

# Simple recipe for symmetry using ERG

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## Plan of the talk

1. A brief review of the ERG differential equations
2. Perturbative renormalizability
3. Recipe for realizing symmetry — “quantum invariance” of the action
  - (a) gauge symmetry (not discussed here)
    - QED [Bonini, D’Attanasio, & Marchesini ’94, HS ’06; Freire & Wetterich ’96 for scalar QED; Igarashi, Itoh, & HS ’06, Kugo, Higashi, & Itou ’07 for BV]
    - YM [Becchi ’92, Ellwanger ’94, ... ; Morris & Rosten for manifestly gauge invariant formulation]
  - (b) supersymmetry — WZ model (discussed if time allows)

## Summary

1. No loss of information along the ERG trajectory.
2. Perturbatively renormalized theories are specified by a finite number of parameters that control the action at large cutoff  $\Lambda$ .
3. Symmetry is realized as the “quantum” invariance of the Wilson action under non-linear symmetry transformation of fields.
4. The antifield formalism is useful, if not essential, for showing the consistency of the recipe.

## Generalized ERG differential equations

1. Let  $S[\phi]$  be the action of a real scalar field theory in  $D$  dimensional euclidean space.
2. We generate a one-parameter family of actions  $S_t$  equivalent to  $S$ :

$$\begin{aligned} \exp [S_t[\phi]] &= \int [d\phi'] \exp [S[\phi']] \\ &\times \exp \left[ -\frac{1}{2} \int_p A_t(p)^2 \{ \phi(p) - Z_t(p)\phi'(p) \} \{ \phi(-p) - Z_t(p)\phi'(-p) \} \right] \end{aligned}$$

- (a)  $\phi(p) \sim Z_t(p)\phi'(p)$  is the **block spin**.
- (b)  $\frac{1}{A_t(p)}$  is the width of field diffusion;  $S_t[\phi]$  is obtained by an **incomplete integration** of  $S[\phi']$ . More integration for larger  $p^2$ .

3. The  $t$  dependence of the action is given by the ERG differential equation of Wilson [Wilson & Kogut '74, sect. 11]:

$$\partial_t S_t = \int_p \left[ F_t(p) \cdot \phi(p) \frac{\delta S_t}{\delta \phi(p)} + G_t(p) \cdot \frac{1}{2} \left\{ \frac{\delta S_t}{\delta \phi(p)} \frac{\delta S_t}{\delta \phi(-p)} + \frac{\delta^2 S_t}{\delta \phi(p) \delta \phi(-p)} \right\} \right]$$

where

$$\begin{cases} F_t(p) \equiv -\partial_t \ln Z_t(p) \\ G_t(p) \equiv -2 \frac{1}{A_t(p)^2} \partial_t \ln (A_t(p) Z_t(p)) \end{cases}$$

[Wegner & Houghton '73; Wetterich '93 for 1PI  $\Gamma$ ]

#### 4. Relation between $S_t$ and $S$

(a) Define the generating functionals:

$$\begin{cases} e^{W[J]} \equiv \int [d\phi] \exp \left[ S[\phi] + i \int_p J(p) \phi(-p) \right] \\ e^{W_t[J]} \equiv \int [d\phi] \exp \left[ S_t[\phi] + i \int_p J(p) \phi(-p) \right] \end{cases}$$

(b) A simple gaussian integration gives

$$e^{W_t[J]} = \exp \left[ -\frac{1}{2} \int_p \frac{1}{A_t(p)^2} J(p) J(-p) + W [Z_t(p) J(p)] \right]$$

Hence,

$$\begin{cases} \langle \phi(p) \phi(-p) \rangle_{S_t} &= \frac{1}{A_t(p)^2} + Z_t(p)^2 \langle \phi(p) \phi(-p) \rangle_S \\ \langle \phi(p_1) \cdots \phi(p_{n>1}) \rangle_{S_t}^c &= \prod_{i=1}^n Z_t(p_i) \cdot \langle \phi(p_1) \cdots \phi(p_n) \rangle_S^c \end{cases}$$

(c) Conversely,

$$\begin{cases} \langle \phi(p)\phi(-p) \rangle_S &= \frac{1}{Z_t(p)^2} \langle \phi(p)\phi(-p) \rangle_{S_t} - \frac{1}{A_t(p)^2 Z_t(p)^2} \\ \langle \phi(p_1) \cdots \phi(p_n) \rangle_S^c &= \prod_{i=1}^n \frac{1}{Z_t(p_i)} \cdot \langle \phi(p_1) \cdots \phi(p_n) \rangle_{S_t}^c \end{cases}$$

The original correlation functions can be constructed as long as  $Z_t$  and  $A_t Z_t$  are non-vanishing. Hence,

$S_t$  and  $S$  are equivalent.

