STOCHASTIC QUANTIZATION AND THE SIGN PROBLEM

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OUTLINE

- QCD phase diagram from the lattice ?
- sign problem at finite chemical potential

- a revived approach: stochastic quantization
- three QCD inspired models
- the Silver Blaze problem is not a problem

A SKETCH



NONPERTURBATIVE DETERMINATION

- QCD is confining at low temperature and chemical potential
- \Rightarrow nonperturbative study

lattice QCD



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- \blacksquare progress for $\mu \lesssim T$, $T \sim T_c$



μ

NONPERTURBATIVE DETERMINATION

QCD is confining at low temperature and chemical potential



- \checkmark works well at $\mu = 0$
- \blacksquare progress for $\mu \lesssim T$, $T \sim T_c$
- standard approach breaks down at $\mu > 0$



NONPERTURBATIVE DETERMINATION

- QCD is confining at low temperature and chemical potential
- nonperturbative study
 lattice QCD

status:

- works well at $\mu = 0$
- \blacksquare progress for $\mu \lesssim T$, $T \sim T_c$
- standard approach breaks down at $\mu > 0$
- in this talk: alternative lattice QCD approach first results encouraging potentially applicable in cold dense phase



LATTICE QCD

IMPORTANCE SAMPLING

partition function: $Z = \int DU D\bar{\psi} D\psi e^{-S} = \int DU e^{-S_B} \det M$

If $e^{-S_B} \det M > 0$, interpret as probability weight

evaluate using importance sampling

LATTICE QCD

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• if $e^{-S_B} \det M > 0$, interpret as probability weight

evaluate using importance sampling

QCD at finite baryon chemical potential:

$$\det M(\mu) = [\det M(-\mu)]^*$$

fermion determinant is complex!

importance sampling not possible

sign problem

basic tool of all lattice QCD algorithms breaks down Heidelberg, January 2009 – p.5

PHASE QUENCHED THEORY

write det $M = |\det M| e^{i\varphi}$

• phase quenched theory with weight $e^{-S_B} |\det M| > 0$

$$\langle O \rangle_{\text{full}} = \frac{\int DU \, e^{-S_B} \det M \, O}{\int DU \, e^{-S_B} \det M}$$

PHASE QUENCHED THEORY

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PHASE QUENCHED THEORY

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PHASE QUENCHED THEORY

write det $M = |\det M| e^{i\varphi}$

• phase quenched theory with weight $e^{-S_B} |\det M| > 0$

$$\begin{split} \langle O \rangle_{\text{full}} &= \frac{\int DU \, e^{-S_B} \det M \, O}{\int DU \, e^{-S_B} \det M} = \frac{\int DU \, e^{-S_B} |\det M| \, e^{i\varphi} O}{\int DU \, e^{-S_B} |\det M| \, e^{i\varphi}} \\ &= \frac{\langle e^{i\varphi} O \rangle_{\text{pq}}}{\langle e^{i\varphi} \rangle_{\text{pq}}} \to \frac{0}{0} \to ?? \end{split}$$

average phase factor

$$\langle e^{i\varphi} \rangle_{pq} = \frac{\int DU \, e^{-S_B} |\det M| \, e^{i\varphi}}{\int DU \, e^{-S_B} |\det M|} = \frac{Z_{\text{full}}}{Z_{pq}} = e^{-\Omega \Delta f} \to 0$$

overlap problem, exponentially hard in thermodynamic limit

PHASE QUENCHED THEORY

average phase factor $\langle e^{i\varphi} \rangle_{pq}$ in Random Matrix Theory



Han & Stephanov, A Random Matrix Study of the QCD Sign Problem, arXiv:0805.1939 [hep-lat]

WERBUNG

Sign Problems and Complex Actions

workshop at ECT* Trento

Monday March 2 - Friday March 6 2009

organizers: Gert Aarts (Swansea University) & Shailesh Chandrasekharan (Duke University)

contact me if you are interested

QCD at finite μ

SIGN PROBLEM

- Solution configurations differ in an essential way from those obtained at $\mu = 0$ or with $|\det M|$
- cancelation between configurations with 'positive' and 'negative' weight
- how to pick the dominant configurations in the path integral?

