

4.2 Generating functional

The generating functional, or here, the expectation value of observables are, in analogy to scalars and fermions, formally given by

$$\langle \hat{O}[A] \rangle = \frac{\int \mathcal{D}A \hat{O}[A] e^{-S[A]}}{\int \mathcal{D}A e^{-S[A]}} \quad (4.19)$$

However, due to $\hat{O}[A^U] = \hat{O}[A]$ for observables, ^{pure YM}

$\mathcal{D}A^U = \mathcal{D}A$ and $S[A^U] = S[A]$, the integral carries an infinite-dimensional redundancy.

Trivial example: $U(1)$ gauge theory

$$\begin{aligned} \text{with } S_{U(1)}[A] &= \frac{1}{4} \int d^d x F_{\mu\nu} F_{\mu\nu} \\ &= \frac{1}{2} \int d^d x (\partial_\mu A_\nu \partial_\nu A_\mu - \partial_\mu A_\nu \partial_\nu A_\mu) \end{aligned} \quad (4.20)$$

free theory

We write $A_\nu = A_\nu^{gf} u$ with A_ν^{gf} (4.21)

satisfies some gauge. To be specific, let's take Landau gauge:

$$\partial_\nu A_\nu^{gf} = 0 \quad (4.22)$$

$$\text{and } A_\nu^{gf} u = A_\nu^{gf} - \frac{1}{g} \partial_\nu w$$

We perform a change of variables:

$$A_\nu \rightarrow (A_\nu^{gf}, w) \quad (4.23)$$

and hence

$$DA = D A_\nu^{gf} \cdot Dw \cdot J \quad (4.24)$$

with Jacobi determinant J . We implement eq. (4.24) by inserting a 1: $u = e^{i\omega}$