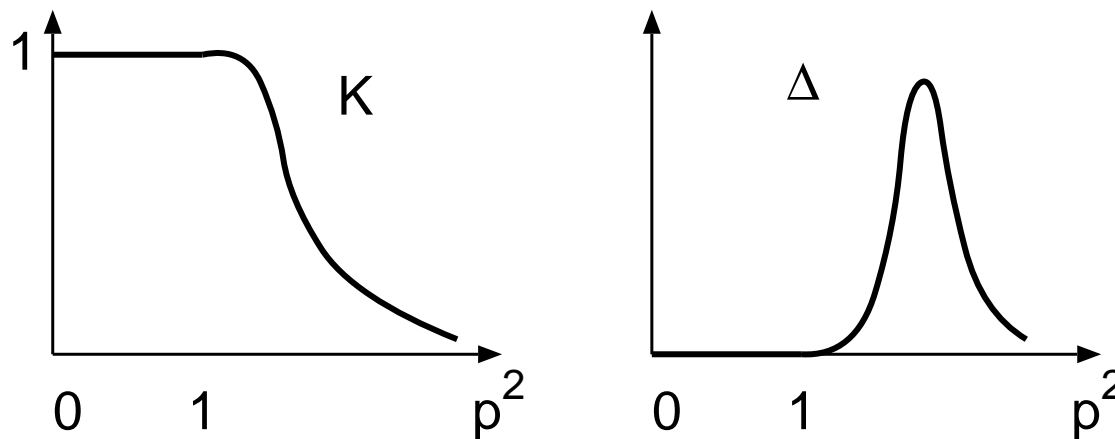
[Wilson & Kogut '74; Rosten's "ERG invariants"]

5. We adopt Polchinski's choice: [Polchinski '83]

$$\left\{ \begin{array}{l} Z_t(p) = \frac{K(pe^t)}{K(p)} \\ \frac{1}{A_t(p)^2} = \frac{K(pe^t)}{p^2+m^2} \left(1 - \frac{K(pe^t)}{K(p)}\right) \end{array} \right. \implies \left\{ \begin{array}{l} F_t(p) = \frac{\Delta(pe^t)}{K(pe^t)} \\ G_t(p) = \frac{\Delta(pe^t)}{p^2+m^2} \end{array} \right.$$

where

$$\Delta(p) \equiv -2p^2 \frac{d}{dp^2} K(p)$$





This implies

$$\left\{ \begin{array}{l} \langle \phi(p)\phi(-p) \rangle_S - \frac{1}{p^2+m^2}K(p)(1-K(p)) \\ \quad = \frac{K(p)^2}{K(pe^t)^2} \left\{ \langle \phi(p)\phi(-p) \rangle_{S_t} - \frac{1}{p^2+m^2}K(pe^t)(1-K(pe^t)) \right\} \\ \langle \phi(p_1) \cdots \phi(p_{2n}) \rangle_S = \prod_{i=1}^{2n} \frac{K(p_i)}{K(p_i e^t)} \cdot \langle \phi(p_1) \cdots \phi(p_{2n}) \rangle_{S_t} \end{array} \right.$$

## Perturbative renormalizability

1. We split

$$S(\Lambda) = S_{\text{free}}(\Lambda) + S_{\text{int}}(\Lambda)$$

where  $\Lambda \equiv \Lambda_0 e^{-t}$ , and  $S_{\text{free}}$  is the free action

$$S_{\text{free}}(\Lambda) \equiv -\frac{1}{2} \int_p \phi(-p) \phi(p) \frac{p^2 + m^2}{K\left(\frac{p}{\Lambda}\right)}$$

The  $\Lambda$  dependence of  $S_{\text{int}}$  given by the Polchinski ERG diff eq.

$$-\Lambda \frac{\partial}{\partial \Lambda} S_{\text{int}}(\Lambda) = \int_p \frac{\Delta(p/\Lambda)}{p^2 + m^2} \frac{1}{2} \left\{ \frac{\delta S_{\text{int}}}{\delta \phi(p)} \frac{\delta S_{\text{int}}}{\delta \phi(-p)} + \frac{\delta^2 S_{\text{int}}}{\delta \phi(p) \delta \phi(-p)} \right\}$$

