Transport of interacting electrons in 1d: nonperturbative RG approach

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## Outline

- Introduction: conductance of electrons in Luttinger liquids
- Barrier in a strongly interacting Luttinger liquid; current algebra representation
- Perturbation theory in the interaction g<sub>2</sub>: summing up the principal terms linear in log(T) to all orders in g<sub>2</sub>
- Renormalization group approach: nonperturbative β-function;
   d.c. conductance for any Luttinger parameter K and barrier reflection R.
- Demonstration of agreement with known results at R~0 and R~1 Role of non-universal terms beyond the ladder series.



## Introduction: Transport in clean Luttinger liquids

#### Early results for conductance G of clean infinite spinless Luttinger liquids:

forward scattering, interaction parameters:

$$G = K$$
,  $G$  in units of  $\frac{e^2}{h}$ 

$$g_2, g_4, \quad K = \sqrt{\frac{1 + (g_4 - g_2)/2\pi v_F}{1 + (g_4 + g_2)/2\pi v_F}}$$

W. Apel and T. M. Rice, 1982 C. Kane and M.P.A. Fisher, 1992 A. Furukawa and N. Nagaosa, 1993

Later interpreted as four-terminal conductance

#### Two-terminal conductance: Luttinger liquid attached to ideal leads (T=0)

Proper sequence of limits (1)  $\omega \rightarrow 0$ , (2)  $L \rightarrow \infty$ 

 G = 1
 D. Maslov and M. Stone, 1995

 I. Safi and H. Schulz, 1995

Screened internal electric field

A. Kawabata, 1996 Y. Oreg, A. Finkelstein, 1995





## Introduction: Quantum wire with single barrier I

Landauer conductance in non-interacting limit:

$$G = \int d\varepsilon (-df / d\varepsilon) |t(\varepsilon)|^2$$

Transmission amplitude  $t(\varepsilon)$ 

**Effect of interaction:** Friedel oscillations of charge density around impurity lead to dynamically generated extended effective potential

The spatial extent L of the Friedel oscillations is determined by phase relaxing inelastic processes:

$$L = v_F / T$$
, or  $L = v_F / \varepsilon$ 

Where T is the temperature and  $\mathcal{E}$  is the excitation energy of a fermion





### Introduction: Poor man's scaling at weak interaction

Integrating out high momentum states, reducing the band width, one finds a renormalization group equation for the transmission amplitude as a function of the bandwidth D

$$\frac{dt}{d\ln(D_0/D)} = -gt(1-|t|^2), \quad g = g_2/2\pi v_F$$

The transmission coefficient as a function of energy follows as

$$T(\varepsilon) = \frac{T_0 (\varepsilon / D_0)^{2g}}{R_0 + T_0 (\varepsilon / D_0)^{2g}}, \qquad \varepsilon < D_0$$

Yue, Matveev and Glazman, 1995



## Single particle scattering states

Scattering of spinless fermions by potential barrier:

S-matrix: 
$$S = \begin{pmatrix} t & \tilde{r} \\ r & \tilde{t} \end{pmatrix} = \begin{pmatrix} \cos\theta & i\sin\theta e^{-i\phi} \\ i\sin\theta e^{i\phi} & \cos\theta \end{pmatrix}$$

Single particle scattering states for right (left) moving particles (k>0) :

$$\chi_{1k}(x) = [e^{ikx} + re^{-ikx}]\theta(-x) + te^{ikx}\theta(x)$$
$$\chi_{2k}(x) = [e^{-ikx} + \tilde{r}e^{ikx}]\theta(x) + \tilde{t}e^{-ikx}\theta(-x)$$

Neglect k-dependence of t, r in the following





### Fermion operators in scattering state representation

Creation ops. for right (left) moving fermions in scattering states k :  $C_{k1}^{\dagger}, C_{k2}^{\dagger}$ 

Creation ops. for R, L fermions at position x:

$$\psi^{\dagger}(x) = \int_{0}^{\infty} \frac{dk}{2\pi} \{ \chi_{1k}(x) c_{1k}^{\dagger} + \chi_{2k}(x) c_{2k}^{\dagger} \}$$

