

On baryons and the QCD phase structure

Bernd-Jochen Schaefer



Austria

11th January 2013

Workshop on QCD, Nonequilibrium Dynamics, Complex Systems, and Simulational Methods

DELTA meeting

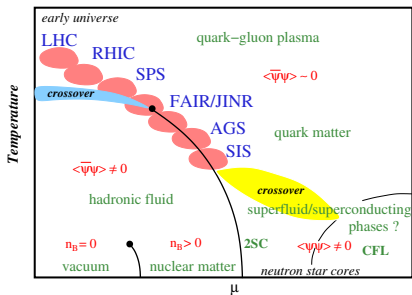
11th - 12th January, 2013

Heidelberg, Germany

FWF

Der Wissenschaftsfonds.

The conjectured QCD Phase Diagram for $N_c = 3$



At densities/temperatures of interest
only model calculations available

- can one improve the model calculations?
- remove model parameter dependency?

non-perturbative functional methods (FunMethods)

→ complementary to lattice

- no sign problem $\mu > 0$
- chiral symmetry/fermions (small masses/chiral limit) etc...

Open issues: (selection)

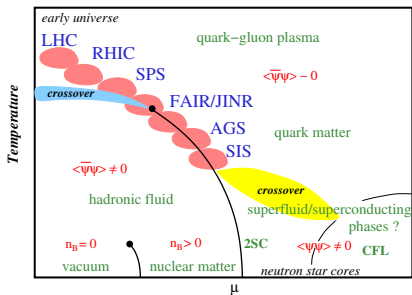
related to chiral & deconfinement transition

- ▷ existence/location of CEP?
How many? Additional CEPs?
- ▷ coincidence of both transitions at $\mu = 0$ and $\mu > 0$ (quarkyonic phase)?
- ▷ relation between chiral and deconfinement?
chiral CEP/deconfinement CEP?
- ▷ finite volume effects?
→ lattice comparison
- ▷ so far mostly MFA results
effects of fluctuations are important!
- ▷ What are good exp. signatures? → higher moments more sensitive
- ▷ $\mu > 0$: **role of baryonic d.o.f.?**

→ talk of T.K. Herbst

→ talk of N. Strodthoff

The conjectured QCD Phase Diagram for $N_c = 3$



At densities/temperatures of interest
only model calculations available

- can one improve the model calculations?
- remove model parameter dependency?

non-perturbative functional methods (FunMethods)

Method of choice: **Functional Renormalization Group Method (FRG)**

one needs a truncation: e.g. (Polyakov)-quark-meson model

- good description for chiral sector
- implementation of gauge dynamics (deconfinement sector)

Open issues: (selection)

related to chiral & deconfinement transition

- ▷ existence/location of CEP?
How many? Additional CEPs?
- ▷ coincidence of both transitions at $\mu = 0$ and $\mu > 0$ (quarkyonic phase)?
- ▷ relation between chiral and deconfinement?
chiral CEP/deconfinement CEP?
- ▷ finite volume effects?
→ lattice comparison
- ▷ so far mostly MFA results
effects of fluctuations are important!
- ▷ $\mu > 0$: **role of baryonic d.o.f.?**

→ talk of T.K. Herbst

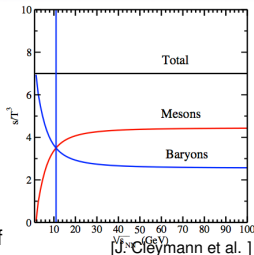
→ talk of N. Strodthoff

Outline

- **Why QCD for $N_c = 2$?**
- **(Polyakov-)Quark-Meson-Diquark ((P)QMD) Model**
- **RG versus Mean-field approximation**
- **Results: Phase diagrams etc.**

Why deforming QCD to $N_c = 2$?

