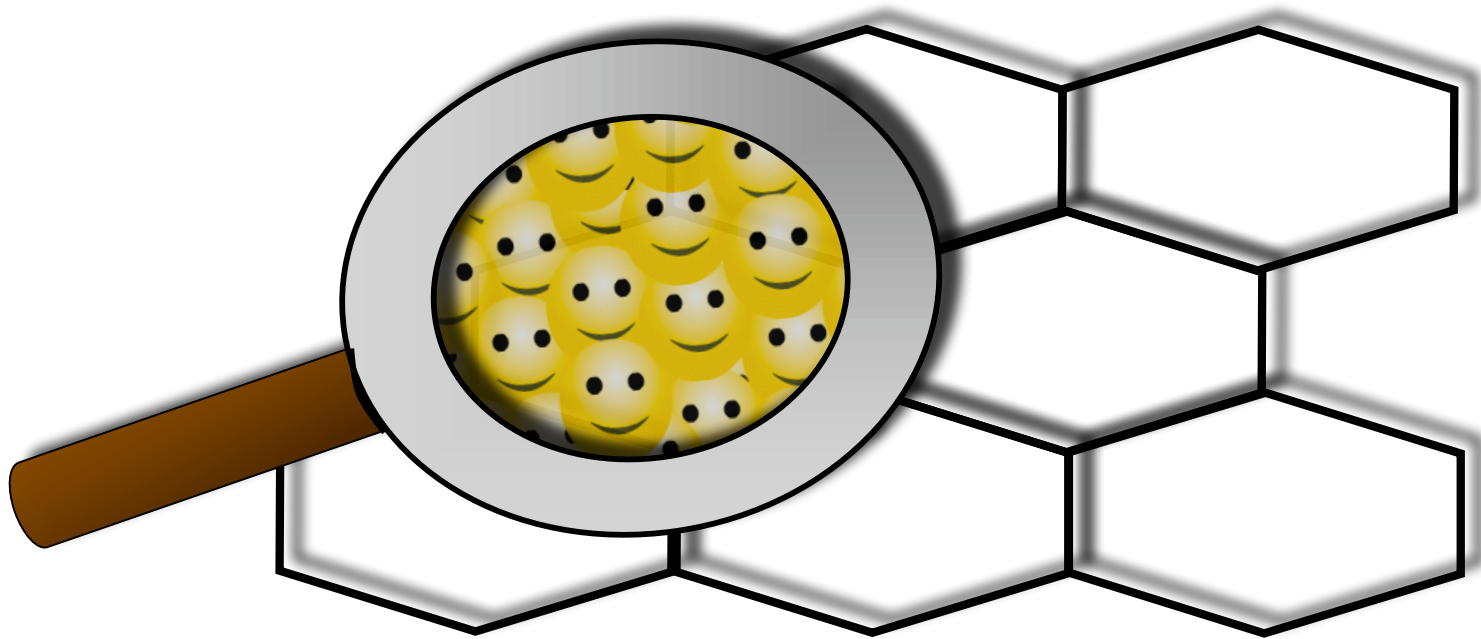


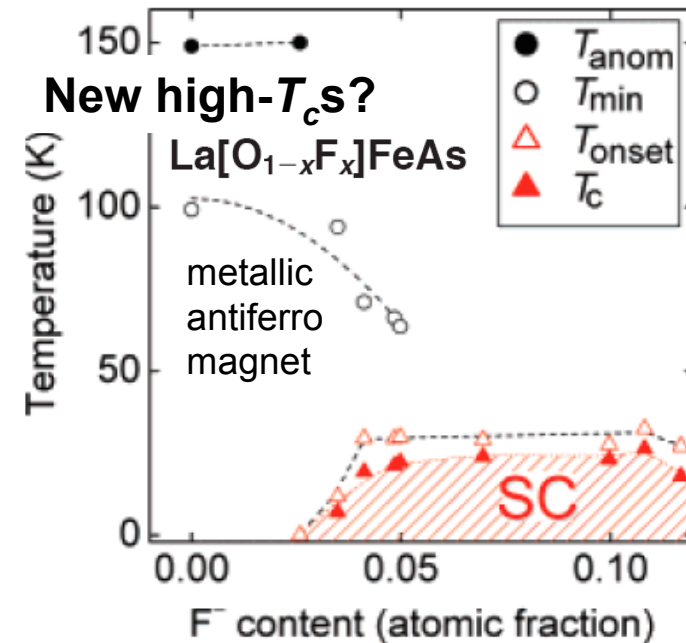
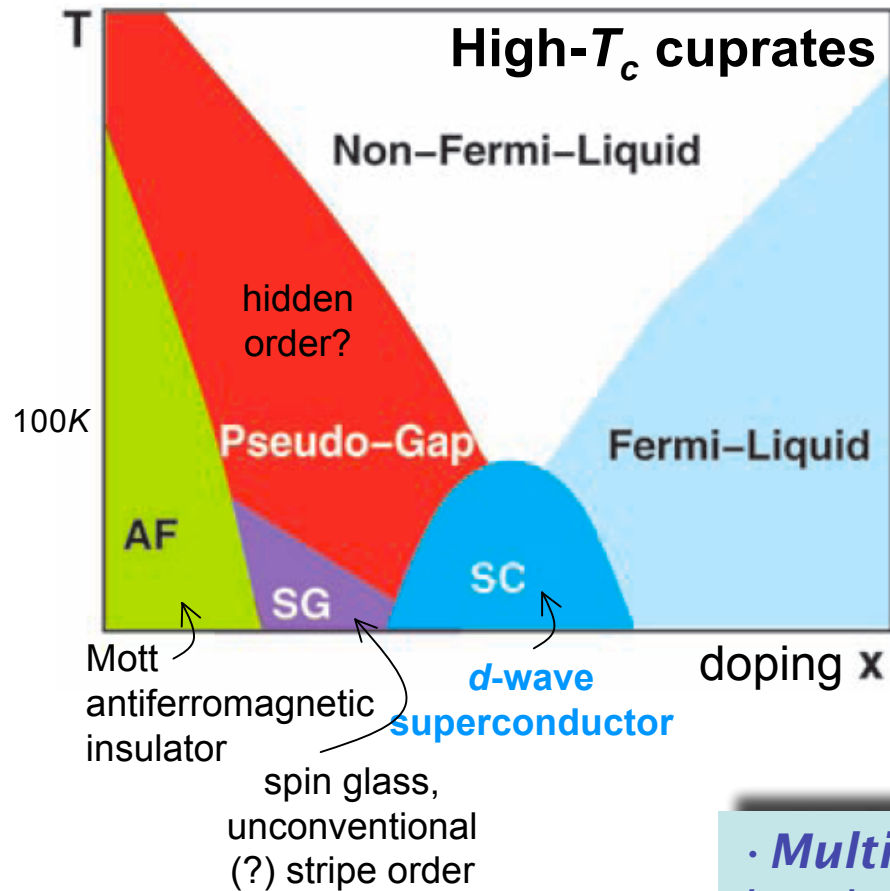
Functional renormalization group for interacting fermions - recent developments

Carsten Honerkamp
Universität Würzburg



Why functional renormalization
group for interacting fermions?

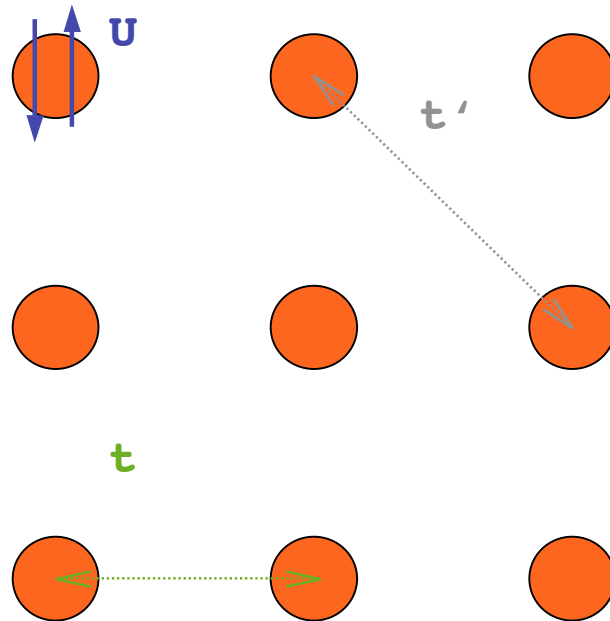
Diversity in correlated electron behavior



- *Multitude of infrared fixed points, selected by physics at intermediate energy scales*
- *Anomalous behavior at intermediate/low energies (critical (?) fluctuations)*

Standard model for strongly correlated electrons: Hubbard model

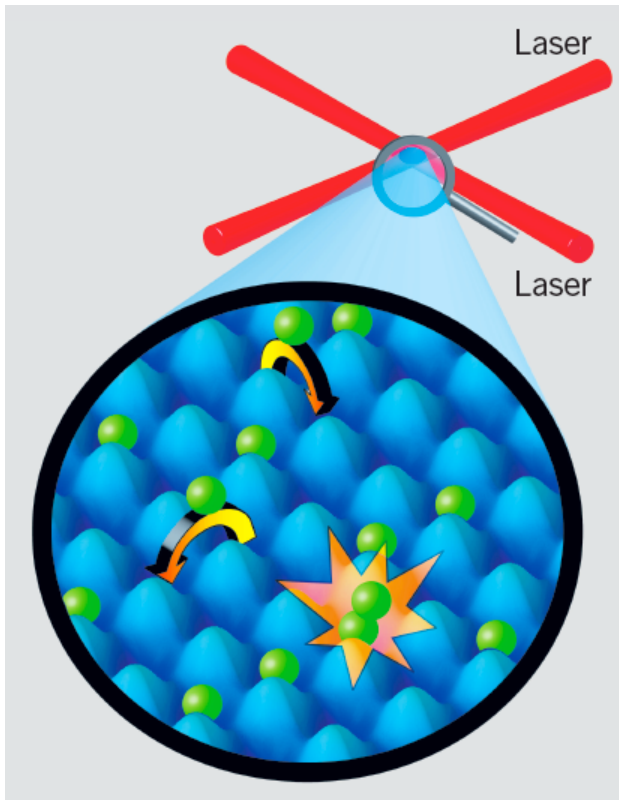
$$H = -t \sum_{\langle nn \rangle, s} c_{i,s}^\dagger c_{j,s} - t' \sum_{\langle\langle nn \rangle\rangle, s} c_{i,s}^\dagger c_{j,s} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



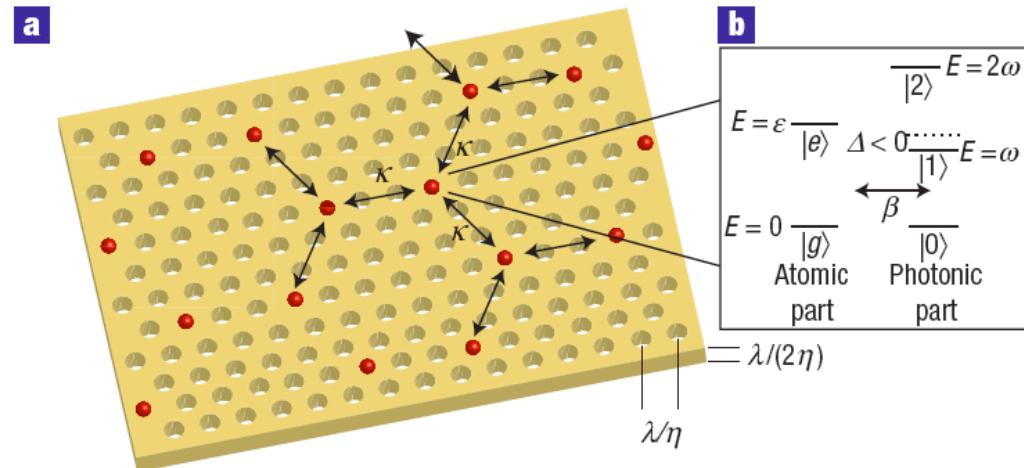
& variants

(different lattices,
more bands, more
general interactions)

Artificial Hubbard models: new possibilities?

