

$$Z[j] = \langle \exp(j, \phi) \rangle$$

→ Green's functions

( $T = 0$  here)

$\langle A_\mu(x) A_\nu(y) \rangle :$

$$D_{\mu\nu}(p) = \frac{Z(p^2)}{p^2} \left( \delta_{\mu\nu} - \frac{p_\mu p_\nu}{p^2} \right)$$

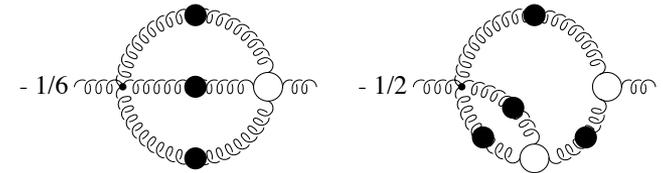
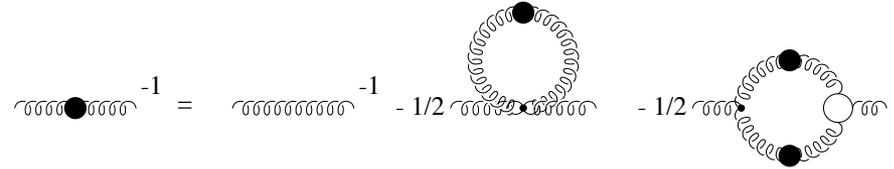
$\langle c(x) \bar{c}(y) \rangle :$

$$D_G(p) = -\frac{G(p^2)}{p^2}$$

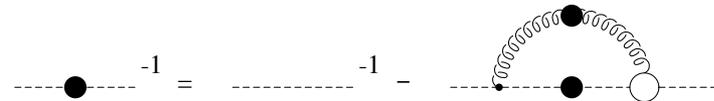
$\langle \psi(x) \bar{\psi}(y) \rangle :$

$$S(p) = \frac{Z_\psi(p^2)}{i\not{p} + M(p^2)}$$

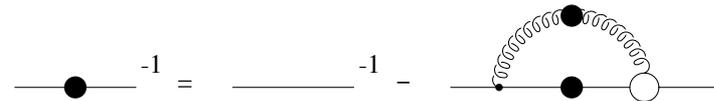
glue:



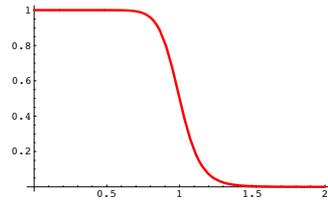
ghost:



quark:

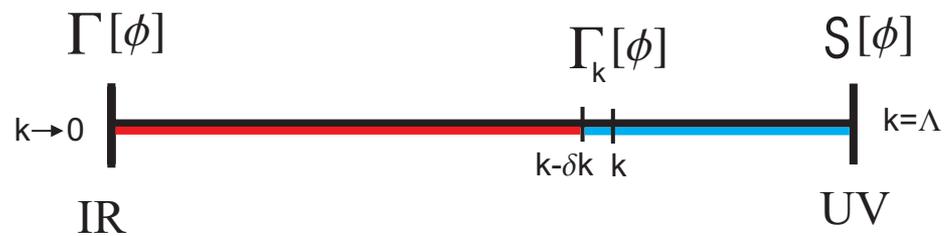


# Functional RG (Flow) Equations



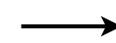
**Effective action:**  
**Legendre transform**

$$\Gamma[\phi_j] = (j, \phi_j) - \ln Z[j]$$



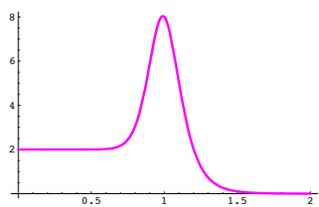
**1PI vertex functions**

$$\Gamma^{(n)}(x_1, \dots, x_n)$$



**free energy with**

$$\phi_j = \langle \phi \rangle_j$$



$$k \partial_k \Gamma_k[\phi] = \frac{1}{2} \left( \text{Diagram 1} - \text{Diagram 2} \right)$$

Wetterich, Phys. Lett. B 301 (1993) 90

# Functional RG (Flow) Equations

Landau gauge QCD propagators,

**glue:**  $k \partial_k \text{glue}^{-1} = - \text{diagram}_1 - \text{diagram}_2 + \frac{1}{2} \text{diagram}_3 + \frac{1}{2} \text{diagram}_4 - \frac{1}{2} \text{diagram}_5 + \text{diagram}_6$