- ▷ QC_2D becomes simpler: no sign problem
lattice simulations \iff functional methods
- ▷ baryonic d.o.f. more and more important for $\mu > 0$
for $N_c = 2$ inclusion of baryonic dof simpler:
scalar diquarks play a dual role as **bosonic baryons**
- ▷ QC_2D : playground for a deeper understanding of baryonic dof
- ▷ Relativistic analog of models for ultracold quantum gases



Properties of QC_2D

- fund. rep. of $SU(2)$ pseudoreal ($\mathbf{2} = \mathbf{2}^*$) \Rightarrow Dirac op. D has antiunitary symmetry
- \Rightarrow color-neutral bound states of two quarks (**bosonic (anti)diquarks**)
- \Rightarrow enlarged flavor symmetry: $SU(4) \cong SO(6)$ ($\mu = 0$) here $N_f = 2$
(not $U(4)$ due to axial anomaly)
- replaces usual chiral $SU(2)_L \times SU(2)_R \times U(1)_B$
- Symmetry breaking: $SU(2N_f) \rightarrow Sp(N_f)$ [or $SO(6) \rightarrow SO(5)$]
 \rightarrow 5 Goldstone bosons: 3 pions and 2 (anti)diquarks

Quark-Meson-Diquark (QMD) Model

Chiral effective model:

- quarks: ψ
- mesons: $\sigma, \vec{\pi}$
- diquarks (baryons): $\text{Re}\Delta, \text{Im}\Delta$
- gauge fields: A_{μ}^a in $D_{\mu} = \partial_{\mu} + iA_{\mu}$ \rightarrow Polyakov-loop extended (PQMD) model

QMD Lagrangian:

$$\begin{aligned}\mathcal{L}_{\text{QMD}} = & \bar{\psi} \left(\not{D} + g(\sigma + i\gamma^5 \vec{\pi} \vec{\tau}) - \mu\gamma^0 \right) \psi \\ & + \frac{g}{2} \left(\Delta^* (\psi^T C \gamma^5 \tau_2 S \psi) + \Delta (\psi^\dagger C \gamma^5 \tau_2 S \psi^*) \right) \\ & + \frac{1}{2} (\partial_{\mu} \sigma)^2 + \frac{1}{2} (\partial_{\mu} \vec{\pi})^2 + V(\vec{\phi}) \\ & + \frac{1}{2} ((\partial_{\mu} - 2\mu \delta_{\mu}^0) \Delta) (\partial_{\mu} + 2\mu \delta_{\mu}^0) \Delta^*\end{aligned}$$

[N. Strodthoff, BJS, L. von Smekal; 2012]

\rightarrow talk of N. Strodthoff

Mean-Field Approximation (MFA)

- Integration of quarks, neglect bosonic fluctuations:

Grand potential

$$\Omega(T, \mu; \sigma, d^2 \equiv |\Delta|^2) = \Omega_{\text{vac}} + \Omega_T + V_{\text{MF}}(\sigma, d^2) \quad (+\mathcal{U}_{\text{Poly}}(\Phi))$$

vacuum term: sharp three-momentum cutoff Λ

$$\Omega_{\text{vac}}(\Lambda) = -4 \int^{\Lambda} \frac{d^3p}{(2\pi)^3} \{E_p^- + E_p^+\}$$

$$E_p^{\pm} = \sqrt{g^2 d^2 + (\epsilon_p \pm \mu)^2}$$

$$\epsilon_p = \sqrt{\vec{p}^2 + g^2 \sigma^2}$$

for each Λ : adjust model parameters $f_{\pi}, m_{\sigma}, m_{\pi}$

role of vacuum term: example for (P)QM models [BJS, M. Wagner, 2012]

[Skokov et al. 2010]

Role of vacuum term in (P)QM models

Fluctuations of higher moments exhibit **strong variation from HRG model**

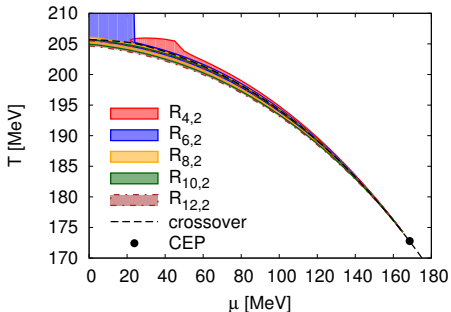
■ → turn negative

[Karsch, Redlich, Friman et al.; 2011]

