

## 2.2 Homotopy

Motivation: Classification of maps  $f$

$$f: \mathcal{M}_{\text{space}} \longrightarrow \mathcal{M}_{\text{vacuum}}$$

$\Rightarrow$  Homotopy (equivalent modulo continuous deformations)

### 2.2.1 Fundamental group $\pi_1$

Definition of homotopy:

Let  $X, Y$  be smooth manifolds

and  $f$  is a smooth map  $f: X \rightarrow Y$

Homotopy  $F$ :

$$F: X \times I \longrightarrow Y \quad , I = [0, 1]$$

$$\text{with } \begin{array}{ccc} F(x, 0) & = & f(x) \\ \uparrow & & \uparrow \\ X & & I \end{array} \quad (2.27)$$

$f_t(x) = F(x, t)$  are homotopic to each other,  
in particular to  $f_0 = f$

in general

$f, g$  are homotopic,  $f \sim g$ , if they can be continuously deformed into each other

e.g.  $f, g: \mathbb{R}^n \rightarrow \mathbb{R}^n$       $! \mathbb{R}^n$  open  $!$

$$f(x) = x, \quad g(x) = x_0 \quad (2.28)$$

Homotopy  $F:$

$$F(x, t) = (1-t)x + tx_0$$

Remark: Spaces  $X$ , where the identity map  $\mathbb{1}_X$  and the constant map are homotopic, are called contractible.

Homotopically equivalent:

Definition: Spaces  $X$  and  $Y$  are homotopically equivalent if continuous maps  $f, g$  exist

$$f: X \rightarrow Y, \quad g: Y \rightarrow X$$

$$g \circ f \sim \mathbb{1}_X, \quad f \circ g \sim \mathbb{1}_Y \quad (2.29)$$

Example:

$$S^n = \left\{ \vec{x} \in \mathbb{R}^{n+1} \mid x_1^2 + \dots + x_{n+1}^2 = 1 \right\} \sim \mathbb{R}^{n+1} \setminus \{0\}$$

(2.30)

Definition of connectedness:

Definition of loop: closed path through  $x_0$  in  $X$ .

$$\alpha: I \rightarrow X \quad \text{with} \quad \alpha(0) = \alpha(1) = x_0$$

Product of loops: (def. of algebraic structure)

$$\alpha * \beta(t) = \begin{cases} \alpha(2t) & 0 \leq t \leq 1/2 \\ \beta(2t-1) & 1/2 \leq t \leq 1 \end{cases} \quad (2.31a)$$

inverse loop

$$\alpha^{-1}(t) = \alpha(1-t) \quad (2.31b)$$

constant loop

$$c_{x_0}(t) = x_0 \quad (2.31c)$$

Question: Is  $c_{x_0}(t)$  unit element? No.

$$c_{x_0} * c_{x_0}^{-1} \neq c_{x_0}, \text{ but } c_{x_0} * c_{x_0}^{-1} \sim c_{x_0} \rightarrow \text{Equiv. classes}$$

Definition: Two loops  $\alpha_{x_0}, \beta_{x_0}$  are homotopic,  $\alpha_{x_0} \sim \beta_{x_0}$ , if  $H$  exists with

$$H: I \times I \rightarrow X \quad \begin{matrix} \alpha \\ \beta \\ x_0 \end{matrix} \quad (2.32)$$

with

$$F(s, 0) = \alpha_{x_0}(s), \quad 0 \leq s \leq 1 \quad \circ_{x_0}$$

$$F(s, 1) = \beta_{x_0}(s), \quad 0 \leq s \leq 1 \quad \circ_{x_0}$$

and  $F(0, t) = F(1, t) = x_0 \quad 0 \leq t \leq 1 \quad x_0 \text{ fixed}$

(2.33)

Definition of fundamental group  $\pi_1$

$\pi_1(X, x_0)$  is the set of equivalence classes (homotopy classes)  $[\alpha]$  of loops through  $x_0$ .

with

$$[\alpha_{x_0}] * [\beta_{x_0}] := [\alpha_{x_0} * \beta_{x_0}] \quad (2.34)$$

Remarks: (i). reflexivity of  $\sim$

- symmetry
- transitivity

⇒ group structure: (1)  $([\alpha] * [\beta]) * [\gamma] = [\alpha] * ([\beta] * [\gamma])$