**ghost:**  $k \partial_k \text{ghost}^{-1} = \text{diagram}_7 + \text{diagram}_8 - \frac{1}{2} \text{diagram}_9 + \text{diagram}_{10}$

# Generating Functional and Effective Action

**Fields:**  $\phi = \{A, c, \bar{c}, \psi, \bar{\psi}\}$

**Introduce sources:**  $Z[j] = \langle \exp(j, \phi) \rangle \longrightarrow$  **Green's functions**  
 $\langle \phi(x)\phi(y) \rangle, \langle \phi(x)\phi(y)\phi(z) \rangle, \dots$

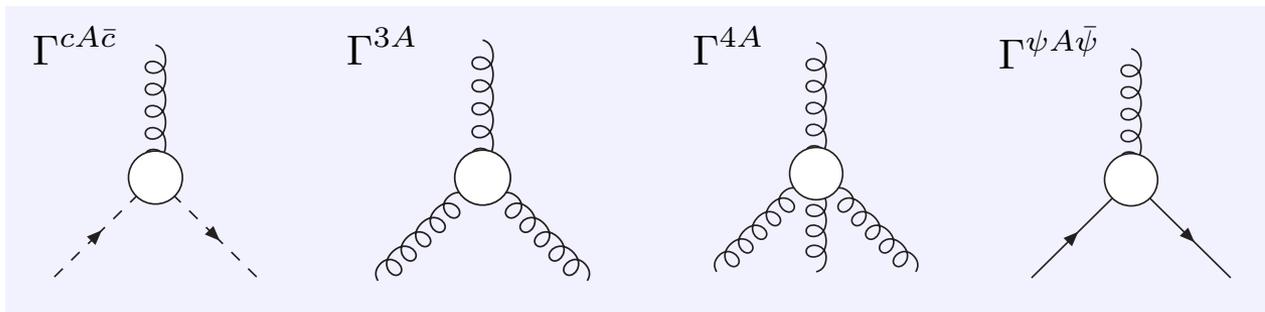
**Effective action:**  $\Gamma[\phi_j] = (j, \phi_j) - \ln Z[j] \longrightarrow$  **1PI vertex functions**