■ higher moments: $R_{n,m}^q = c_n/c_m$

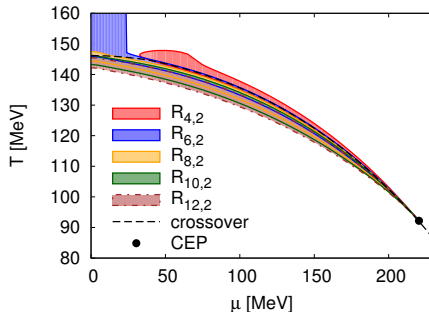
■ regions where $R_{n,2}$ are negative along crossover line in the phase diagram

PQM with $T_0(\mu)$



QM model

MFA w/o vacuum



[BJS, M.Wagner; 2012]

Role of vacuum term in (P)QM models

Fluctuations of higher moments exhibit **strong variation from HRG model**

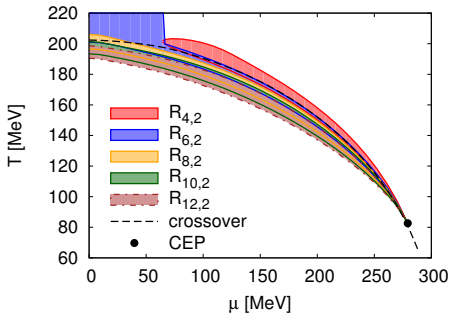
■ → turn negative

[Karsch, Redlich, Friman et al.; 2011]

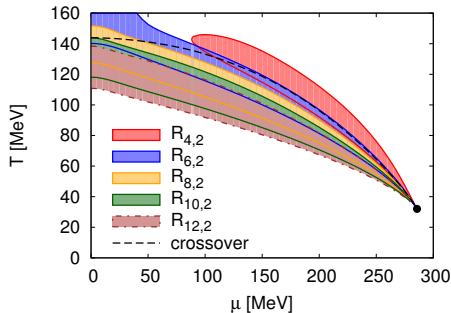
■ higher moments: $R_{n,m}^q = c_n/c_m$

■ regions where $R_{n,2}$ are negative along crossover line in the phase diagram

renormalized PQM with $T_0(\mu)$



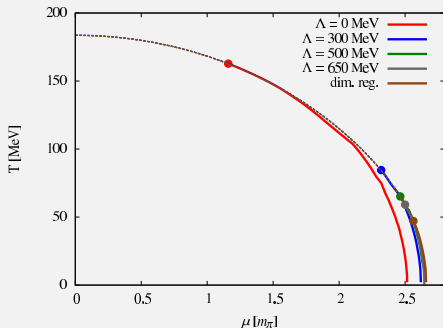
renormalized QM model



[BJS, M.Wagner; 2012]

Phase diagram in MFA

Influence of Ω_{vac} on CEP for various Λ 's compared to dimensional regularization



[N. Strodthoff, BJS, L. von Smekal; 2012]

■ no diquark condensation
($d = 0$)

■ $O(6)$ -symmetric potential

$V_{\text{MF}} = V_{\text{MF}}(\phi^2)$ where

$\vec{\phi} = (\sigma, \vec{\pi}, \text{Re}\Delta, \text{Im}\Delta)$

→ 1-dim. field variable

● 1st order chiral transition

● CEP around $\mu \approx 2.5 m_\pi$

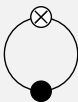
Functional RG Approach

$\Gamma_k[\phi]$ scale dependent effective action ; $t = \ln(k/\Lambda)$; R_k regulators

FRG (average effective action)

Wetterich 1993

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \partial_t R_k \left(\frac{1}{\Gamma_k^{(2)} + R_k} \right) ; \quad \Gamma_k^{(2)} = \frac{\delta^2 \Gamma_k}{\delta \phi \delta \phi}$$

$$k \partial_k \Gamma_k[\phi] \sim \frac{1}{2} \text{Tr} \left(\frac{1}{\Gamma_k^{(2)} + R_k} \right)$$