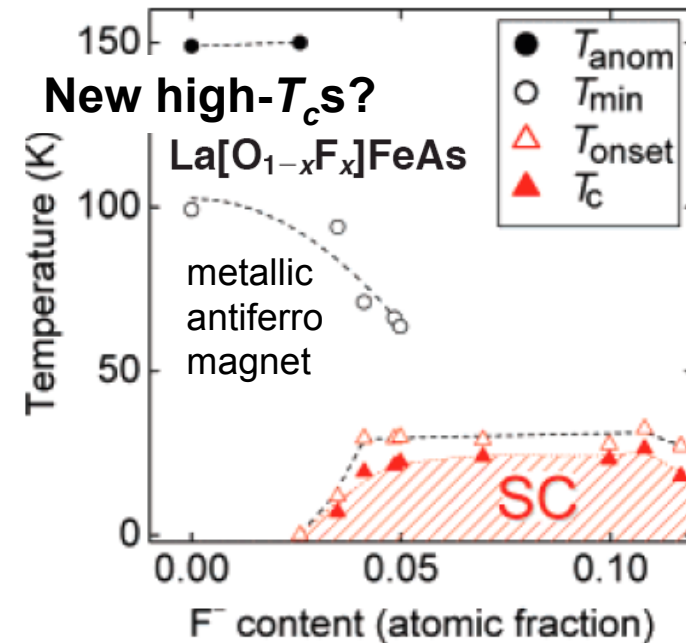
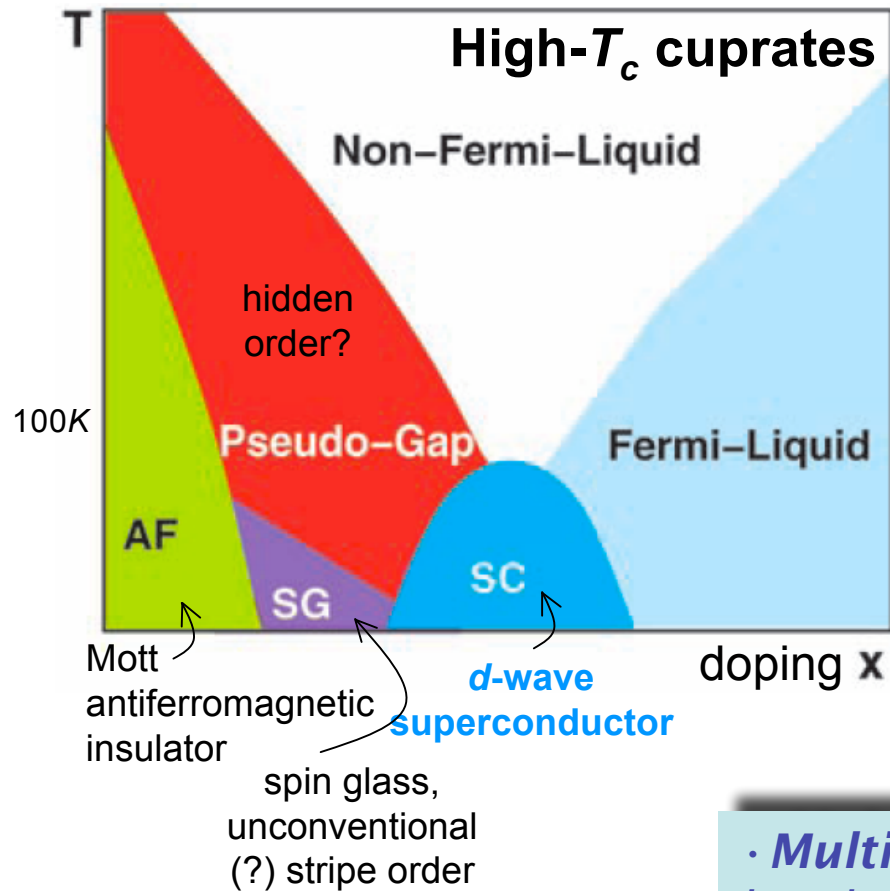


- **Ultracold atoms on optical lattices** (Bloch et al, ... many groups)
- **Photonic Hubbard models** (2-level systems in microcavities in photonic bandgap materials, Greentree et al. 06)



- **Quantum dot arrays** (Byrnes et al., 07) using mesh-gated 2DEGs:
Quantum simulation of Fermi-Hubbard models in semiconductor quantum dot arrays

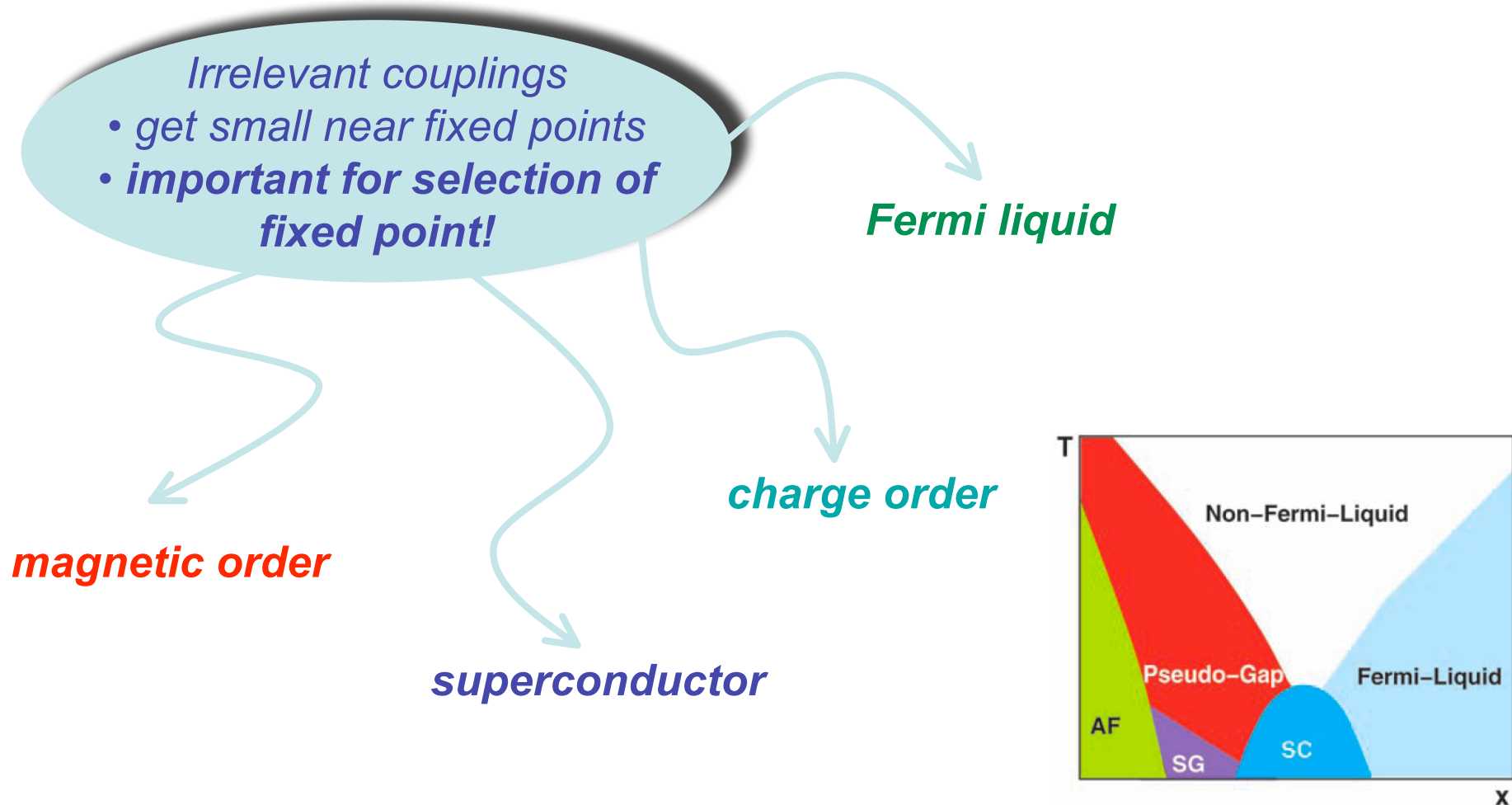
Diversity in correlated electron behavior



- *Multitude of infrared fixed points, selected by physics at intermediate energy scales*
- *Anomalous behavior at intermediate/low energies (critical (?) fluctuations)*

Exact renormalization group

Wikipedia: 'An **exact renormalization group equation (ERGE)** is one that takes **irrelevant couplings** into account.'



Exact renormalization group equation

Start with **generating functional** of theory W_k :

$$e^{-W_k(\xi, \bar{\xi})} = \int D\psi D\bar{\psi} \exp[-S_k(\psi, \bar{\psi}) + \langle \bar{\psi}, \xi \rangle + \langle \bar{\xi}, \psi \rangle] ,$$

S_k = fermionic action, quadratic part dep. on k

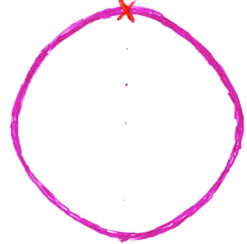
$$U_k(\phi, \bar{\phi}) = W_k(\xi, \bar{\xi}) - \langle \bar{\xi}, \phi \rangle - \langle \bar{\phi}, \xi \rangle, \quad \frac{\delta W_k}{\delta \bar{\xi}} = \phi$$

generates 1PI vertex functions

k -derivative:

→ exact 1-loop equation for 1PI-generating functional U_k

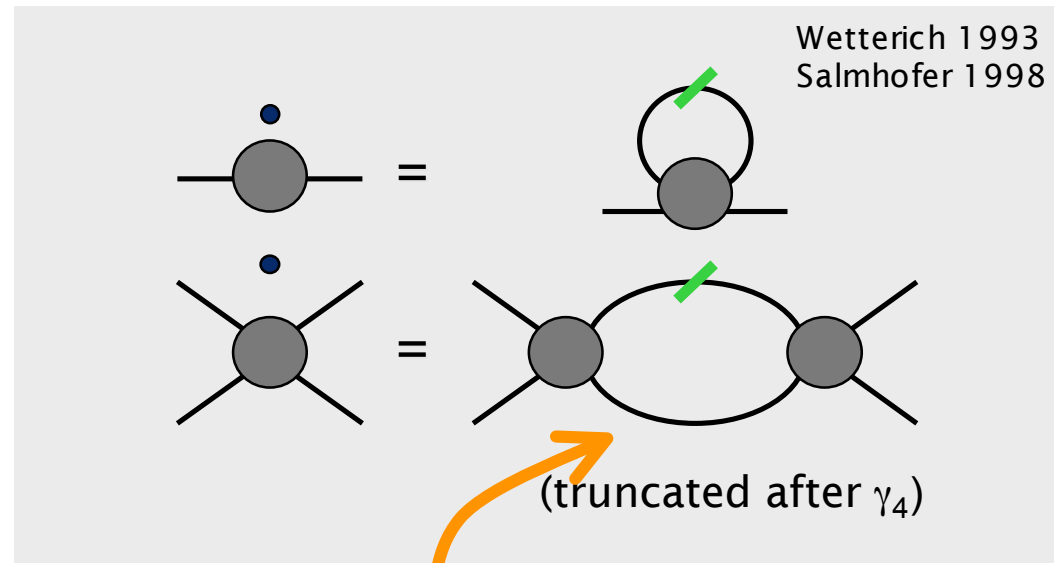
Wilson
Wegner, Houghton
Polchinski
Morris
Salmhofer
Kopietz
...

$$\partial_k U_k = \frac{1}{2} \text{ (diagram) } (Z_k q^2 + M_k^2 + R_k(q^2))^{-1}$$


C. Wetterich
1993

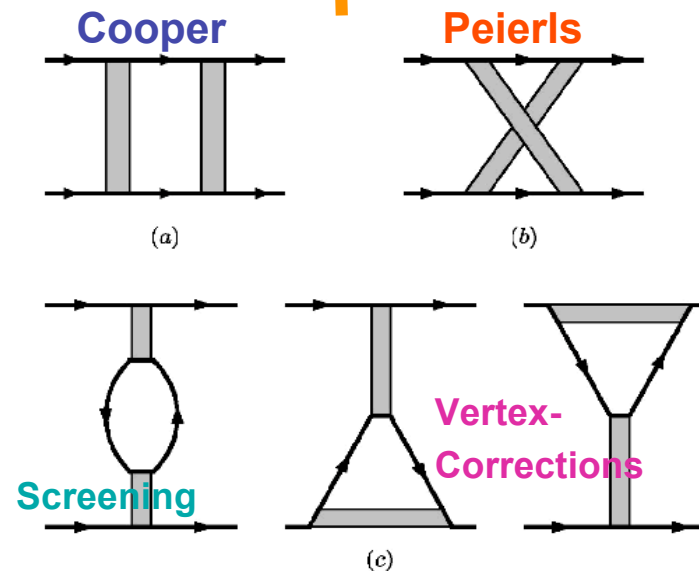
Infinite hierarchy of RG equations ... but unbiased

- Exact flow equation for generating functional when Λ is changed $\rightarrow$ hierarchy of 1-loop equations for 1PI vertices
- Needs **truncation**, 6pt vertex set to 0 $\rightarrow$ **perturbative treatment**



Includes all important fluctuation channels on equal footing!

Diversity enters here!

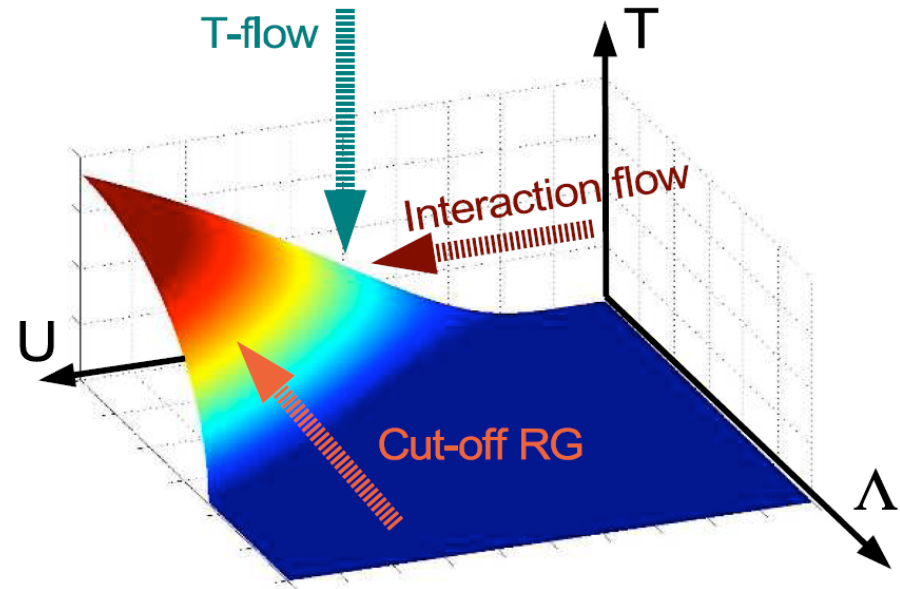


Different flow parameters

$$S = \underbrace{T \sum_n \sum_s \int \frac{d^2 k}{(2\pi)^2} \bar{\psi}_s(\vec{k}, i\omega_n) (i\omega_n - \varepsilon_{\vec{k}}) \psi_s(\vec{k}, i\omega_n)}_{= \mathbf{Q}, \text{ quadratic part contains flow parameter}} + \frac{1}{2} T^3 \sum_{n_1, n_2, n_3} \sum_{s, s'} \int \frac{d^2 k_1}{(2\pi)^2} \frac{d^2 k_2}{(2\pi)^2} \frac{d^2 k_3}{(2\pi)^2} V(\vec{k}_1, \vec{k}_2, \vec{k}_3) \bar{\psi}_s(\vec{k}_3, i\omega_{n_3}) \bar{\psi}_{s'}(\vec{k}_4, i\omega_{n_4}) \psi_{s'}(\vec{k}_2, i\omega_{n_2}) \psi_s(\vec{k}_1, i\omega_{n_1})$$