$$1 = \Delta_{\mathcal{F}}[A] \int Dw \delta[\mathcal{F}[A^{u(\omega)}]]$$

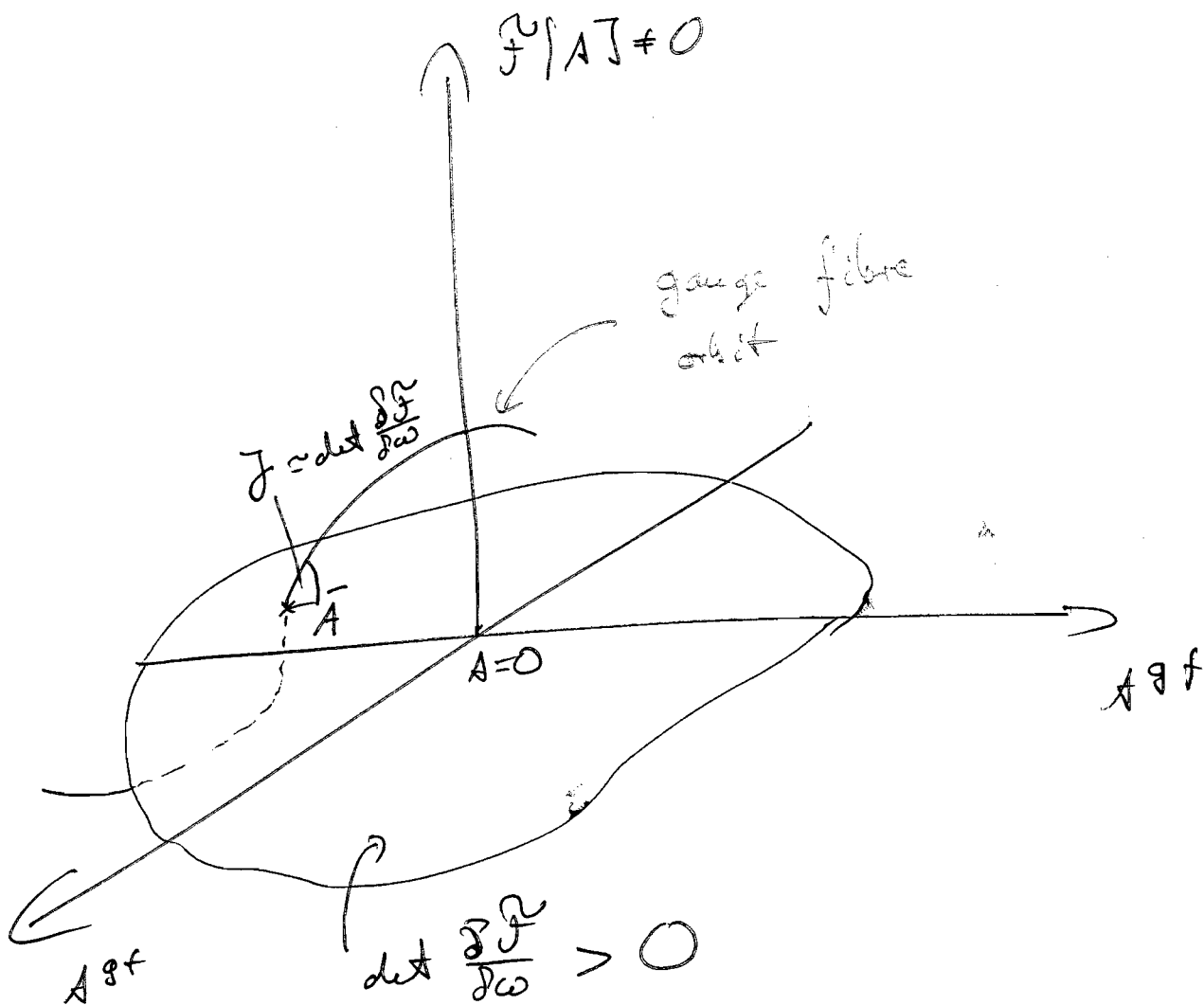
with

$$\mathcal{F} = \partial_\nu A_\nu \quad (4.25)$$

Note that

$$\Delta_{\mathcal{F}}[A] = (\int Dw \delta[\mathcal{F}(A^u)])^{-1}$$

Faddeev-Popov
trick



$\Delta \mathcal{F}[A]$ is gauge invariant by definition,

as
$$\int \mathcal{D}\omega \delta[\mathcal{F}[A^V]^{u(\omega)}], \quad V = e^{i\omega_\nu}$$

$$= \int \mathcal{D}\omega \delta[\mathcal{F}[A^{V \cdot u(\omega)}]] = \int d\omega \delta[\mathcal{F}[A^{u(\omega')}]]$$

$$\stackrel{\uparrow}{=} \int \mathcal{D}\omega \delta[\mathcal{F}[A^{u(\omega)}]] \quad \omega' = \omega + \omega_V \quad (4.26)$$

$$\mathcal{D}\omega' = \mathcal{D}\omega$$

$$\delta[\mathcal{F}[A^{u(\omega_0)}]] = 0$$

We use that

$$\delta[\mathcal{F}[A^{u(\omega)}]] \stackrel{\uparrow}{=} \frac{1}{\left| \det \frac{\delta \mathcal{F}}{\delta \omega} \right| (A^{u(\omega_0)})} \delta[\omega - \omega_0] \quad (4.27)$$

assuming unique
solution ω_0

and hence

$$\Delta \mathcal{F}[A] = \left| \det \frac{\delta \mathcal{F}}{\delta \omega} \right| (A^{u(\omega_0)}) \quad (4.28)$$

Faddeev-Popov det.

