

Projected flow equations and competing ordering tendencies in the 2D Hubbard model

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Organization:

- Introduction into fermionic RG flows
- RG equation for the 4-point function
- RG flow of the 2D Hubbard model in a truncated interaction subspace
- Projected RG equations in the limits $V \rightarrow \infty$ and $T \rightarrow 0$
- First numerical results for the RG flow
- Conclusion and outlook

Introduction into fermionic RG flows

The fermionic RG scheme:

Powerful tool:

- for studying interacting fermion systems.
- in particular for analyzing Fermi surface instabilities.

Example:

Application to the **weak-coupling range of the 2-dimensional Hubbard model**; result:

RG suggests that in most cases the dominant **instabilities lead to states with SB symmetry**; e.g.: SC or magn. order.

In this context:

- the onset of SSB is signaled by **flow to strong coupling** at a small scale $\epsilon = \epsilon_{\text{div}}$, i.e.:

some components of the 4-pt.-vertex grow larger than the bandwidth $\approx 8t$.

- often: \exists **several candidates** for the strongly coupled state.

Q: Which one is realized?

A: The one with highest energy gain;

in the RG approach: typically associated with the channel dominant at ϵ_{div} .

Evaluation of the RG eqns:

Usually an N -patch discretization scheme is employed, i.e.:

- the 2D BZ is divided into N patches (typically $N = 48$),
- the 4-pt. function is approximated by a constant for all momenta in the same patch,
- RG flow is calculated for the remaining subset of interaction vertices.

Subject of this talk:

Presentation of an alternative approximation scheme to evaluate the RG flow of the Hubbard model.

Basic idea:

Project RG flow onto a restricted interaction space parametrized by only a few (ideally the most important) couplings.

In practice:

- 1.) Formulate truncation ansatz for the 4-pt.-function containing the terms which presumably dominate the flow.
- 2.) Construct projectors onto the subspace spanned by the ansatz.
- 3.) Apply the projectors onto RG eq. for the 4-pt. function.
→ system of RG eqns. for the couplings considered.

RG equation for the 4-point function

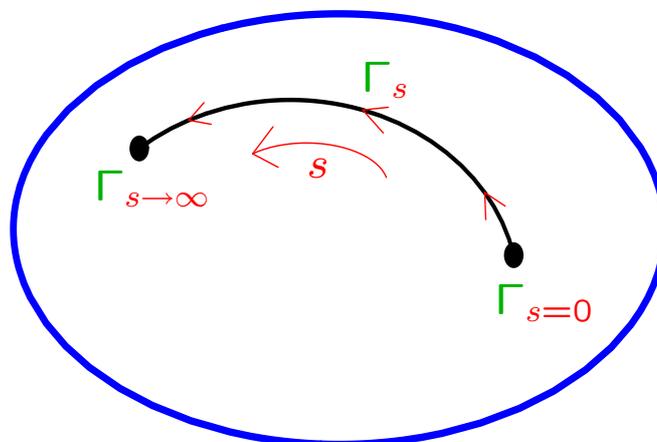
The generating function Γ_s for the 1PI vertices:

- Starting point of the flow at $s = 0$:

initial action $\Gamma_{s=0}$ defined at ϵ_0 .

(ϵ_0 : fixed energy scale, usually identified with the bandwidth of the model.)

- In its RG flow with increasing s , degrees of freedom with energy above the scale $\epsilon_s = \epsilon_0 e^{-s}$ are gradually included in Γ_s .
- The full model is recovered as $s \rightarrow \infty$ where $\epsilon_s \rightarrow 0$.



- Γ_s is the Legendre transform of the generating function $W(Q_s, H)$ defined by

$$e^{-W_s(Q_s, H)} = \int \mathcal{D}\Psi e^{-\frac{1}{2}(\Psi, Q_s \Psi) - \mathcal{V}_0(\Psi) + (H, \Psi)}$$

Here:

- $Q \equiv Q_{s \rightarrow \infty}$: free inverse propagator
- Q_s : scale dependent modification of Q ; regulates the successive integrating of the degrees of freedom.
- we assume $\mathcal{V}_0(\Psi) = \mathcal{V}_0(-\Psi)$.
- Ψ : column vector for the 4 components of the ordinary fermionic fields $\bar{\psi}_\alpha, \psi_\alpha, \alpha = \pm$:

$$\Psi(K) = (\bar{\psi}_+(K), \bar{\psi}_-(-K), \psi_+(K), \psi_-(-K))^T$$

- $K = (k, \omega)$
 ω =fermionic Matsubara frequency,
 k =spatial part of the momentum
- (f, g) : bilinear form; for a system in “box” of finite volume L^d :

$$(f, g) = \beta^{-1} \sum_{\omega} L^{-d} \sum_k \sum_{j=1}^4 f_j(k, \omega) g_j(k, \omega)$$

The exact RG equation for Γ_s :

$$\dot{\Gamma}_s = \frac{1}{2}(\Psi, \dot{Q}_s \Psi) + \frac{1}{2} \text{Tr} \left[\dot{Q}_s \left(\frac{\delta^2 \Gamma_s}{\delta \Psi^2} \right)^{-1} \right] \quad (1)$$

→ **nonpolynomial** in Ψ .

(Notation: $\dot{} = \frac{\partial}{\partial s}$)

The truncated RG equations for w_s and $\hat{\Sigma}_s$:

- Expand (1) in the fields according to

$$\Gamma_s = K_s + \frac{1}{2}(\Psi, G_s^{-1} \Psi) + \sum_{m \geq 2} \Gamma_s^{(2m)}(\Psi)$$

& compare the homogeneous parts in Ψ

⇒ system of diff. eqs. for the vertex functions

(→ **polynomial** in Ψ)

- **Truncate** the system of RGDE by setting $\Gamma_s^{(\geq 6)} = 0$
- Assume that Γ_s is endowed with
 - charge invariance
 - spin rotation invariance
 - translational invariance

Then inserting

$$\Gamma_s^{(4)}[\bar{\psi}, \psi] = \frac{1}{4} \frac{1}{(\beta L^2)^3} \sum_{\underline{K}} \sum_{\underline{\alpha}} w_s(\underline{K}, \underline{\alpha}) \times \bar{\psi}_{\alpha_1}(K_1) \bar{\psi}_{\alpha_2}(K_2) \psi_{\alpha_3}(K_3) \psi_{\alpha_4}(K_1 + K_2 - K_3)$$

with 4-point function

$$w_s(\underline{K}, \underline{\alpha}) = -D_{\alpha_1 \alpha_2 \alpha_3 \alpha_4} V_s(K_1, K_2, K_3) + D_{\alpha_2 \alpha_1 \alpha_3 \alpha_4} V_s(K_2, K_1, K_3)$$

$$D_{\alpha_1 \alpha_2 \alpha_3 \alpha_4} = \delta_{\alpha_1, \alpha_4} \delta_{\alpha_2, \alpha_3}, \quad \underline{K} \equiv (K_1, K_2, K_3), \quad \underline{\alpha} \equiv (\alpha_1, \dots, \alpha_4)$$

leads to:

$$\hat{\Sigma}_s(K) = \sum_{K'} \hat{S}_s(-K') [V_s(K', K, K') - 2V_s(K, K', K')]$$

and

$$-\dot{w}_s(\underline{K}, \underline{\alpha}) = -D_{\alpha_1 \alpha_2 \alpha_3 \alpha_4} \mathcal{R}(K_1, K_2, K_3) + D_{\alpha_2 \alpha_1 \alpha_3 \alpha_4} \mathcal{R}(K_2, K_1, K_3)$$

Here:

- $G_s \equiv (Q_s - \Sigma_s)^{-1}$ (G_s =full propagator; Σ_s =selfenergy)
- $S_s = -G_s \dot{Q}_s G_s$ (single scale propagator)
- $A_{\alpha, \beta}(K) = \delta_{\alpha, \beta} \hat{A}(K)$ for $A = \Sigma_s, G_s, S_s$
- $\mathcal{R}(\underline{K}) = \hat{T}_{\text{pp}}(\underline{K}) + \hat{T}_{\text{ph}}^{\text{d}}(\underline{K}) + \hat{T}_{\text{ph}}^{\text{cr}}(\underline{K})$

Here we set

$$\begin{aligned}\widehat{T}_{\text{pp}}(\underline{K}) &= -\frac{1}{\beta L^2} \sum_{K'} \mathcal{L}_-(-(K_1 + K_2), -K') \\ &\quad \times V_s(K_1, K_2, K') V_s(K_1 + K_2 - K', K', K_3)\end{aligned}$$

$$\begin{aligned}\widehat{T}_{\text{ph}}^{\text{d}}(\underline{K}) &= -\frac{1}{\beta L^2} \sum_{K'} \mathcal{L}_+(K_3 - K_2, -K') \\ &\quad \times [-2 V_s(K', K_2, K_3) V_s(K_1, K_2 - K_3 + K', K') \\ &\quad + V_s(K', K_2, K_3) V_s(K_2 - K_3 + K', K_1, K') \\ &\quad + V_s(K_2, K', K_3) V_s(K_1, K_2 - K_3 + K', K')]\end{aligned}$$

$$\begin{aligned}\widehat{T}_{\text{ph}}^{\text{cr}}(\underline{K}) &= -\frac{1}{\beta L^2} \sum_{K'} \mathcal{L}_+(K_1 - K_3, -K') \\ &\quad \times V_s(K_2, K', K_1 + K_2 - K_3) \\ &\quad \times V_s(K_3 - K_1 + K', K_1, K')\end{aligned}$$

with

$$\mathcal{L}_{\pm}(K', K) = \widehat{S}_s(K) \widehat{G}_s(K' \pm K) + \widehat{S}_s(K' \pm K) \widehat{G}_s(K)$$

Problem:

Even this truncated system of RG equations for $\hat{\Sigma}_s$ and w_s cannot be solved exactly.