QCD at finite μ

SIGN PROBLEM

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radically different approach:

■ complexifying all degrees of freedom: $SU(3) \rightarrow SL(3, \mathbb{C})$

stochastic quantization and complex Langevin dynamics

in collaboration with Nucu Stamatescu



initiated at the bottom of Death Valley (CA)

based on

- with I.O. Stamatescu: stochastic quantization at finite chemical potential 0807.1597 [hep-lat], JHEP
- can stochastic quantization evade the sign problem? the relativistic Bose gas at finite chemical potential 0810.2089 [hep-lat]

more reading

- with I.O.S.: Lattice proceedings, 0809.5527 [hep-lat]
- SEWM proceedings: 0811.1850 [hep-ph]

LANGEVIN DYNAMICS

- Iternative nonperturbative numerical approach
- weight = equilibrium distribution of stochastic process

Brownian motion

particle in a fluid: friction (γ) and kicks (η)

Langevin equation

$$\frac{d}{dt}\vec{v}(t) = -\gamma\vec{v}(t) + \vec{\eta}(t)$$

Gaussian noise

$$\langle \eta_i(t) \rangle = 0 \qquad \langle \eta_i(t)\eta_j(t') \rangle = 2kT\gamma\delta_{ij}\delta(t-t')$$

LANGEVIN DYNAMICS

Langevin equation

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$$\frac{d}{dt}v_i(t) = -\gamma v_i(t) + \eta_i(t) \qquad \langle \eta_i(t)\eta_j(t')\rangle = 2kT\gamma\delta_{ij}\delta(t-t')$$

analytical solution

$$v_i(t) = e^{-\gamma t} v_i(0) + \int_0^t dt' \,\eta_i(t') e^{-\gamma(t-t')}$$

noise averaged kinetic theory in long time limit

$$\lim_{t \to \infty} \frac{1}{2} \langle v_i(t) v_j(t) \rangle = \frac{1}{2} \delta_{ij} kT$$

Maxwell-Boltzmann distribution not used

LANGEVIN DYNAMICS

application to field theory

Parisi & Wu '81

- **•** path integral $Z = \int D\phi e^{-S}$
- Langevin dynamics in "fifth" time direction

$$\frac{\partial \phi(x,\theta)}{\partial \theta} = -\frac{\delta S[\phi]}{\delta \phi(x,\theta)} + \eta(x,\theta)$$

Gaussian noise

 $\langle \eta(x,\theta) \rangle = 0$ $\langle \eta(x,\theta)\eta(x',\theta') \rangle = 2\delta(x-x')\delta(\theta-\theta')$

• equilibrium distribution $P[\phi] \sim e^{-S}$

LANGEVIN DYNAMICS

force $\partial S / \partial \phi$ complex:

Parisi, Klauder '85

complexify Langevin dynamics

• example: real scalar field $\phi \rightarrow \phi^{R} + i\phi^{I}$

Langevin eqs

$$\frac{\partial \phi^{\mathrm{R}}}{\partial \theta} = -\mathrm{Re} \left. \frac{\delta S}{\delta \phi} \right|_{\phi \to \phi^{\mathrm{R}} + i\phi^{\mathrm{I}}} + \eta$$
$$\frac{\partial \phi^{\mathrm{I}}}{\partial \theta} = -\mathrm{Im} \left. \frac{\delta S}{\delta \phi} \right|_{\phi \to \phi^{\mathrm{R}} + i\phi^{\mathrm{I}}}$$

observables: analytic extension

$$\langle O(\phi) \rangle \to \langle O(\phi^{\mathrm{R}} + i\phi^{\mathrm{I}}) \rangle$$

LANGEVIN DYNAMICS

ultimate sign problem: dynamics in real time

- Minkowski path integral $Z = \int D\phi e^{iS}$
- Langevin equation

$$\frac{\partial \phi}{\partial \theta} = i \frac{\delta S}{\delta \phi} + \eta$$

after complexification

$$\frac{\partial \phi^{\mathrm{R}}}{\partial \theta} = -\mathrm{Im} \left. \frac{\delta S}{\delta \phi} \right|_{\phi \to \phi^{\mathrm{R}} + i\phi^{\mathrm{I}}} + \eta$$
$$\frac{\partial \phi^{\mathrm{I}}}{\partial \theta} = +\mathrm{Re} \left. \frac{\delta S}{\delta \phi} \right|_{\phi \to \phi^{\mathrm{R}} + i\phi^{\mathrm{I}}}$$

