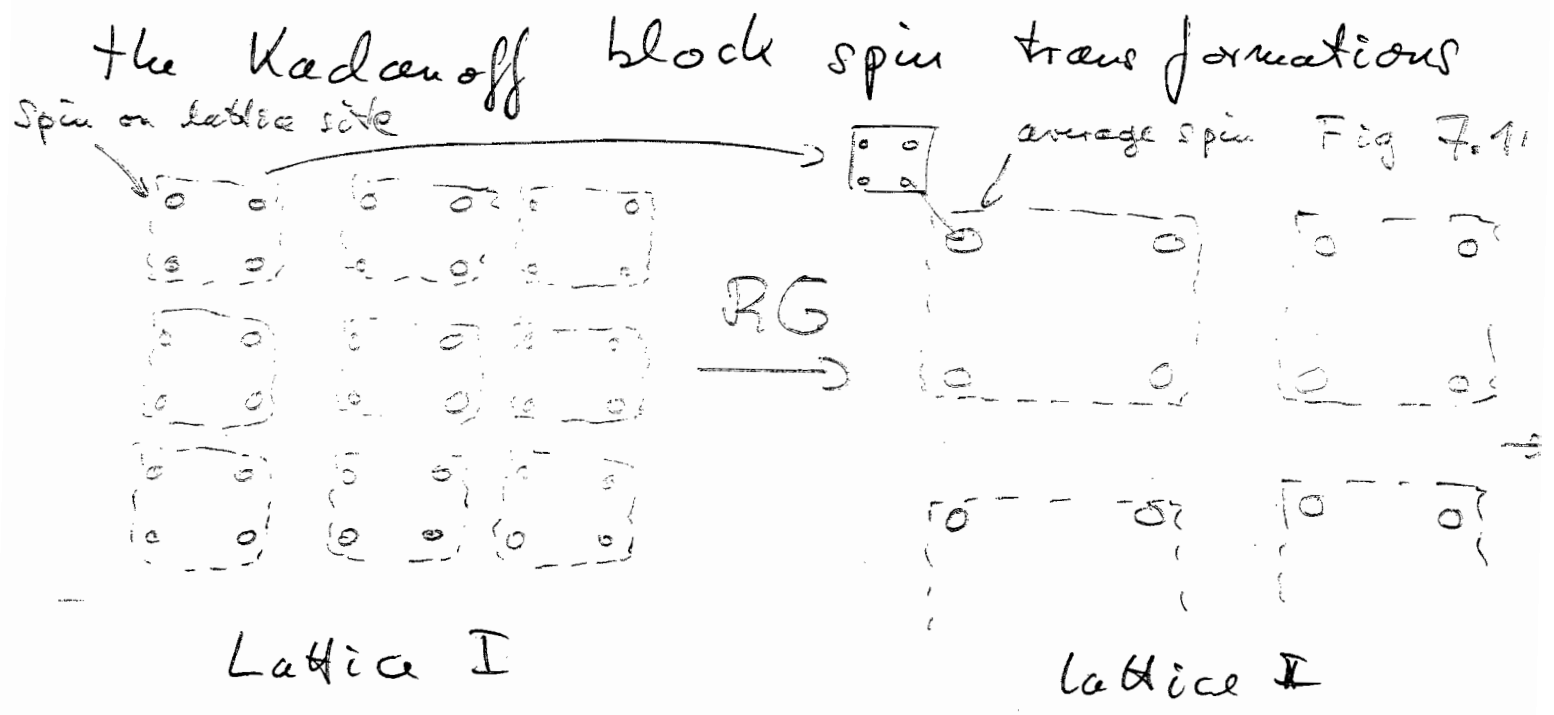


# 7 Renormalisation group

We have seen in the last chapters, in particular in 6.4, how to use relations, that governed the dependence of correlation functions & observables on physics scales, such as the distance  $L$  of a  $q\bar{q}$ -pair, as well as the independence of physics on regularisation scales such as the lattice spacing  $a$ .

On the lattice the latter is formalised in



Also rescaling the lattice parameters (couplings) & fields leads to the same theory, keeping the scales halves (or doubles) the coarse graining scale  $a$ . Iterating this procedure, the system 'runs' into a fixed point of the renormalisation group map RG.

