

Fermionic superfluids I

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Predicament



• From Merriam-Webster Online:

Main Entry: pre-dic-a-ment Pronunciation: \pri- di-kə-mənt, 1 is usually pre-di-kə-\ Function: noun Etymology: Middle English, from Late Latin *praedicamentum*, from praedicare Date: 14th century 2: <u>condition</u>, <u>state</u>; *especially*: a difficult, perplexing, or trying situation

Key topics



RG approach to fermionic superfluids (60 min.)

- Fermionic approach with initial symmetrybreaking field (10 min.)
- Hubbard-Stratonovich approach with bosonic field (50 min.)

Overview & Outlook (15 min.)

- Superfluid Kosterlitz-Thouless phase
- Goldstone renormalization of fermion self-energy
- BCS-Bose crossover

Normal-to-superfluid QCP (45 min.)

- Preliminary results for non-Fermi liquid behavior at QCP
- Coupled RG for fermions and orderparameter fluctuations at quantum criticality

Agenda Fermionic superfluids I (today)





Agenda Fermionic superfluids II (tomorrow)





Agenda Fermionic superfluids I





(partial) History of Fermionic Superfluids



We exploit the symmetries associated with the stability of the superfluid phase to solve the long-standing problem of interacting bosons in the presence of a condensate at zero temperature.

Cooper instability



Infrared divergence in particle-particle bubble:

For vanishing total momentum (Cooper channel) at T = 0



$$pp-bubble \propto \int dk_0 \int d^d k \, \frac{1}{ik_0 - \xi_k} \frac{1}{-ik_0 - \xi_{-k}} \stackrel{\xi_{-k} = \xi_k}{=} \\ \int dk_0 \int d^d k \, \frac{1}{k_0^2 + \xi_k^2} = \int dk_0 \int d\xi \, \frac{N(\xi)}{k_0^2 + \xi^2}$$

logarithmically divergent in any dimension if $N(0) \neq 0$

Note: Propagator divergent on (d-1)-dimensional manifold, embedded in (d+1)-dimensional space (spanned by k_0 and **k**)

Flows to strong coupling in fermionic functional RG

• Flow of four-fermion vertex in repulsive Hubbard model, Distinguishing particle-particle and particle-hole contributions:





• For certain parameters interaction in Cooper channel dominates:



- Hints at superconducting ground state.
- Weak-to-intermediate coupling truncation to second order in the interaction breaks down at critical scale.
- Penetration of symmetry-broken phase not possible.
- Investigate superfluid phase with prototype model.

Agenda Fermionic superfluids I





Attractive Hubbard Model as Prototype



- Attractively interacting lattice fermions
- Superfluid ground state for average fermion density per lattice site 0< n < 2
- Consider quarter-filling (away from van-Hove filling)

$$\begin{split} \Gamma_0[\psi,\bar{\psi}] &= -\int_{k\sigma} \bar{\psi}_{k\sigma}(ik_0 - \xi_{\mathbf{k}})\psi_{k\sigma} \\ &+ \int_{k,k',q} U\bar{\psi}_{-k+\frac{q}{2}\downarrow}\bar{\psi}_{k+\frac{q}{2}\uparrow}\psi_{k'+\frac{q}{2}\uparrow}\psi_{-k'+\frac{q}{2}\downarrow} \\ \xi_{\mathbf{k}} &= -2t\left(\cos k_x + \cos k_y\right) - \mu \end{split}$$

• Experimentally realized in 3d optical lattice.