In Landau gauge:

$$\mathcal{F}[A^{u(\omega)}] = \partial_\nu A_\nu - \frac{1}{g} \partial_\nu \partial_\nu \omega \quad (4.29)$$

It follows that

$$g \frac{\delta \mathcal{F}}{\delta \omega}(x, y) = -\partial_\nu \partial_\nu \delta^d(x-y) \quad (4.30)$$

and the normalisation $\Delta_g[A]$ follows as

$$\Delta_{g, A, \nu}[A] \approx |\det(-\partial_\nu \partial_\nu)| \quad (4.31)$$

$$= \det(-\partial_\nu \partial_\nu)$$

↑
- $\partial_\nu \partial_\nu > 0$

Finally we have for observables, eq. (4.19),

$$\langle \hat{O} \rangle = \frac{\int \mathcal{D}A \hat{O}[A] e^{-S_{\text{cl}}[A]}}{\int \mathcal{D}A e^{-S_{\text{cl}}[A]}} \quad (4.32)$$

$$= \frac{\int \mathcal{D}A \mathcal{D}\omega \Delta_g[A] \delta[\mathcal{F}[A^u]] \hat{O}[A] e^{-S_u}}{\int \mathcal{D}A \mathcal{D}\omega \Delta_g[A] \delta[\mathcal{F}[A^u]] e^{-S_{\text{cl}}}}$$

$$= \frac{\int \mathcal{D}\bar{A} \mathcal{D}\omega \Delta_g[\bar{A}] \delta[\mathcal{F}[\bar{A}]] \hat{O}[\bar{A}] e^{-S_{\text{cl}}}}{\int \mathcal{D}\bar{A} \mathcal{D}\omega \Delta_g[\bar{A}] \delta[\mathcal{F}[\bar{A}]] e^{-S_{\text{cl}}}}$$

with $A = \bar{A}^{u^{-1}}$ and $\mathcal{D}\bar{A}^{u^{-1}} = \mathcal{D}\bar{A}$, $\Delta_g[\bar{A}^{u^{-1}}] = \Delta_g[\bar{A}]$,

$S_{\text{cl}}[\bar{A}^{u^{-1}}] = S_{\text{cl}}[\bar{A}]$ and $\mathcal{F}[\bar{A}^{u^{-1}u}] = \mathcal{F}[\bar{A}]$.

In summary

$$\langle \hat{O} \rangle = \frac{\int \mathcal{D}A \delta[\mathcal{F}(A)] \Delta_{\mathcal{F}}[A] \hat{O}[A] e^{-S_{\text{un}}[A]}}{\int \mathcal{D}A \delta[\mathcal{F}(A)] \Delta_{\mathcal{F}}[A] e^{-S_{\text{un}}[A]}} \quad (4.33)$$

Average gauges: instead of eq. (4.25) use

$$\text{const.} = \int \mathcal{D}\omega \mathcal{D}\ell \left| \det \frac{\delta \mathcal{F}}{\delta \omega} \right| (A^u) \delta[\mathcal{F}(A^u) - \ell] e^{-\frac{1}{2\xi} \int d^d x \ell(x)^2}$$

$\left\{ \frac{\delta \mathcal{F}}{\delta \omega} \right\}_{\omega=0} (A^u)$

Everything follows accordingly, only $\omega_0 = \omega_0(\ell)$

However, $\omega_0(\ell)$ drops out, and we arrive at

$$\langle \hat{O} \rangle = \frac{1}{N} \int \mathcal{D}A \mathcal{D}\ell \left| \det \frac{\delta \mathcal{F}}{\delta \omega} \right| (A) \delta[\mathcal{F}(A) - \ell] e^{-\frac{1}{2\xi} \int \ell^2} \cdot \hat{O}[A] e^{-S_{\text{un}}[A]}$$

$$= \frac{1}{N} \int \mathcal{D}A \hat{O}[A] \left| \det \frac{\delta \mathcal{F}}{\delta \omega} \right| (A) e^{-\left(S_{\text{un}}[A] + S_{\text{gf}}[A] \right)}$$

with

$$S_{\text{gf}}[A] = \frac{1}{2\xi} \int d^d x \mathcal{F}(A)^2 \quad (4.34)$$

Remarks:

(i) $\det \frac{\delta \mathcal{F}}{\delta \omega}$ does not depend on the gauge field for $U(1)$ in linear gauges,
 $\mathcal{F} = l_\nu A_\nu$ with $l_\nu = \partial_\nu, n_\nu, \dots$
 \uparrow const. vector
 and can be dropped.

