Functional RG for transport through quantum dots

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Goal

- use "simple" fRG approximation for correlations in mesoscopic systems
- standard perturbative many-body methods are (quite often) insufficient
- here: two experimentally motivated examples





(Cleuziou et al. '06)

(Avinun-Kalish et al. '05)

Consider first a simpler setup





Hamiltonian (s = l/r)

$$H^{\text{dot}} = (\varepsilon - U/2) \sum_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} + U d_{\uparrow}^{\dagger} d_{\uparrow} d_{\downarrow}^{\dagger} d_{\downarrow}$$

$$H_{s}^{\text{leads}} = \sum_{k,\sigma} \varepsilon_{s,k} c_{s,k,\sigma}^{\dagger} c_{s,k,\sigma}$$
$$H_{s}^{\text{coup}} = -t \sum_{\sigma} c_{s,\sigma}^{\dagger} d_{\sigma} + \text{H.c.}$$

Use a (truncated) functional RG

(Wegner & Houghton '73, Polchinski '84, Wetterich '93, Salmhofer '98, ..., V.M. et al. '02)

- quantities considered: irreducible $m\text{-}\mathsf{particle}$ vertices \to full propagator $\mathcal G$
- introduce infrared cutoff Λ in \mathcal{G}^0 (here energy cutoff $\Lambda \in]\infty, 0]$)
- exact hierarchy of flow-equations:



- with $S^{\Lambda} = \mathcal{G}^{\Lambda} \left(\partial_{\Lambda} \left[\mathcal{G}^{0,\Lambda} \right]^{-1} \right) \mathcal{G}^{\Lambda}$, $\mathcal{G}^{\Lambda} = \left[\left[\mathcal{G}^{0,\Lambda} \right]^{-1} \Sigma^{\Lambda} \right]^{-1}$
- keep flow of frequency independent part of two-particle vertex
- results in: flow equation for a frequency independent self-energy
- more than RG enhanced perturbation theory?

Single-level dot (neglect flow of "interaction")

• free propagator:

$$\mathcal{G}_{\rm dot}^0(i\omega) = \frac{1}{i\omega + V_g + i\Gamma {\rm sign}(\omega)} , \ \ \rho_{\rm dot}^0(\omega) = \frac{1}{\pi} \frac{\Gamma}{(\omega + V_g)^2 + \Gamma^2}$$

• flow equation for effective level position $V^{\Lambda} = -V_g + \Sigma^{\Lambda}_{dot}$:

$$\partial_{\Lambda} V^{\Lambda} = -\frac{U}{2\pi} \sum_{\omega = \pm \Lambda} \mathcal{G}^{\Lambda}_{\text{dot}}(i\omega) = -\frac{UV^{\Lambda}/\pi}{(\Lambda + \Gamma)^2 + (V^{\Lambda})^2} , \quad V^{\Lambda = \infty} = -V_g$$

• spectral function: as $\rho_{dot}^0(\omega)$ with $-V_g \to V = V^{\Lambda=0} \Rightarrow G(V_g)$ from $V(V_g, U)$



⁽Andergassen, Enss & V.M. '06)

Results (with flow of static "interaction")



(Karrasch, Enss & V.M. '06)

shortcomings of static approximation:

- fails for dynamical properties
- fails for finite temperatures

improved truncations:

see the talks by C. Karrasch and M. Pletyukhov

First experiment: transmission phase of quantum dot

• "universal" regime



• "mesoscopic" regime





- experiments: Heiblums group '95-'05
- status of theory: Hackenbroich '01

Theory: multi-level quantum dot without correlations



- "mesoscopic" regime: large δ
- "universal" regime: small δ
- "mesoscopic" regime with $\delta_j \gg \Gamma_j^l$ at U=0
- Breit-Wigner transmission resonances with separation δ_j
- important quantity: $s_j = \operatorname{sgn}(t_j^L t_j^R t_{j+1}^L t_{j+1}^R)$
- s_j given by parity of involved states $\Rightarrow s_j$ is "random" in experiment
- π phase lapse and |t| = 0, if $s_j = +1$
- phase grows continuously and |t| > 0, if $s_j = -1$
- resulting picture consistent with experiments in "mesoscopic" regime

U = 0 with small δ_j : no "universal" behavior of phase

Comparison fRG—NRG with correlations (but without spin)