Objective: Connect all energy regimes with one method and understand RG in superfluid phase

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Fermionic functional RG approach



Progress of Theoretical Physics, Vol. 112, No. 6, December 2004

Renormalization Group Flows into Phases with Broken Symmetry

Manfred SALMHOFER,¹ Carsten HONERKAMP,² Walter METZNER² and Oliver LAUSCHER¹

- Main idea: small, initial symmetry-breaking field is offered to the flow.
- No decoupling of the interaction necessary.
- Flow captures all scattering channels.
- Coupled self-energy/vertex flow equations:





Superconductivity in the attractive Hubbard model: functional renormalization group analysis

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$$\begin{split} \Gamma_0[\psi,\bar{\psi}] &= - \qquad \int_{k\sigma} \bar{\psi}_{k\sigma}(ik_0 - \xi_{\mathbf{k}})\psi_{k\sigma} + \\ &\qquad \int_{k\sigma} \left(\Delta_{ext}\bar{\psi}_{-k,\downarrow}\psi_{k,\uparrow} + \Delta_{ext}^*\psi_{k,\uparrow}\bar{\psi}_{-k,\downarrow} \right) + \\ &\qquad \int_{k,k',q} U\bar{\psi}_{-k+\frac{q}{2}\downarrow}\bar{\psi}_{k+\frac{q}{2}\uparrow}\psi_{k'+\frac{q}{2}\uparrow}\psi_{-k'+\frac{q}{2}\downarrow} \end{split}$$

Flow of self-energy regularizes vertex divergence at critical scale and permits penetration of symmetry-broken phase.

Fermionic functional RG approach



like AFM and d-wave SC in repulsive Hubbard model.

Ω

0.1 ∧ [units of t] 0.2

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Hubbard-Stratonovich approach



- Replacing the four-fermion interaction with Hubbard-Stratonovich field in the singlet BCS pairing channel.
- Fermion-fermion interaction is mediated by collective Cooper-pairing field – all other channels neglected and hard to recover.
- Treating momentum-dependent (non-local) fermion-vertex is akin to propagating boson.
- Functional integral now over bosonic and fermionic fields:
- Reformulate functional integral as functional flow equation for superfields:



"The stronger the interaction the lighter the boson."

$$\begin{split} \Gamma_{0}[\psi,\bar{\psi},\phi] &= -\int_{k\sigma} \bar{\psi}_{k\sigma}(ik_{0}-\xi_{\mathbf{k}})\psi_{k\sigma} - \int_{q} \phi_{q}^{*}\frac{1}{U}\phi_{q} \\ &+ \int_{k,q} \left(\bar{\psi}_{-k+\frac{q}{2}\downarrow} \bar{\psi}_{k+\frac{q}{2}\uparrow} \phi_{q} + \psi_{k+\frac{q}{2}\uparrow} \psi_{-k+\frac{q}{2}\downarrow} \phi_{q}^{*} \right) \\ \mathcal{Z} &= \int \mathcal{D}\bar{\psi}\mathcal{D}\psi\mathcal{D}\phi \ e^{-\Gamma_{0}[\bar{\psi},\psi,\phi]} \\ \frac{d}{d\Lambda}\Gamma^{\Lambda}[\mathcal{S},\bar{\mathcal{S}}] &= \operatorname{Str}\frac{\mathbf{\dot{R}}^{\Lambda}}{\Gamma^{(2)\,\Lambda}[\mathcal{S},\bar{\mathcal{S}}] + \mathbf{R}^{\Lambda}} \\ \mathcal{R}^{\Lambda} &= \frac{1}{2}\int_{q} \bar{\Phi}_{q} \ \mathbf{R}_{b}^{\Lambda}(q) \ \Phi_{q} + \int_{k} \bar{\Psi}_{k} \ \mathbf{R}_{f}^{\Lambda}(k) \ \Psi_{k} \end{split}$$

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Frequency Cutoff



- Implement frequency cutoff for both: fermions and bosons.
- "Diagonal" for both particle species; point singularity at origin of frequency axis.
- Equations free of regulator:

$$\mathcal{R}^{\Lambda} = \frac{1}{2} \int_{q} \bar{\Phi}_{q} \mathbf{R}_{b}^{\Lambda}(q) \Phi_{q} + \int_{k} \bar{\Psi}_{k} \mathbf{R}_{f}^{\Lambda}(k) \Psi_{k}$$
$$\mathbf{R}_{s}^{\Lambda}(k) = [\mathbf{G}_{s0}(k)]^{-1} - [\chi_{s}^{\Lambda}(k_{0}) \mathbf{G}_{s0}(k)]^{-1}$$
$$\chi_{s}^{\Lambda}(k_{0}) = \Theta(|k_{0}| - \Lambda_{s})$$