- Quark-meson model ansatz for Γ_k : (LO derivative expansion \rightarrow arbitrary potential V_k)

$$\Gamma_k = \int d^4x \bar{q} [i\gamma_\mu \partial^\mu - g(\sigma + i\vec{\tau} \cdot \vec{\pi} \gamma_5)] q + \frac{1}{2} (\partial_\mu \sigma)^2 + \frac{1}{2} (\partial_\mu \vec{\pi})^2 + V_k(\phi^2)$$

$$V_{k=\Lambda}(\phi^2) = \frac{\lambda}{4} (\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

FRG and QCD

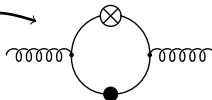
full dynamical QCD FRG flow: fluctuations of
gluon, ghost, quark and meson (via hadronization) fluctuations

Braun, Fister, Haas, Marhauser, Pawłowski; 2009

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \left[\text{Diagram 1} - \text{Diagram 2} \right] - \left[\text{Diagram 3} + \frac{1}{2} \text{Diagram 4} \right]$$

in presence of dynamical quarks:
 gluon propagator modified:

⇒ pure Yang Mills flow + matter back-coupling



pure Yang Mills flow

replaced by eff. Polyakov-loop potential \mathcal{U}_{Pol} :
 (fit to lattice YM thermodynamics)

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \left[\text{Diagram 1} - \text{Diagram 2} \right]$$

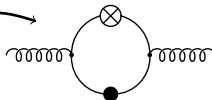
FRG and QCD

full dynamical QCD FRG flow: fluctuations of
gluon, ghost, quark and meson (via hadronization) fluctuations

Braun, Fister, Haas, Marhauser, Pawłowski; 2009

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \left[\text{Diagram 1} - \text{Diagram 2} \right] - \left[\text{Diagram 3} + \frac{1}{2} \text{Diagram 4} \right]$$

in presence of dynamical quarks:
 gluon propagator modified:



⇒ pure Yang Mills flow + matter back-coupling

pure Yang Mills flow

replaced by eff. Polyakov-loop potential \mathcal{U}_{Pol} :
 (fit to lattice YM thermodynamics)

~~$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \left[\text{Diagram 1} - \text{Diagram 2} \right]$$~~

FRG and QCD

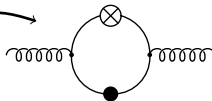
full dynamical QCD FRG flow: fluctuations of
gluon, ghost, quark and meson (via hadronization) fluctuations

Braun, Fister, Haas, Marhauser, Pawłowski; 2009

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \left[\text{Gluon Loop} - \text{Ghost Loop} \right] - \left[\text{Quark Loop} + \frac{1}{2} \text{Meson Loop} \right]$$

in presence of dynamical quarks:
 gluon propagator modified:

⇒ pure Yang Mills flow + matter back-coupling



pure Yang Mills flow

replaced by eff. Polyakov-loop potential \mathcal{U}_{Pol} :
 (fit to lattice YM thermodynamics)

$$\partial_t \Gamma_k[\phi] \Rightarrow \mathcal{U}_{\text{Pol}}(\Phi, \bar{\Phi})$$

→ talk of T.K. Herbst

FRG and QCD

full dynamical QCD FRG flow: fluctuations of
gluon, ghost, quark and meson (via hadronization) fluctuations

Braun, Fister, Haas, Marhauser, Pawłowski; 2009

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \left[\text{Gluon loop} - \text{Ghost loop} \right] - \left[\text{Quark loop} + \frac{1}{2} \text{Meson loop} \right]$$

in presence of dynamical quarks:
 gluon propagator modified:

⇒ pure Yang Mills flow + matter back-coupling

pure Yang Mills flow

replaced by eff. Polyakov-loop potential \mathcal{U}_{Pol} :
 (fit to lattice YM thermodynamics)