⇒ Further approximations are necessary.

Approximation scheme employed here:

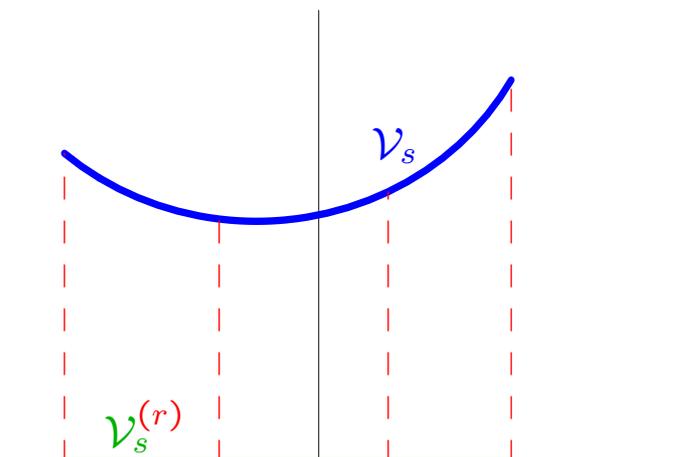
Projection of the RG eqs. onto a truncated interaction space.

This interaction subspace is specified by a corresponding ansatz $\mathcal{V}_s^{(r)}$ for $\mathcal{V}_s \equiv \Gamma_s^{(\text{int})}$ spanning this subspace.

(In our truncation $\Gamma_s^{(\geq 6)} = 0$: $\Gamma_s^{(\text{int})} = \Gamma_s^{(4)}$.)

In order to make calculations feasible:

$\mathcal{V}_s^{(r)}$ is chosen to consist of only a few terms (typically those which are expected to give the leading contributions to the RG flow).



RG flow of the 2D Hubbard model in a truncated interaction space

A.1) The model

In the following: consider the **2-dimensional** t - t' -Hubbard model on the torus $\Lambda = \mathbb{Z}^2/L\mathbb{Z}^2$ of sidelength $L \in 2\mathbb{N}$
 \rightarrow described by a fermionic action of the form

$$S(\bar{\psi}, \psi) = \mathcal{A}_0(\bar{\psi}, \psi) + \mathcal{V}_0(\bar{\psi}, \psi)$$

(1) $\mathcal{A}_0 =$ quadratic part of the fermionic action, given by the usual Fermi gas kinetic term

$$\begin{aligned} \mathcal{A}_0 &= \frac{1}{\beta L^2} \sum_K \sum_{\alpha} [\bar{\psi}_{\alpha}(K) (i\omega - e(k)) \psi_{\alpha}(K)] \\ &= \frac{1}{2} (\Psi, Q \Psi) \end{aligned}$$

Here:

- $k = (k^x, k^y) \in \Lambda^* \equiv \frac{2\pi}{L}\mathbb{Z}^2/2\pi\mathbb{Z}^2 \Rightarrow K = (k^x, k^y, \omega) \in \Lambda^* \times M_{\mathbb{F}}$
- $Q(K) = \begin{pmatrix} 0_2 & q(K) \\ -q(K) & 0_2 \end{pmatrix}$, $q(K) = i\omega \sigma_3 - e(k) \mathbb{1}_2$
- $e(k) =$ dispersion relation; for the t - t' -Hubbard model:
 $e(k) = -2t [\cos(k^x) + \cos(k^y)] - 4t' \cos(k^x) \cos(k^y) - \mu$
 $(\mu = \text{chemical potential})$

(2) \mathcal{V}_0 = usual quartic on-site interaction term:

$$\mathcal{V}_0(\bar{\psi}, \psi) = \frac{U}{6} \frac{1}{(\beta L^2)^3} \sum_{\underline{K}} \sum_{\underline{\alpha}} \vec{\sigma}_{\alpha_1 \alpha_3} \vec{\sigma}_{\alpha_2 \alpha_4} \\ \times \bar{\psi}_{\alpha_1}(K_1) \bar{\psi}_{\alpha_2}(K_2) \psi_{\alpha_3}(K_3) \psi_{\alpha_4}(K_1 + K_2 - K_3)$$

Here

- $\underline{K} = (K_1, K_2, K_3)$, $K_i = (k_i, \omega_i)$
(furthermore: $\underline{k} = (k_1, k_2, k_3)$, $\underline{\omega} = (\omega_1, \omega_2, \omega_3)$)
- $\underline{\alpha} = (\alpha_1, \dots, \alpha_4)$
- $\vec{\sigma}_{\alpha\beta} = (\sigma_{\alpha\beta}^{(1)}, \sigma_{\alpha\beta}^{(2)}, \sigma_{\alpha\beta}^{(3)})$;
 $\sigma^{(i)}$, $i = 1, 2, 3$: Pauli matrices

Choose \mathcal{V}_0 as initial interaction for the RG flow: $\mathcal{V}_0 \equiv \mathcal{V}_{s=0}$.

A.2) The RG flow

(1) Implementation of the scale dependence in W :

General recipe:

Replace Q by Q_s in the path integral for W such that the **RG scale** $\epsilon_s = \epsilon_0 e^{-s}$ acts as an **infrared cutoff**.

(ϵ_0 : bandwidth)

Realization in the present case:

$$q(K) \rightarrow q(K) \chi_s(K)^{-1} \quad (\text{i.e. } Q(K) \rightarrow Q_s(K) = Q(K) \chi_s(K)^{-1})$$

$\chi_s(K)$ is a positive smooth function with the following properties:

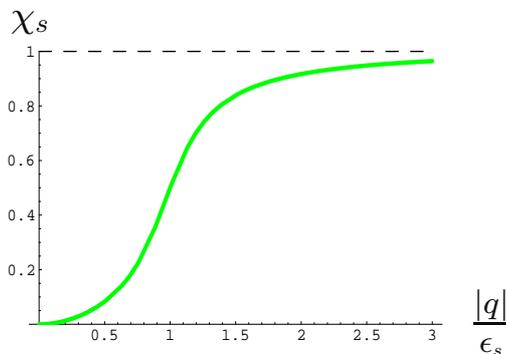
- $\chi_s(K) \rightarrow 0$ for $|q(K)|/\epsilon_s \rightarrow 0$;

$$\chi_s(K) = 0 \text{ for } q(K) = 0.$$

$$\left(|q(K)| \equiv |\omega - e(k)| = \sqrt{\omega^2 + e(k)^2} \right)$$

- $\chi_s(K) \rightarrow 1$ for $|q(K)|/\epsilon_s \rightarrow \infty$,

in particular for $s \rightarrow \infty$ at fixed $q(K)$



In the RG flow both \mathcal{A} and \mathcal{V} become functions of s .

Goal: Derive the corresponding RG equations using a truncation ansatz for \mathcal{V}_s .

(2) The ansatz

The quadratic part:

$$\Gamma_s^{(2)}[\bar{\psi}, \psi] = \frac{1}{\beta L^2} \sum_K \bar{\psi}(-K) \left[\frac{i\omega - e(k)}{\chi_s(K)} - \Sigma(K) \right] \psi(K)$$

with cutoff function χ_s , cutoff energy scale ϵ_s , self energy Σ and dispersion relation $e(k)$.