[Polchinski '83]

2. Need for an “**initial condition**” ( $\phi^4$  theory in  $D = 4$  here)

(a) **bare action**

$$S_{\text{int}}(\Lambda_0) = - \int d^4x \left[ \left\{ \Lambda_0^2 A_2(\ln \Lambda_0/\mu) + m^2 B_2(\ln \Lambda_0/\mu) \right\} \frac{1}{2} \phi^2 \right. \\ \left. + C_2(\ln \Lambda_0/\mu) \frac{1}{2} (\partial_\mu \phi)^2 + A_4(\ln \Lambda_0/\mu) \frac{\lambda}{4!} \phi^4 \right]$$

Choose the coefficients as power series of  $\lambda$  so that for a finite  $\Lambda$

$$\lim_{\Lambda_0 \rightarrow \infty} S_{\text{int}}(\Lambda)$$

is well-defined. [Polchinski '83]

(b) **asymptotic condition** [HS '03]

$$S_{\text{int}}(\Lambda) \xrightarrow{\Lambda \rightarrow \infty} \int d^4x \left[ \left( \Lambda^2 a_2(\ln \Lambda/\mu) + m^2 b_2(\ln \Lambda/\mu) \right) \frac{1}{2} \phi^2 + c_2(\ln \Lambda/\mu) \frac{1}{2} (\partial_\mu \phi)^2 + a_4(\ln \Lambda/\mu) \frac{1}{4!} \phi^4 \right]$$

- i.  $\mu$  is an arbitrary renormalization scale.
- ii. The theory has three parameters: ( $a_2(0)$  cannot be controlled.)
  - A.  $b_2(0)$  normalizes  $m^2 \implies b_2(0) = 0$
  - B.  $c_2(0)$  normalizes  $\phi \implies c_2(0) = 0$
  - C.  $a_4(0)$  defines the coupling constant  $\lambda \implies a_4(0) = -\lambda$
- iii.  $m^2$  and  $\lambda$  parameterize the whole ERG trajectory  $S(\Lambda)$ , not just for  $\Lambda = \mu$ .

#### iv. 1-loop calculations

$$\left\{ \begin{array}{l} a_2 = \frac{\lambda}{4} \int_q \frac{\Delta(q)}{q^2} \\ b_2 = -\frac{\lambda}{(4\pi)^2} \ln \frac{\Lambda}{\mu} \\ c_2 = 0 \\ a_4 = -\lambda - \frac{3\lambda^2}{(4\pi)^2} \ln \frac{\Lambda}{\mu} \end{array} \right.$$

$a_2$  depends on the choice of  $K$ .

v.  $\mu$  dependence given by the “**ordinary**” **RG equations**

$$-\mu \frac{\partial}{\partial \mu} S(\Lambda) = \beta_m(\lambda) m^2 \mathcal{O}_m + \beta_\lambda(\lambda) \mathcal{O}_\lambda + \gamma(\lambda) \mathcal{N}$$

where

$$\begin{cases} \mathcal{O}_m &= -\partial_{m^2} S - \int_p \frac{K(1-K)}{(p^2+m^2)^2} \frac{1}{2} \left\{ \frac{\delta S}{\delta \phi(p)} \frac{\delta S}{\delta \phi(-p)} + \frac{\delta^2 S}{\delta \phi(p) \delta \phi(-p)} \right\} \\ \mathcal{O}_\lambda &= -\partial_\lambda S \\ \mathcal{N} &= -\int_p \phi(p) \frac{\delta S}{\delta \phi(p)} - \int_p \frac{K(1-K)}{p^2+m^2} \left\{ \frac{\delta S}{\delta \phi(p)} \frac{\delta S}{\delta \phi(-p)} + \frac{\delta^2 S}{\delta \phi(p) \delta \phi(-p)} \right\} \end{cases}$$

so that

$$\left( -\mu \frac{\partial}{\partial \mu} + \beta_m m^2 \partial_{m^2} + \beta_\lambda \partial_\lambda - n\gamma \right) \langle \phi(p_1) \cdots \phi(p_n) \rangle_\infty = 0$$

[HS '06]

## Realization of symmetry

1. Observation:  $S(\Lambda)$  with a finite  $\Lambda$  gives the correlation functions in the continuum limit.

$$\left\{ \begin{array}{l} \langle \phi(p)\phi(-p) \rangle_{\infty} = \frac{1}{K\left(\frac{p}{\Lambda}\right)^2} \langle \phi(p)\phi(-p) \rangle_{S(\Lambda)} + \frac{1-1/K\left(\frac{p}{\Lambda}\right)}{p^2+m^2} \\ \langle \phi(p_1) \cdots \phi(p_{n \geq 2}) \rangle_{\infty} = \prod_{i=1}^n \frac{1}{K\left(\frac{p_i}{\Lambda}\right)} \cdot \langle \phi(p_1) \cdots \phi(p_n) \rangle_{S(\Lambda)} \end{array} \right.$$

2. Whatever symmetry of the continuum limit must be realized in  $S(\Lambda)$ .

Note  $\phi$  is a generic field in the following.