$$n \int dk_0 \mathbf{G}'_{sR}(k_0) \mathbf{A} \left[\mathbf{G}_{sR}(k_0) \mathbf{A} \right]^{n-1} = \Lambda'_s \sum_{k_0 = \pm \Lambda_s} \left[\mathbf{G}_s(k_0) \mathbf{A} \right]^n$$

Fermionic momentum shells:

Bosonic momentum shells:

- Drawback: momentum phase-space not controlled during RG flow.
- Destroys analyticity properties of Green functions in complex frequency plane.







Exploit freedom to choose fermionic and bosonic cutoff independently.

Frequency Cutoff



Judicious choice of relative cutoff scales:



• Consistent treatment of IR sector by equating:

 $\max G_f(\Lambda_f(\Lambda), \mathbf{k}) \sim \max G_b(\Lambda_b(\Lambda), \mathbf{k})$

• 2d momentum integration over the Brillouin zone to be performed numerically.

• Results robust under cutoff changes.

Truncation in symmetric phase



• Fermi propagator unrenormalized, qualitatively correct in low-energy regime:

$$\Gamma_{\bar{\psi}\psi} = -\int_{k\sigma} \bar{\psi}_{k\sigma} (ik_0 - \xi_{\mathbf{k}}) \psi_{k\sigma}$$

• Bosonic propagator parametrized with three flowing constants:

$$\Gamma_{\phi^*\phi} = \frac{1}{2} \int_q \phi_q^* (m_b^2 + Z_b q_0^2 + A_b \omega_\mathbf{q}^2) \phi_q$$
$$\omega_\mathbf{q}^2 = 2 \sum_{i=1}^d (1 - \cos q_i)$$

• One constant for most relevant bosonic selfinteraction:

$$\Gamma_{|\phi|^4} \ = \ \frac{\lambda}{8} \int_{q,q',p} \phi^*_{q+p} \phi^*_{q'-p} \phi_{q'} \phi_q$$



• Fermion-boson vertex not renormalized in symmetric phase:

$$\Gamma_{\psi^2 \phi^*} = g \int_{k,q} \left(\bar{\psi}_{-k+\frac{q}{2}\downarrow} \bar{\psi}_{k+\frac{q}{2}\uparrow} \phi_q + \psi_{k+\frac{q}{2}\uparrow} \psi_{-k+\frac{q}{2}\downarrow} \phi_q^* \right)$$

At critical scale, transition to superfluid phase.

Flow equations in the symmetric phase

Flow equations obtained as cutoff derivatives of 1PI-diagrams:



• Particle-particle bubble at low frequencies, **non-analytic**! (see tomorrow for QCP)

 $\Pi_{pp}(\Omega_n,\mathbf{q})\sim \ln|\Omega_n|$

• But at intermediate energies, gap opens at critical scale and secures quadratic approximation.

• Linear term is very small close to half-filling.



Bosonic dynamics and self-interactions are generated by fermionic fluctuations.

Truncation in superfluid phase



• Fermi propagator gets anomalous selfenergy:

$$\Gamma_{\psi\psi} = \int_{k} \left(\Delta \bar{\psi}_{-k\downarrow} \bar{\psi}_{k\uparrow} + \Delta^{*} \psi_{k\uparrow} \psi_{-k\downarrow} \right)$$

• Local bosonic effective O(2)-potential with flowing minimum:

$$U^{\text{loc}}[\phi] = \frac{\lambda}{8} \int \left(|\phi|^2 - |\alpha|^2 \right)^2$$

- Note that generally gap Δ need NOT be identical to order-parameter ${\cal X}$.
- Field is expanded in linear basis:



Multi-scale problem



• Two distinct propagators in superfluid phase:

$$\begin{split} \Gamma_{\sigma\sigma} &= \frac{1}{2} \int_{q} \sigma_{-q} (m_{\sigma}^{2} + Z_{\sigma} q_{0}^{2} + A_{\sigma} \omega_{\mathbf{q}}^{2}) \sigma_{q} \\ \Gamma_{\pi\pi} &= \frac{1}{2} \int_{q} \pi_{-q} (Z_{\pi} q_{0}^{2} + A_{\pi} \omega_{\mathbf{q}}^{2}) \pi_{q} \end{split}$$



Diverging Z-factors for longitudinal mode capture momentum dependence in infrared.

Truncation in superfluid phase



• Bosonic interaction vertices from expanding the potential:

$$\begin{split} \Gamma_{\sigma^{4}} &= \gamma_{\sigma^{4}} \int_{q,q',p} \sigma_{-q-p} \sigma_{-q'+p} \sigma_{q'} \sigma_{q} \\ \Gamma_{\pi^{4}} &= \gamma_{\pi^{4}} \int_{q,q',p} \pi_{-q-p} \pi_{-q'+p} \pi_{q'} \pi_{q} \\ \Gamma_{\sigma^{2}\pi^{2}} &= \gamma_{\sigma^{2}\pi^{2}} \int_{q,q',p} \sigma_{-q-p} \sigma_{-q'+p} \pi_{q'} \pi_{q} \\ \Gamma_{\sigma^{3}} &= \gamma_{\sigma^{3}} \int_{q,q'} \sigma_{-q-q'} \sigma_{q'} \sigma_{q} \\ \Gamma_{\sigma\pi^{2}} &= \gamma_{\sigma\pi^{2}} \int_{q,q'} \sigma_{-q-q'} \pi_{q'} \pi_{q} \\ \end{split}$$
with $\gamma_{\sigma^{4}} = \gamma_{\pi^{4}} = \lambda/8, \ \gamma_{\sigma^{2}\pi^{2}} = \lambda/4, \ \text{and} \ \gamma_{\sigma^{3}} = \gamma_{\sigma\pi^{2}}$

• Two different fermion-boson vertices:

$$\begin{split} \Gamma_{\psi^2\sigma} &= g_{\sigma} \int_{k,q} \left(\bar{\psi}_{-k+\frac{q}{2}\downarrow} \bar{\psi}_{k+\frac{q}{2}\uparrow} \,\sigma_q + \psi_{k+\frac{q}{2}\uparrow} \psi_{-k+\frac{q}{2}\downarrow} \,\sigma_{-q} \right), \\ \Gamma_{\psi^2\pi} &= i g_{\pi} \int_{k,q} \left(\bar{\psi}_{-k+\frac{q}{2}\downarrow} \bar{\psi}_{k+\frac{q}{2}\uparrow} \,\pi_q - \psi_{k+\frac{q}{2}\uparrow} \psi_{-k+\frac{q}{2}\downarrow} \,\pi_{-q} \right) \end{split}$$

Multi-scale problem





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- Compute flow equations for parameters via hierarchy for vertex expansion of effective action.
- Have already truncated the effective potential extremely smartly such that few parameter truncation might suffice.
- Need external constraint to compute flow of minimum of the potential.

 $\lambda \alpha/2.$

Vertex expansion hierarchy for symmetry-broken phases

• Recall exact flow equation:

$$\frac{d}{d\Lambda} \Gamma^{\Lambda}[\phi] = \operatorname{Str} \frac{\dot{\mathbf{R}}^{\Lambda}}{\Gamma^{(2)\,\Lambda}[\phi] + \mathbf{R}^{\Lambda}}$$

 $\Gamma^{\Lambda}[\phi] = \sum_{n=0}^{\infty} \frac{1}{n!} \gamma^{(n)\Lambda} \left(\phi - \alpha^{\Lambda}\right)^{n}$