Ansatz for $\mathcal{V}_s \equiv \Gamma_s^{(\text{int})}$ specifying the **truncated** (or **restricted**) interaction space:

$$\begin{aligned} \mathcal{V}_s^{(r)}[\bar{\psi}, \psi] &= \frac{1}{4} \frac{1}{(\beta L^2)^3} \sum_{\underline{K}} \sum_{\underline{\alpha}} w_s^{(r)}(\underline{K}, \underline{\alpha}) \\ &\quad \times \bar{\psi}_{\alpha_1}(K_1) \bar{\psi}_{\alpha_2}(K_2) \psi_{\alpha_3}(K_3) \psi_{\alpha_4}(K_1 + K_2 - K_3) \end{aligned}$$

with **truncated** 4-point function

$$\begin{aligned} w_s^{(r)}(\underline{K}, \underline{\alpha}) &= \left\{ \frac{1}{3} \vec{\sigma}_{\alpha_1 \alpha_3} \vec{\sigma}_{\alpha_2 \alpha_4} [\mathbf{g}_{\text{OS}}(\underline{\omega}) - V_{\text{N.N.}}(k_1 - k_3 - Q_{\text{AF}}, \underline{\omega})] \right. \\ &\quad - \frac{1}{8} \mathbf{g}_{\text{SC}}(\underline{\omega}) \epsilon_{\alpha_1 \alpha_2} \epsilon_{\alpha_4 \alpha_3} \eta_{0,1}^{(4)}(k_1) \eta_{0,1}^{(4)}(k_3) \delta_{k_1, -k_2} \\ &\quad \left. + \frac{1}{4} \mathbf{g}_{\text{DW}}(\underline{\omega}) \delta_{\alpha_1 \alpha_3} \delta_{\alpha_2 \alpha_4} \eta_{0,1}^{(4)}(k_2) \eta_{0,1}^{(4)}(k_3) \delta_{k_1, k_3 + Q_{\text{AF}}} \right\} - \{1 \leftrightarrow 2\} \end{aligned}$$

with $Q_{\text{AF}} = (\pi, \pi)$ and

$$V_{\text{N.N.}}(q, \underline{\omega}) = \frac{1}{2} \left(\mathbf{g}_{\text{SS}}^{(1)}(\underline{\omega}) \eta_{0,1}^{(1)}(q) + \mathbf{g}_{\text{SS}}^{(4)}(\underline{\omega}) \eta_{0,1}^{(4)}(q) \right)$$

$$\eta_{0,1}^{(1)}(q) = \cos(q^x) + \cos(q^y)$$

$$\eta_{0,1}^{(4)}(q) = \cos(q^x) - \cos(q^y)$$

The properties of our ansatz

$$\begin{aligned}
 w_s^{(r)}(\underline{K}, \underline{\alpha}) = & \left\{ \frac{1}{3} \vec{\sigma}_{\alpha_1 \alpha_3} \vec{\sigma}_{\alpha_2 \alpha_4} [\mathbf{g}_{\text{OS}}(\underline{\omega}) \right. \\
 & - \frac{1}{2} \mathbf{g}_{\text{SS}}^{(1)}(\underline{\omega}) \eta_{0,1}^{(1)}(k_1 - k_3 - Q_{\text{AF}}) - \frac{1}{2} \mathbf{g}_{\text{SS}}^{(4)}(\underline{\omega}) \eta_{0,1}^{(4)}(k_1 - k_3 - Q_{\text{AF}})] \\
 & - \frac{1}{8} \mathbf{g}_{\text{SC}}(\underline{\omega}) \epsilon_{\alpha_1 \alpha_2} \epsilon_{\alpha_4 \alpha_3} \eta_{0,1}^{(4)}(k_1) \eta_{0,1}^{(4)}(k_3) \delta_{k_1, -k_2} \\
 & \left. + \frac{1}{4} \mathbf{g}_{\text{DW}}(\underline{\omega}) \delta_{\alpha_1 \alpha_3} \delta_{\alpha_2 \alpha_4} \eta_{0,1}^{(4)}(k_2) \eta_{0,1}^{(4)}(k_3) \delta_{k_1, k_3 + Q_{\text{AF}}} \right\} - \{1 \leftrightarrow 2\}
 \end{aligned}$$

are:

(i) It contains the usual on-site term, two spin-spin-interaction terms, a d-wave SC term, and a d-DW term.

⇒ Competing ordering tendencies of these types of interaction can be investigated with this ansatz.

(ii) Apart from the **on-site** term only **N.N. interactions** are considered.

(iii) The **g's depend** both on ω and **on s** : $\mathbf{g}_{\text{XY}}(\underline{\omega}) \equiv \mathbf{g}_{\text{XY}}(\underline{\omega}, \mathbf{s})$.

(iv) **Initial conditions:**

- $\mathcal{V}_{s=0} = \mathcal{V}_0$

$$\Rightarrow \begin{cases} \mathbf{g}_{\text{OS}}(\underline{\omega}, s=0) = U \\ \mathbf{g}_{\text{SS}}^{(1)}(\underline{\omega}, s=0) = \mathbf{g}_{\text{SS}}^{(4)}(\underline{\omega}, s=0) = 0 \\ \mathbf{g}_{\text{SC}}(\underline{\omega}, s=0) = \mathbf{g}_{\text{DW}}(\underline{\omega}, s=0) = 0 \end{cases}$$

B) Construction of the projector

For this purpose:

Introduce an **inner product** on the space of 4-point functions $w_s(\underline{K}, \underline{\alpha})$ according to

$$\langle w_s^{(1)} | w_s^{(2)} \rangle \equiv \frac{1}{(\beta L^2)^3} \sum_{\underline{K}} \sum_{\underline{\alpha}} \overline{w_s^{(1)}(\underline{K}, \underline{\alpha})} w_s^{(2)}(\underline{K}, \underline{\alpha})$$

for arbitrary 4-point functions $w^{(1)}, w^{(2)}$.

The projector onto $w_s^{(r)}$:

Goal: construct projector P such that

$$(P w_s)(\underline{K}, \underline{\alpha}) = w_s^{(r)}(\underline{K}, \underline{\alpha}) \quad (P^2 = P = P^\dagger)$$

for any arbitrary 4-point-function w_s .

→ **achieved** by choosing $P(\underline{K}, \underline{\alpha}; \underline{K}', \underline{\alpha}') \equiv \langle \underline{K}, \underline{\alpha} | P | \underline{K}', \underline{\alpha}' \rangle$ as

$$P(\underline{K}, \underline{\alpha}; \underline{K}', \underline{\alpha}') = \sum_{n=1}^9 \int_{[0, \beta]^3} d^3 \tau u_{\underline{T}}^{(n)}(\underline{K}, \underline{\alpha}) \overline{u_{\underline{T}}^{(n)}(\underline{K}', \underline{\alpha}')}$$

$u_{\underline{\tau}}^{(n)}(\underline{K}, \underline{\alpha})$: follow via a Gram-Schmidt procedure from

$$\tilde{u}_{\pm; \underline{\tau}}^{(\text{OS})}(\underline{K}, \underline{\alpha}) = \frac{1}{\sqrt{12(2 \mp 1)}} (\vec{\sigma}_{\alpha_1 \alpha_3} \vec{\sigma}_{\alpha_2 \alpha_4} \pm \vec{\sigma}_{\alpha_1 \alpha_4} \vec{\sigma}_{\alpha_2 \alpha_3}) e^{-i\omega \cdot \underline{\tau}}$$

$$\tilde{u}_{1; i; \underline{\tau}}^{(\text{SS})}(\underline{K}, \underline{\alpha}) = \frac{1}{\sqrt{12}} \vec{\sigma}_{\alpha_1 \alpha_3} \vec{\sigma}_{\alpha_2 \alpha_4} \eta_{0,1}^{(i)}(k_1 - k_3) e^{-i\omega \cdot \underline{\tau}}, \quad i \in \{1, 4\}$$

$$\tilde{u}_{2; i; \underline{\tau}}^{(\text{SS})}(\underline{K}, \underline{\alpha}) = \frac{1}{\sqrt{12}} \vec{\sigma}_{\alpha_1 \alpha_4} \vec{\sigma}_{\alpha_2 \alpha_3} \eta_{0,1}^{(i)}(k_2 - k_3) e^{-i\omega \cdot \underline{\tau}}, \quad i \in \{1, 4\}$$

$$\tilde{u}_{\underline{\tau}}^{(\text{dSC})}(\underline{K}, \underline{\alpha}) = \frac{L}{2} \epsilon_{\alpha_1 \alpha_2} \epsilon_{\alpha_4 \alpha_3} \delta_{k_1, -k_2} \eta_{0,1}^{(4)}(k_1) \eta_{0,1}^{(4)}(k_3) e^{-i\omega \cdot \underline{\tau}}$$

$$\begin{aligned} \tilde{u}_{\pm; \underline{\tau}}^{(\text{dDW})}(\underline{K}, \underline{\alpha}) &= \frac{L^2}{\sqrt{8L^2 \pm 9}} \left[\delta_{\alpha_1 \alpha_3} \delta_{\alpha_2 \alpha_4} \eta_{0,1}^{(4)}(k_2) \eta_{0,1}^{(4)}(k_3) \delta_{k_1, k_3 + Q_{\text{AF}}} \right. \\ &\quad \left. \pm \delta_{\alpha_1 \alpha_4} \delta_{\alpha_2 \alpha_3} \eta_{0,1}^{(4)}(k_1) \eta_{0,1}^{(4)}(k_3) \delta_{k_2, k_3 + Q_{\text{AF}}} \right] e^{-i\omega \cdot \underline{\tau}} \end{aligned}$$

with

$$\underline{\omega} \cdot \underline{\tau} = \omega_1 \tau_1 + \omega_2 \tau_2 - \omega_3 \tau_3$$