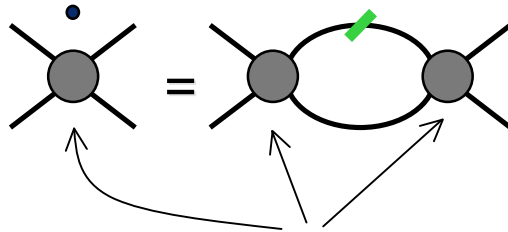
Different choices for **flow parameter k** :

- Band-energy cutoff Λ ,
 $\mathbf{Q} \rightarrow \mathbf{Q} \chi^{-1}(\varepsilon/\Lambda)$
'momentum-shell schemes'
- Frequency cutoff
- Temperature $\rightarrow T$ -flow scheme
- Coupling strength $g \rightarrow$
interaction flow = flat cutoff
- Combinations

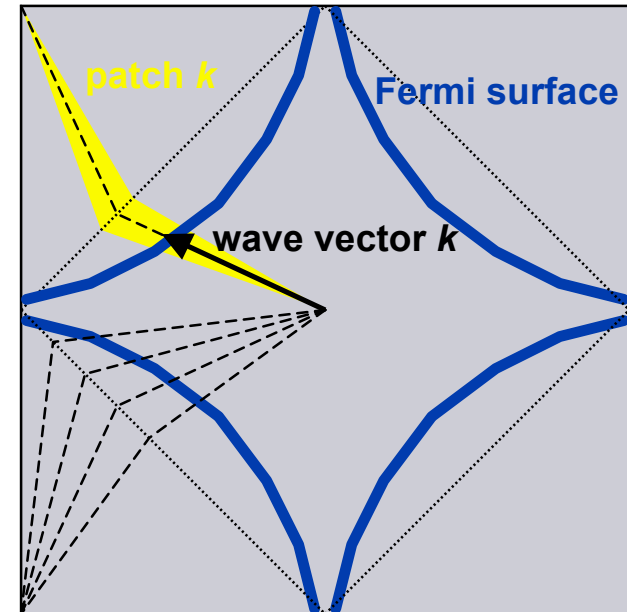


Start where interactions and selfenergy are known, flow to physical point

Implementation for two-dimensional models



- **Coupling function** $V(k_1, k_2, k_3)$ with incoming wavevectors k_1, k_2 and outgoing k_3
- Discretize: approximate $V(k_1, k_2, k_3)$ as **constant** for k_1, k_2 and k_3 in same **patch**
- Up to 144 patches (good angular resolution), consistent with other discretizations
- Frequency dependence can be taken into account ($\rightarrow$ S.W. Tsai)



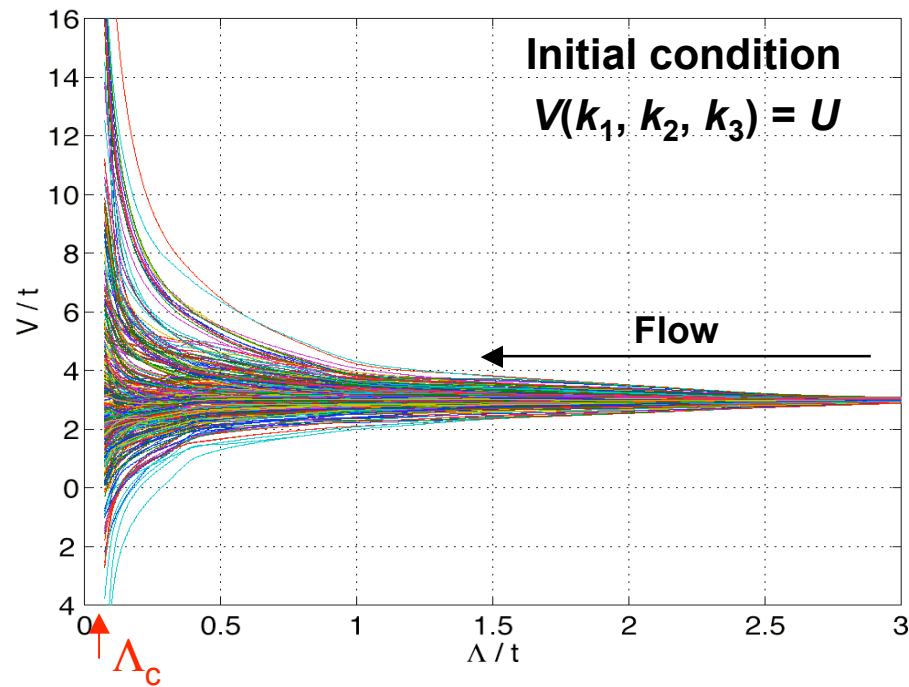
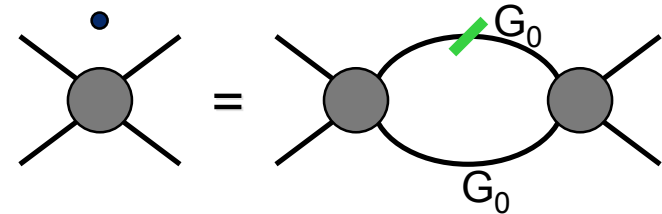
Zanchi and Schulz 1997

Functional RG: flow of coupling functions, derived from RG eqn for generating functional

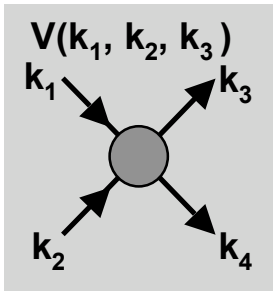
The basic picture: Flows to
strong coupling

Flow to strong coupling

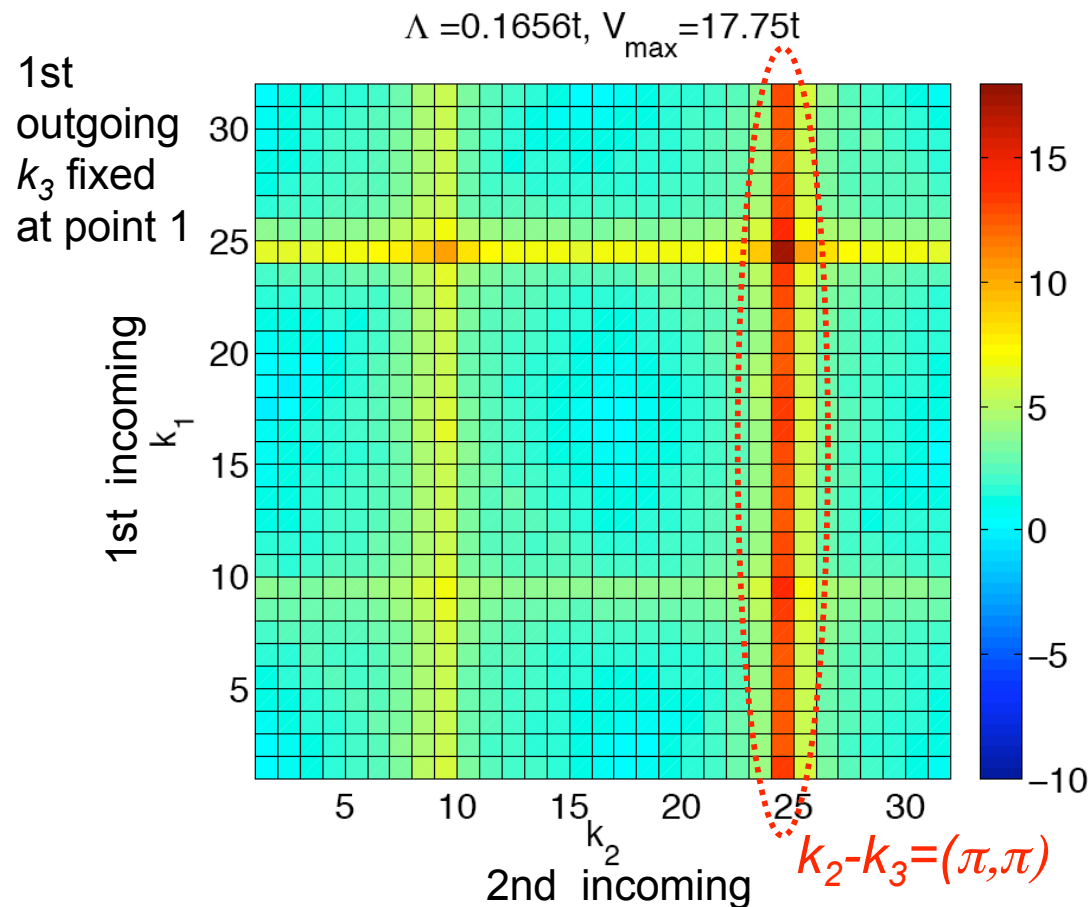
Flows without self-energy feedback:
Analysis of flow to strong coupling



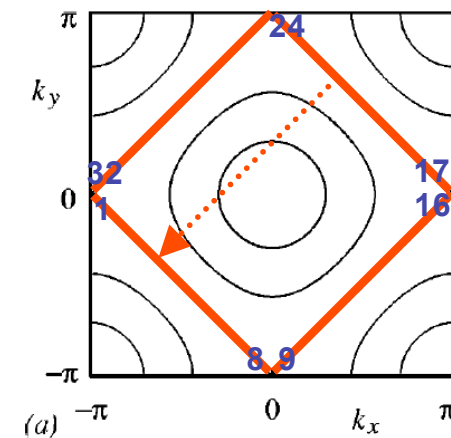
*Leading low-energy
correlations?
Energy scales?*



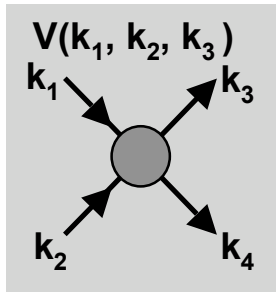
Emergent collective behavior: Spin-density wave