### 7.1 Wilson's renormalisation group

Now we transport this picture to the continuum, with a momentum cut-off

$\Lambda \sim 1/a$ , and a blocking step going from

$\Lambda \rightarrow b\Lambda$ . On the level of the gen. funct.  
( $b=1/2$  in Fig 7.1)

This means (without source terms)  $Z[\phi]$

$$Z_\Lambda = \int [D\phi]_\Lambda e^{-\int d^d x \left\{ \frac{1}{2} \phi (-\Delta + m^2) \phi + \frac{1}{4!} \phi^4 \right\}} \quad (7.1)$$

$$\text{with } [D\phi]_\Lambda = \prod_{p^2 \leq \Lambda} d\phi(p)$$

$$\text{or } \phi(p^2 \geq \Lambda^2) = 0$$

Now we perform the RG step. To that end we define the field  $\hat{\phi}(p)$  with

$$\hat{\phi}(p) = \begin{cases} \phi(p) & b\Lambda \leq |p| \leq \Lambda \\ 0 & \text{else} \end{cases} \quad (7.2)$$

Now we write the funct. integral measure in eq. (7.1) as

$$[D\phi]_{\Lambda} = [D\phi]_{b\Lambda} D\hat{\phi} \quad (7.3)$$

and hence

$$Z_{\Lambda} = \int [D\phi]_{b\Lambda} \int D\hat{\phi} e^{-\int d^d x \left\{ \frac{1}{2} (\phi + \hat{\phi}) (-\Delta + m^2) (\phi + \hat{\phi}) + \lambda/4! (\phi + \hat{\phi})^4 \right\}}$$

$$= \int [D\phi]_{b\Lambda} e^{-S[\phi]}$$

$$\cdot \int D\hat{\phi} e^{-\int d^d x \left\{ \frac{1}{2} \hat{\phi} (-\Delta + m^2) \hat{\phi} + \lambda \left( \frac{1}{6} \phi^3 \hat{\phi} + \frac{1}{4} \phi^2 \hat{\phi}^2 + \frac{1}{6} \phi \hat{\phi}^3 + \frac{1}{4!} \hat{\phi}^4 \right) \right\}}$$

(7.4)

where we have used that (prove with Four.rafo.)

$$\int d^d x \hat{\phi} \Delta \phi = \int d^d x \hat{\phi} \phi m^2 = 0$$

Integrating formally over  $\hat{\phi}$  leads to

$$Z_2 = \int [D\phi]_{b\Lambda} e^{-S_{\text{eff}}[\phi]} \quad (7.5)$$

with  $e^{-S_{\text{eff}}[\phi]} = e^{-S[\phi]} \cdot \int [D\hat{\phi}] e^{-\int d^d x \left\{ \frac{1}{2} \hat{\phi} (-\Delta + m^2) \hat{\phi} + \dots \right\}}$

Now we assume  $\Lambda, b\Lambda$  being bigger than the physics scales, e.g.  $m^2/\Lambda^2 \ll 1$ . Then we can expand the  $\hat{\phi}$ -integral in eq. (7.5) about

$$\int d^d x \hat{\phi} (-\Delta) \hat{\phi} = \int_{b\Lambda}^{\Lambda} \frac{d^d p}{(2\pi)^d} \hat{\phi}(p) p^2 \hat{\phi}(-p) \quad (7.6)$$

$\hookrightarrow b^2 \Lambda^2 \leq p^2 \leq \Lambda^2$

An approximate saddle point is  $\hat{\phi} \sim \frac{1}{\Lambda} \rightarrow 0$

and hence (see chapter 3\*)

$$\int [D\hat{\phi}] e^{-\int d^d x \left\{ \frac{1}{2} \hat{\phi} (-\Delta + m^2 + \frac{1}{2} \phi^2) \hat{\phi} + \underbrace{\frac{\lambda}{6} \phi^3 \hat{\phi}}_{\mathcal{J}(\hat{\phi})} + \mathcal{O}(\phi^3) \right\}} \quad (7.7)$$