Inner products:

$$\begin{aligned}
\langle \tilde{u}_{s;\underline{\tau}}^{(\text{OS})} | \tilde{u}_{s';\underline{\tau}'}^{(\text{OS})} \rangle &= \delta_{s,s'} \delta(\underline{\tau} - \underline{\tau}') \\
\langle \tilde{u}_{\mu;i;\underline{\tau}}^{(\text{SS})} | \tilde{u}_{\nu;i';\underline{\tau}'}^{(\text{SS})} \rangle &= \delta_{\mu,\nu} \delta_{i,i'} \delta(\underline{\tau} - \underline{\tau}') \\
\langle \tilde{u}_{\underline{\tau}}^{(\text{dSC})} | \tilde{u}_{\underline{\tau}'}^{(\text{dSC})} \rangle &= \delta(\underline{\tau} - \underline{\tau}') \\
\langle \tilde{u}_{s;\underline{\tau}}^{(\text{dDW})} | \tilde{u}_{s';\underline{\tau}'}^{(\text{dDW})} \rangle &= \delta_{s,s'} \delta(\underline{\tau} - \underline{\tau}')
\end{aligned}$$

and

$$\begin{aligned}
\langle \tilde{u}_{\underline{\tau}}^{(\text{dSC})} | \tilde{u}_{s;\underline{\tau}'}^{(\text{OS})} \rangle &= 0 \\
\langle \tilde{u}_{\underline{\tau}}^{(\text{dSC})} | \tilde{u}_{\mu;i;\underline{\tau}'}^{(\text{SS})} \rangle &= -(-1)^\mu \frac{\sqrt{3}}{4L} \delta_{i,1} \delta(\underline{\tau} - \underline{\tau}') \\
\langle \tilde{u}_{\underline{\tau}}^{(\text{dSC})} | \tilde{u}_{s;\underline{\tau}'}^{(\text{dDW})} \rangle &= -\frac{9}{2L} \frac{1}{\sqrt{8L^2 - 9}} \delta_{s,-} \delta(\underline{\tau} - \underline{\tau}') \\
\langle \tilde{u}_{\mu;i;\underline{\tau}}^{(\text{SS})} | \tilde{u}_{s;\underline{\tau}'}^{(\text{OS})} \rangle &= 0 \\
\langle \tilde{u}_{\mu;i;\underline{\tau}}^{(\text{SS})} | \tilde{u}_{s;\underline{\tau}'}^{(\text{dDW})} \rangle &= \frac{\sqrt{3}}{2} \frac{s^\mu}{\sqrt{8L^2 + 9s}} \delta_{i,1} \delta(\underline{\tau} - \underline{\tau}') \\
\langle \tilde{u}_{s;\underline{\tau}}^{(\text{dDW})} | \tilde{u}_{s';\underline{\tau}'}^{(\text{OS})} \rangle &= 0
\end{aligned}$$

→ \tilde{u} 's not pairwise orthogonal

C) Derivation of the RG eqns. for the couplings g

Steps to be performed:

- Insert ansatz $w_s^{(r)}$ into

$$-\dot{w}_s(\underline{K}, \underline{\alpha}) = -D_{\alpha_1\alpha_2\alpha_3\alpha_4} \mathcal{R}(K_1, K_2, K_3) + D_{\alpha_2\alpha_1\alpha_3\alpha_4} \mathcal{R}(K_2, K_1, K_3)$$

- Apply P onto both sides of the resulting equation.
- Evaluate (hundreds of) k -sums on the projected RHS.
- Compare the coefficients of the u 's.

This leads to

$$-\dot{g}_{OS} = \bar{\beta}_{OS}(g_{OS}, g_{SS}^{(1)}, g_{SS}^{(4)}, g_{SC}, g_{DW})$$

$$-\dot{g}_{SS}^{(1)} = \bar{\beta}_{SS1}(g_{OS}, g_{SS}^{(1)}, g_{SS}^{(4)}, g_{SC}, g_{DW})$$

$$-\dot{g}_{SS}^{(4)} = \bar{\beta}_{SS4}(g_{OS}, g_{SS}^{(1)}, g_{SS}^{(4)}, g_{SC}, g_{DW})$$

$$-\dot{g}_{SC} = \bar{\beta}_{SC}(g_{OS}, g_{SS}^{(1)}, g_{SS}^{(4)}, g_{SC}, g_{DW})$$

$$-\dot{g}_{DW} = \bar{\beta}_{DW}(g_{OS}, g_{SS}^{(1)}, g_{SS}^{(4)}, g_{SC}, g_{DW})$$

The $\bar{\beta}$'s:

- contain a lot of terms, each of them involving sums over one or two momenta (\rightarrow **very lengthy** expressions).
- any term is **bilinear in the g 's**.

Projected RG equations in the limits $V \rightarrow \infty$ and $T \rightarrow 0$

After

- taking the limit $L^2 \equiv V \rightarrow \infty$
- neglecting the frequency dependence of the couplings g
- neglecting self-energy effects (i.e. setting $\Sigma \equiv 0$)

the flow eqns. boil down to

$$-\dot{g}_{Os} = \beta_{Os}^{(1)} g_{Os}^2 + \beta_{Os}^{(2)} g_{Os} g_{SS}^{(1)} + \beta_{Os}^{(3)} \left(g_{SS}^{(1)}\right)^2 + \beta_{Os}^{(4)} \left(g_{SS}^{(4)}\right)^2$$

$$-\dot{g}_{SS}^{(1)} = \beta_{SS}^{(1)} g_{Os}^2 + \beta_{SS}^{(2)} g_{Os} g_{SS}^{(1)} + \beta_{SS}^{(3)} \left(g_{SS}^{(1)}\right)^2 + \beta_{SS}^{(4)} \left(g_{SS}^{(4)}\right)^2$$

$$-\dot{g}_{SS}^{(4)} = \beta_{SS}^{(5)} g_{Os} g_{SS}^{(4)} + \beta_{SS}^{(6)} g_{SS}^{(1)} g_{SS}^{(4)}$$

$$-\dot{g}_{Sc} = \beta_{Sc}^{(1)} g_{Sc}^2 + \beta_{Sc}^{(2)} g_{Os}^2 + \beta_{Sc}^{(3)} g_{Sc} g_{SS}^{(1)} \\ + \beta_{Sc}^{(4)} \left(g_{SS}^{(1)}\right)^2 + \beta_{Sc}^{(5)} \left(g_{SS}^{(4)}\right)^2$$

$$-\dot{g}_{DW} = \beta_{DW}^{(1)} g_{DW}^2 + \beta_{DW}^{(2)} g_{Os}^2 + \beta_{DW}^{(3)} g_{DW} g_{SS}^{(1)} \\ + \beta_{DW}^{(4)} \left(g_{SS}^{(1)}\right)^2 + \beta_{DW}^{(5)} \left(g_{SS}^{(4)}\right)^2$$

The β 's are sums of different types of momentum integrals, denoted with $\mathcal{F}_{\nu;\pm}^{(\alpha;\lambda;\gamma)}$, $\mathcal{E}_{p;\pm}^{(\alpha;\beta)}$; for example:

$$\beta_{Sc}^{(4)} = -\frac{1}{4} \mathcal{E}_{0;-}^{(1;2)} + \frac{1}{6} \mathcal{F}_{0;-}^{(0;0;1)} + \frac{5}{96} \mathcal{F}_{1;-}^{(0;0;1)} \\ + \frac{1}{24} \left[-\mathcal{F}_{0;+}^{(1;1;2)} + \mathcal{F}_{0;+}^{(1;1;0)} + \mathcal{F}_{0;+}^{(0;1;-2)} - 6 \mathcal{F}_{0;+}^{(0;0;1)} \right]$$

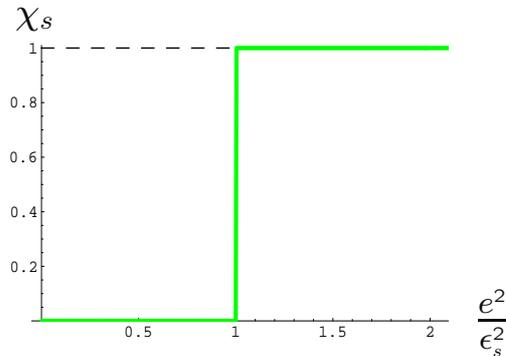
Next steps:

- Use appropriate cutoff of the form

$$\chi_s(k) = \chi\left(\frac{e(k)^2}{\epsilon_s^2}\right)$$

and take the **sharp cutoff limit (s.c.l.)**:

$$\chi_s(k) \xrightarrow{\text{s.c.l.}} \Theta\left(\frac{e(k)^2}{\epsilon_s^2} - 1\right)$$



Main advantages of this cutoff:

- $\chi_s = \chi_s(k)$, i.e. χ_s independent of ω
 $\Rightarrow \sum_{\omega}$ can be performed for arbitrary s
- in the s.c.l.: $\chi_s^2 = \chi_s$, $\dot{\chi}_s = 2\epsilon_s \delta(e(\mathbf{k})^2 - \epsilon_s^2)$
 \Rightarrow number of k -integrals is reduced by one

- Consider the limiting case $T \rightarrow 0$ (i.e. $\beta \rightarrow \infty$)

Consequence:

Expressions for $\mathcal{F}_{\nu;\pm}^{(\alpha;\lambda;\gamma)}$, $\mathcal{G}_{p;\pm}^{(\alpha;\beta)}$ boil down considerably.