### 3. **Universal form of invariance** [Becchi '92, Igarashi, So, Ukita '02 with AF]

- (a) The action  $S(\Lambda)$  is “invariant” under a symmetry transformation “ $\delta\phi(p) = \mathcal{O}(p)$ ”:

$$\begin{aligned}\Sigma(\Lambda) &\equiv e^{-S} \int_p K\left(\frac{p}{\Lambda}\right) \frac{\delta}{\delta\phi(p)} (\mathcal{O}(p) e^S) \\ &= \int_p K\left(\frac{p}{\Lambda}\right) \left( \mathcal{O}(p) \frac{\delta S}{\delta\phi(p)} + \frac{\delta\mathcal{O}(p)}{\delta\phi(p)} \right) = 0\end{aligned}$$

- (b)  $\int_p K\left(\frac{p}{\Lambda}\right) \mathcal{O}(p) \frac{\delta S}{\delta\phi(p)}$  is the **change of the action** under an infinitesimal change of fields:

$$\delta\phi(p) = K\left(\frac{p}{\Lambda}\right) \mathcal{O}(p)$$

- (c)  $\int_p K\left(\frac{p}{\Lambda}\right) \frac{\delta\mathcal{O}(p)}{\delta\phi(p)}$  is the **jacobian** of the above change.



(d)  $\Sigma = 0$  gives the Ward identities in the continuum limit:

$$\sum_{i=1}^n \langle \phi(p_1) \cdots \mathcal{O}(p_i) \cdots \phi(p_n) \rangle_{\infty} = 0$$

(e)  $\Sigma(\Lambda)$  is a **composite operator** satisfying

$$-\Lambda \frac{\partial}{\partial \Lambda} \Sigma(\Lambda) = \int_p \frac{\Delta(p/\Lambda)}{p^2 + m^2} \left\{ \frac{\delta S_{\text{int}}}{\delta \phi(-p)} \frac{\delta}{\delta \phi(p)} + \frac{1}{2} \frac{\delta^2}{\delta \phi(p) \delta \phi(-p)} \right\} \Sigma(\Lambda)$$

[Becchi '92]

This type of diff eq. is satisfied by any infinitesimal deformation of  $S$ .

(f) If  $\Sigma \rightarrow 0$  as  $\Lambda \rightarrow \infty$ , then  $\Sigma(\Lambda) = 0$  for any  $\Lambda$ .

#### 4. Perturbative solution of $\Sigma = 0$

(a) Loop expansions:

$$S_{\text{int}}(\Lambda) = \sum_{l=0}^{\infty} S_{\text{int};l}(\Lambda), \quad \Sigma(\Lambda) = \sum_{l=0}^{\infty} \Sigma_l(\Lambda)$$

(b) **induction hypothesis:**  $S_{\text{int};0,\dots,l-1}$  constructed so that  $\Sigma_{0,\dots,l-1} = 0$

(c)  $\Sigma_{0,\dots,l-1} = 0$  implies  $\Sigma_l$  has no  $\Lambda$  dependence for large  $\Lambda$  [Becchi '92]:

$$-\Lambda \frac{\partial}{\partial \Lambda} \Sigma_l(\Lambda) = \int_p \frac{\Delta(p/\Lambda)}{p^2 + m^2} \frac{\delta S_{\text{int},l}}{\delta \phi(-p)} \frac{\delta \Sigma_l(\Lambda)}{\delta \phi(p)} \xrightarrow{\Lambda \rightarrow \infty} 0$$

(d) We fine-tune the parameters of  $S_{\text{int};l}$  so that  $\Sigma_l = 0$ .