Berges, Borsanyi, Sexty, Stamatescu '05-'08 Heidelberg, January 2009-p.13



HISTORY OF STOCHASTIC QUANTIZATION

original suggestion

Parisi & Wu '81, Parisi, Klauder '85

lots of activity in 80's

Damgaard and Hüffel, Physics Reports '87

application to finite μ : three-dimensional spin models

Karsch & Wyld '85, ...

stopped because of numerical problems (runaways, instabilities)

renewed interest: Minkowski dynamics

Berges, Borsanyi, Sexty, Stamatescu '05-'08

FINITE CHEMICAL POTENTIAL

TOWARDS QCD

consider three models with a partition function

$$Z = \int DU e^{-S_B} \det M$$

 $\det M(\mu) = [\det M(-\mu)]^*$

- QCD with static quarks
- SU(3) one link model
- U(1) one link model

observables:

- (conjugate) Polyakov loops
- density
- phase of determinant

I: QCD WITH STATIC QUARKS

$$Z = \int DU e^{-S_B} \det M$$

bosonic action: standard SU(3) Wilson action

$$S_B = -\beta \sum_P \left(\frac{1}{6} \left[\operatorname{Tr} U_P + \operatorname{Tr} U_P^{-1} \right] - 1 \right)$$

determinant det *M* for Wilson fermions fermion matrix:

$$M = 1 - \kappa \sum_{i=1}^{3} \operatorname{space} - \kappa \left(e^{\mu} \Gamma_{+4} U_{x,4} T_{4} + e^{-\mu} \Gamma_{-4} U_{x,4}^{-1} T_{-4} \right)$$

I: QCD WITH STATIC QUARKS

hopping expansion:

$$\det M \approx \det \left[1 - \kappa \left(e^{\mu} \Gamma_{+4} U_{x,4} T_4 + e^{-\mu} \Gamma_{-4} U_{x,4}^{-1} T_{-4} \right) \right]$$
$$= \prod_{\mathbf{x}} \det \left(1 + h e^{\mu/T} \mathcal{P}_{\mathbf{x}} \right)^2 \det \left(1 + h e^{-\mu/T} \mathcal{P}_{\mathbf{x}}^{-1} \right)^2$$

with $h = (2\kappa)^{N_{\tau}}$ and (conjugate) Polyakov loops $\mathcal{P}_{\mathbf{x}}^{(-1)}$

- static quarks propagate in temporal direction only: Polyakov loops
- full gauge dynamics included

II: SU(3) ONE LINK MODEL

$$Z = \int dU e^{-S_B} \det M \qquad \qquad \text{link } U \in \text{SU(3)}$$

$$S_B = -\frac{\beta}{6} \left(\operatorname{Tr} U + \operatorname{Tr} U^{-1} \right)$$

determinant:

$$\det M = \det \left[1 + \kappa \left(e^{\mu} \sigma_{+} U + e^{-\mu} \sigma_{-} U^{-1} \right) \right]$$
$$= \det \left(1 + \kappa e^{\mu} U \right) \det \left(1 + \kappa e^{-\mu} U^{-1} \right)$$

with $\sigma_{\pm} = (1 \pm \sigma_3)/2$

- det in colour space remaining
- exact evaluation by integrating over the Haar measure

III: U(1) ONE LINK MODEL

U(1) model: link $U = e^{ix}$ with $-\pi < x \le \pi$

$$S_B = -\frac{\beta}{2} \left(U + U^{-1} \right) = -\beta \cos x$$

determinant:

det
$$M = 1 + \frac{1}{2}\kappa \left[e^{\mu}U + e^{-\mu}U^{-1}\right] = 1 + \kappa \cos(x - i\mu)$$

partition function:

$$Z = \int_{-\pi}^{\pi} \frac{dx}{2\pi} e^{\beta \cos x} \left[1 + \kappa \cos(x - i\mu)\right]$$

all observables can be computed analytically

COMPLEX LANGEVIN DYNAMICS

Langevin update:

 $U(\theta + \epsilon) = R(\theta) U(\theta) \qquad \qquad R = \exp\left[i\lambda_a \left(\epsilon K_a + \sqrt{\epsilon}\eta_a\right)\right]$

drift term

 $K_a = -D_a S_{\text{eff}}$ $S_{\text{eff}} = S_B + S_F$ $S_F = -\ln \det M$

noise

$$\langle \eta_a \rangle = 0 \qquad \qquad \langle \eta_a \eta_b \rangle = 2\delta_{ab}$$

real action: $\Rightarrow K^{\dagger} = K \Leftrightarrow U \in SU(3)$

complex action: $\Rightarrow K^{\dagger} \neq K \Leftrightarrow U \in SL(3, \mathbb{C})$

U(1) ONE LINK MODEL



- data points: complex Langevin stepsize $\epsilon = 5 \times 10^{-5}$, 5×10^{7} time steps
- Iines: exact results

excellent agreement for all μ

SU(3) ONE LINK MODEL



- Joint Angevin Angevin Stepsize $\epsilon = 5 \times 10^{-5}$, 5×10^7 time steps
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excellent agreement for all μ

SU(3) ONE LINK MODEL



scatter plot of *P* during Langevin evolution

QCD WITH STATIC QUARKS

first results on 4^4 lattice at $\beta = 5.6$, $\kappa = 0.12$, $N_f = 3$



low-density "confining" phase \Rightarrow high-density "deconfining" phase

DENSITY

U(1) ONE LINK MODEL

SU(3) ONE LINK MODEL



 \checkmark linear increase at small μ

 \checkmark saturation at large μ

excellent agreement for all μ



QCD WITH STATIC QUARKS



first results on 4^4 lattice at $\beta = 5.6$, $\kappa = 0.12$, $N_f = 3$

low-density phase \Rightarrow high-density phase

REAL VS. COMPLEX LANGEVIN

U(1) ONE LINK MODEL



plaquette as a function of μ^2

 $\mu^2 < 0$: imaginary chemical potential \Leftrightarrow real action

NUMERICAL STABILITY/RUNAWAYS

PROBLEM IN THE 80'S

one link models: no problem

field theory: runaways (practically) eliminated
 careful with numerical precision and roundoff errors
 dynamical step size

SIGN PROBLEM

QCD WITH STATIC QUARKS

$$\det M(\mu) = [\det M(-\mu)]^* = |\det M(\mu)|e^{i\varphi}$$

average phase factor:
$$\langle e^{2i\varphi} \rangle = \left\langle \frac{\det M(\mu)}{\det M(-\mu)} \right\rangle$$

SIGN PROBLEM

QCD WITH STATIC QUARKS

$$\det M(\mu) = [\det M(-\mu)]^* = |\det M(\mu)|e^{i\varphi}$$



scatter plot of $e^{2i\varphi}$ during Langevin evolution

SIGN PROBLEM

QCD WITH STATIC QUARKS

$$\det M(\mu) = [\det M(-\mu)]^* = |\det M(\mu)|e^{i\varphi}$$

sign problem: expectations

$$\langle \varphi \rangle \sim e^{-\Omega f} \qquad \langle \varphi^2 \rangle - \langle \varphi \rangle^2 \sim \Omega \qquad \Omega = N_s^3 N_\tau$$

- exploding phase of fermion determinant
- \checkmark yet observables under control (4⁴ lattice)

 $SU(3) \rightarrow SL(3,\mathbb{C})$

QCD WITH STATIC QUARKS

- complex Langevin dynamics: no longer in SU(3)
- instead $U \in SL(3, \mathbb{C})$
- In terms of gauge potentials $U = e^{i\lambda_a A_a/2}$ A_a is now complex
- how far from SU(3)?

consider

$$\frac{1}{N} \operatorname{Tr} U^{\dagger} U \begin{cases} = 1 & \text{if } U \in \mathsf{SU}(N) \\ \geq 1 & \text{if } U \in \mathsf{SL}(N,\mathbb{C}) \end{cases}$$

 $SU(3) \rightarrow SL(3,\mathbb{C})$

QCD WITH STATIC QUARKS

$$\frac{1}{3} \operatorname{Tr} U^{\dagger} U \ge 1 \qquad = 1 \quad \text{if} \quad U \in \mathsf{SU(3)}$$



COMPLEXIFICATION OF PHASE SPACE

WHY DOES IT WORK?