(ii) The final action

$$S[A] = S_{\text{can}}[A] + \frac{1}{2\xi} \int d^d x (\partial_\nu A_\nu)^2 \quad (4.36)$$

is that used in QFT I in the

Gupta-Bleuler quantisation.

(iii) Generating functional:

$$Z[J] = \int \mathcal{D}A \left| \det \frac{\delta \mathcal{F}}{\delta \omega} \right| e^{-S_{\text{can}}[A] - \frac{1}{2\xi} \int_x (\partial_\nu A_\nu)^2} \cdot e^{\int_x J_\nu A_\nu} \quad (4.37)$$

is gauge-dependent, but

$$\langle \hat{O} \rangle = \frac{1}{Z[J]} \left(\hat{O} \left[\frac{\delta}{\delta J} \right] Z[J] \right)_{J=0} \text{ are not!}$$

Non-Abelian case: the derivation in the $U(1)$ case holds true. None of the steps was specific to $U(1)$. However, the FP-det is gauge field-dep. up to det η $\mathcal{F}(A) - \mathcal{C} = 0$

$$\Delta_{\mathcal{F}}[A] = \left| \det \frac{\delta \mathcal{F}}{\delta \omega} \right|_{\omega=\omega_0} \simeq \left| \det \mathcal{M} \right| [\bar{A}]$$

with

$$\begin{aligned} \mathcal{M}^{ab}(x, y) &= g \left. \frac{\delta \mathcal{F}^a(A(x))}{\delta \omega^b(y)} \right|_{\omega=0} = g \frac{\delta \mathcal{F}^a(A - \frac{1}{g} D\omega)}{\delta \omega^b(y)} \\ &= - \int_z \frac{\delta \mathcal{F}^a(A(x))}{\delta A_\nu^c(z)} \frac{\delta D_{\nu, z}^{cd} \omega^d(z)}{\delta \omega^b(y)} \\ &= - \int_z \frac{\delta \mathcal{F}^a(A(x))}{\delta A_\nu^c(z)} D_{\nu, z}^{cb} \delta^d(z-y) \end{aligned} \quad (4.38)$$

In Lorentz gauge: $\mathcal{M}^{ab}(x, y) \simeq -\partial_\nu D_\nu^{ab} \delta^d(x-y)$

We also have, see p. 76, eq. (4.34)

$$\begin{aligned} \Delta_{\mathcal{F}}[A] \delta[\mathcal{F}(A) - \mathcal{C}] &= \left| \det \mathcal{M} \right| [A] \delta[\mathcal{F}(A) - \mathcal{C}] \\ &= \left| \det \mathcal{M} \right| [A] \delta[\mathcal{F}(A) - \mathcal{C}] \end{aligned}$$

$$\text{with } \mathcal{M} = -\frac{\delta \mathcal{F}}{\delta A_\nu} \cdot D_\nu \quad (4.39)$$

Finally

$$Z[J] \approx \int \mathcal{D}A \left| \det \left(-g \frac{\delta^2 \mathcal{F}}{\delta A_\nu} \cdot \mathcal{D}_\nu \right) \right| (A) e^{-S[A] + \int d^d x J_\nu^a A_\nu^a} \quad (4.40)$$

with

$$S[A] = S_{\text{YM}}[A] + \frac{1}{2\xi} \int d^d x F^a(A) F^a(A)$$

Example: $F^a(A) = \partial_\nu A_\nu^a$ $S_{\text{gf}}[A]$
(4.41)

$$\Rightarrow S_{\text{gf}}[A] = \frac{1}{2\xi} \int_x (\partial_\nu A_\nu^a)^2$$