- Fully nested Fermi surface,
- $U=2t, t'=0, T=0.001t$

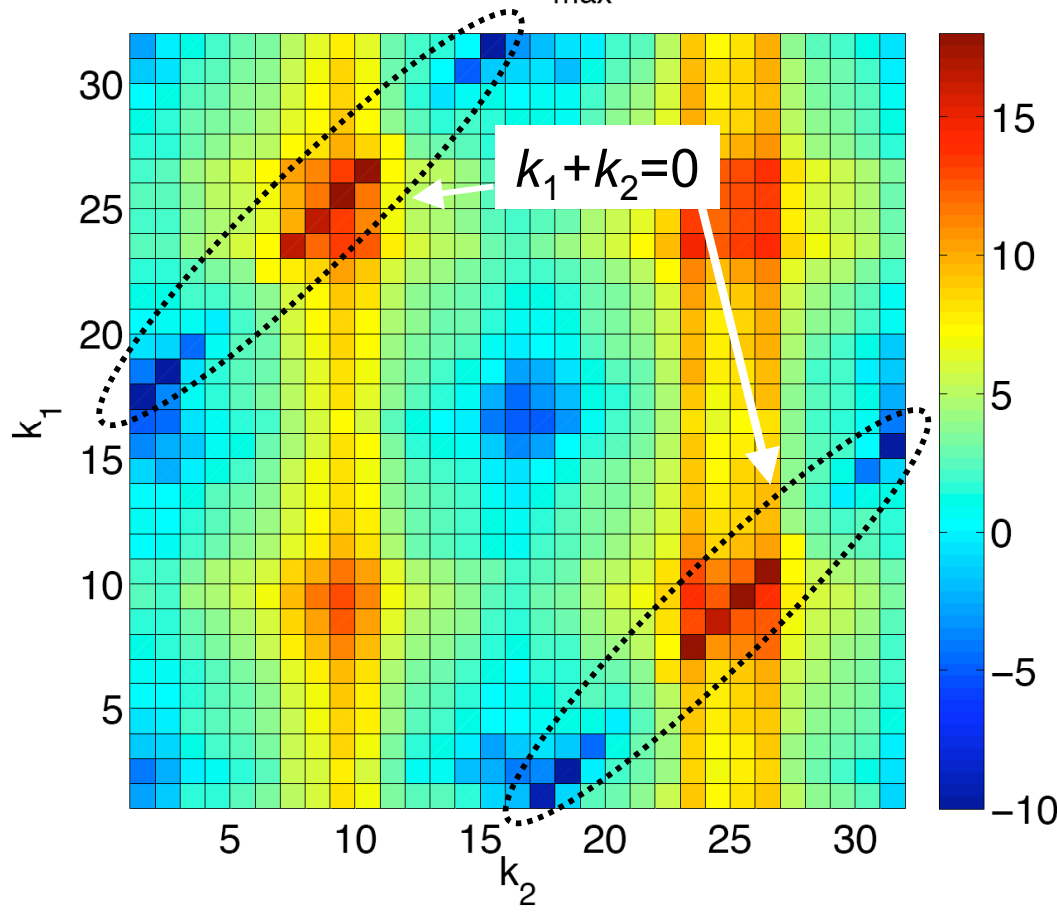


Interpretation: antiferromagnetic spin-density wave

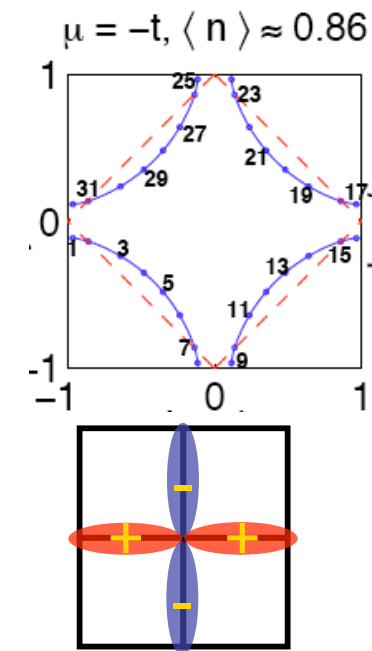


Emergent collective behavior: *d*-wave pairing on square lattice

$$\Lambda = 0.05801t, V_{\max} = 24.16t$$



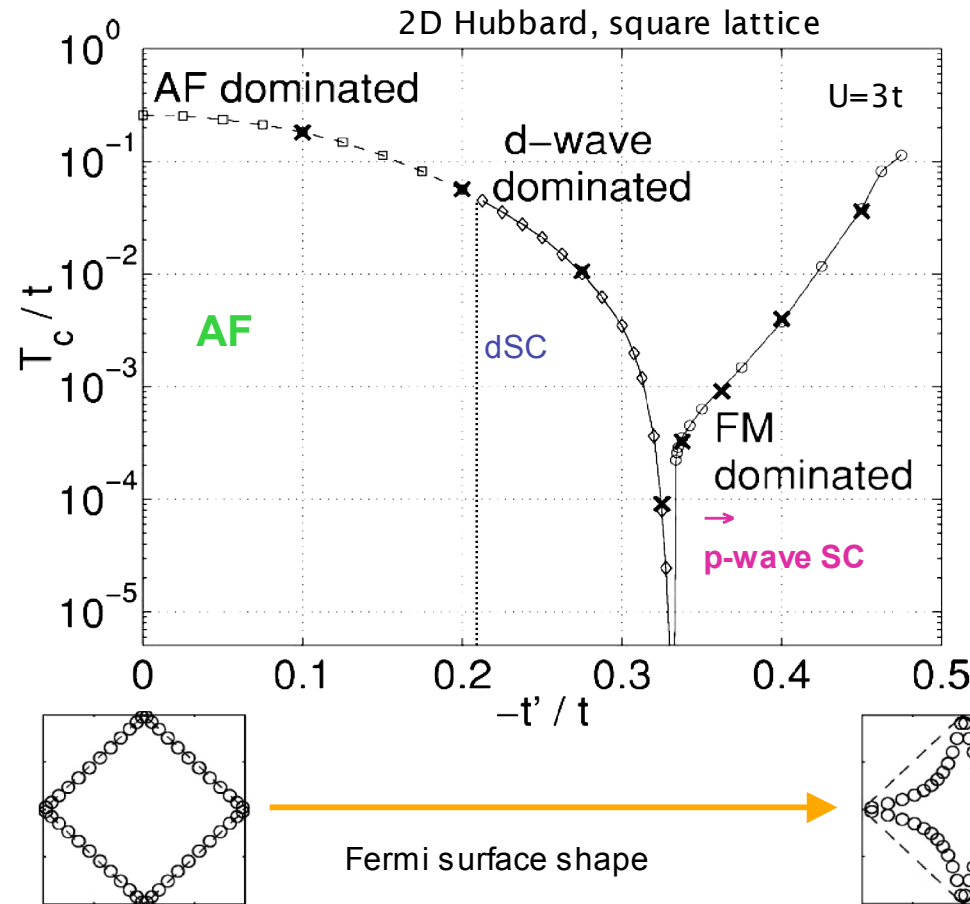
- Imperfectly nested 'high- T_c ' Fermi surface
- $t' = -0.3t$, $U = 3t$, $T = 0.001t$



Zanchi, Schulz, 1997
Halboth, Metzner 2000
CH et al. 2001, Tsai, Marston 2001

$d_{x^2-y^2}$ -wave Cooper pairing instability!

Competing orders near van Hove filling



CH& Salmhofer, PRL 2001

AF spin-fluctuations induce $d_{x^2-y^2}$ -wave Cooper pairing instability
Triplet pairing near ferromagnetic instability

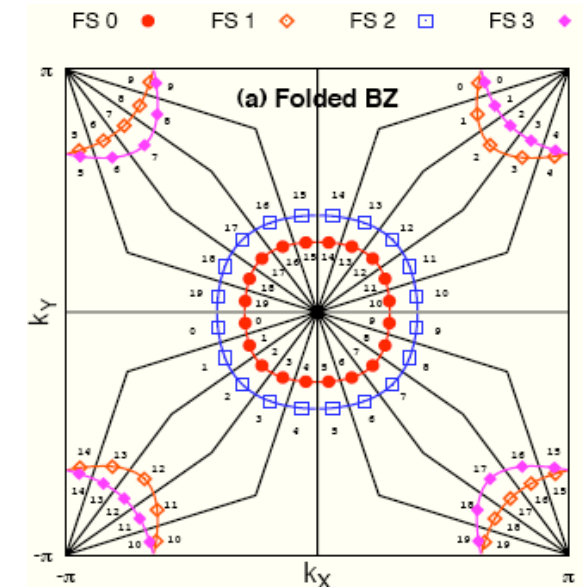
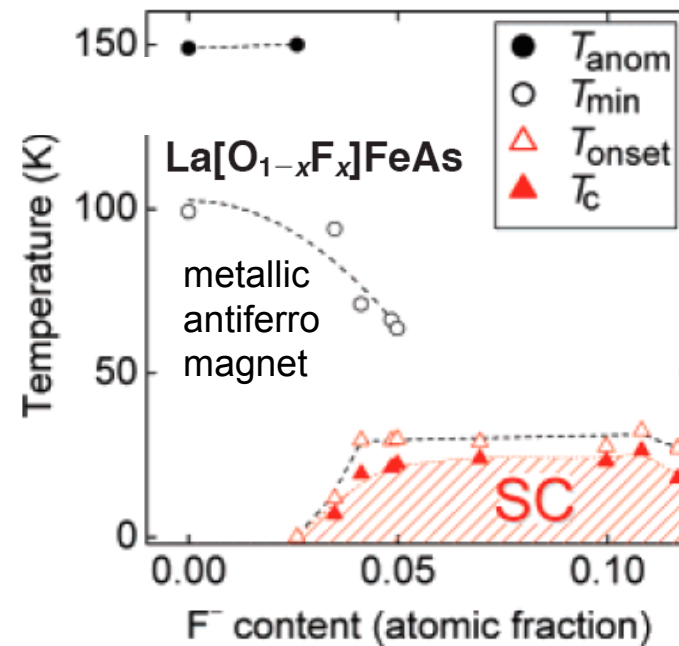
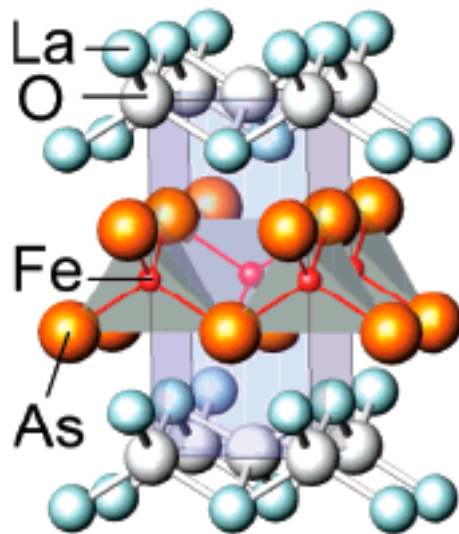
New testing grounds?

Iron-Based Layered Superconductor $\text{La}[\text{O}_{1-x}\text{F}_x]\text{FeAs}$ ($x = 0.05\text{--}0.12$) with $T_c = 26\text{ K}$

Yoichi Kamihara,^{*,†} Takumi Watanabe,[‡] Masahiro Hirano,^{†,§} and Hideo Hosono^{†,‡,§}

ERATO-SORST, JST, Frontier Research Center, Tokyo Institute of Technology, Mail Box S2-13, Materials and Structures Laboratory, Tokyo Institute of Technology, Mail Box R3-1, and Frontier Research Center, Tokyo Institute of Technology, Mail Box S2-13, 4259 Nagatsuta, Midori-ku, Yokohama 226-8503, Japan

Received January 9, 2008; E-mail: hosono@msl.titech.ac.jp

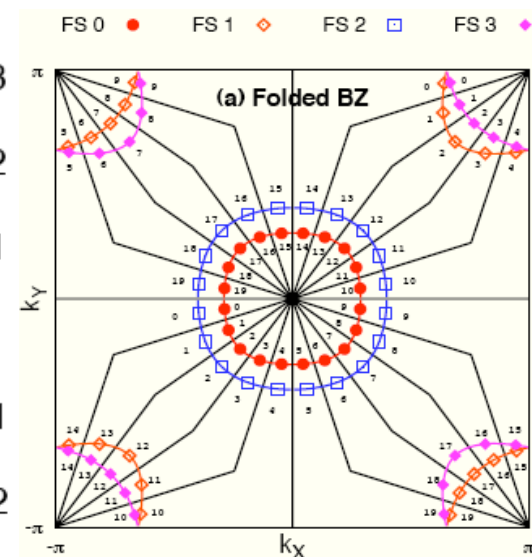
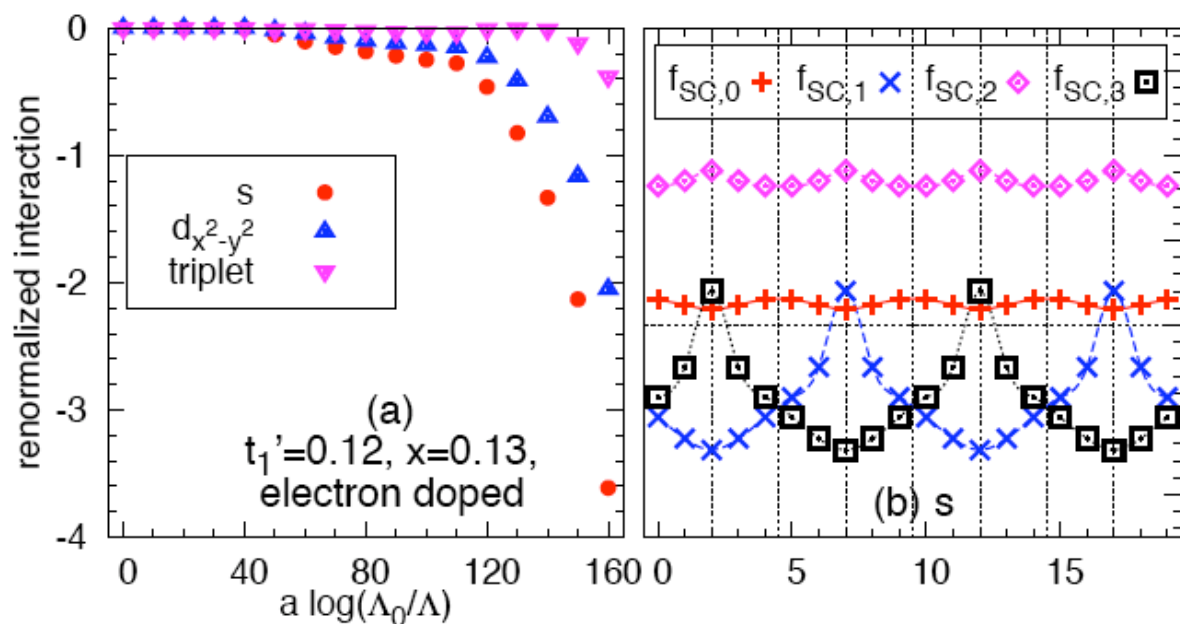
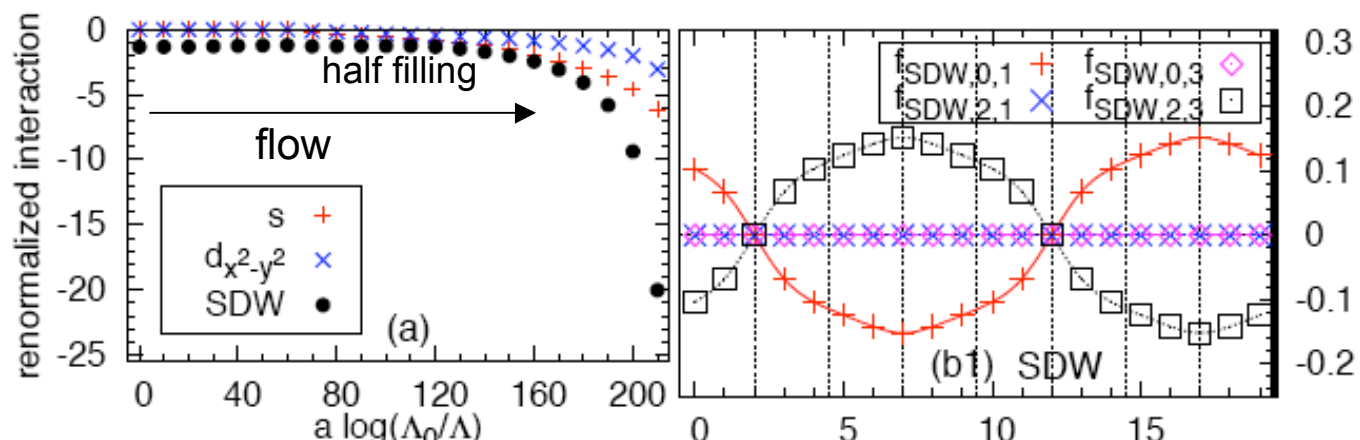


- Layered oxypnictides: $(\text{RE})\text{O}_{1-x}\text{F}_x\text{FeAs}$: T_c up to 55K
- Minimal model: 2 bands (=4 Fermi surfaces in folded zone)

Pairing in oxypnictides

Wang, Zhai, Ran,
Vishwanath, DH Lee
0805.3343

Nodal AF spin
density wave
for zero
doping



Unconventional s-wave pairing at reasonable scales

Graphene:
Many-body effects on the honeycomb lattice?
Cooper pairing?