$$\simeq \det'^{-1/2} \left( -\Delta + m^2 + \frac{1}{2} \phi^2 \right) e^{\mathcal{O}(1/\Lambda)} \leftarrow \int_{b\Lambda}^{\Lambda} \frac{d^d p}{(2\pi)^d} \frac{1}{p^2 - \Delta + m^2 + \frac{1}{2} \phi^2}$$

runs over spectral values  $b^2 \Lambda^2 \leq p^2 \leq \Lambda^2$

The det in eq. (7.7) can be written in terms of a trace ( $\det A = e^{\text{Tr} \ln A}$ ), so with

$$\det^{-1/2} (-\Delta + m^2 + \frac{1}{2} \phi^2) = e^{-\frac{1}{2} \text{Tr}' \ln (-\Delta + m^2 + \frac{1}{2} \phi^2)}$$

$\uparrow$   
 Traces over  $b^2 \Lambda^2 \leq p^2 \leq \Lambda^2$


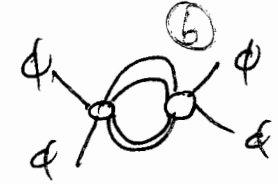
(7.8)

and hence

$$S_{\text{eff}}[\phi] = S[\phi] + \frac{1}{2} \text{Tr}' \ln (-\Delta + m^2 + \frac{1}{2} \phi^2) + O(1/\Lambda) \quad (7.9)$$

Diagrammatically this yields

$$S_{\text{eff}}[\phi] = S[\phi] + \text{Diagram (a)} + \text{Diagram (b)} + O(\phi^6) \quad (7.10)$$

$$\text{with } \int_{p^2 \in [b^2 \Lambda^2, \Lambda^2]} \frac{1}{p^2 + m^2} \approx \frac{1}{p^2} (1 + O(m^2/\Lambda^2))$$

The first two terms are, (a) =  $\frac{1}{2} \Lambda^2 \int d^d k \phi^2$  with

$$\mu^2 \approx \frac{1}{4} \int_{b\Lambda}^{\Lambda} \frac{d^d p}{(2\pi)^d} \frac{1}{p^2} = \frac{1}{(4\pi)^{d/2} \Gamma(d/2)} \frac{1-b^{d-2}}{d-2} \Lambda^{d-2} \quad (7.11)$$

and  $+1/4! \hbar \int d^d x \phi^4$

$$\begin{aligned} \hbar &\simeq -4! \frac{1}{2} \frac{1}{2} \left(\frac{\lambda}{2}\right)^2 \int \frac{d^d p}{(2\pi)^d} \left(\frac{1}{p^2}\right)^2 \\ &= -\frac{3\lambda^2}{(4\pi)^{d/2} \Gamma(d/2)} \cdot \frac{(1-b^{d-4})}{d-4} \Lambda^{d-4} \quad (7.12) \end{aligned}$$

$$\xrightarrow{d \rightarrow 4} -\frac{3\lambda^2}{16\pi^2} \ln 1/b$$

From the 'source' term in eqo (7.7) we also get terms with  $\phi^6$  (and higher),

$$\begin{array}{c} \phi \\ \swarrow \\ \phi \text{---} \text{---} \phi \\ \searrow \\ \phi \end{array} \sim \frac{1}{\Lambda^2} \int_x \phi^6 \quad (7.13)$$

There are also derivative terms such as

$$\eta \int_x \phi^2 (\partial_\omega \phi)^2 \quad (7.14)$$

with  $\eta \sim \frac{1}{\Lambda^2}$ . In summary we have

$$S_{\text{eff}}[\phi] = S_{\text{cl}}[\phi] + \text{connected diagrams} \quad (7.15)$$

In the diagrams (coefficients) (a) & (b) computed in eqs. (7.11), (7.12) we see how the coefficient of the classical action in the generating functional change, if a momentum shell  $p^2/2 \in [b^2, 1]$  is integrated out: the UV-cut-off is lowered by the factor  $b$ .