~~$$\partial_t \Gamma_k[\phi]$$~~

~~⇒~~

~~$$\mathcal{U}_{\text{Pol}}(\Phi, \bar{\Phi})$$~~

~~→ talk of T.K. Herbst~~

Flow for the QMD model truncation

2 condensates: Chiral and diquark

[Strodthoff, BJS, von Smekal; 2012]

$$\partial_t U_k(\sigma, d^2) = \frac{k^5}{12\pi^2} \left\{ \frac{3}{E_k^\pi} \coth\left(\frac{E_k^\pi}{2T}\right) + \sum_{i=1}^3 \frac{\alpha_2 z_i^4 - \alpha_1 z_i^2 + \alpha_0}{(z_{i+1}^2 - z_i^2)(z_{i+2}^2 - z_i^2)} \frac{1}{z_i} \coth\left(\frac{z_i}{2T}\right) - \sum_{\pm} \frac{8}{E_k^\pm} \left(1 \pm \frac{\mu}{\sqrt{k^2 + g^2 \rho^2}}\right) (1 - 2N_q(E_k^\pm; T)) \right\}$$

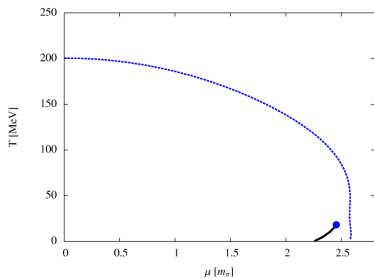
$E_k^\pi = \sqrt{k^2 + 2U_{k,\rho}}$; α_i, z_i : diquarks-sigma mixing; N_q : quark occupation numbers

Chiral condensate only ($SO(6)$ -symmetric flow)

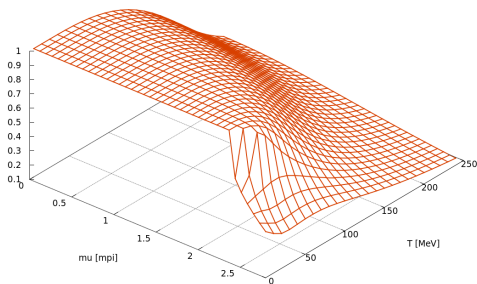
$$\partial_t U_k(\phi) = \frac{k^5}{12\pi^2} \left\{ \frac{3}{E_k^\pi} \coth\left(\frac{E_k^\pi}{2T}\right) + \frac{1}{E_k^\sigma} \coth\left(\frac{E_k^\sigma}{2T}\right) + \frac{1}{E_k^\pi} \coth\left(\frac{E_k^\pi - 2\mu}{2T}\right) + \frac{1}{E_k^\pi} \coth\left(\frac{E_k^\pi + 2\mu}{2T}\right) - \frac{16}{\epsilon_k} \left[1 - N_q(\epsilon_k - \mu; T) - N_q(\epsilon_k + \mu; T) \right] \right\}$$

Phase diagram with FRG

- no diquark condensation:
- $O(6)$ -symmetric potential $U_k = U_k(\phi^2)$



- chiral condensate $\langle \bar{q}q \rangle / \langle \bar{q}q \rangle_0$

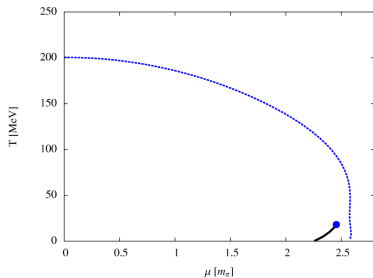


- ▷ "typical" RG phase diagram
back-bending 1st order line with a CEP

[Strodthoff, BJS, von Smekal; 2012]

Phase diagram with FRG

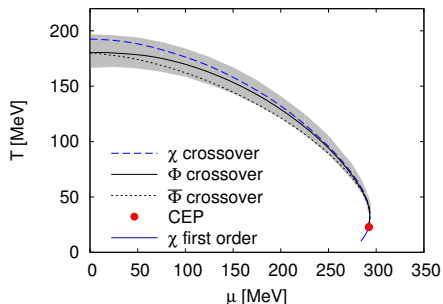
- no diquark condensation:
- $O(6)$ -symmetric potential $U_k = U_k(\phi^2)$