 $\psi^{\dagger}(x) = \theta(-x)[\psi_{1}^{\dagger}(x) + r\psi_{1}^{\dagger}(-x) + \tilde{t}\psi_{2}^{\dagger}(x)] + \theta(x)[\psi_{2}^{\dagger}(x) + \tilde{r}\psi_{2}^{\dagger}(-x) + t\psi_{1}^{\dagger}(x)]$ 





$$\psi_{1}^{\dagger}(x) = \int_{0}^{\infty} \frac{dk}{2\pi} e^{ikx} c_{1k}^{\dagger}$$
$$\psi_{2}^{\dagger}(x) = \int_{0}^{\infty} \frac{dk}{2\pi} e^{-ikx} c_{1k}^{\dagger}$$

$$\psi_1^{\dagger}(x) = \int_0^\infty \frac{dk}{2\pi} e^{ikx} c_{1k}^{\dagger}$$
$$\psi_2^{\dagger}(x) = \int_0^\infty \frac{dk}{2\pi} e^{-ikx} c_1^{\dagger}$$

## Interaction in scattering state representation







### Current algebra representation

Definition of current operators:

Affleck, 1990

$$J_{\mu}(x) = \frac{1}{2} \Psi^{\dagger}(x) \underline{\tau}^{\mu} \Psi(x), \qquad \Psi(x) = \begin{pmatrix} \psi_1(x) \\ \psi_2(-x) \end{pmatrix}, \quad \mu = 0, ..., 3; \text{ Pauli matrices } \underline{\tau}^{\mu}$$

Current operators obey Kac-Moody algebra.

Scattering by the potential barrier does not affect the isocharge component  $J_0$ but rotates the isospin vector  $\vec{J} \rightarrow (\hat{R}\vec{J}) = \tilde{\vec{J}}$ , where  $\hat{R}$  is a rotation matrix

Comps. of R:  $R_{33} = |t|^2 - |r|^2 = \cos(2\theta), \quad R_{32} = -\sin(2\theta)\cos(\phi), \quad R_{31} = \sin(2\theta)\sin(\phi)$ 

$$H_{0} = 2\pi v_{F} \int_{0}^{\infty} dx [J_{0}^{2}(x) + J_{0}^{2}(-x) + J_{3}^{2}(-x) + \tilde{J}_{3}^{2}(x)]$$
$$H_{1} = 2g_{2} \int_{0}^{\infty} dx [J_{0}(-x)J_{0}(x) - J_{3}(-x)\tilde{J}_{3}(x)]$$



## Interaction in chiral (current algebra) representation

"Nonlocal" interaction:



In this representation the potential barrier may be viewed as a local magnetic field rotating the isospin vector of a wave packet, when it passes through the field.





### Physical currents and conductance

Electron density:

$$\rho(x) = [\rho_{iR}(x) + \rho_{oL}(x)]\theta(-x) + [\rho_{oR}(x) + \rho_{iL}(x)]\theta(x)$$
  
=  $J_0(-x) + J_0(x) + sign(x)[-J_3(-|x|) + \tilde{J}_3(|x|)]$   
=  $\rho_c(x) + \rho_s(x)$ 

Electron current obtained from continuity equation:

$$\partial_t \rho(x) = -\partial_x j(x) = -i[\rho(x), H]$$

$$j(x) = v_F[J_0(x) - J_0(-x) + J_3(-|x|) + \tilde{J}_3(|x|)] = j_c + j_s$$

Applied voltage:  $V(x,t) = \frac{1}{2}V(t)sign(x)$ , couples only to isospin component

Linear response two-terminal conductance (in units of  $e^2/h$ ):

$$G(x,t) = -2\pi i\theta(t) \left\langle \left[ j_s(x,t), \int_0^\infty dy \rho_s(y,0) \right] \right\rangle$$



## Perturbation theory in g<sub>2</sub> : Feynman diagrams

Diagram rules for nth order contributions in (energy-position)-representation:

- (1) Draw n vertical wavy lines representing interaction  $(-2g_2)$ , the ith line connecting the upper point  $-x_i$  with vertex  $\frac{1}{2}\tau_{\alpha\beta}^3$  • and the lower point  $x_i$  with vertex  $\frac{1}{2}R_{3\mu}\tau_{\alpha\beta}^{\mu}$  •
- (2) Connect all points with two propagator lines entering and leaving the point:

$$G_{\alpha\beta}(x,\omega_n) = -\delta_{\alpha\beta} \frac{i}{v_F} sign(\omega_n)\theta(\omega_n x)e^{-\omega_n x/v_F}$$
  
$$\omega_n = (2n+1)\pi T, \quad \text{T: temperature}$$

- (3) Integrate over internal position variables from a to L
- (4) In each fermion loop take trace of product of vertex matrices
- (5) Take limit of external frequency  $\Omega_m \to 0$
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## Conductance in 0 th order