**Legendre transform**

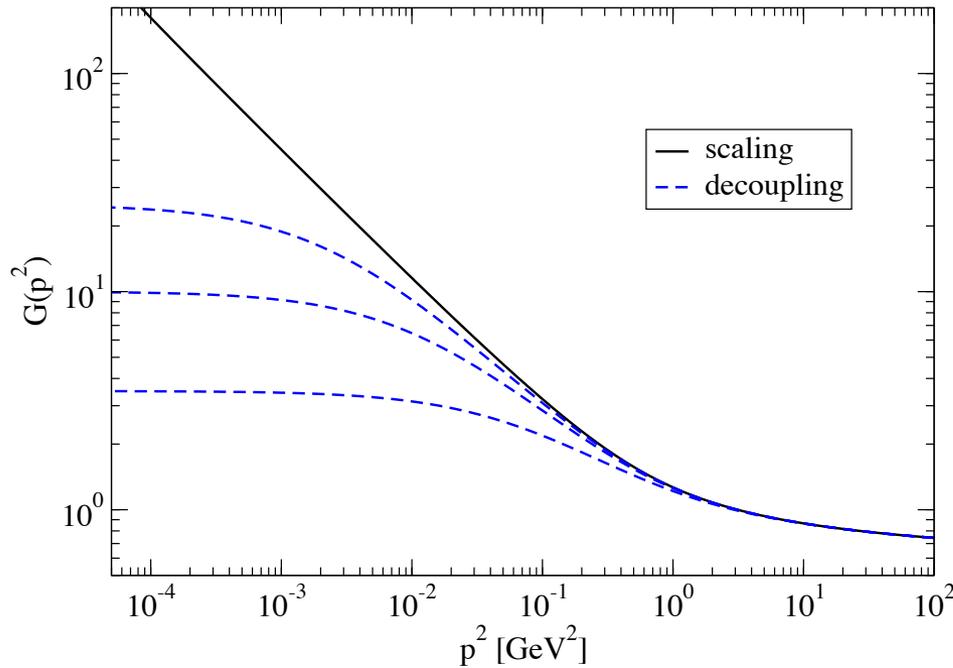
$\Gamma^{(n)}(x_1, \dots, x_n)$

$\longrightarrow$  **free energy with**

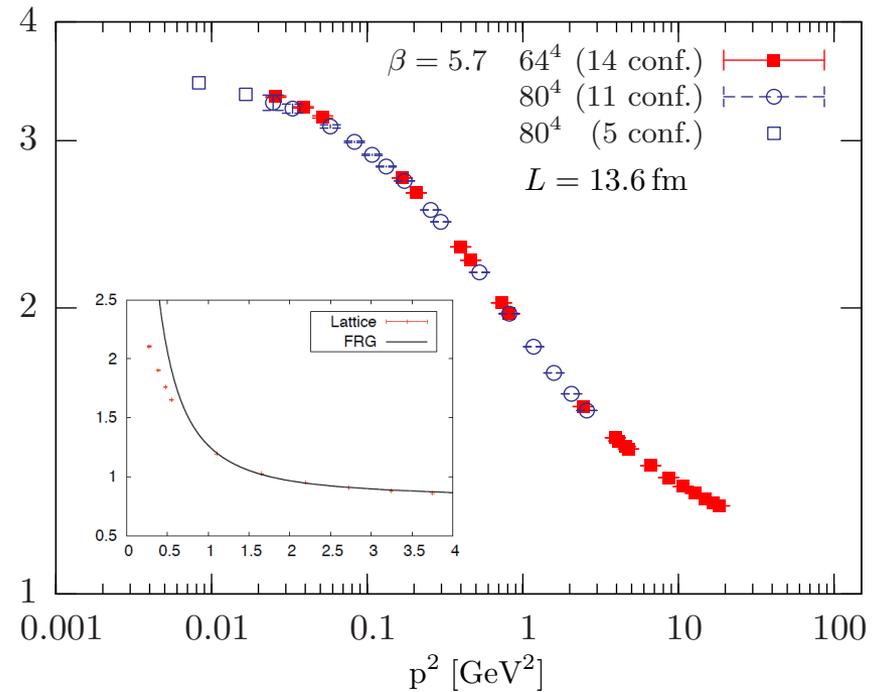
$\phi_j = \langle \phi \rangle_j$



# Ghost Propagator



Bogolubsky et al., Phys. Lett. B 676 (2009) 69

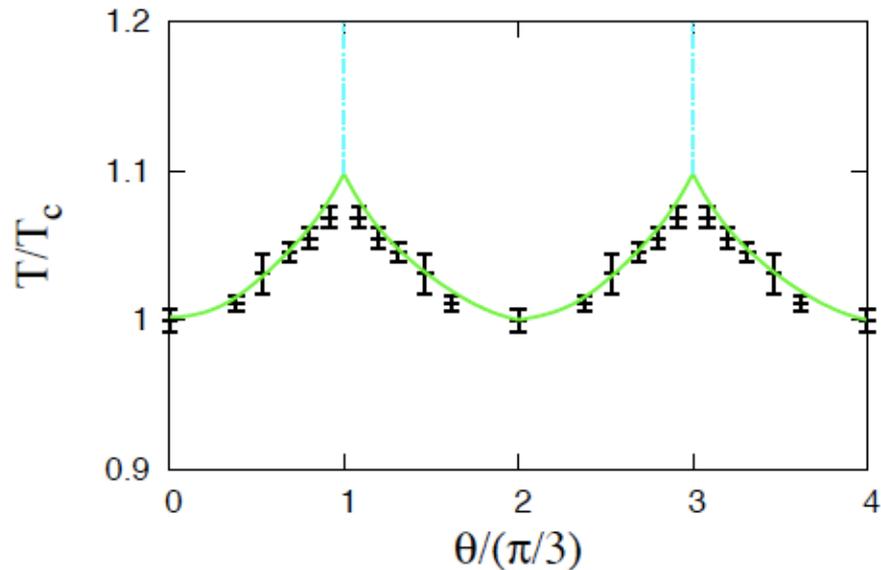


Fischer, Maas, Pawlowski, Annals Phys. 324 (2009) 2408

Maas, arXiv:0907.5185

# Imaginary Chemical Potential

- Polyakov-NJL model:



Sakai, Kashiwa, Kouno, Matsuzaki, Yahiro, Phys. Rev. D 79 (2009) 096001

**Lattice data:** Wu, Luo, Chen, Phys. Rev. D 76 (2007) 034505