• generalized Landauer-Büttiker: T = 0 transmission from propagator

$$N=4$$
 levels, $U/\Gamma=1$, $s=\{---\}$





 $N=2 U/\Gamma=8 s=\{+\} N=2 U/\Gamma=8 s=\{-\} N=3 U/\Gamma=2 s=\{+-\} N=4 U/\Gamma=1 s=\{+-+\} consistent with experiments in both regimes$

"Mesoscopic" regime: renormalized single-particle levels



renormalized levels \approx bare levels \Rightarrow as for U=0

"Universal" regime: renormalized single-particle levels



strong renormalization \Rightarrow Fano effect

(Karrasch, Hecht, Weichselbaum, Oreg, von Delft & V.M. '07)

Comparison theory—experiment in "universal" regime

$$N = 4$$
 levels, $U/\Gamma = 6$, $s = \{--+\}, \, \delta/\Gamma = 0.1, \, T/\Gamma = 0.04$, NRG



Second experiment: Josephson current through quantum dot



Hamiltonian (s = l/r)

$$\begin{split} H^{\text{dot}} &= (\varepsilon - U/2) \sum_{\sigma} d^{\dagger}_{\sigma} d_{\sigma} + U d^{\dagger}_{\uparrow} d_{\uparrow} d^{\dagger}_{\downarrow} d_{\downarrow} \\ H^{\text{leads}}_{s} &= \sum_{k,\sigma} \varepsilon_{s,k} c^{\dagger}_{s,k,\sigma} c_{s,k,\sigma} - \Delta \sum_{k} e^{i\phi_{s}} c^{\dagger}_{s,k,\uparrow} c^{\dagger}_{s,-k,\downarrow} + \text{H.c.} \\ H^{\text{coup}}_{s} &= -t \sum_{\sigma} c^{\dagger}_{s,\sigma} d_{\sigma} + \text{H.c.} \end{split}$$

Basic physics and basic relations

energy scales (Abrikosov & Gorkov '61, Shiba & Soda '69, ...)

superconducting gap $\Delta \leftrightarrow$ Kondo temperatur $T_K \sim \exp\left[-\pi U/(8\Gamma)\right]$

- here: particle-hole symmetric point $\varepsilon = 0$, phase difference $\phi = 0$
- $T_K \gg \Delta$: screening active, Cooper pairs broken, Kondo singlet ground state
- $T_K \ll \Delta$: screening disturbed, energy gap at μ , free magnetic moment
- quantum phase transition in between

important relations

• approximate Green function

$$\mathcal{G}^{\Lambda}(i\omega) = -\frac{1}{\det} \begin{pmatrix} i\tilde{\omega} + \epsilon + \Sigma^{\Lambda} & \Sigma^{\Lambda}_{\Delta} - \tilde{\Delta} \\ \Sigma^{\Lambda}_{\Delta} - \tilde{\Delta} & i\tilde{\omega} - \epsilon - \Sigma^{\Lambda} \end{pmatrix}$$

$$\tilde{\omega} = \omega + \omega \Gamma / \sqrt{\omega^2 + \Delta^2} , \quad \tilde{\Delta} = \Gamma \Delta \cos(\phi/2) / \sqrt{\omega^2 + \Delta^2}$$

• Josephson current: $J = \langle \partial_t N \rangle = it \langle c^{\dagger}_{\uparrow} d_{\uparrow} + c^{\dagger}_{\downarrow} d_{\downarrow} - \text{H.c.} \rangle$

• computed from self-energy

$$J = T \sum_{i\omega} \left[\frac{\Gamma^2 \Delta^2 \sin(\phi)}{(\omega^2 + \Delta^2) \det} - \frac{2\Gamma \Delta \text{Im} \left[e^{-i\phi/2} \Sigma_\Delta \right]}{\sqrt{\omega^2 + \Delta^2} \det} \right]$$

Results



singlet phase: J > 0doublet phase: J < 0U = 0 critical current: $J_c = e\Delta/\hbar$

NRG data only at $\varepsilon=0$

Comparison of critical current to experiments



Temperature dependence of Josephson current

- static fRG approximation not reliable
- use NRG for this (at least at particle-hole symmetric point $\varepsilon = 0$)
- compare to earlier numerical studies

(Choi et al. '04, Siano & Egger '04)



(Karrasch, Oguri & V.M. '08)

Summary and outlook

- "simple" truncated fRG is usefull tool for correlation effects in mesoscopic systems
- improved schemes for T and ω dependence

see the talks by C. Karrasch and M. Pletyukhov

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