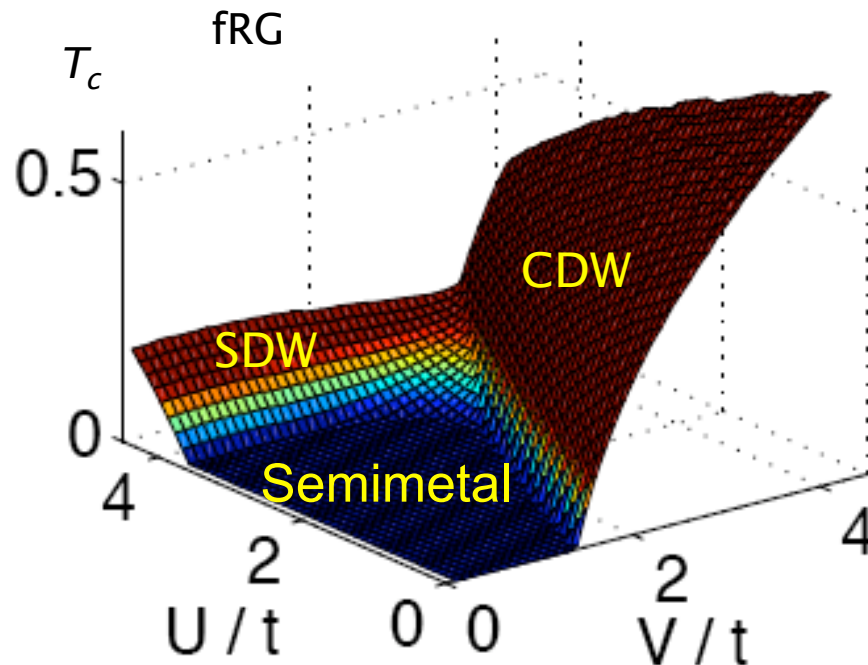
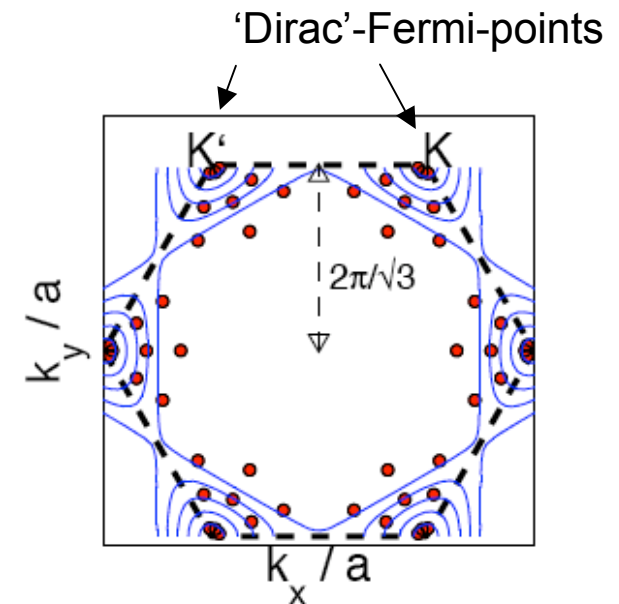


Ordering tendencies on honeycomb lattice: half filling

Short-range interactions U, V

$$H = -t \sum_{\langle i,j \rangle, s} \left(c_{i,s}^\dagger c_{j,s} + c_{j,s}^\dagger c_{i,s} \right) + U \sum_i n_{i,\uparrow} n_{i,\downarrow} + V \sum_{\langle i,j \rangle, s, s'} n_{i,s} n_{j,s'}$$

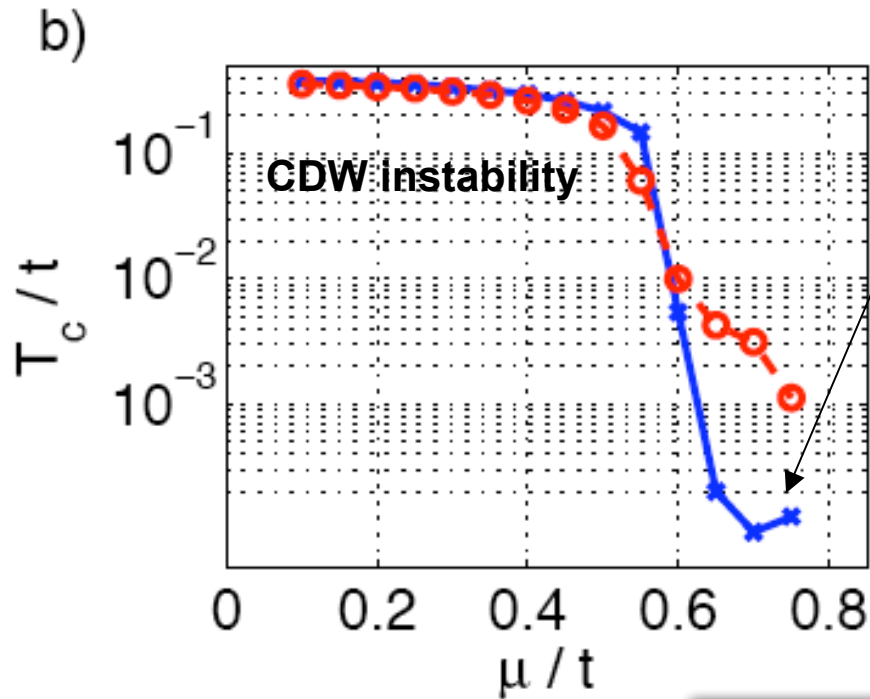
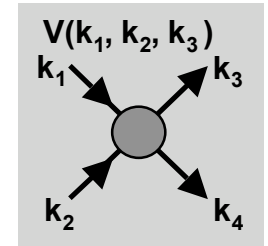
graphene:
 $t \approx 2.5\text{eV}$



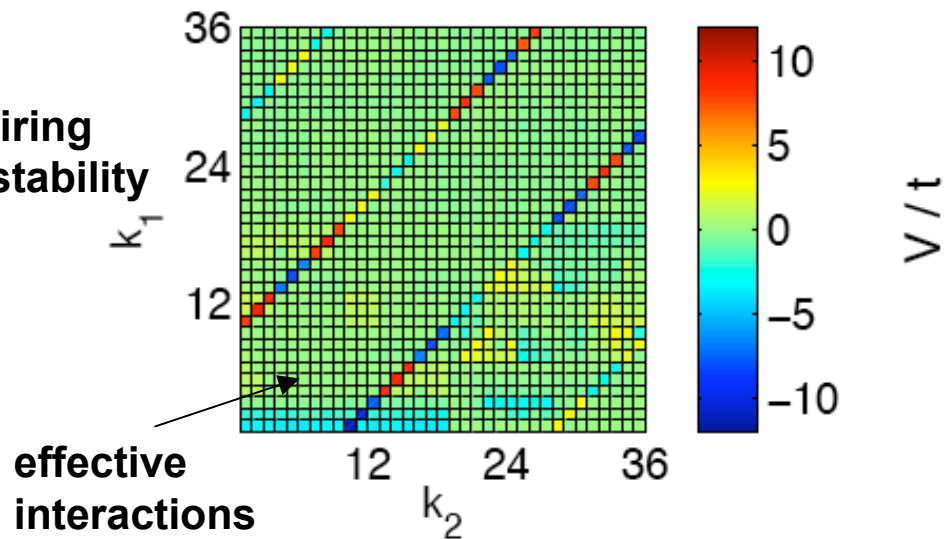
- Nonzero critical U, V required for flow to strong coupling
- Dominant U : AF spin density wave instability
- Dominant V : charge density wave

Dope away from half filling! ... pairing?

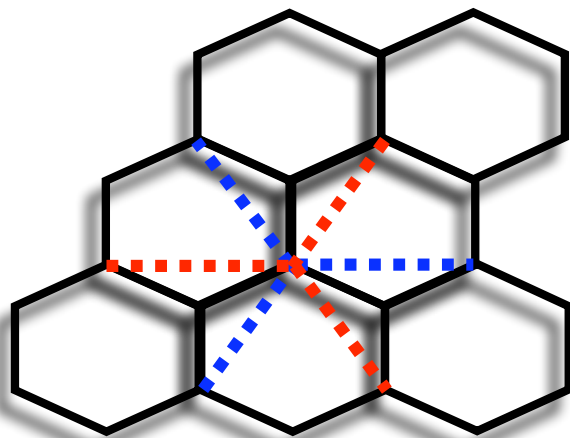
Doping the CDW regime



pairing instability

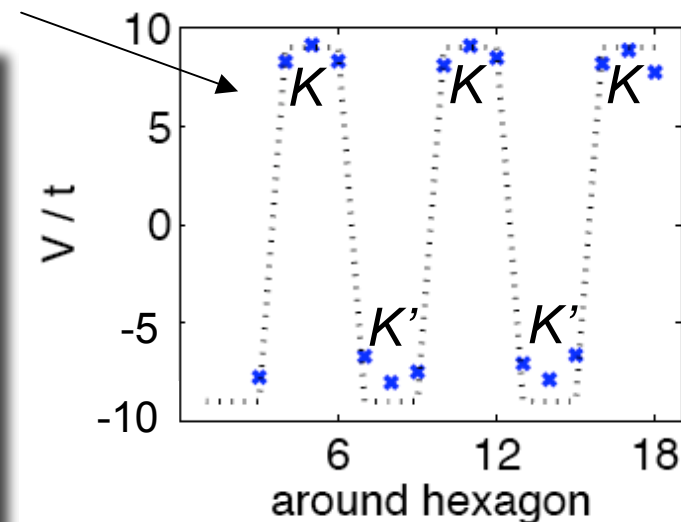


effective interactions

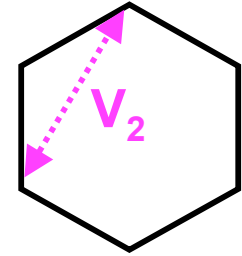


Pairing instability,
 $\propto \cos(3\phi)$ around
 hexagon $\rightarrow$

**f-wave triplet
 pairing
 (2nd nearest
 neighbors)**

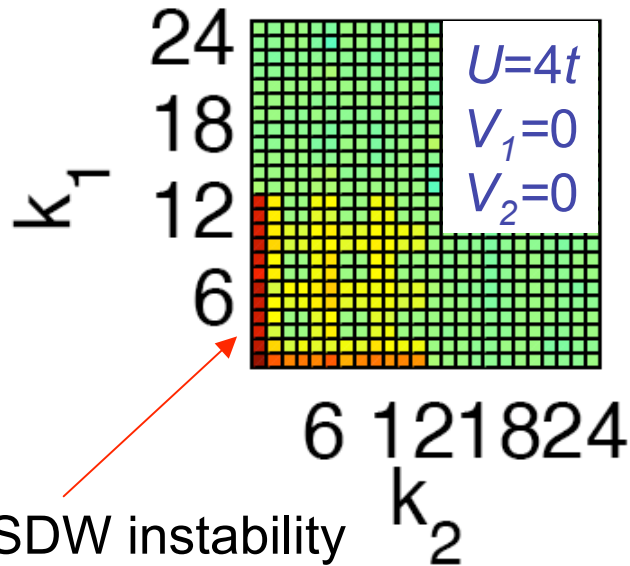


2nd nearest neighbor repulsion

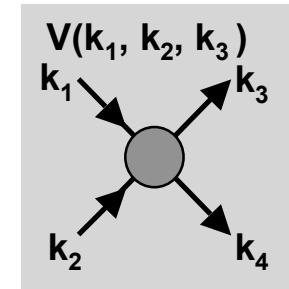
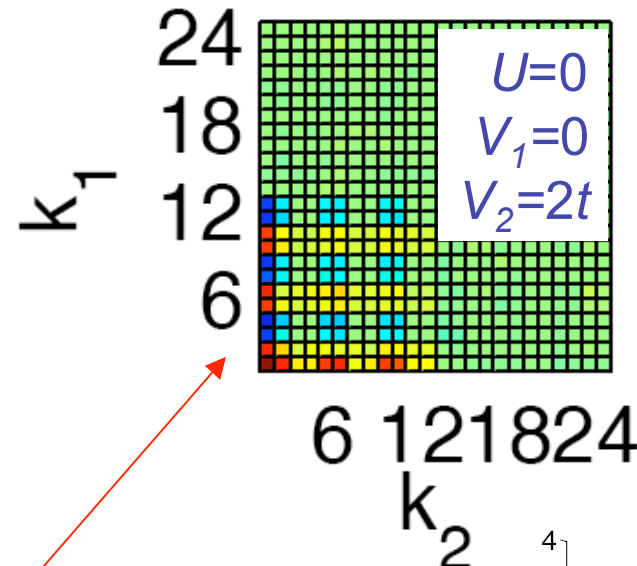


- Novel spin instability for **dominant next-nearest-neighbor repulsion V_2 at half filling**

$k_3=1$ (a), $k_4 @ a$



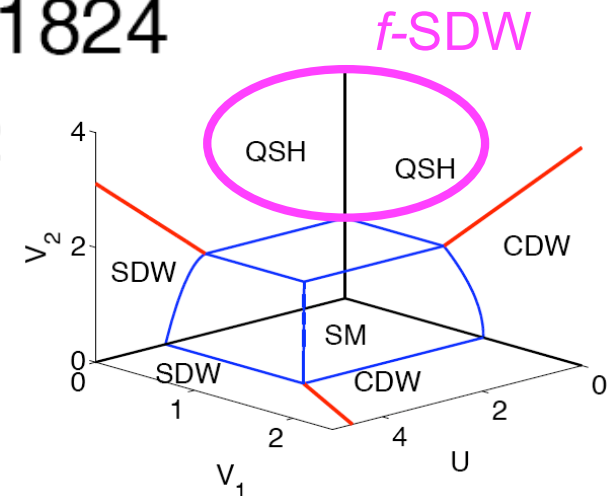
$k_3=1$ (a), $k_4 @ a$



same wavevectors as SDW, but
odd formfactor $\propto \cos(3\phi)$

→ **f-SDW instability**

→ nontrivial order parameter



Quantum Spin Hall Effect in Graphene

C.L. Kane and E. J. Mele

Dept. of Physics and Astronomy, University of Pennsylvania, Philadelphia, Pennsylvania 19104, USA