- ▷ "typical" RG phase diagram
back-bending 1st order line with a CEP

- FRG phase diagram

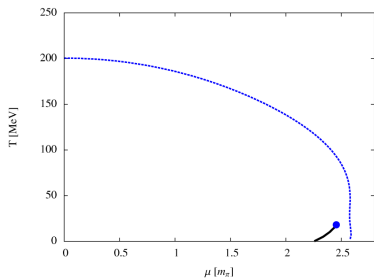
Polyakov-Quark-Meson model **with** matter
back-reaction to YM system



[Herbst, Pawłowski, BJS; 2010]

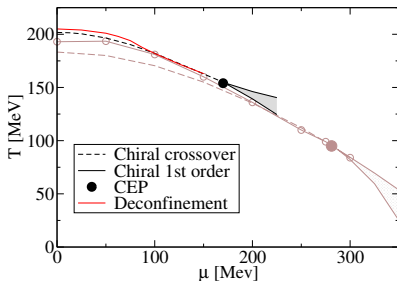
Phase diagram with FRG

- no diquark condensation:
- $O(6)$ -symmetric potential $U_k = U_k(\phi^2)$



- ▷ "typical" RG phase diagram
back-bending 1st order line with a CEP

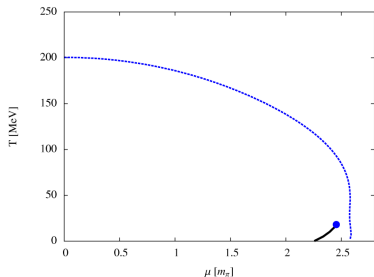
- DSE phase diagram → talk of C.S. Fischer
with matter back-reaction to YM system via
HTL/improv. quark-Prop.



[C.S. Fischer, J. Luecker, J.A. Mueller; 2011/2012]

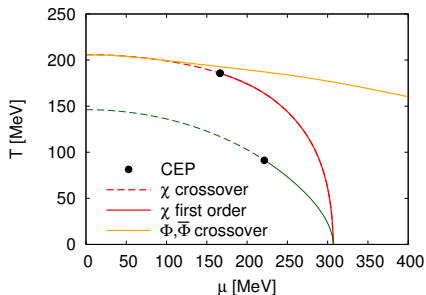
Phase diagram with FRG

- no diquark condensation:
- $O(6)$ -symmetric potential $U_k = U_k(\phi^2)$



- ▷ "typical" RG phase diagram
back-bending 1st order line with a CEP

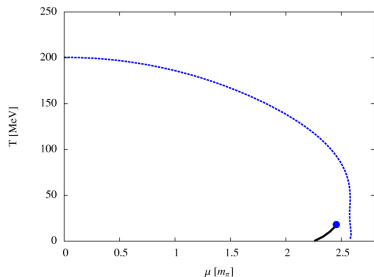
- MFA phase diagrams $N_f = 2 + 1$
(Polyakov)-Quark-Meson model **without** matter back-reaction to YM system



[BJS, M. Wagner; 2012]

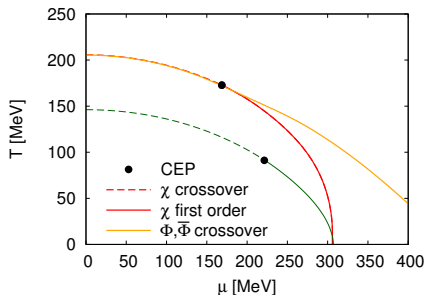
Phase diagram with FRG

- no diquark condensation:
- $O(6)$ -symmetric potential $U_k = U_k(\phi^2)$



- ▷ "typical" RG phase diagram
back-bending 1st order line with a CEP

- MFA phase diagrams $N_f = 2 + 1$
(Polyakov)-Quark-Meson model **with** matter
back-reaction to YM system