From eq. (7.14) we deduce that

$$\begin{aligned}
 S_{\text{eff}}[\phi] = \int d^d x \left\{ \frac{1}{2} (1 + \Delta z) \phi(-\Delta)\phi + \frac{1}{2} (m^2 + \Delta m^2) \phi^2 \right. \\
 \left. + \frac{1}{4!} (1 + \Delta \lambda) \phi^4 + (\alpha + \Delta \alpha) (\partial_\mu \phi)^4 + \frac{1}{6!} (1 + \Delta \lambda_6) \phi^6 + \dots \right\}
 \end{aligned}$$

(7.16)

*Annotations:*  
 -  $\Delta z$ : only at 2-loop, or 2<sup>nd</sup> it.  
 -  $\Delta m^2$ :  $m^2 \leftarrow \text{eq. (7.11)}$   
 -  $\Delta \lambda$ :  $\lambda = 0$   
 -  $\Delta \lambda_6$ :  $\lambda_6 = 0$   
 -  $\Delta \lambda$  (under  $1 + \Delta \lambda$ ):  $+ \hbar \in 1\text{-loop, eq. (7.11)}$

Now we want to exploit and maximise the similarity of the generating functional as represented in eq. (7.1) and eq. (7.5).

To that end we re-parameterise our theory such that

$$S_{\text{eff}}[\phi] = S[\phi'] + \text{higher-order terms}$$

where  $\phi(p^2 > b^2 \Lambda^2) = 0$  and  $\phi'(p'^2 > \Lambda^2) > 0$ .

This is achieved by the rescalings

$$p' = p/b, \quad x' = x/b \quad (\text{see lattice on p. 164})$$

and

$$(7.17)$$

$$\phi'(x') = [b^{2-d} (1 + \Delta z)]^{1/2} \phi(x)$$

$$m'^2 = (m^2 + \Delta m^2) \frac{1}{1 + \Delta z} b^{-2}$$

$$\lambda' = (1 + \Delta \lambda) \frac{1}{(1 + \Delta z)^2} b^{d-4}$$

$$\alpha' = (\alpha + \Delta \alpha) \frac{1}{(1 + \Delta z)^2} b^d$$

$$\lambda'_6 = (\lambda_6 + \Delta \lambda_6) \frac{1}{(1 + \Delta z)^2} b^{2d-6} \quad (7.18)$$

Diagrammatic annotations for (7.18):

- From  $b^{-2}$  to  $b^{d-4}$ :  $4-d$  (labeled "number dim")
- From  $b^{d-4}$  to  $b^d$ :  $d$
- From  $b^d$  to  $b^{2d-6}$ :  $6-2d$
- From  $b^{2d-6}$  to  $b^{d-4}$ :  $d$
- From  $b^{d-4}$  to  $b^d$ :  $d$
- From  $b^d$  to  $b^{2d-6}$ :  $d$

with

$$\int d^d x' = b^d \int d^d x, \quad \frac{\partial}{\partial x'} = \frac{1}{b} \frac{\partial}{\partial x} \quad (7.19)$$



With the reparameterisations (7.17), (7.18), (7.19)

we have

$$S[\phi; m, \lambda] \\ S_{\text{eff}}[\phi'] = \int d^d x' \left\{ \frac{1}{2} \phi'(x') (-\Delta' + m'^2) \phi' + \frac{\lambda'}{4!} \phi'^4 \right\} \\ + \int d^d x' \left\{ \alpha' (\partial'_\mu \phi')^4 + \frac{\lambda'_6}{6!} \phi'^6 + \dots \right\} \quad (7.20)$$

The generating functional now reads

$$Z_\Lambda = \int [D\phi']_\Lambda e^{-S_{\text{eff}}[\phi']} \quad (7.21)$$

and hence has the same form as eq. (7.4)

with  $S \rightarrow S_{\text{eff}}$ . The (Wilsonian) effective

action  $S_{\text{eff}}$  now encodes also the quantum

effects of the momentum shell  $[b^2\Lambda^2, \Lambda^2]$ .

This is not only reflected in the

additional terms in the second line in eq. (7.20)

but also in the change in the parameters of

the theory  $m, \lambda, [C\phi]$ !

Remark: Assume now that we iterate the above procedure by starting with eq. (7.21) instead of eq. (7.1): The saddle point expansion used in eq. (7.7) still holds (the suppression argument with  $1/\Lambda$ ) and we arrive finally again at eq. (7.21) with a Wilson action

$$S_{\text{eff}, 2} \quad (7.22)$$

where 2 stands for second iteration.