$$G = \frac{1}{2} [1 + \cos(2\theta)] = \cos^2 \theta = \left| t \right|^2$$

Define :  $Y = \cos(2\theta)$ 



## Conductance in 1st order



Logarithmic correction: 
$$\int_{a}^{L} \frac{dz}{z} = \ln(\frac{L}{a}) \approx \ln(\frac{T_{0}}{T}), \quad L = v_{F}/T, \quad a = v_{F}/T_{0}$$

$$G^{(1)} = -\frac{g_2}{4\pi} \sin^2(2\theta) \ln(\frac{T_0}{T})$$

Agrees with Yue, Matveev, and Glazman, 1995



## Summation of linear log-terms

Each diagram of n th order has a leading scale dependent contribution

$$[\ln(\frac{T_0}{T})]^m, \quad m \le n$$

Principal diagrams with linear logarithmic dependence are those with the **maximum number of loops;** they are independent of the cutoff scheme

The sum of these diagrams is obtained from a ladder summation:





### Conductance up to linear log-terms

Substituting L in place of the bare interaction into the first order diagrams, one finds the conductance

$$G^{(L)} = \frac{1}{4} (1 - Y^2) T^2 \sum_{\varepsilon, \omega} \int_0^\infty dx_1 dx_2 dy \overline{L}(x_1, x_2; \omega) \mathbf{G}(-x + x_1, \varepsilon)$$
$$\times \mathbf{G}(-x_1 - x_2, \varepsilon - \omega) \mathbf{G}(x_2 - y, \varepsilon) \mathbf{G}(y + x, \varepsilon + \Omega)$$

Taking the limits  $\Omega \rightarrow 0$  and  $x \gg v_F / T$ 

$$G^{(L)} = -\frac{g(1-Y^2)}{1+\sqrt{1-g^2}+gY} \ln(\frac{T_0}{T})$$

where we defined: 
$$g = \frac{g_2}{2\pi v_F}$$
 and put  $v_F = 1$ .



### Renormalization group approach

In perturbation theory the n-th order contribution is a polynomial in  $\Lambda = \ln(\frac{L}{a}) \simeq \ln(\frac{\varepsilon_0}{\varepsilon}) \simeq \ln(\frac{T_0}{T}) \quad \text{of degree n}$ 

If the theory is renormalizable, all terms of higher powers in 
$$\Lambda$$
 should be generated by a renormalization group equation for the scaled conductance  $G(\Lambda)$ .  
We will use  $Y(\Lambda) = 2G(\Lambda) - 1$  instead.

The beta-function is given by the prefactor of  $\ln(T_0/T)$  in the perturbation expansion of G:

$$\frac{dY}{d\Lambda} = -\frac{2g(1-Y^2)}{1+\sqrt{1-g^2}+gY} = \beta_L(Y)$$





#### **Renormalization group equation**

The RG-equation may be expressed in the symmetric form:

$$\frac{dY}{d\Lambda} = 2\left\{\frac{1}{(1+Y)(K^{-1}-1)} - \frac{1}{(1-Y)(K-1)}\right\}^{-1}$$

where 
$$K = \sqrt{\frac{1-g}{1+g}}$$
 is the Luttinger parameter.

The RG-equation is invariant under  $K^{-1} \leftrightarrow K, Y \leftrightarrow -Y$ 





## Solution of the renormalization group equation

The RG-equation may be integrated from  $Y(T_0) = |t|^2 - |r|^2$  to Y(T) to give

$$\frac{G^{K}}{1-G} = \left(\frac{T}{T_{0}}\right)^{2(1-K)} \frac{\left|t\right|^{2K}}{\left|r\right|^{2}}$$

Limiting cases:

$$G(T) = |t|^{2} + \frac{2(1-K)|t|^{2}|r|^{2}}{K|r|^{2} + |t|^{2}} \ln \frac{T}{T_{0}}, \quad T < T_{0}$$
  
Repulsive int., K<1
$$G = \left(\frac{T}{T_{0}}\right)^{2(K^{-1}-1)} \frac{|t|^{2}}{|r|^{2K^{-1}}}$$

Low T:

High T:

Attractive int., K>1

Agrees with Kane a. Fisher ( cases  $~|t|{\rightarrow}1{,}0$  ) except that G{\rightarrow}1 for K>1

 $G = 1 - \left(\frac{T}{T_0}\right)^{2(K-1)} \frac{|r|^2}{|t|^{2K}}$ 



## Check of renormalizability

The renormalizability of the theory may be checked by comparing the terms with higher powers of  $\Lambda$  generated by expanding the solution of the RG equation with perturbation theory.