- Expand bosonic part effective action in vertices:
- Execute scale-derivative on left-hand-side of flow equation:
- Comparing coefficients between left- and righthand-side yields:
- Need external constraint for flow of minimum:

$$\partial_{\Lambda}\Gamma^{\Lambda}[\phi] = \sum_{n=0}^{\infty} \frac{1}{n!} \dot{\gamma}^{(n)\Lambda} \left(\phi - \alpha^{\Lambda}\right)^{n} - \frac{\dot{\alpha}^{\Lambda}}{(n-1)!} \gamma^{(n)\Lambda} \left(\phi - \alpha^{\Lambda}\right)^{n-1}$$

 $\dot{\gamma}^{(n)\Lambda} = \dot{\mathbf{R}}^{\Lambda} \partial_{\mathbf{R}} \left(\text{all 1-loop 1PI diagrams generated by } \mathbf{G}_{R}^{\Lambda} \text{ with n ext. legs} \right)$ + $\dot{\alpha}^{\Lambda} \gamma^{(n+1)\Lambda}$

$$\partial_{\Lambda} \gamma_{b}^{(1)\Lambda} = + \dots + \dot{\alpha}^{\Lambda} \gamma^{(2)\Lambda} := 0$$

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 $\partial_{\Lambda} \alpha^{\Lambda} = \frac{-1}{\gamma^{(2)\Lambda}} \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} + \ldots \right).$

Tadpole corrections are absorbed into the flow of the minimum.



Vertex expansion hierarchy for symmetry-broken phases





Flow equations in the superfluid phase





• Plus term from left-hand-side of flow equation: $3\frac{\lambda\alpha}{2}\partial_{\Lambda}\alpha$

• Flow of bosonic self-interaction follows from:

$$m_{\sigma}^2 = \lambda |\alpha|^2$$

• Flow of Z-factors follows from frequency/momentum derivatives.

Equated to zero, determines flow of α

Plus term from left-hand-side $g_{\sigma}\partial_{\Lambda}\alpha$ which relates gap and orderparameter – without fluctuations, in MFT, gap=order-parameter.

 $\Gamma^{(1)}_{\sigma}$:

Δ

Flow equations in the superfluid phase





 Prime example of phase-space suppression of Goldstone singularity.

• Vertex renormalizes only very weakly as we will see later.

"We will see that fluctuations can excite collective Goldstone modes in which the system samples degenerate configurations at the bottom of the valley. Depending on the dimensionality, these collective modes can either destroy the order by equally mixing all the degenerate phase, or have no effect because their effect is suppressed by phase space factors."(Negele-Orland 1987).



Still, structure of fermion-boson theory in superfluid phase clarified.

Agenda Fermionic superfluids I





Connection of Hubbard-Stratonovich RG to MFT



• Minimizing the effective potential yields BCS gap equation and the extended MFT fulfills Goldstone's theorem with the correct collective excitation spectrum.

• Bosonic RG pendant, two coupled equations reproduces gap smaller than BCS.

• If γ_{σ^3} is replaced by the value from expanding $U^{MF}(\phi)$, BCS is exactly reproduced.

• Congruence with fermionic RG upon identiying:

$$\frac{2}{m_{\sigma}^2} = V + W$$

$$U^{\rm MF}(\phi) = \frac{|\phi|^2}{|U|} - \int_k \ln \frac{k_0^2 + \xi_{\bf k}^2 + |\phi|^2}{k_0^2 + \xi_{\bf k}^2}$$

$$\frac{\partial U^{\rm MF}(\phi)}{\partial \phi} = 0$$

$$\partial_{\Lambda}\Delta = -\frac{2}{m_{\sigma}^{2}} \int_{k|\Lambda} F_{f}(k)$$
$$\partial_{\Lambda}\frac{m_{\sigma}^{2}}{2} = \int_{k|\Lambda} \left[|G_{f}(k)|^{2} - F_{f}^{2}(k) \right] + 3\gamma_{\sigma^{3}} \partial_{\Lambda}\Delta$$
$$\gamma_{\sigma^{3}} = \frac{m_{\sigma}^{2}}{2\Delta}$$



While fermionic RG displays infinities from diverging vertices when you don't know what you're doing, bosonic RG performs favorably with stupid users as mistakes result only in small gap shift.