(2)  $[\alpha_x] * [c_x] = [c_x] = [c_x] * [\alpha_x]$

(3)  $[\alpha] * \underbrace{[\alpha^{-1}]}_{[\alpha]^{-1}} = [c_x]$  (2.35)

(ii)  $X$  arc wise connected

isomorphic  
↓

⇒  $\boxed{\pi_1(X, x_0) \cong \pi_1(X, x_1)}$   $\forall x_0, x_1 \in X$

(2.36)

⇒  $= \pi_1[X]$

(iii) The fundamental groups of homotopically equivalent spaces are isomorphic:  $X \sim Y$

⇒  $\boxed{\pi_1[X] \cong \pi_1[Y]}$  (arcwise connected) (2.37)

$\boxed{\pi_1[X, x_0] \cong \pi_1[Y, f(x_0)]}$  in general (2.38)

$f : X \rightarrow Y$   
 $g : Y \rightarrow X$   
 $f \circ g \sim \mathbb{1}_Y, g \circ f \sim \mathbb{1}_X$

# Examples & extensions

1) Def.: A topological space  $X$  is simply connected, if any loop in  $X$  can be continuously shrunk to a point

$$\Rightarrow \pi_1(X) = 0 \quad (2.39)$$

e.g.  $\mathbb{R}^n$ , counterexamples,  $S^1, T^2 = S^1 \times S^1, \dots, T^n$

## 2) Abelian Higgs model

$$\begin{array}{ccc} \partial \mathcal{M}_{space} & \rightarrow & \mathcal{M}_{vacuum} \\ \downarrow \cong & & \downarrow \cong \\ S^1 & \longrightarrow & S^1 \quad (u(1)) \end{array}$$

$$\left[ S^1 \longrightarrow U(1) \text{ gauge group} \right]$$

$$(2.40)$$

$$\Rightarrow \pi_1(S^1) = \mathbb{Z}$$

$$\begin{aligned} \text{Map } \chi: S^1 &\rightarrow S^1 \\ e^{i\theta} &\rightarrow e^{i\chi(\theta)} \end{aligned}$$

$$\text{or } \theta \rightarrow \chi(\theta) \quad \text{in the Lie-algebra}$$

$$(2.41)$$

Summary:

Def of fundamental group  $\pi_1(X)$

via equivalence classes of maps  $\alpha$

$$\alpha: [0, 1] \rightarrow X$$

$$\alpha(0) = \alpha(1)$$

$$\Rightarrow \alpha: S^1 \rightarrow X$$

Def. of algebraic structure

$$\alpha * \beta \quad \text{see page 43, eq. (2.31)}$$

$$\alpha^{-1}, e = \text{const. loop}$$

Exo.

$$\pi_1(S^1) = \mathbb{Z} \quad \text{p. 46}$$

Map:  $F(\theta, t) = (1-t)\chi(\theta) + t\theta \frac{\chi(2\pi)}{2\pi}$

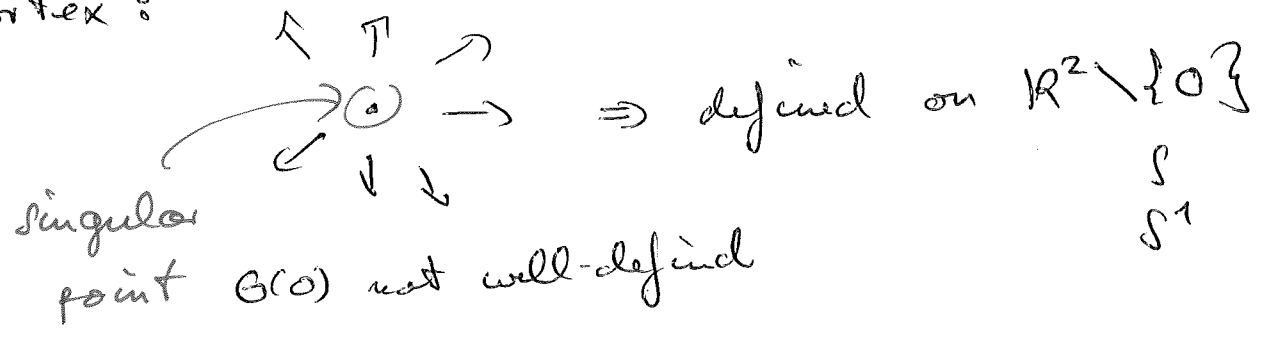
with  $F(0, t) = \chi(0) = 0$

$F(2\pi, t) = \chi(2\pi)$  (2.42)

is homotopy

$\Rightarrow \boxed{\chi(\theta) \sim m\theta}$   
 $\uparrow$  Integers  $\Rightarrow \pi_1(S^1) \cong \mathbb{Z}$   
 ↗ Defect (2.43)