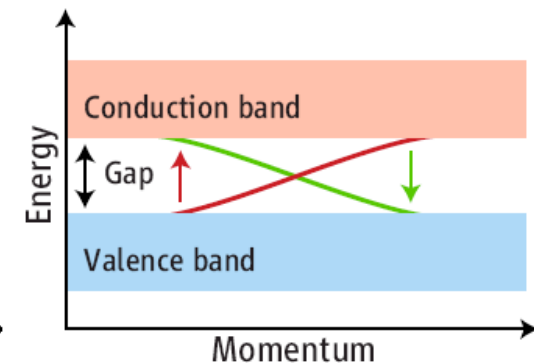
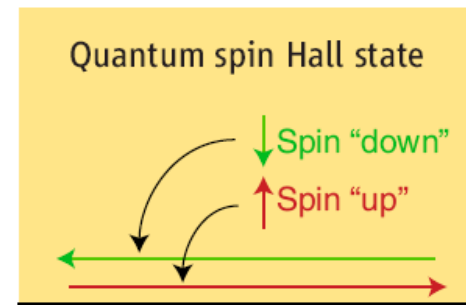
(Received 29 November 2004; published 23 November 2005)

We study the effects of spin orbit interactions on the low energy electronic structure of a single plane of graphene. We find that in an experimentally accessible low temperature regime the symmetry allowed spin orbit potential converts graphene from an ideal two-dimensional semimetallic state to a quantum spin Hall insulator. This novel electronic state of matter is gapped in the bulk and supports the transport of spin and charge in gapless edge states that propagate at the sample boundaries. The edge states are nonchiral, but

Mass term for Dirac fermions

$$\mathcal{H}_{SO} = \Delta_{so} \psi^\dagger \sigma_z \tau_z s_z \psi.$$

↑ sublattice
↑ K, K' points
↑ spin



fSDW-meanfield generates same term from interactions
Edges of fSDW-state carry spin current
= 'non-trivial' Mott QSH insulator

without spin: Haldane PRL 1988

Raghu, Qi, CH, Zhang PRL 2008

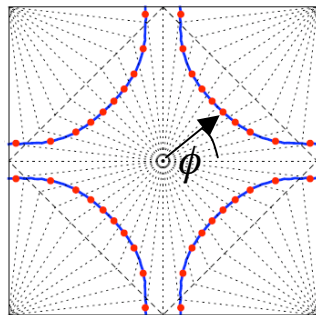
Observables beyond ground state
correlations?

Quasiparticle scattering rate in overdoped cuprates

Angle-dependent magnetotransport

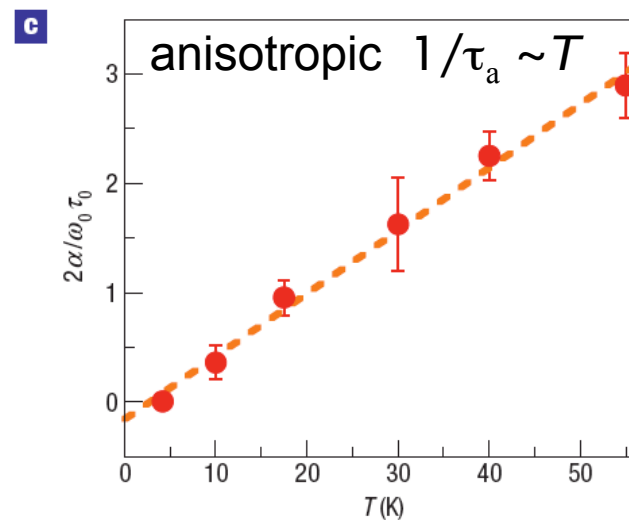
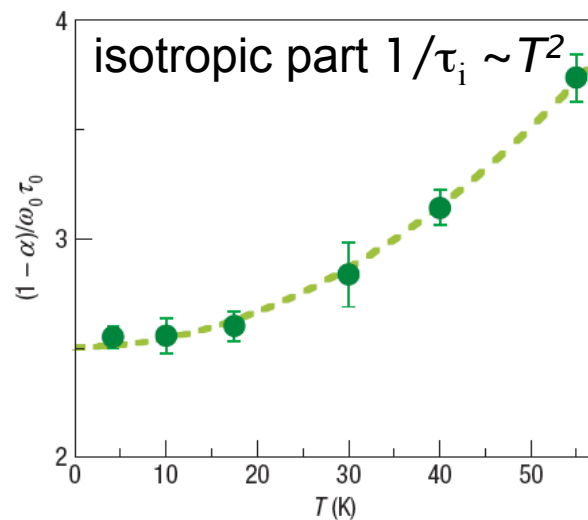
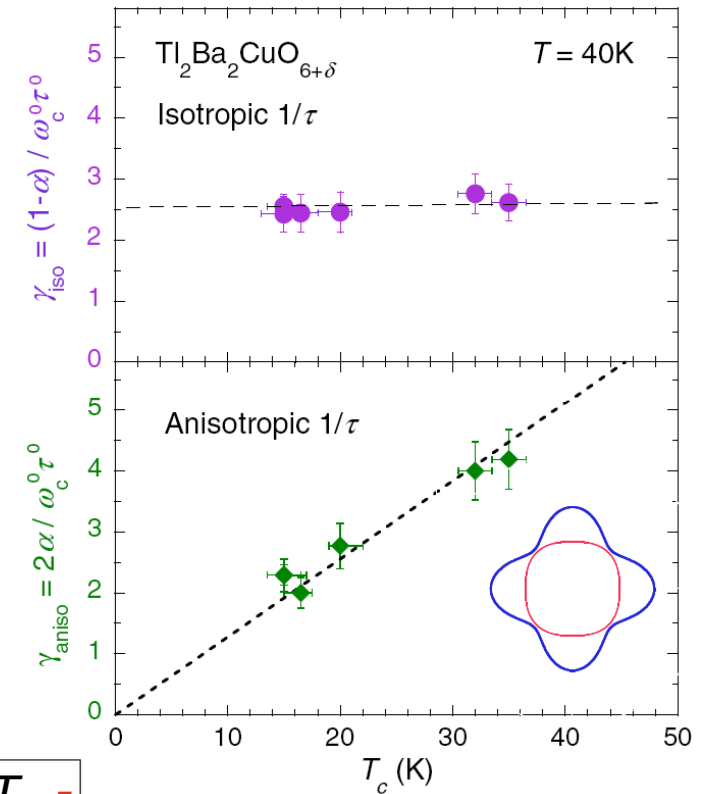
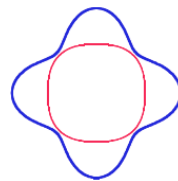
(ADMR, N. Hussey group, Tl2201):

Inplane transport **scattering rate** $1/\tau$ of quasiparticles **anisotropic**, enhanced near $(\pi, 0)$



$(\pi, 0)$

$$\frac{1}{\tau}(\phi, T) = \frac{1}{\tau_i}(T) + \frac{1}{\tau_a}(\phi, T)$$

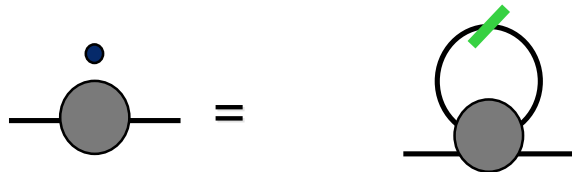


Overdoped cuprates
are Fermi liquids:
Functional RG should
reproduce
experimental trends!

Quasiparticle scattering rate from fRG

Ossadnik,
CH, Rice,
Sigrist 2008
CH 2001

Flow of self-energy $\Sigma(k_F, \omega=0)$



Problem: In our approximation
coupling function is frequency-
independent & real self-energy real
 $\rightarrow 1/\tau = 0!$

Solution: Reconstruct 2-loop frequency dependence of interaction

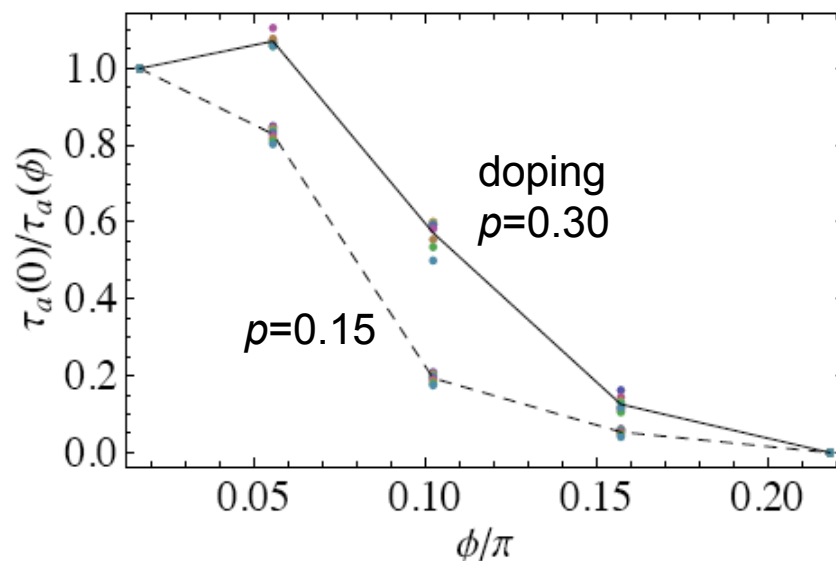
Insert solution of RG equation for coupling function

$\rightarrow$ Two-loop equation for self-energy

$\rightarrow \text{Im } \Sigma(k_F, \omega=0) \neq 0$ (e.g. T^2 for spherical Fermi surface)

Quasiparticle scattering rate from fRG

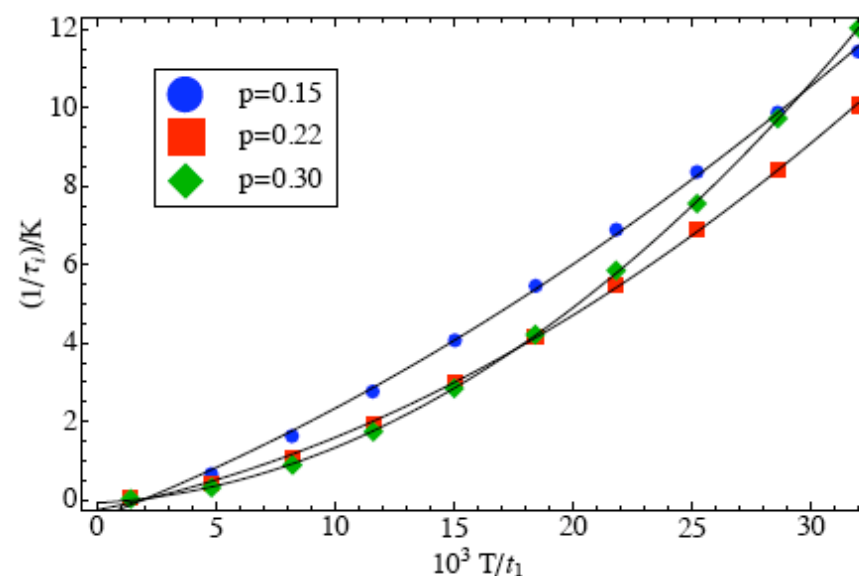
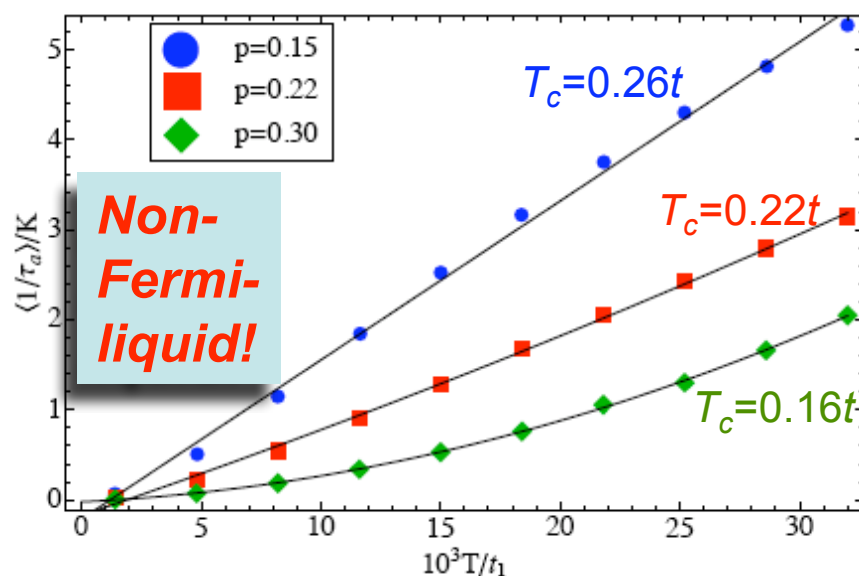
Ossadnik,
CH, Rice,
Sigrist 2008



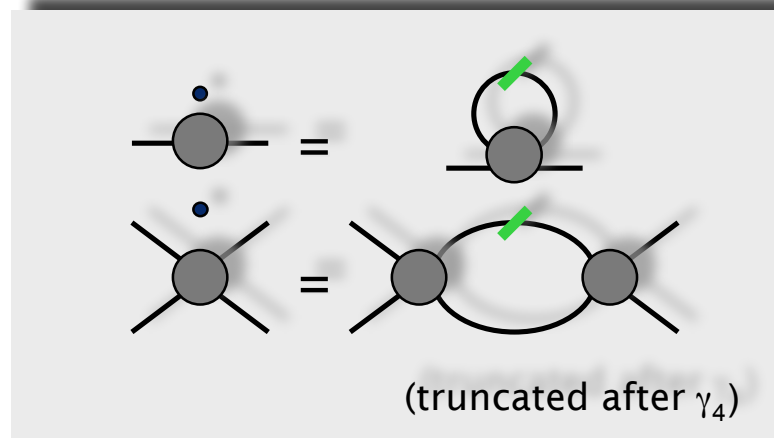
fRG with Cooper instability suppressed:

- anisotropic part $1/\tau_a \sim T$, grows with T_c
- isotropic part $1/\tau_i \sim T^2$, independent of T_c

Rise of T_c correlated with breakdown of Fermi liquid!