\Rightarrow **Numerical solutions** to the RG equations for the g 's can be found for various choices of the free parameters

$$U \equiv g_{0S}(\epsilon_0), \quad \delta \equiv \frac{\mu - 4t'}{4t}, \quad \theta \equiv -2t'/t \text{ with } 0 < \theta < 1$$

without too much effort.

The coefficients \mathcal{E} and \mathcal{F} in the s.c.l. for $T = 0$ & $\delta = 0$

$$\begin{aligned} \mathcal{F}_{\nu;\pm}^{(\alpha;\lambda;\gamma)} &= \mp 8t \mathbb{1} \left(\frac{\epsilon_s}{4t} \leq 1 \mp \theta \right) \widehat{j}_{\nu}^{(\alpha;\lambda;\gamma)} \left(\frac{\epsilon_s}{4t} \right) \int_{\frac{\epsilon_s}{4t}}^{1 \mp \theta} dX' \frac{\frac{\epsilon_s}{4t} \widehat{j}_{\nu}^{(\alpha;\lambda;\gamma)} (\mp X')}{\frac{\epsilon_s}{4t} + X'} \\ &\quad \mp 8t \mathbb{1} \left(\frac{\epsilon_s}{4t} \leq 1 - \theta \right) \widehat{j}_{\nu}^{(\alpha;\lambda;\gamma)} \left(-\frac{\epsilon_s}{4t} \right) \int_{\frac{\epsilon_s}{4t}}^{1 \pm \theta} dX' \frac{\frac{\epsilon_s}{4t} \widehat{j}_{\nu}^{(\alpha;\lambda;\gamma)} (\pm X')}{\frac{\epsilon_s}{4t} + X'} \\ \mathcal{E}_{0;-}^{(\alpha;\lambda)} &= \frac{1}{2} \left[\widehat{j}_0^{(\alpha;\lambda;0)} \left(\frac{\epsilon_s}{4t} \right) \mathbb{1} \left(\frac{\epsilon_s}{4t} \leq 1 + \theta \right) + \widehat{j}_0^{(\alpha;\lambda;0)} \left(-\frac{\epsilon_s}{4t} \right) \mathbb{1} \left(\frac{\epsilon_s}{4t} \leq 1 - \theta \right) \right] \\ \mathcal{E}_{Q_{AF};+}^{(\alpha;\lambda)} &= \mathbb{1} \left(\frac{\epsilon_s}{4t} \leq 1 - \theta \right) \frac{\epsilon_s}{4t} \widehat{y}^{(\alpha;\lambda)} \left(-\frac{\epsilon_s}{4t} \right) \end{aligned}$$

with

$$\begin{aligned} \widehat{j}_{\nu}^{(\alpha;\lambda;\gamma)}(X) &= \frac{1}{\pi^2 |t|} \int_{r_{\zeta}(|X|)}^1 \frac{d\rho}{\sqrt{1-\rho^2}} \frac{\Phi_{\nu}^{(\alpha;\lambda;\gamma)}(\rho, \Sigma(\rho, X))}{F(\rho, X) \sqrt{1-\Sigma^2(\rho, X)}} \\ \widehat{y}^{(\alpha;\lambda)}(X) &= \frac{1}{\pi^2 t} \int_{r_{\zeta}(|X|)}^1 \frac{d\rho}{\sqrt{1-\rho^2}} \frac{\Phi_0^{(\alpha;\lambda;0)}(\rho, \Sigma(\rho, X))}{\rho \Sigma(\rho, X) F(\rho, X) \sqrt{1-\Sigma^2(\rho, X)}} \end{aligned}$$

and

$$\Phi_{\nu}^{(\alpha;\lambda;\gamma)}(\rho, \Sigma) = [1 - \nu + 2\nu\rho\Sigma] [4\alpha\rho^2\Sigma^2 - 2\lambda(\rho^2 + \Sigma^2 - 1) - \gamma]$$

$$F(\rho, X) = \sqrt{(1-\theta^2)\rho^2 + 2\theta X}$$

$$\Sigma(\rho, X) = \frac{1}{\theta} (\rho - F(\rho, X))$$

$$\zeta \equiv \text{sgn}(X), \quad r_{\pm}(|X|) \equiv \sqrt{\frac{|X|}{1 \pm \theta}}$$

First numerical results for the RG flow

So far the projected flow eqns. were solved numerically

- for $T = 0$
- in the case $\delta = 0$ (i.e. at van Hove filling)
- for $\theta \in \{0.1, 0.5, 0.8\}$
- with the initial conditions $U = n t$ with $n \in \{1, 2, \dots, 10\}$

Main Results:

- ordinary **flow to strong coupling**; at least g_{SC} diverges at nonzero values of ϵ_s .

Usual procedure in this situation: analyze the behavior of the g 's in the ϵ -regime where the largest coupling g_L exceeds the bandwidth, e.g. where $|g_L| \lesssim 20 t$.

- In the parameter regions

(a) $\theta = 0.1, 1 \leq U \lesssim 4 t$

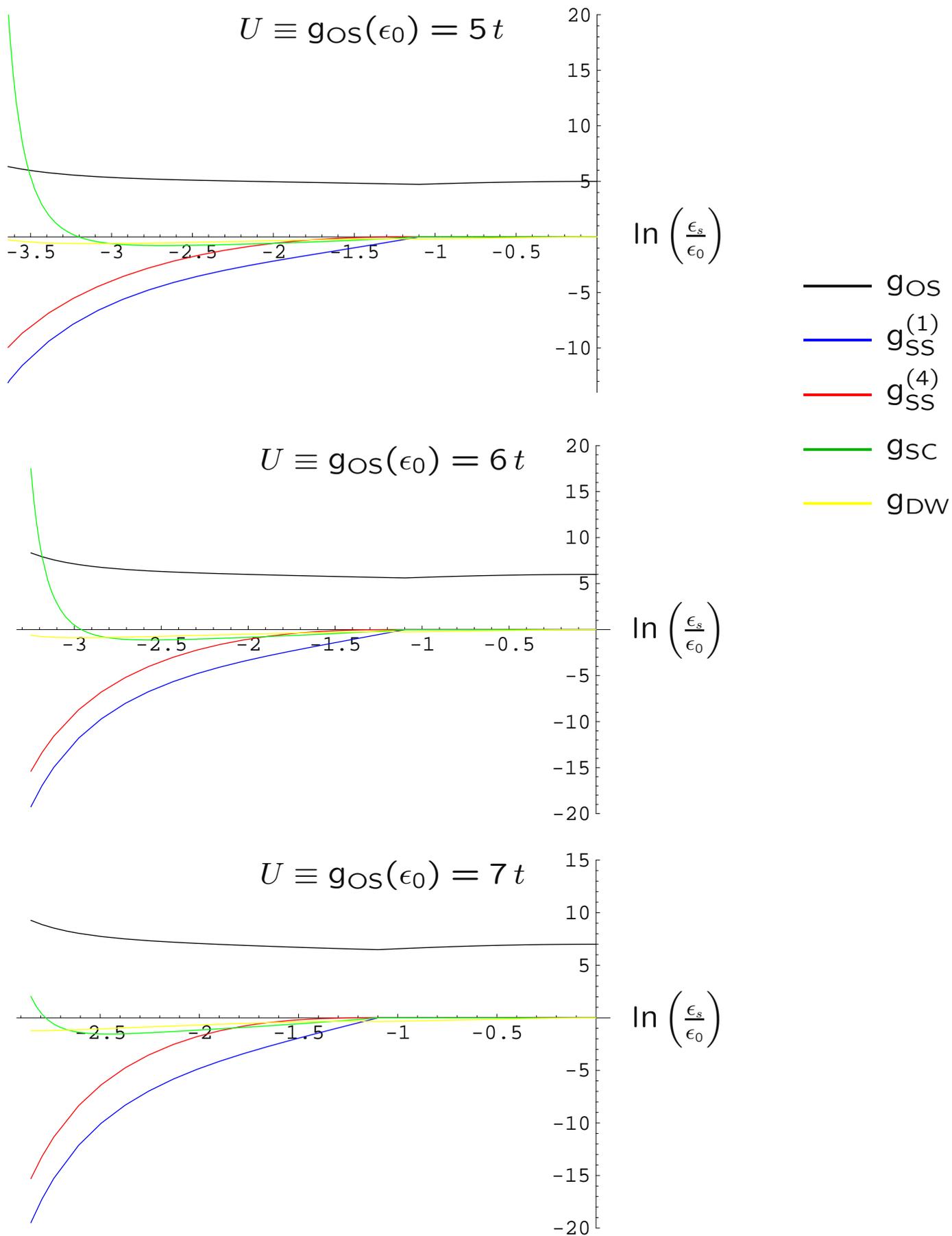
(b) $\theta = 0.5, 1 \leq U \lesssim 5 t$

(c) $\theta = 0.8, 1 \leq U \lesssim 8 t$

g_{SC} grows “large” (i.e. exceeds $\approx 20 t$) first.