[BJS, M. Wagner; 2012]

Including diquarks

Symmetry breaking patterns for $N_f = 2$:

	$\mu = 0$	$\mu > 0$
$m_q = 0$	$SU(4) \cong SO(6)$	$SU(2)_L \times SU(2)_R \times U(1)_B$ \cong $SO(4) \times SO(2)$
$m_q > 0$	$Sp(2) \cong SO(5)$	$SO(3) \times SO(2)$

\Rightarrow need 2 condensates:

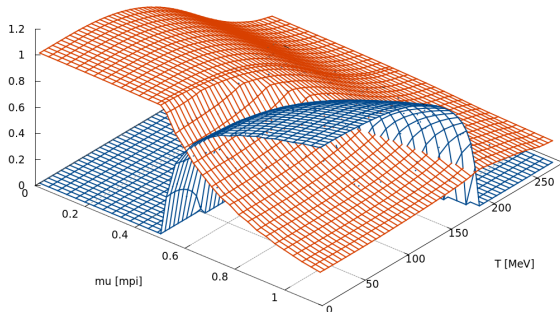
chiral condensate $\langle \bar{q}q \rangle (\equiv \sigma)$ and diquark condensate $d^2 = |\Delta|^2$

\Rightarrow effective potential $U_k = U_k(\rho^2, d^2)$ with $\rho^2 = \sigma^2 + \vec{\pi}^2$

\Rightarrow solution of flow eqs on **2-dim grid in field space** (first time!)

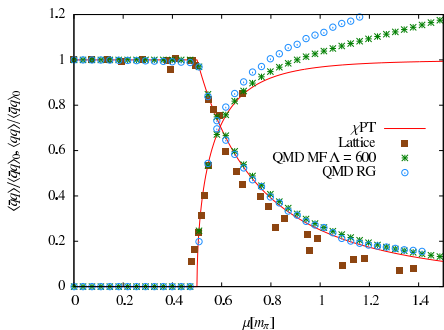
Including diquarks

chiral $\langle \bar{q}q \rangle$ and diquark condensates $d^2 = |\Delta|^2$



Diquark condensation at $T = 0$

RG and MFA



[Strodthoff, BJS, von Smekal; 2012]

lattice data: [Hands et al. '00]

LO χ PT [Kogut, Stephanov et al. '00]

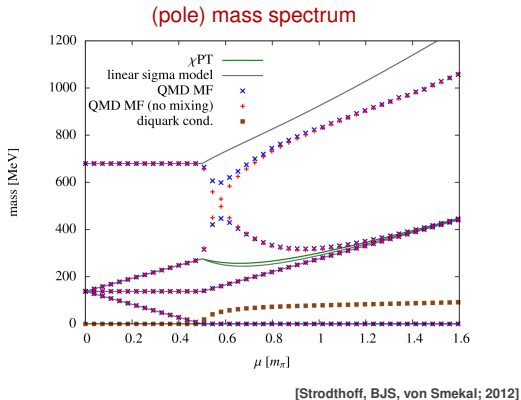
→ diquark condensation: $\mu_c = m_B/N_c$
model independent result

→ $\langle qq \rangle$ in χ PT:

$$\langle qq \rangle = \sqrt{1 - 1/x^4} \text{ with } x = 2\mu/m_\pi$$

$$\langle \bar{q}q \rangle = 1/x^2$$

Diquark condensation at $T = 0$



lattice data: [Hands et al. '00]

PNJL model: [Brauner, Fukushima, Hidaka, '09]

(LO) χ PT: [Kogut, Stephanov et al. '00]