FOR 723, LadenbuRG, Nov. 2008

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 $m_{\sigma}^2, Z_{\sigma}, A_{\sigma}$:

$$G_{\sigma}(sq) \propto s^{-1}$$
 for $d = 2$

$$G_{\sigma}(sq) \propto \log s$$
 for $d = 3$

-2 - 1 - 2

$$m_{\sigma} = \lambda \alpha$$

$$\partial_{\Lambda} \lambda = \lambda^{2} \int_{q|\Lambda} G_{\pi}^{2}(q)$$

$$\tilde{\lambda} = \frac{\lambda}{\Lambda} \quad \text{in d=2}$$

$$\frac{d\tilde{\lambda}}{d\log\Lambda} = -\tilde{\lambda} + \frac{\tilde{\lambda}^{2}}{4\pi^{2}A_{\pi}Z_{\pi}}$$

$$\lambda \rightarrow 4\pi^{2}A_{\pi}Z_{\pi}\Lambda \quad \text{for} \quad \Lambda \rightarrow 0$$

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Analytical results for infrared regime

• For scales smaller than fermionic gap, fermions decouple from flow.

• When longitudinal mass is larger than scale, longitudinal excitations decouple from the flow.

• Universal Goldstone regime determines infrared result.

• Not position, but existence of fixed-point for rescaled bosonic self-interaction enough for exact proof.

•Bogoliubov fixed-point with finite longitudinal mass unstable for d<3 at T=0 (proof in Castellani, et. al. PRB **69**, 024513, 2004)

• In d<2 distinction between longitudinal and transversal modes breaks down, in d=1 longitudinal is identical to Goldstone mode (Kosterlitz-Thouless).

Functional RG flow delivers exact IR scaling, confirmed by numerical solution.



• Bosonic sector flows:





- At critical scale, "boson splits into longitudinal and Goldstone mode"
 - Flow continuous across critical scale
 - The scale at which the infrared asymptotics sets in can be determined
 - IR scaling confirms analytical calculation







- Vertex renormalization relatively weak.
- Might change at finite-T then single Goldstone propagator is potentially logarithmically singular.
- Here, phase-space suppressed.



• Gap and order parameter split when increasing attraction, more pronounced in study of full BCS-Bose crossover.

 $\Delta \neq \alpha$

• Gap is reduced compared to MFT, not from Goldstone mode, however. Mostly from symmetric phase fluctuations:

 $\frac{\Delta}{\Delta_{\text{BCS}}} \approx 0.25$

 $\frac{\Delta}{\Lambda_c} \approx 1.4$

 $\frac{\Delta}{T_c} \approx 1.7$

 $\alpha, \Delta \propto (\Lambda_c - \Lambda)^{1/2}$

- Ratio of critical scale and gap:
- Compare with BCS-theory at finite temperature:
- Flow below critical scale:





Compare with fermionic functional RG.



New Journal of Physics

ne open-access journal for physics

Superconductivity in the attractive Hubbard model: functional renormalization group analysis



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Figure 15. Magnitude of the superconducting order parameter Δ as calculated via the interaction flow procedure for varying interaction strength U_0 and chemical potential μ , t' = -0.1t.

Figure 10. Patching of the Brillouin zone used with varying total number of patches in the numerical calculations. Every patch covers the same angle.



Figure 11. Momentum-shell flow of the order parameter. Twelve patches, quarter filling: $\mu = -1.41t$, t' = -0.1t; $U_0 = 1.5t$, $\Delta_{\text{ext}} = 10^{-3}t$ (left) and $\Delta_{\text{ext}} = 5 \times 10^{-4}t$ (right).



- Captured particle-hole fluctuations.
- Influence of 3+1 vertices only modest.

Agenda Fermionic Superfluids I





Summary Fermionic superfluids I





Thanks for your attention! p.strack@fkf.mpg.de