Vortex:

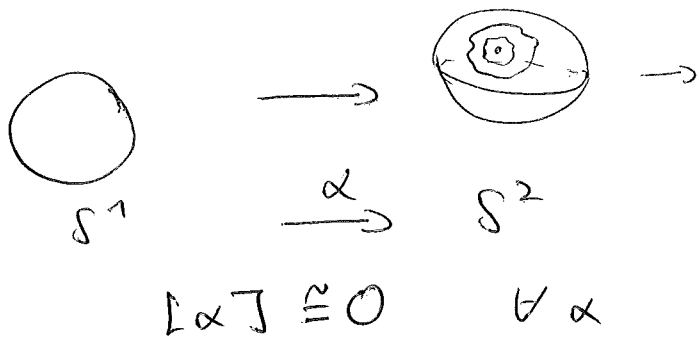


is stable, as it cannot decay into the vacuum ( $\int \alpha \sim 0$ ).

higher dimensions:  $\mathbb{R}^n \setminus \{0\} \sim S^{n-1}$  point-defect

e.g.  $\mathbb{R}^3 \setminus \{0\} \sim S^2$

loop on  $S^2$





$$\Rightarrow \pi_1(S^2) = 0$$

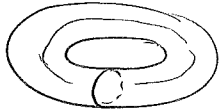
general  $\pi_1(S^n) = 0 \quad n \geq 2$  (2.43)

$n$ -spheres with  $n > 1$  are simply connected

$X, Y$  arc wise connected

$$\Rightarrow \pi_1(X \times Y) \cong \pi_1(X) \oplus \pi_1(Y)$$

(2.44)

e.g. torus  $T^2 = S^1 \times S^1$    $\rightarrow$  p. 51

$$\pi_1(T^2) = \pi_1(S^1 \times S^1) = \pi_1(S^1) \oplus \pi_1(S^1) = \mathbb{Z} \oplus \mathbb{Z}$$

4.)  $SU(2)$  weak gauge group, QCD - 'model' Hoony

$U \in SU(2) : u = a_0 \sigma_0 + i \vec{\sigma} \vec{a}$  with  $a_0^2 + \vec{a}^2 = 1$  (2.45)

$uu^\dagger = a_0^2 + \vec{a}^2 = 1$

$\Rightarrow SU(2) \simeq S^3$

$\mathfrak{su}(2) = \{e\}$

$\sigma_0 = \mathbb{1}_2$   
 $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$   
 $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$   
Lie-algebra  
 $[\sigma_i, \sigma_j] = i \epsilon_{ijk} \sigma_k$

5.)  $SO(3)$  rotations in  $\mathbb{R}^3 : \vec{x} \rightarrow R \vec{x} = \vec{x}$

$R \in SO(3) \quad R R^T = \mathbb{1}$  characterised by

Lie-algebra  $[L_i, L_j] = i \epsilon_{ijk} L_k$  Euler-angles

Alternatively:  $\hat{X} = \vec{x} \cdot \vec{\nabla} \quad , \quad \hat{R} = a(\hat{x}) \sigma_0 + i \vec{\sigma} \vec{a}(\hat{x})$   
 $\in SU(2)$

$\hat{X}^R = \hat{R}^\dagger \hat{X} \hat{R}$  (2.46)

constitutes a rotation of  $\vec{x}$ :

$x_i^R = \sum_j \sigma_{ij} \hat{X}^R$  (2.47)

$\Rightarrow$  Exercise: Translation in Euler-angles

$SL(2, \mathbb{C})$  vs  $SO(1,3)$

However:  $\hat{R}, \pm \hat{R}$  induce the same rotation 50

$$\hat{x}^{\pm R} = \hat{x}^R \left( = (\pm)^2 \hat{R}^{\dagger} \hat{x}^R \right) \quad (2.48)$$

$$\Rightarrow SO(3) \cong SU(2)/\mathbb{Z}_2 \leftarrow \text{center of } SU(2)$$