The expansion gives in 2<sup>nd</sup> and 3<sup>rd</sup> order:

$$G^{(2,2)} = -\frac{g^2}{8}Y(1-Y^2)\Lambda^2$$
$$G^{(3,3)} = \frac{g^3}{(3!)8}(1-Y^2)(1-3Y^2)\Lambda^3$$
$$G^{(3,2)} = -\frac{g^3}{32}(1-Y^2)(1-5Y^2)\Lambda^2$$

By using computer algebra to evaluate Feynman diagrams up to third order (more than 4000 diagrams) one finds agreement with the RG result, except for additional terms  $\sim (1-Y^2)^2 g^3 \Lambda$  within the hard cutoff (T=0) scheme







Feynman graphs, leading to lowest order linear logarithmic contribution in third order in g, beyond ladder series.

$$\frac{dY}{d\Lambda} = -\frac{2g(1-Y^2)}{1+\sqrt{1-g^2}+gY} + c_3g^3(1-Y^2)^2$$

Our evaluation at *T*=0,  $\Lambda = \ln(L/a)$  :  $c_3 = \pi^2/12$ Exact solution in S. Lukyanov, Ph. Werner (2007) :  $L=\infty$ ,  $\Lambda = \ln(v_F/\pi aT)$  (?) =>  $c_3 = 1/4$ 

β-function is not universal, but depends on cutoff scheme





# Summary

- Calculated d.c. linear conductance G of Luttinger liquid with barrier in perturbation theory in g<sub>2</sub> as a function of length of interacting region and of temperature T
- Analyzed scale dependent terms of G(T): powers of  $\Lambda = \ln \frac{I_0}{T}$ , and summed up the principal terms linear in  $\Lambda$
- Assuming (and checking to third order) renormalizability of the theory extracted the beta-function of the renormalization group equation for G
- Integrated the RG-equation to give G(T) for any g<sub>2</sub> or equivalently, Luttinger liquid parameter K, and any (narrow) potential barrier
- Comparing with exact solutions known from Thermodynamic Bethe Ansatz, clarified the meaning of the obtained solution as a robust, "universal", part of Beta-function independent of the RG cutoff scheme

Thank you

## Luttinger Hamiltonian

Define partial densities for incoming and outgoing particles:

$$\rho_{iR}(x) = \psi_1^{\dagger}(x)\psi_1(x), \qquad x < 0$$
  
$$\rho_{iL}(x) = \psi_2^{\dagger}(x)\psi_2(x), \qquad x > 0$$

$$\rho_{oR}(x) = [t\psi_1^{\dagger}(x) + \tilde{r}\psi_2^{\dagger}(-x)][t^*\psi_1(x) + \tilde{r}^*\psi_2(-x)], \quad x > 0$$

$$\rho_{oL}(x) = [r\psi_1^{\dagger}(-x) + \tilde{t}\psi_2^{\dagger}(x)][r^*\psi_1(-x) + \tilde{t}^*\psi_2(x)], \quad x < 0$$

Hamiltonian (free H in bosonized form):

$$H = H_0 + H_1$$
  

$$H_0 = \pi v_F \int_0^\infty dx [\rho_{iR}^2(-x) + \rho_{iL}^2(x) + \rho_{oR}^2(x) + \rho_{oL}^2(-x)]$$
  

$$H_1 = g_2 \int_0^\infty dx [\rho_{iR}(-x)\rho_{oL}(-x) + \rho_{oR}(x)\rho_{iL}(x)]$$

Hard cutoff scheme: a<x<L





## Nonuniversality of β-function

Usual reasoning: higher order coefficients in beta-function depend on the cutoff scheme in (third loop and higher order)

In our problem, we used a hard cutoff scheme at *T*=0 and a soft cutoff at  $T \neq 0$  $\Lambda_0 = \ln(L/a)$  vs.  $\Lambda = \ln[\coth(2\pi aT)/\coth(2\pi LT)]$ 



1st diagram is linear in  $\Lambda$  => universal 2nd and 3rd diagrams contain both  $\Lambda^3$  and  $\Lambda$  : at finite *T* the coefficient in front of  $\Lambda$  is different !

Overall result :  $\frac{\pi^2}{12}\Lambda_0$  is replaced by **0** 

"Non-universality" for observable quantity: dependence on the problem setup!