Repeating this we finally have

$$Z = \int [D\phi]_{\Lambda} e^{-S_{\text{eff}, \Lambda}[\phi]} \quad (7.23)$$

where  $S_{\text{eff}, \Lambda} = S_{\text{eff}, \infty}$ , the fixed point of the RG-map with  $\Lambda \rightarrow b\Lambda$ .

What we have done is to integrate-out momenta in the shell  $[b^2\Lambda^2, \Lambda^2]$  and then we have rescaled the theory such that  $b\Lambda \rightarrow \Lambda$ .

Hence we have gone back to the original theory. Then, however,  $S_{\text{eff}, \Lambda}$  is not the classical action of the theory, but has to encode the integrated-out quantum fluctuations of all momenta above  $\Lambda$ :

(1) integrate out  $p^2 \in [b^2\Lambda^2, \Lambda^2]$

(2)  $p \rightarrow p/b$  : (1) then entails that we have integrated out  $p'^2 \in [\Lambda^2, \frac{1}{b^2}\Lambda^2]$

(3) iterate : (1) <sup>$\infty$</sup>  then entails integrating-out

$$\Rightarrow S_{\text{eff}, \Lambda}[\Phi] \simeq -\ln \int [D\hat{\Phi}]_{p^2 \geq \Lambda^2} e^{-S[\hat{\Phi} + \Phi]} \quad (7.24)$$

with  $\Phi(p^2 > \Lambda^2) = 0$

What we have gained is that the non-trivial integration in eq. (7.24) can be done in continuous infinitesimal steps in  $b$  and we can monitor the floco of couplings, correlation fcts. &  $S_{\text{eff}, \Lambda}$ .

In particular we have

$$\boxed{b \frac{d}{db} S_{\text{eff}, \Lambda} = 0,} \quad (7.25)$$

The renormalisation group equation for  $S_{\text{eff}, \Lambda}$  compare with eq. (6.62) on page 151 on the lattice.

Due to the above arguments, the information of (7.25) also tells us about

$$\boxed{\Lambda \frac{d}{d\Lambda} S_{\text{eff}, \Lambda},} \quad (7.26)$$

the physics change when lowering the cut-off.

As one can see from eq. (7.18), the coefficient  $\lambda_{n,m}$  of a term (operator) in the effective action  $S_{\text{eff},\Lambda}$  with  $n$  fields,  $\phi^n$ , and  $m$  derivatives,  $\partial^m$ , scales like

$$\lambda_{n,m} \xrightarrow{b} b^{d_{n,m} - d} \lambda_{n,m} \quad (7.27)$$

$\begin{array}{c} \text{---} d_{n,m} \text{---} \\ \uparrow \quad \uparrow \quad \swarrow \\ n(d/2-1) + m - d \quad \lambda_{n,m} \\ \uparrow \quad \uparrow \quad \uparrow \\ [\phi] \cdot n \quad [\partial] \cdot m \quad [d^d x] \end{array}$

An operator with

$$d_{n,m} = n(d/2 - 1) + m \quad (7.28)$$

- (a)  $d_{n,m} - d > 0$ , is called relevant.
- (b)  $d_{n,m} - d = 0$ , is called marginal
- (c)  $d_{n,m} - d < 0$ , is called irrelevant

Relevant ops. grow in the iteration (for cut-off  $\rightarrow \infty$ ), irrelevant decay, and marginal ones run logarithmically, see eq. (7.12), p. 169.

## Renormalisability:

- (1) Irrelevant terms/operators decay like  $\Lambda^{d_n, m-d}$  for  $\Lambda \rightarrow \infty$ .
- (2) Relevant and marginal terms grow with  $\Lambda^{d_n, m-d}$  for  $\Lambda \rightarrow \infty$ . If the relevant & marginal terms are contained in the classical action, the corrections can be absorbed in redefinitions of the finite number of classical couplings.

We have

$$\boxed{\lim_{\Lambda \rightarrow \infty} S_{\text{eff}}[\Lambda] \rightarrow S_{(\text{bare})}[\phi]} \quad (7.29)$$

Remark: For a full proof it has to be

shown that the notions (a), (b), (c)

(rel (marg., irr.)) persist at any it. order.

(order pert. theory)