$$\text{Center of } G : \{u \in G \mid u^{-1}gu = g \forall g \in G\}$$

$$\Rightarrow \pi_1(SO(3)) = \pi_1(SU(2)/\mathbb{Z}_2) = \mathbb{Z}_2$$

$$\left[ \quad = \pi_0(\mathbb{Z}_2) = \mathbb{Z}_2 \quad \right]$$

↑ connectedness

$$(2.49)$$

⇒ higher (lower) homotopy groups  
topological groups

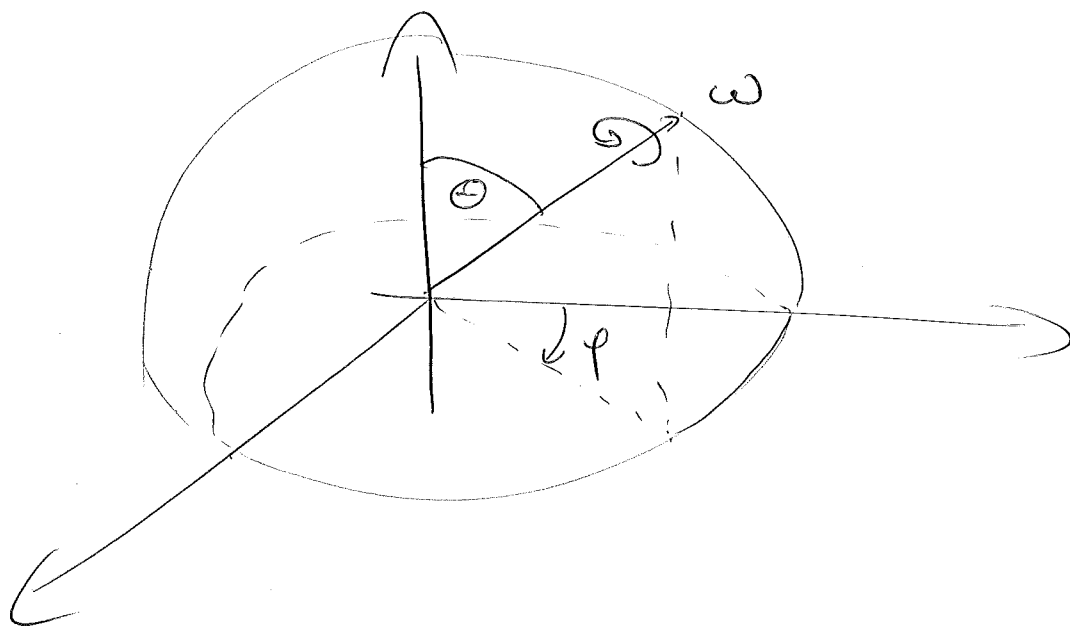
$SU(2)$  is the universal covering group of  $SO(3)$

Def.:  $(\tilde{X}, p)$  is covering space of  $X$  if

(1)  $f: \tilde{X} \rightarrow X$  surjective

(2) for each  $x \in X$  there is an open set  $U \subset X$  with  $p^{-1}(U)$  is disjoint union of open sets in  $\tilde{X}$ , each homeomorphic to  $U$

Universal covering space:  $\tilde{X}$  simply connected

$S^3$ 

$$\rho \in [0, 2\pi]$$

$$\theta \in [0, \pi/2]$$

$$\omega \in [-\pi/2, \pi/2]$$

Remarks

Univ. covering groups of  $SO(n)$  : Spin groups

$$\text{Spin}(SO(3)) \cong SU(2)$$

$$\text{Spin}(SO(4)) \cong SU(2) \times SU(2)$$

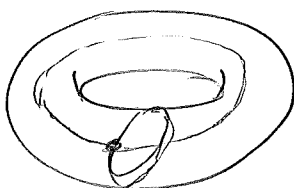
↑  
↑  
mutually equivalent

In Minkowski space : univ. covering group of Lorentz gr.

$$SL(2, \mathbb{C})$$

# 6.) Fundamental group of tori

$$T^n = \underbrace{S \times S \times \dots \times S}_{n \text{ times}}$$

$$T^2 = S \times S$$


2 fundamental cycles

$$\pi_1(T^2) \cong \pi_1(S) \oplus \pi_1(S) = \mathbb{Z} \oplus \mathbb{Z}$$

$$\pi_1(T^n) \cong \pi_1(S) \oplus \dots \oplus \pi_1(S) = \mathbb{Z} \oplus \dots \oplus \mathbb{Z}$$

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in general:

$$\pi_1(X \times Y) = \pi_1(X) \oplus \pi_1(Y)$$

see p. 48  
eq. (2.44)