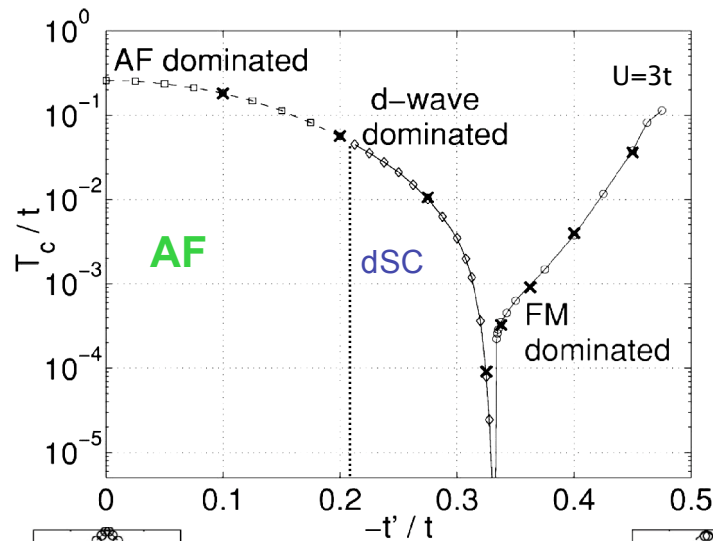


Self-energy flows

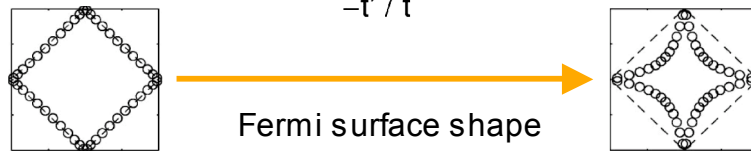
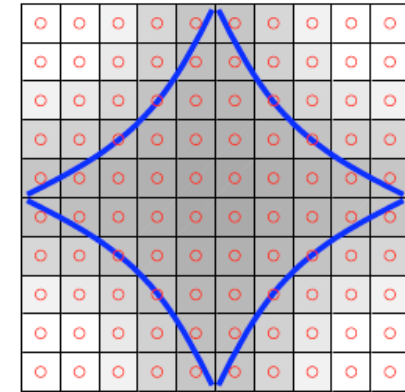
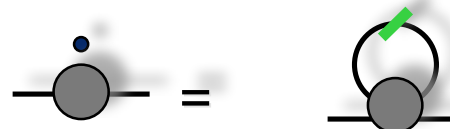


Re Σ : Renormalized dispersion

Übelacker, Ortloff,
CH 2008

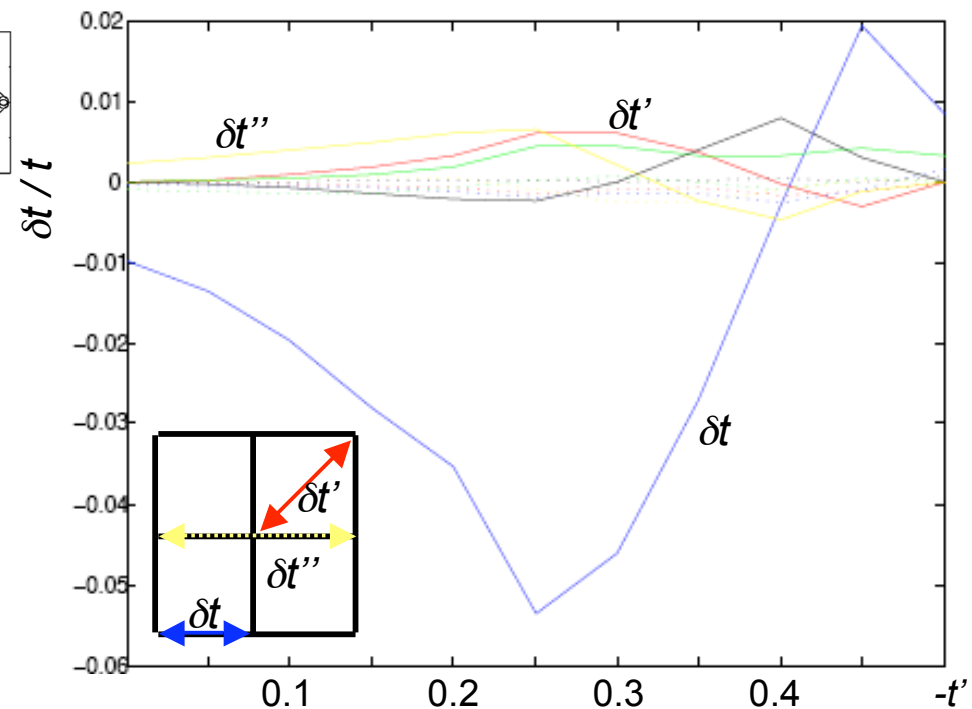


Compute $\text{Re } \Sigma(k, \omega=0)$ on $N \times N$ points in Brillouin zone (flat cutoff flow)



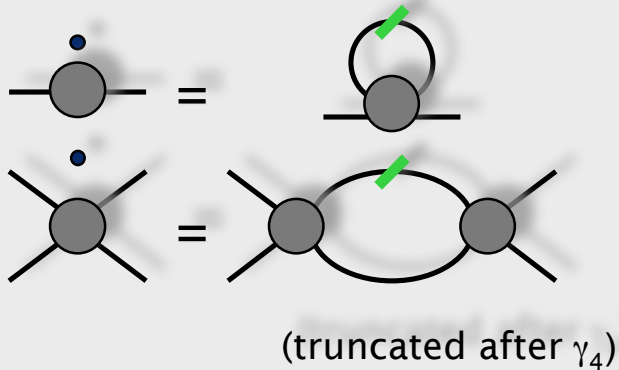
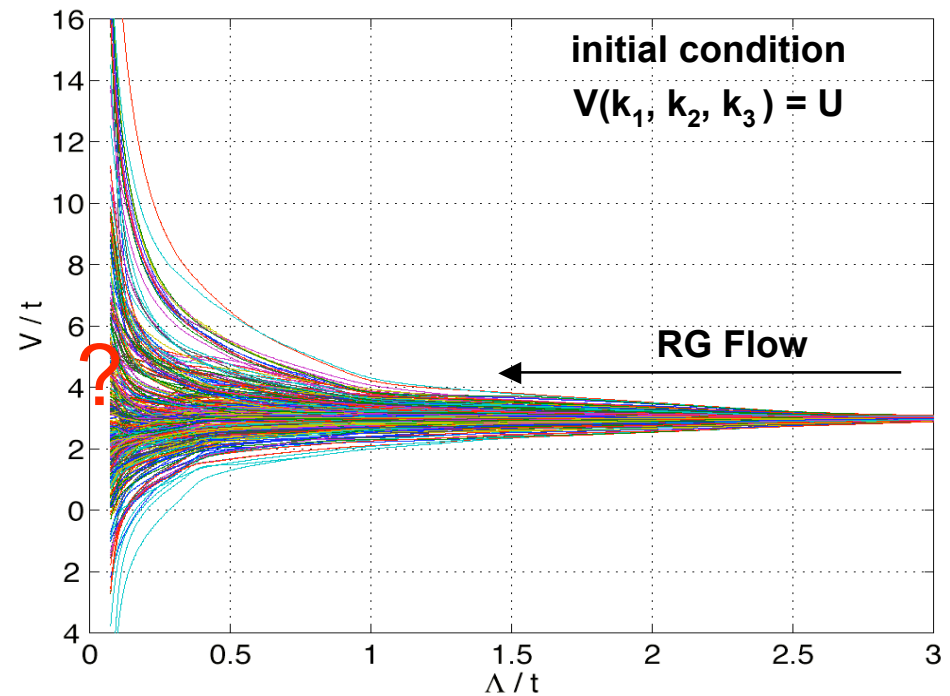
→ Renormalized hopping amplitudes from $\text{Re } \Sigma(k, \omega=0)$ near instability at van Hove filling (flat cutoff flow)

→ **Instabilities unchanged** (cf. A. Katanin, 2-loop, 2008)



Into the strong coupling regime ?

Flow shows **instability** toward **symmetry breaking**, but no controlled access into low-energy state



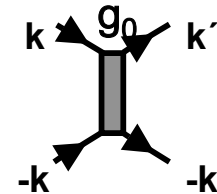
→ Include flow of **self-energy**!

→ Allow for **symmetry breaking**

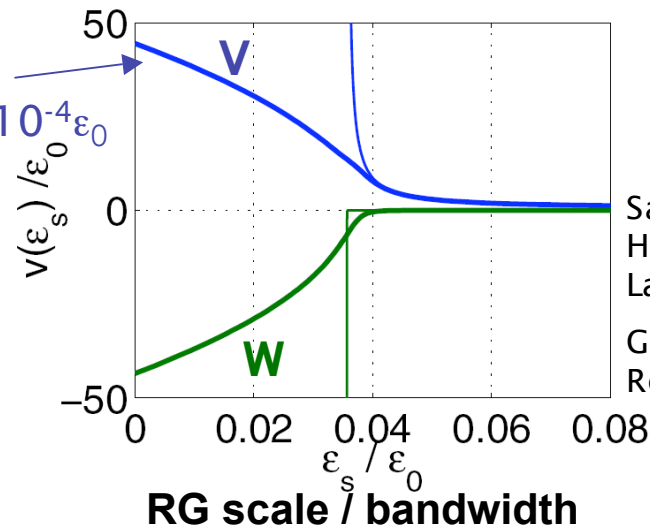
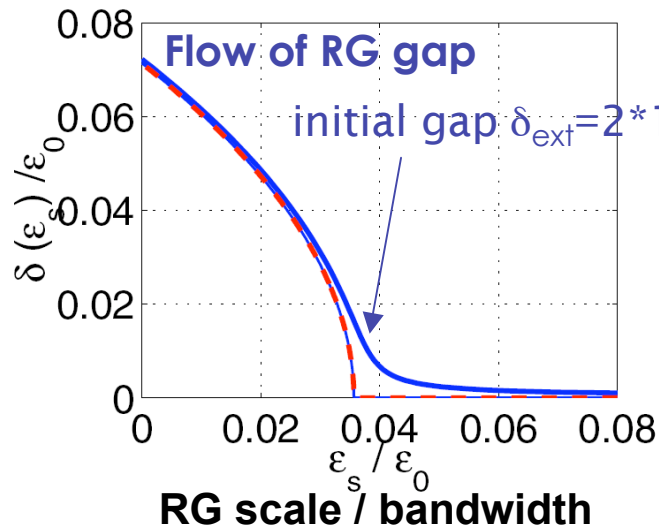
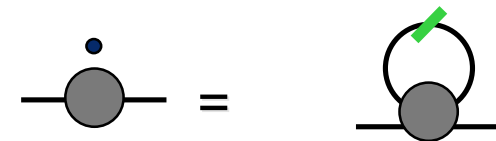
Here: Fermionic approach (vs. bosonization schemes)

Flow into symmetry breaking regime

- Toy model: **reduced BCS Hamiltonian**
- Include **small symmetry-breaking field** into **initial condition** for self-energy (anomalous self-energy Δ_{ext})
- **Divergence regularized** by initial Δ_{ext} , self-energy Δ_{Λ} flows to correct value



$$G_0(\xi, \omega_n) = \frac{1}{\omega_n^2 + \xi^2 + \Delta_{\text{ext}}^2} \begin{pmatrix} -i\omega_n - \xi & -\Delta_{\text{ext}} \\ -\Delta_{\text{ext}} & -i\omega_n + \xi \end{pmatrix}$$



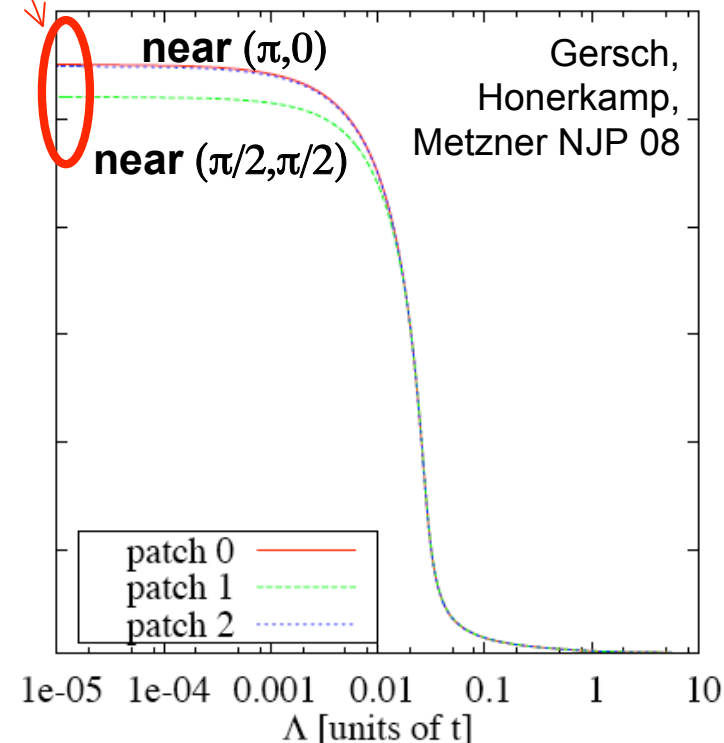
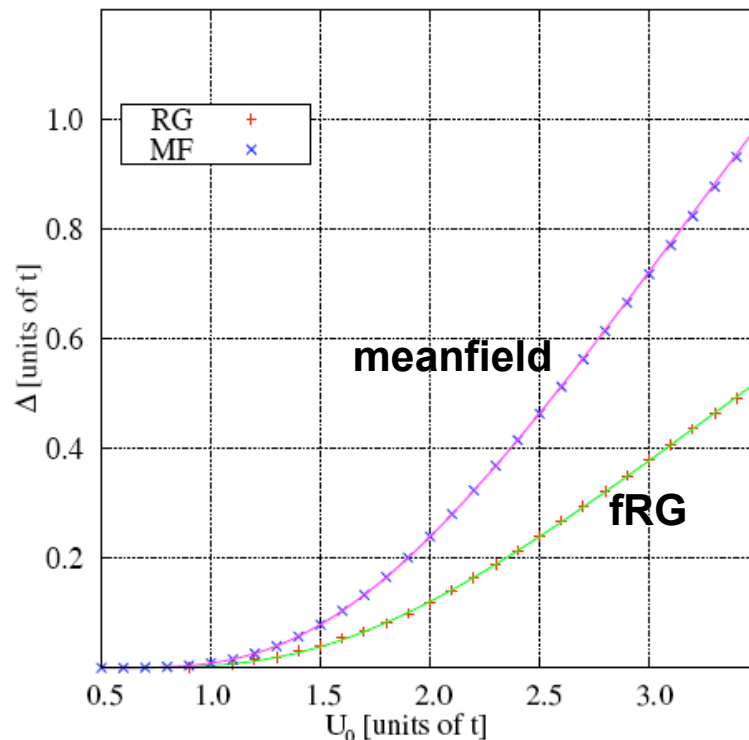
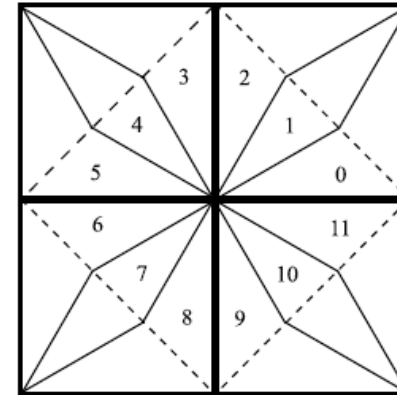
Salmhofer,
Honerkamp, Metzner &
Lauscher 2004