FP-det:

$$\begin{aligned} \det \left(-g \frac{\delta^2 \mathcal{F}}{\delta A_\nu} \mathcal{D}_\nu \right) &= \det \left[-\partial_\nu \mathcal{D}_\nu \delta^d(x-y) \right] \\ &= \det(-\partial_\nu \mathcal{D}_\nu) \quad (4.42) \end{aligned}$$

Assume now that $\mathcal{F}(A)$ has one solution,

(does not hold for suff. smooth gauge (Gibbs probl.)),

and that $\mathcal{D}_\nu \frac{\delta \mathcal{F}}{\delta A_\nu}$ is positive (does not hold for ...)

Then we can use

$$\begin{aligned}
 \left| \det \left(g \frac{\delta \mathcal{F}}{\delta A_\nu} \cdot \mathcal{D}_\nu \right) \right| &= \det \left(-g \frac{\delta \mathcal{F}}{\delta A_\nu} \cdot \mathcal{D}_\nu \right) \\
 &= \int \mathcal{D}c \mathcal{D}\bar{c} e^{-S_{gh}[C, \bar{C}, A]} \quad (4.43)
 \end{aligned}$$

fermionic ghost \nearrow

with

$$S_{gh}[C, \bar{C}, A] = \int d^4x d^4y \bar{C}^a(x) g \frac{\delta \mathcal{F}^a}{\delta A_\nu^c} \mathcal{D}_\nu^{cb} C^b(y)$$

For Lorentz gauge:

$$S_{gh}[C, \bar{C}, A] = \int d^4x \bar{C}^a \partial_\nu \mathcal{D}_\nu^{ab} C^b \quad (4.44)$$

Comments:

(i) the ghost has 'negative' propagator

$$G_c(p) = -\frac{1}{p^2} \delta^{ab} \quad (4.45)$$

(ii) the ghost does not obey the spin-statistics

theorem

In summary,

$$Z[\mathcal{J}, \eta, \bar{\eta}] = \int \mathcal{D}A \mathcal{D}C \mathcal{D}\bar{C} e^{-S[A, C, \bar{C}]} \cdot e^{\int_x (\mathcal{J}_\nu^a A_\nu^a + \bar{\eta} C - \bar{C} \eta)} \quad (4.46)$$

with $\mathcal{F}(A) = \partial_\nu A_\nu^a$

$$S[A, C, \bar{C}] = S_{YM}[A] + S_{gh}[C, \bar{C}, A] + S_{gf}[A]$$

$$S_{YM}[A] = \frac{1}{4} \int_x F_{\nu\rho}^a F_{\nu\rho}^a \quad (4.47)$$

$$S_{gh}[C, \bar{C}, A] = \int_x \bar{C}^a \partial_\nu D_\nu^{ab} C^b$$

$$S_{gf}[A] = \frac{1}{2\xi} \int_x (\partial_\nu A_\nu^a)^2$$

and $F_{\nu\rho}^a$ given in eq. (4.11),

$$F_{\nu\rho}^a = \partial_\nu A_\rho^a - \partial_\rho A_\nu^a - g f^{abc} A_\nu^c A_\rho^b \quad (4.48)$$

For a general gauge $\mathcal{F}^a(A)$ we have

$$S_{gh} = \int \bar{C} \partial_\nu \frac{\delta \mathcal{F}}{\delta A_\nu^i} C, \quad S_{gf} = \frac{1}{2\xi} \int \mathcal{F}^a{}^2 \quad (4.49)$$

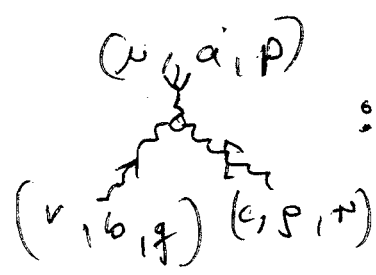
Feynman rules : (in Lorentz gauge)