- most approaches start from $\mu = 0$ or $|\det M(\mu)|$
- complex Langevin dynamics radically different
- \Rightarrow complexification of degrees of freedom
- visualization in U(1) model
 - understanding in terms of classical fixed points

CLASSICAL FLOW

U(1) ONE LINK MODEL

- Iink $U = e^{ix}$ complexification $x \to z = x + iy$
- Langevin dynamics:

$$\dot{x} = K_x + \eta \qquad \qquad \dot{y} = K_y$$

classical forces:

$$K_x = -\operatorname{Re} \frac{\partial S}{\partial x}\Big|_{x \to z} \qquad K_y = -\operatorname{Im} \frac{\partial S}{\partial x}\Big|_{x \to z}$$

• classical fixed points: $K_x = K_y = 0$

CLASSICAL FLOW

U(1) ONE LINK MODEL

flow diagrams and Langevin evolution



- black dots: classical fixed points
- \blacksquare $\mu = 0$: dynamics only in x direction
- $\mu > 0$: spread in y direction

Heidelberg, January 2009 – p.26

real part of gauge potential \rightarrow



CLASSICAL FLOW

U(1) ONE LINK MODEL

CLASSICAL FLOW

U(1) ONE LINK MODEL

at finite chemical potential:

- one stable fixed point at x = 0, $y = y_s(\mu)$
- unstable fixed points at $x = \pi$, $y = y_u(\mu)$
- \Rightarrow fixed point structure is independent of μ !

for Minkowski dynamics:

- **s** fixed point structure collapses at larger β
- Langevin dynamics no longer converges

Berges & Sexty '07

COMPLEX FOKKER-PLANCK EQUATION

U(1) ONE LINK MODEL

chemical potential vs real time

 \checkmark one degree of freedom $U = e^{ix}$

complex Fokker-Planck equation

$$\frac{\partial P(x,\theta)}{\partial \theta} = \frac{\partial}{\partial x} \left(\frac{\partial}{\partial x} + \frac{\partial S}{\partial x} \right) P(x,\theta)$$

find eigenvalues of Fokker-Planck operator

• real time:
$$iS = \beta \cos x + px$$

• chemical potential: $S = -\beta \cos x - \ln \det M$ with $\det M(\mu) = 1 + \kappa \cos(x - i\mu)$

COMPLEX FOKKER-PLANCK EQUATION

U(1) ONE LINK MODEL

smallest nonzero eigenvalue as a function of β



chemical potential

real time

all eigenvalues > 0

eigenvalues go negative

G.A. & Stamatescu '08

PHASE TRANSITIONS AND THE SILVER BLAZE

intruiging questions:

- how severe is the sign problem?
- thermodynamic limit?
- phase transitions?

_ . . .

Silver Blaze problem?

Cohen '03

study in a model with a phase diagram with similar features as QCD at low temperature

 \Rightarrow relativistic Bose gas at nonzero μ or scalar O(2) model

PHASE TRANSITIONS AND THE SILVER BLAZE

continuum action

$$S = \int d^4x \Big[|\partial_{\nu}\phi|^2 + (m^2 - \mu^2)|\phi|^2 + (\mu^2 - \mu^2)|\phi|^2 + \mu (\phi^* \partial_4 \phi - \partial_4 \phi^* \phi) + \lambda |\phi|^4 \Big]$$