## But is it possible?

- (e) To show the possibility of such fine-tuning, we can resort to the **antifield formalism** in which the antifields  $\phi^*$  generate the symmetry transformation. [Batalin-Vilkovisky '81]

$$\bar{\Sigma}(\Lambda) \equiv e^{-S} \int_p K(p/\Lambda) \frac{\delta}{\delta\phi(p)} \frac{\overrightarrow{\delta}}{\delta\phi^*(-p)} e^{\bar{S}} = 0$$

- (f)  $\phi^*$  has the opposite statistics to  $\phi$ . Hence,  $\bar{\Sigma}$  is a fermionic scalar composite operator.
- (g) Given a composite operator  $\mathcal{O}$ , we define its **BRST transformation** by

$$\delta_Q \mathcal{O} \equiv e^{-\bar{S}} \int_p K(p/\Lambda) \frac{\delta}{\delta\phi(p)} \frac{\overrightarrow{\delta}}{\delta\phi^*(-p)} \left( e^{\bar{S}} \mathcal{O} \right)$$

(h) By construction,  $\bar{\Sigma}$  is nilpotent:

$$\delta_Q \bar{\Sigma} = 0$$

This constrains the asymptotic behavior of  $\bar{\Sigma}$ .

(i) **We only need to show  $\bar{\Sigma} = 0$  for large  $\Lambda$**  where both  $\bar{S}$  and  $\bar{\Sigma}$  are local (polynomials of derivatives of fields).

$\implies$  Only **classical** BRST cohomology is required.

$$\delta_0 \bar{\Sigma}_l = 0 \stackrel{?}{\implies} \bar{\Sigma}_l = \delta_0 \bar{S}_l$$

where  $\delta_0$  is defined with  $\bar{S}_0$ , satisfying  $\delta_0 \delta_0 = 0$ .

## Perturbative construction of the WZ model

1. We construct supersymmetric theories without superfields or auxiliary fields. [Bonini & Vian '98 with superfields]
2. The classical action

$$S_{cl} \equiv \int d^4x \left[ \bar{\chi}_L \sigma \cdot \partial \chi_R + \frac{1}{2} (m \bar{\chi}_R \chi_R + \bar{m} \bar{\chi}_L \chi_L) + \partial_\mu \bar{\phi} \partial_\mu \phi + |m|^2 \bar{\phi} \phi \right. \\ \left. + g \phi \frac{1}{2} \bar{\chi}_R \chi_R + \bar{g} \bar{\phi} \frac{1}{2} \bar{\chi}_L \chi_L + m \phi \frac{\bar{g}}{2} \bar{\phi}^2 + \bar{m} \bar{\phi} \frac{g}{2} \phi^2 + \frac{|g|^2}{4} |\phi|^4 \right]$$

is invariant under ( $\xi_{R,L}$  are anticommuting constant spinors)

$$\begin{cases} \delta \phi = \bar{\xi}_R \chi_R, & \delta \chi_R = \bar{\sigma}_\mu \xi_L \partial_\mu \phi - \left( \bar{m} \bar{\phi} + \frac{\bar{g}}{2} \bar{\phi}^2 \right) \xi_R \\ \delta \bar{\phi} = \bar{\xi}_L \chi_L, & \delta \chi_L = \sigma_\mu \xi_R \partial_\mu \bar{\phi} - \left( m \phi + \frac{g}{2} \phi^2 \right) \xi_L \end{cases}$$

3. To quantize the Wess-Zumino model perturbatively, we split

$$S = S_{\text{free}} + S_{\text{int}}$$

Using the two-component spinor notation ( $\bar{\chi} \equiv \chi^T \sigma_y$ )

$$S_{\text{free}} \equiv - \int_p \frac{1}{K_b(p/\Lambda)} \bar{\phi}(-p) \phi(p) (p^2 + |m|^2) \\ - \int_p \frac{1}{K_f(p/\Lambda)} \left[ \bar{\chi}_L(-p) \sigma_\mu i p_\mu \chi_R(p) + \frac{m}{2} \bar{\chi}_R(-p) \chi_R(p) + \frac{\bar{m}}{2} \bar{\chi}_L(-p) \chi_L(p) \right]$$