Propagators :

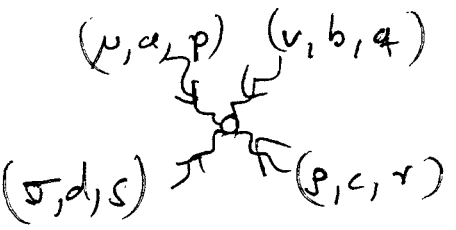
gluon: $\begin{array}{c} a \\ \curvearrowright \\ \nu \\ \text{---} \\ p \\ \curvearrowleft \\ b \\ \nu \end{array} : \frac{1}{p^2} \left(\delta_{\nu\sigma} - \frac{p_\nu p_\sigma}{p^2} (1 - \xi) \right) \delta^{ab}$ (4.50a)

ghost: $\begin{array}{c} a \\ \text{---} \\ p \\ \text{---} \\ b \end{array} : -\frac{1}{p^2} \delta^{ab}$ (4.50b)

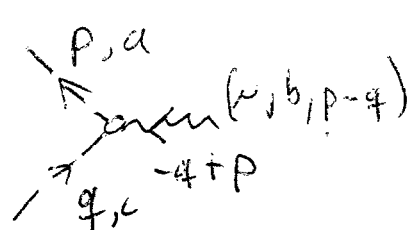
Vertices :



(ν, a, p)
 (ν, b, q) (σ, c, r) : $ig f^{abc} \cdot (\delta_{\nu\sigma} (p-q)_\rho + \delta_{\nu\rho} (q-r)_\nu + \delta_{\rho\sigma} (r-p)_\nu)$ (4.51a)



(ν, a, p) (ν, b, q)
 (σ, d, s) (ρ, c, r) : $-g^2 f^{abe} f^{cde} (2\eta)^d \delta^d / (p+q+r+s)$
 $\cdot (\delta_{\nu\sigma} \delta_{\rho\tau} - \delta_{\nu\tau} \delta_{\rho\sigma})$
+ cycl. perms in $\nu\sigma\tau$ (4.51b)



$(\nu, b, p-q)$
 $(\rho, c, -q+p)$: $-g f^{abc} p_\nu$ (4.52)

Gauge invariance: the generating functional in eq. (4.46) is built upon the gauge-fixed action eq. (4.47). How does gauge-invariance manifest itself?

(i) Consider observable $\langle \hat{O} | A \rangle$ with
 $\hat{O} | A^u \rangle = \hat{O} | A \rangle$: (4.53)

$$\frac{1}{N} \int \mathcal{D}A \hat{O} | A \rangle e^{-\frac{S[A]}{\hbar}} = \frac{1}{N} \int \mathcal{D}A \hat{O} | A^u \rangle e^{-\frac{S[A]}{\hbar}}$$

More generally: $f(A^u) \neq f(A)$ ($\langle f(A) \rangle$ no observ.)

$$\begin{aligned} \frac{1}{N} \int \mathcal{D}A f(A^u) e^{-\frac{S[A]}{\hbar}} &\stackrel{A = \tilde{A}^u}{=} \frac{1}{N} \int \mathcal{D}\tilde{A}^u f(\tilde{A}^u) e^{-\frac{S[\tilde{A}^u]}{\hbar}} \\ &= \frac{1}{N} \int \mathcal{D}\tilde{A} f(\tilde{A}) e^{-\frac{S[\tilde{A}]}{\hbar}} \end{aligned} \quad (4.54)$$

$$\Rightarrow \boxed{\frac{1}{N} \int \mathcal{D}A [f(A^u) - f(A)] e^{-\frac{S[A]}{\hbar}} = 0} \quad (4.55)$$

↑
gauge inv. of S_{YM}
(and of $\mathcal{D}A$)