- In the other parameter regions (with larger U -values) $g_{SS}^{(1)}$ seems to grow comparably strong or even stronger.

$$\theta = 0.5$$



Conclusion and outlook

- The fermionic RG approach was applied to study the competition of different ordering tendencies in the two-dim. t - t' -Hubbard model.
- In contrast to earlier analyses in this framework the RG eqns. for the couplings respected were derived by applying appropriate projectors onto the RG eqn. for the 4-point-vertex.
- The solutions exhibit the notorious flow to strong coupling, in (at least qualitative) agreement with earlier results.
- Next steps:
 - More detailed analysis of the nature of the strong coupling state by studying the RG flow of the susceptibilities.
 - Investigate the flow away from the van Hove filling, i.e. for $\delta \neq 0$.
 - One could also study the RG flow in the case of nonzero temperatures $T > 0$.
- This formalism applies to any other ansatz for the 4-point function containing different or additional types of interactions.

Supplementary material

The “full” β -functions - an example

$$\begin{aligned}
 \bar{\beta}_{\text{SC}} = & -\frac{6L^2}{16L^2+9} \left(\Phi_{\text{pp};1}^{(\text{SS})}(\underline{\omega}) + \Phi_{\text{cph};1}^{(\text{SS})}(\underline{\omega}) + \Phi_{\text{dph};1}^{(\text{SS})}(\underline{\omega}) \right) \\
 & + \Phi_{\text{pp};1}^{(\text{SS})}(\omega_2, \omega_1, \omega_3) + \Phi_{\text{cph};1}^{(\text{SS})}(\omega_2, \omega_1, \omega_3) + \Phi_{\text{dph};1}^{(\text{SS})}(\omega_2, \omega_1, \omega_3) \\
 & + \frac{2L^2(16L^2-21)}{(16L^2+9)(4L^2-9)} \left(\Phi_{\text{pp}}^{(\text{SC})}(\underline{\omega}) + \Phi_{\text{cph}}^{(\text{SC})}(\underline{\omega}) + \Phi_{\text{dph}}^{(\text{SC})}(\underline{\omega}) \right) \\
 & + \Phi_{\text{pp}}^{(\text{SC})}(\omega_2, \omega_1, \omega_3) + \Phi_{\text{cph}}^{(\text{SC})}(\omega_2, \omega_1, \omega_3) + \Phi_{\text{dph}}^{(\text{SC})}(\omega_2, \omega_1, \omega_3) \\
 & + \frac{15L^2}{(16L^2+9)(4L^2-9)} \left(\Phi_{\text{pp};-}^{(\text{DW})}(\underline{\omega}) + \Phi_{\text{cph};-}^{(\text{DW})}(\underline{\omega}) + \Phi_{\text{dph};-}^{(\text{DW})}(\underline{\omega}) \right) \\
 & + \Phi_{\text{pp};-}^{(\text{DW})}(\omega_2, \omega_1, \omega_3) + \Phi_{\text{cph};-}^{(\text{DW})}(\omega_2, \omega_1, \omega_3) + \Phi_{\text{dph};-}^{(\text{DW})}(\omega_2, \omega_1, \omega_3)
 \end{aligned}$$

For instance,

$$\begin{aligned}
 \Phi_{\text{cph};1}^{(\text{SS})}(\underline{\omega}) = & \frac{1}{\beta} \sum_{\omega'} \left[-2 B_1(\underline{\omega}, \omega') h_{0,1;0,0,+}^{(1;1)}(\omega_3 - \omega_1, \omega') \right. \\
 & -2 \sum_{i' \in \{1,4\}} B_2^{(i')}(\underline{\omega}, \omega') h_{0,1;0,0,+}^{(i',1;1)}(\omega_3 - \omega_1, \omega') \\
 & -2 \sum_{i', i'' \in \{1,4\}} B_3^{(i', i'')}(\underline{\omega}, \omega') h_{0,1;0,0,+}^{(i', i'', 1;1)}(\omega_3 - \omega_1, \omega') \\
 & + \frac{1}{L^2} B_9(\underline{\omega}, \omega') \sum_{j=1}^4 \chi_j h_{0,1;0,1,+}^{(j,1;5-j,4)}(\omega_3 - \omega_1, \omega') \\
 & + \frac{2}{L^2} \sum_{i' \in \{1,4\}} B_7^{(i')}(\underline{\omega}, \omega') \sum_{j=1}^4 \chi_j h_{0,1;0,1,+}^{(i', j, 1;5-j,4)}(\omega_3 - \omega_1, \omega') \\
 & \left. - \frac{1}{L^4} B_{10}(\underline{\omega}, \omega') \sum_{j,l=1}^4 \chi_j \chi_l h_{0,1;0,1,+}^{(j,l,1;5-j,5-l,4,4)}(\omega_3 - \omega_1, \omega') \right] \\
 h_{J_1; J_2; \pm}^{(\underline{i}; \underline{j})}(\omega, \omega') = & \frac{1}{L^4} \sum_{k, k'} \mathcal{L}_{\pm}(K, K') \left(\prod_{\mu=1}^m \eta_{J_1}^{(i_{\mu})}(k) \right) \left(\prod_{\nu=1}^n \eta_{J_2}^{(j_{\nu})}(k') \right)
 \end{aligned}$$

$$\underline{i} = (i_1, \dots, i_m), \quad \underline{j} = (j_1, \dots, j_n), \quad \chi_j = 1 + (j-4)(j-1)$$

B_i : quadratic in the couplings, e.g:

$$B_3^{(i, i')}(\underline{\omega}, \omega') = \frac{1}{3} g_{\text{SS}}^{(i)}(\omega_2, \omega', \omega_1 + \omega_2 - \omega_3) g_{\text{SS}}^{(i')}(\omega_3 - \omega_1 + \omega', \omega_1, \omega')$$

The shape functions

The “shape functions”

$$\eta_{0,0}^{(1)} = 1$$

$$\eta_{0,1}^{(1)}(q) = \cos(q^x) + \cos(q^y)$$

$$\eta_{0,1}^{(2)}(q) = \sin(q^x) + \sin(q^y)$$

$$\eta_{0,1}^{(3)}(q) = \sin(q^x) - \sin(q^y)$$

$$\eta_{0,1}^{(4)}(q) = \cos(q^x) - \cos(q^y)$$

and their generalizations $\eta_{m,n}^{(i)}$ with $m+n \geq 2$ ($m \leq n$) satisfy