Gersch, Honerkamp,
Rohe, Metzner 2005

modified RG eqns,
Katanin 2004

Application to attractive Hubbard model: beyond meanfield

- Attractive Hubbard on square lattice away from half filling
- Gap magnitude suppressed w.r.t. meanfield result
- Gap function anisotropic

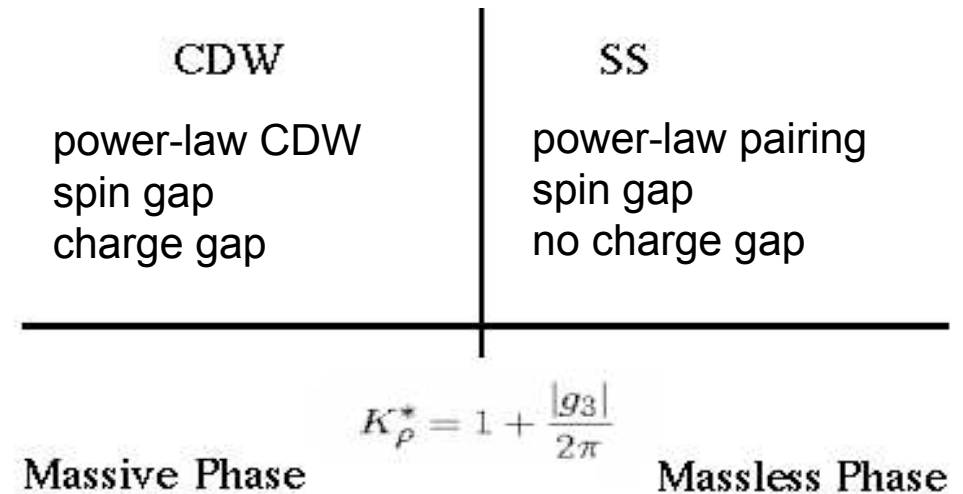
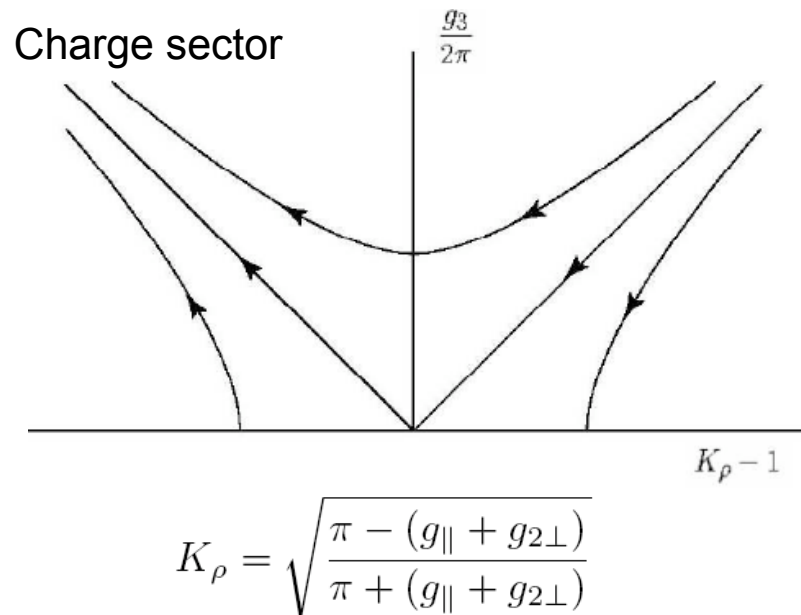
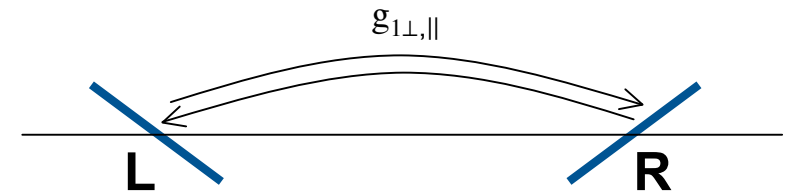


Competing order in 1 D model

$$H_F = H_0 + v_F \int dx g_{1\perp} \sum_{\sigma} L_{\sigma}^{\dagger} R_{-\sigma}^{\dagger} L_{-\sigma} R_{\sigma} - g_{2\perp} \sum_{\sigma} L_{\sigma}^{\dagger} R_{-\sigma}^{\dagger} L_{\sigma} R_{-\sigma} \\ - g_{\parallel} \sum_{\sigma} L_{\sigma}^{\dagger} R_{\sigma}^{\dagger} L_{\sigma} R_{\sigma} + g_3 \sum_{\sigma} \left(L_{\sigma}^{\dagger} L_{-\sigma}^{\dagger} R_{\sigma} R_{-\sigma} + R_{\sigma}^{\dagger} R_{-\sigma}^{\dagger} L_{\sigma} L_{-\sigma} \right)$$

Half-filled band, attractive interactions

Phase diagram from bosonization



Competing (quasi-) long range order

- Extended Nambu formalism for superconducting and CDW order

$$\Psi_k = \begin{pmatrix} R_+ \\ \bar{L}_- \\ L_+ \\ \bar{R}_- \end{pmatrix} \quad Q = \begin{pmatrix} Q_k^R & \Delta_{SS} & \Delta_{CDW} & 0 \\ \Delta_{SS} & \tilde{Q}_{-k}^L & 0 & -\Delta_{CDW} \\ \Delta_{CDW} & 0 & Q_k^L & \Delta_{SS} \\ 0 & -\Delta_{CDW} & \Delta_{SS} & \tilde{Q}_{-k}^R \end{pmatrix}$$

$$Q_k^R = Q_{-k}^L = i\omega - v_F k$$

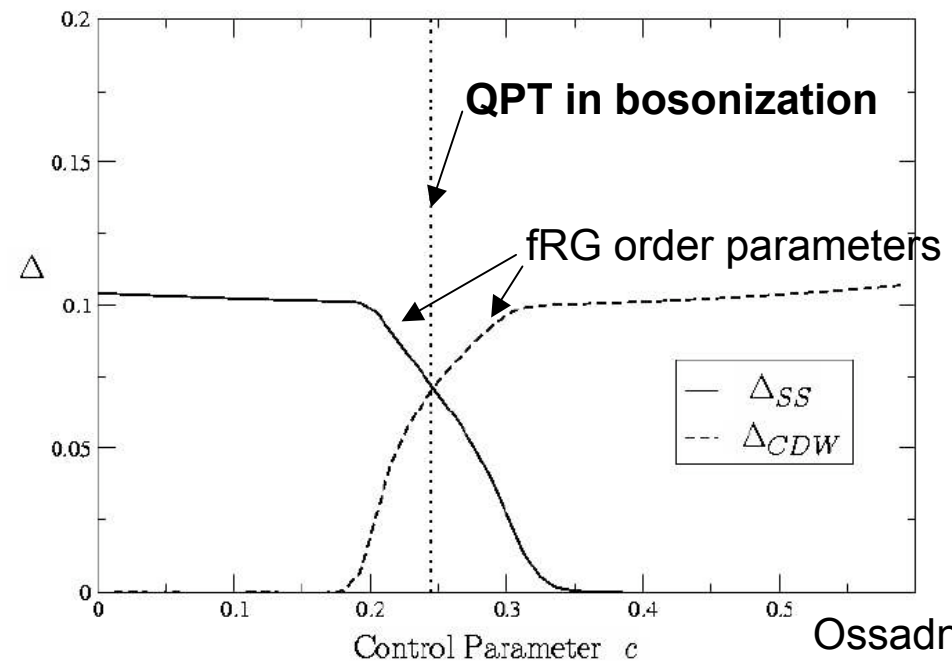
fRG describes quantum phase transition well ($U < 0$):

$$g_1 = U,$$

$$g_2 = (1 - c)U,$$

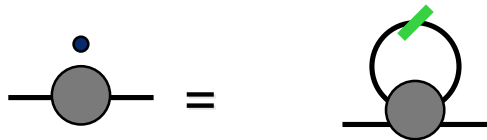
$$g_{||} = -cU,$$

$$K_\rho = \sqrt{\frac{2\pi - U(1 - 2c)}{2\pi + U(1 - 2c)}}$$

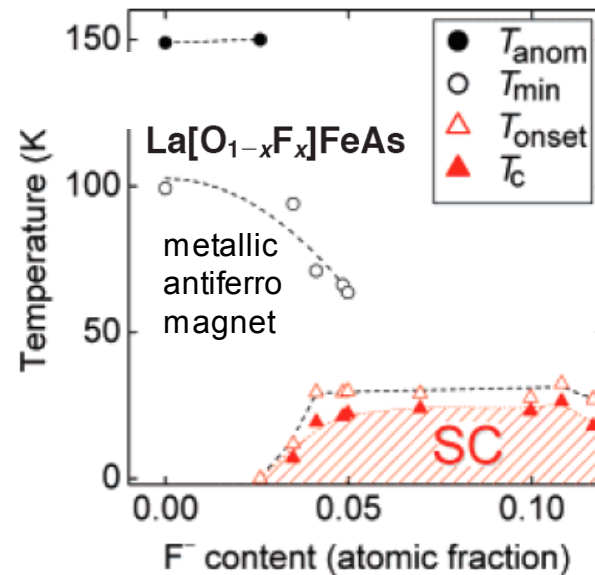
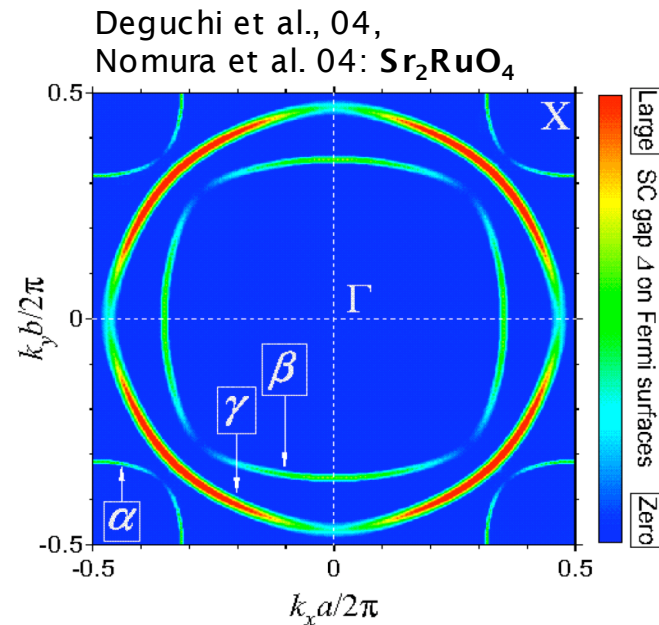


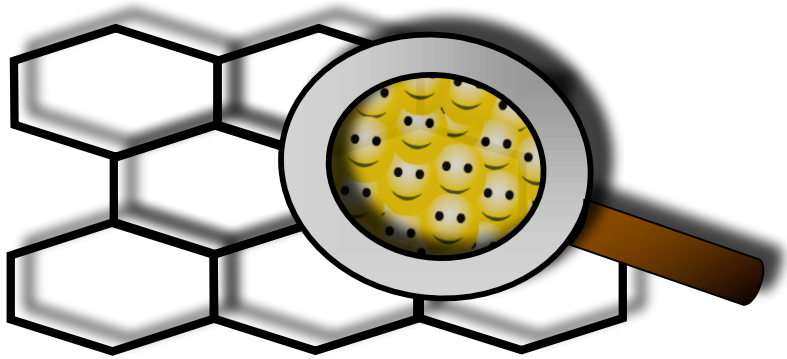
Outlook: Low temperature spectra from fRG

- Use fRG to get gap function $\Delta(k)$ of superconducting state around Fermi surface!



- **Competing order** in 2D systems





... thank you for listening!

Many thanks to:

T. Maurice Rice (ETH Zürich), Manfred Salmhofer (Leipzig),
Walter Metzner (MPI Stuttgart), Dung-Hai Lee (Berkeley),
Shoucheng Zhang (Stanford) ...

and to (even) younger people

Roland Gersch (now Siemens),

Jutta Ortloff, Stefan Übelacker, Michael Kinza & Guido
Klingschat (Würzburg),

Matthias Ossadnik (now ETH Zürich)

DFG FOR538, FOR723