→ diquark condensation: $\mu_c = m_B/N_c$

→ distinguish between pole and screening masses

pole masses:

$$T = 0: m_{\pm} = m_{\pi} \pm 2\mu$$

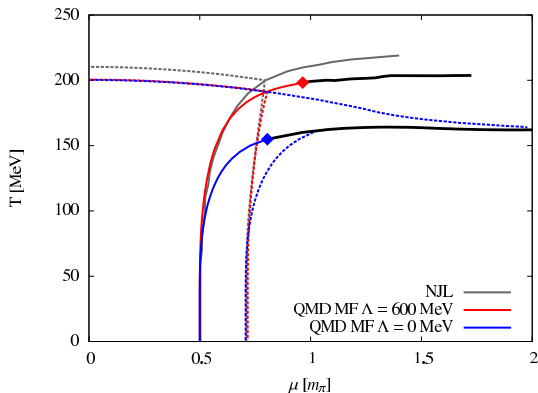
(according to $B = \pm 1$)

pion: $B = 0 \Rightarrow \mu$ -indep.

Phase diagrams

NJL and QMD model phase diagrams

MFA with & w/o vacuum term



[Strodthoff, BJS, von Smekal; 2012]

Findings:

NJL: continuous $\langle qq \rangle$ condensation

QMD: MFA 2nd order and TCP

→ (NLO) χ PT predicts also TCP

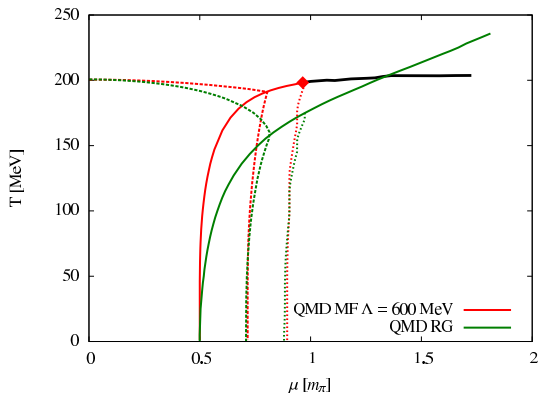
[Splittorff, Toublan, Verbaarschot; '02]

■ BUT this is a MFA artifact!

Phase diagrams

QMD phase diagrams

RG vs. MFA



[Strodthoff, BJS, von Smekal; 2012]

Findings:

QMD: MFA 2nd order and TCP

→ (NLO) χ PT predicts also TCP

[Splittorff, Toublan, Verbaarschot; '02]

■ BUT this is a MFA artifact!

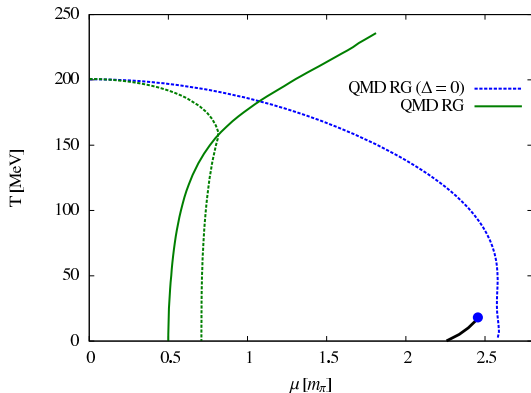
QMD: RG no endpoint

Phase diagrams

QMD phase diagrams

comparison with and
without baryonic fluctuations ($\Delta = 0$)

Findings:



QMD: RG no endpoint

- No 1st order low T !
- No CEP $\mu \approx 2.5m_\pi$
- more tests are needed
- first step towards covariant quark-diquark description with a quark-meson-baryon model for QCD

[Strodthoff, BJS, von Smekal; 2012]

Summary and Outlook

- chiral (Polyakov)-quark-meson-diquarks ((P)QMD model study (two flavor)
 - QC₂D as playground for $N_c = 3$ QCD
 - towards understanding of baryons
 - influence of baryonic dof's and fluctuations on existence of the CEP
 - no endpoint found with FRG
 - $N_c = 2$ importance of baryonic dof's

PRD 85 (2012) 074007 [arXiv:1112.5401](https://arxiv.org/abs/1112.5401)

functional approaches (such as the FRG) are suitable and controllable tools to investigate the QCD phase diagram and its phase boundaries

→ FunMethods guide the way towards full QCD