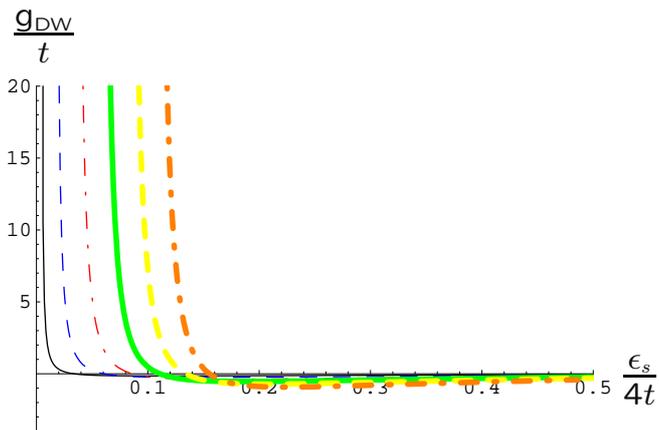
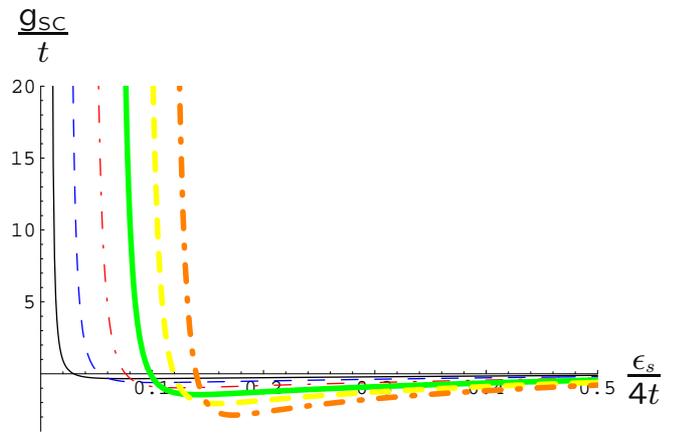
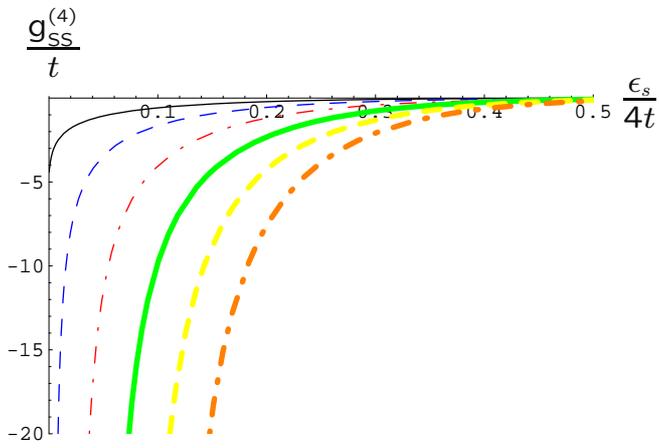
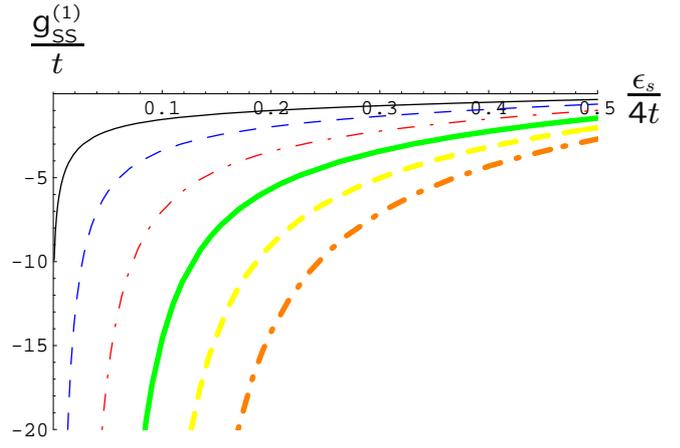
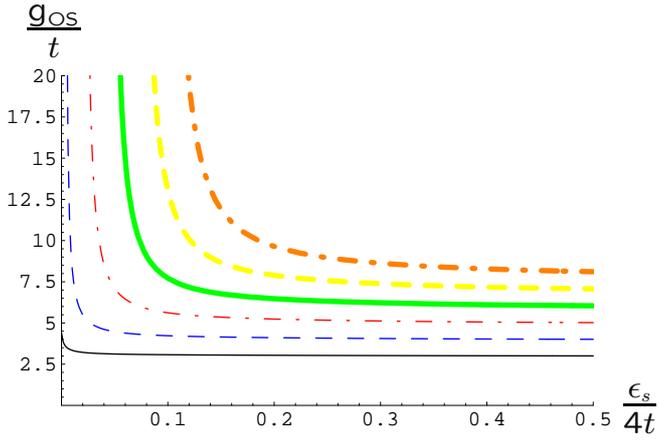
$$\frac{1}{L^2} \sum_k \eta_J^{(i)}(k) \eta_{J'}^{(i')}(k) = \delta_{J,J'} \delta_{i,i'}$$

and are in a one to one correspondence with the Fourier basis $\mathcal{B} = \{e^{ik \cdot x} : x \in \Lambda\}$.

Consequences:

- (i) Any $w_s(\underline{K}, \underline{\alpha})$ has an expansion in terms of η 's.
- (ii) Any projector acting on w_s can be constructed from the η 's.
 - particularly convenient since any of the interesting interaction terms is typically characterized by a certain η , which then specifies the symmetry inherent to the interaction (e.g. SC gap symmetries, etc.)

$$\theta = 0.1$$



— $g_{OS}(\epsilon_0) = 3t$

- - $g_{OS}(\epsilon_0) = 4t$

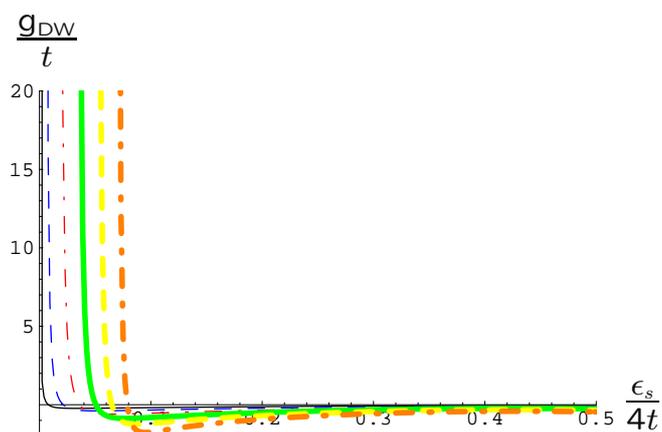
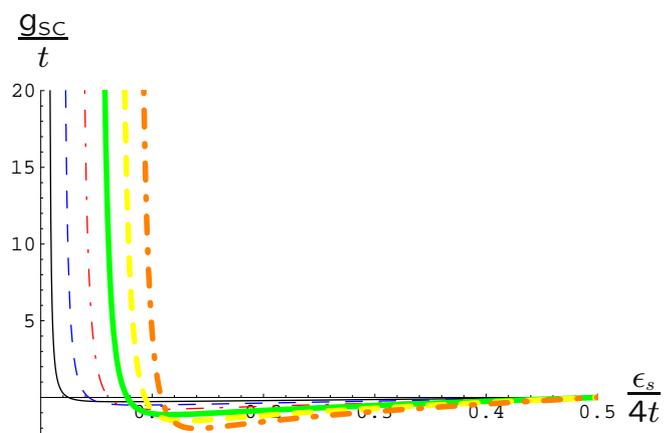
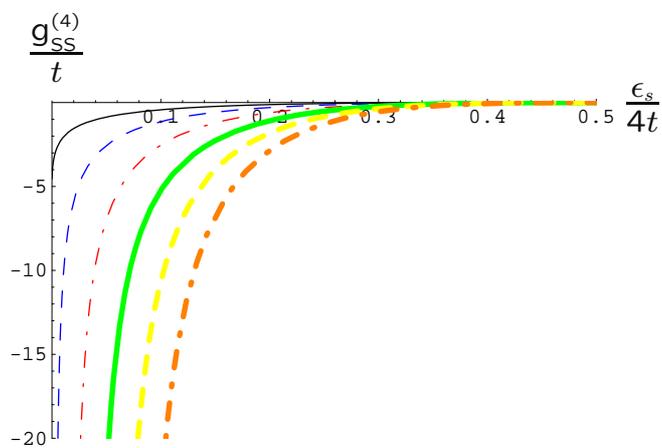
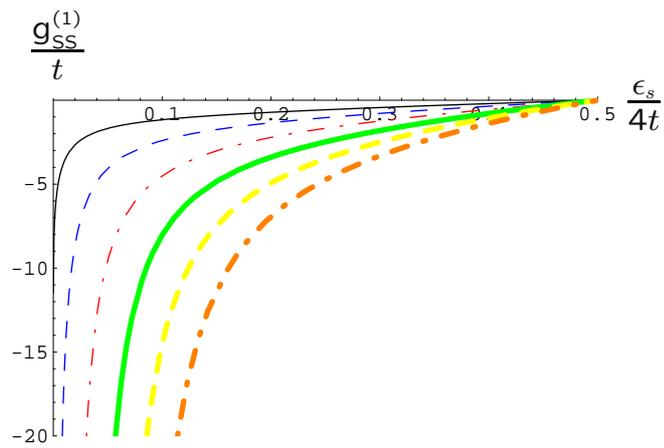
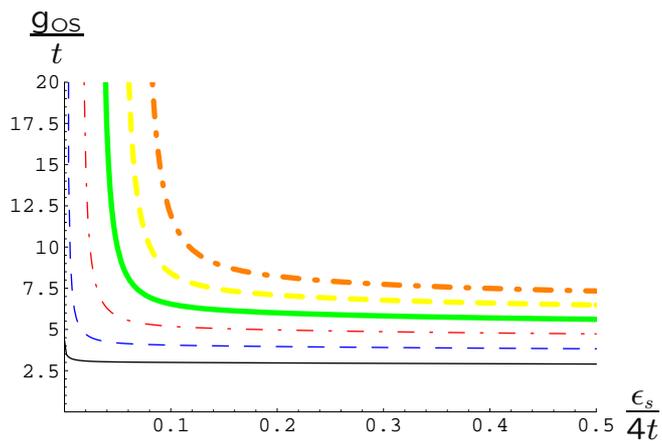
- . - $g_{OS}(\epsilon_0) = 5t$