In general

$$K_f \neq K_b$$

#### 4. the asymptotic behavior of $S_{\text{int}}$ : (R-symmetry & dimensions)

$$\begin{aligned}
S_{\text{int}}(\Lambda) \xrightarrow{\Lambda \rightarrow \infty} & \int \left[ z_1 \bar{\chi}_L \sigma_\mu \partial_\mu \chi_R + z_2 \left( \frac{m}{2} \bar{\chi}_R \chi_R + \frac{\bar{m}}{2} \bar{\chi}_L \chi_L \right) \right. \\
& + z_3 \partial_\mu \bar{\phi} \partial_\mu \phi + (a_4 \Lambda^2 + z_4 |m|^2) |\phi|^2 \\
& + (-1 + z_5) \left( g \phi \frac{1}{2} \bar{\chi}_R \chi_R + \bar{g} \bar{\phi} \frac{1}{2} \bar{\chi}_L \chi_L \right) \\
& + (-1 + z_6) \left( m \phi \frac{\bar{g}}{2} \bar{\phi}^2 + \bar{m} \bar{\phi} \frac{g}{2} \phi^2 \right) + (-1 + z_7) \frac{|g|^2}{4} |\phi|^4 \\
& + z_8 \left( g^2 \bar{m}^2 \phi^2 + \bar{g}^2 m^2 \bar{\phi}^2 \right) \\
& \left. + (\Lambda^2 a_9 + |m|^2 z_9) (g \bar{m} \phi + \bar{g} m \bar{\phi}) \right]
\end{aligned}$$

where  $a_{4,9}$  and  $z_i$  ( $i = 1, \dots, 9$ ) are all functions of  $|g|^2$  and  $\ln \Lambda/\mu$ .

5. The supersymmetry transformation has the same form as the classical one:

$$\begin{cases} \delta\phi(p) &\equiv \bar{\xi}_R[\chi_R](p) \\ \delta\bar{\phi}(p) &\equiv \bar{\xi}_L[\chi_L](p) \\ \delta\chi_R(p) &\equiv \bar{\sigma}_\mu \xi_L i p_\mu [\phi](p) - \left( \bar{m}[\bar{\phi}](p) + \bar{g} \cdot \left[ \frac{\bar{\phi}^2}{2} \right](p) \right) \xi_R \\ \delta\chi_L(p) &\equiv \sigma_\mu \xi_R i p_\mu [\bar{\phi}](p) - \left( m[\phi](p) + g \cdot \left[ \frac{\phi^2}{2} \right](p) \right) \xi_L \end{cases}$$

6. The composite operators  $[\phi^2/2], [\bar{\phi}^2/2]$  are defined by

$$\begin{cases} \left[ \frac{\phi^2}{2} \right](p) \xrightarrow{\Lambda \rightarrow \infty} (1 + z_{10}) \frac{\phi^2}{2}(p) + z_{11} \bar{g} m \phi(p) + z_{12} \bar{g}^2 m^2 \cdot (2\pi)^4 \delta^{(4)}(p) \\ \left[ \frac{\bar{\phi}^2}{2} \right](p) \xrightarrow{\Lambda \rightarrow \infty} (1 + z_{10}) \frac{\bar{\phi}^2}{2}(p) + z_{11} g \bar{m} \bar{\phi}(p) + z_{12} g^2 \bar{m}^2 \cdot (2\pi)^4 \delta^{(4)}(p) \end{cases}$$

where  $z_{10,11,12}$  are functions of  $|g|^2$  and  $\ln \Lambda/\mu$ .



7. Altogether, the theory has **twelve** parameters:  
(9 for the action, 3 for the transformation)

$$\boxed{z_1(0), \dots, z_{12}(0)}$$

8. The parameters are constrained by the invariance:

$$\begin{aligned} \Sigma(\Lambda) \equiv & \int_p K_b(p/\Lambda) \left[ \delta\phi(p) \frac{\delta S}{\delta\phi(p)} + \delta\bar{\phi}(p) \frac{\delta S}{\delta\bar{\phi}(p)} + \frac{\delta}{\delta\phi(p)} \delta\phi(p) + \frac{\delta}{\delta\bar{\phi}(p)} \delta\bar{\phi}(p) \right] \\ & + \int_p K_f(p/\Lambda) \left[ S \frac{\overleftarrow{\delta}}{\delta\chi_R(p)} \delta\chi_R(p) - \text{Tr} \delta\chi_R(p) \frac{\overleftarrow{\delta}}{\delta\chi_R(p)} + (R \rightarrow L) \right] = 0 \end{aligned}$$

- (a)  $z_1, z_2, z_5, z_9$  are left arbitrary.
- (b) The remaining nine are fixed.

9. Proof requires the AF formalism [K. Ülker & HS arXiv:0804.1072]

## Conclusions

1. The continuum limit can be described by a cutoff action.
2. Whatever symmetry of the continuum limit must be realized in the cutoff action.
3. Using the parameterization of a theory by its asymptotic behavior, only classical analysis is needed to show the possibility of realizing symmetry.