— $g_{OS}(\epsilon_0) = 6t$

- - $g_{OS}(\epsilon_0) = 7t$

- . - $g_{OS}(\epsilon_0) = 8t$

$$\theta = 0.5$$



— $g_{OS}(\epsilon_0) = 3t$

- - $g_{OS}(\epsilon_0) = 4t$

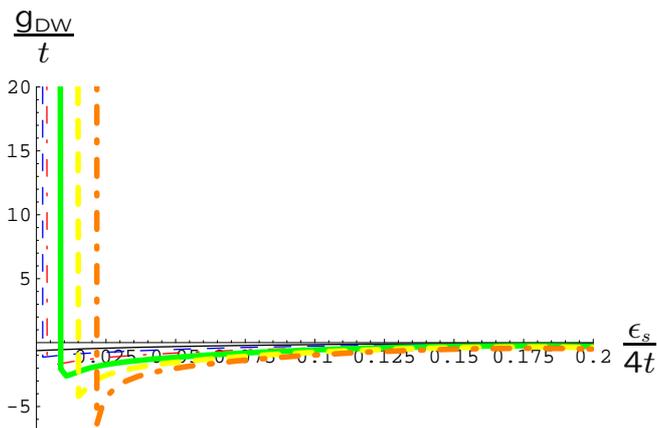
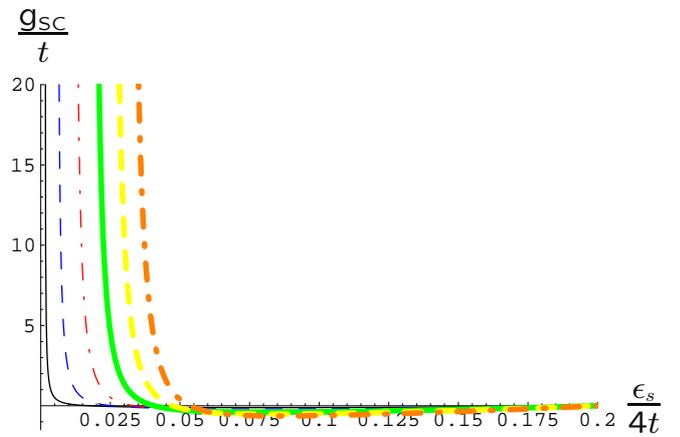
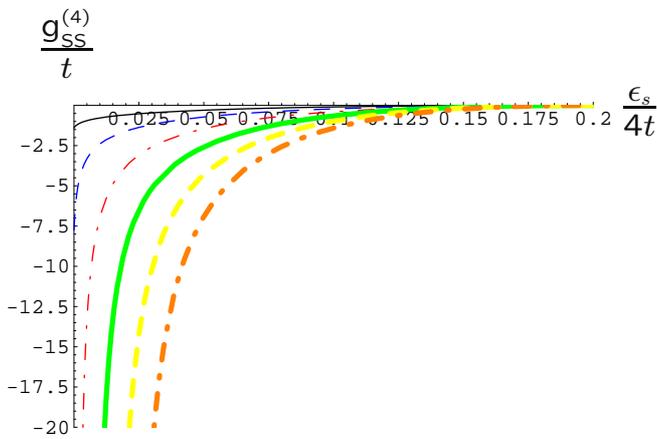
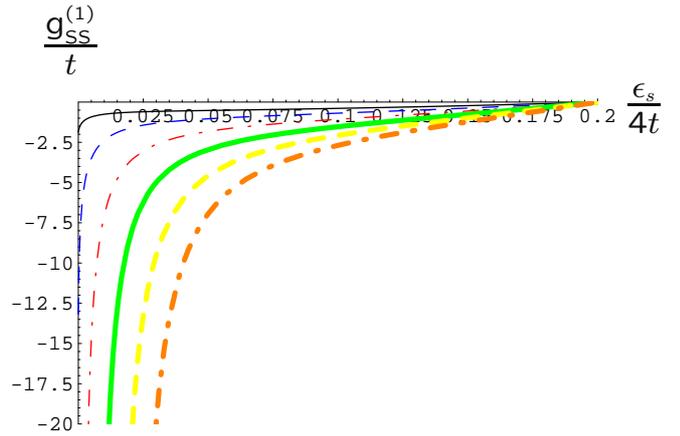
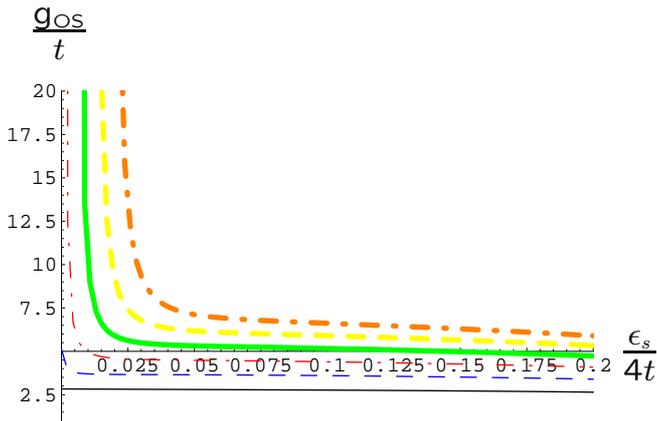
- . - $g_{OS}(\epsilon_0) = 5t$

— $g_{OS}(\epsilon_0) = 6t$

- - $g_{OS}(\epsilon_0) = 7t$

- . - $g_{OS}(\epsilon_0) = 8t$

$$\theta = 0.8$$



— $g_{OS}(\epsilon_0) = 3t$

- - $g_{OS}(\epsilon_0) = 4t$

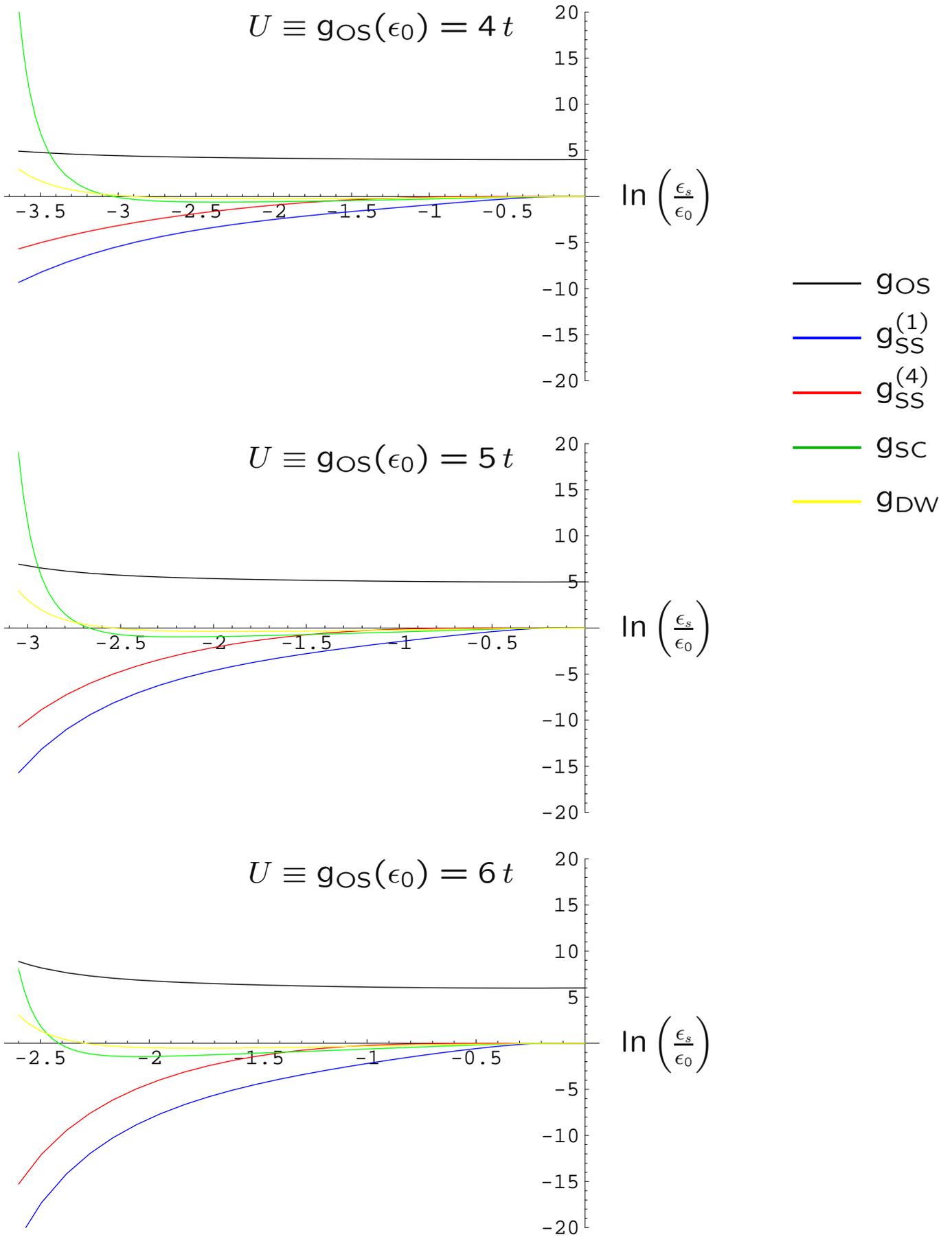
- . - $g_{OS}(\epsilon_0) = 5t$

— $g_{OS}(\epsilon_0) = 6t$

- - $g_{OS}(\epsilon_0) = 7t$

- . - $g_{OS}(\epsilon_0) = 8t$

$$\theta = 0.1$$



$$\theta = 0.8$$