• complex scalar field, d = 4, $m^2 > 0$

•
$$S^*(\mu) = S(-\mu)$$
 as in QCD

PHASE TRANSITIONS AND THE SILVER BLAZE

Iattice action

$$S = \sum_{x} \left[\left(2d + m^{2} \right) \phi_{x}^{*} \phi_{x} + \lambda \left(\phi_{x}^{*} \phi_{x} \right)^{2} - \sum_{\nu=1}^{4} \left(\phi_{x}^{*} e^{-\mu \delta_{\nu,4}} \phi_{x+\hat{\nu}} + \phi_{x+\hat{\nu}}^{*} e^{\mu \delta_{\nu,4}} \phi_{x} \right) \right]$$

• complex scalar field, d = 4, $m^2 > 0$

•
$$S^*(\mu) = S(-\mu)$$
 as in QCD

PHASE TRANSITIONS AND THE SILVER BLAZE

tree level potential in the continuum

$$V(\phi) = (m^2 - \mu^2) |\phi|^2 + \lambda |\phi|^4$$

condensation when $\mu^2 > m^2$, SSB



COMPLEX LANGEVIN

• write
$$\phi = (\phi_1 + i\phi_2)/\sqrt{2} \Rightarrow \phi_a \ (a = 1, 2)$$

- complexification $\phi_a \rightarrow \phi_a^{\rm R} + i\phi_a^{\rm I}$
- complex Langevin equations

$$\frac{\partial \phi_a^{\mathrm{R}}}{\partial \theta} = -\mathrm{Re} \left. \frac{\delta S}{\delta \phi_a} \right|_{\phi_a \to \phi_a^{\mathrm{R}} + i\phi_a^{\mathrm{I}}} + \eta_a$$
$$\frac{\partial \phi_a^{\mathrm{I}}}{\partial \theta} = -\mathrm{Im} \left. \frac{\delta S}{\delta \phi_a} \right|_{\phi_a \to \phi_a^{\mathrm{R}} + i\phi^{\mathrm{I}}}$$

- straightforward to solve numerically, $m = \lambda = 1$
- In lattices of size N^4 , with N = 4, 6, 8, 10
- no instabilities etc

COMPLEX LANGEVIN



COMPLEX LANGEVIN

field modulus squared $|\phi|^2 \rightarrow \phi_1^{R^2} - \phi_1^{I^2} + \phi_2^{R^2} - \phi_2^{I^2}$



second order phase transition in thermodynamic limit

COMPLEX LANGEVIN





COMPLEX LANGEVIN





second order phase transition in thermodynamic limit mean field approximation: $\mu_c \sim 1.15$

Heidelberg, January 2009 - p.31

SILVER BLAZE AND THE SIGN PROBLEM

RELATIVISTIC BOSE GAS

Silver Blaze and sign problems are intimately related

complex action

$$e^{-S} = |e^{-S}|e^{i\varphi}$$

phase quenched theory

$$Z_{\rm pq} = \int D\phi |e^{-S}|$$

different physics

QCD: phase quenched = finite isospin chemical potential

different onset: $m_N/3$ versus $m_\pi/2$

SILVER BLAZE AND THE SIGN PROBLEM

COMPLEX VS PHASE QUENCHED

density



complex

phase quenched

phase $e^{i\varphi} = e^{-S}/|e^{-S}|$ does precisely what is expected

HOW SEVERE IS THE SIGN PROBLEM?

AVERAGE PHASE FACTOR

- complex action $e^{-S} = |e^{-S}|e^{i\varphi}$
- full and phase quenched partition functions

$$Z_{\rm full} = \int D\phi \, e^{-S} \qquad \qquad Z_{\rm pq} = \int D\phi |e^{-S}|$$

average phase factor in phase quenched theory

$$\langle e^{i\varphi} \rangle_{\rm pq} = \frac{Z_{\rm full}}{Z_{\rm pq}} = e^{-\Omega \Delta f} \to 0 \quad \text{as} \quad \Omega \to \infty$$

exponentially hard in thermodynamic limit

HOW SEVERE IS THE SIGN PROBLEM?

AVERAGE PHASE FACTOR



HOW SEVERE IS THE SIGN PROBLEM?

AVERAGE PHASE FACTOR

- phase factor behaves exactly as expected
- **s** for larger μ : phase factor $\rightarrow 0$ on all volumes
 - in the condensed phase: phase factor = 0
- s at small μ , sign problem gets exponentially worse with increasing volume

yet, no problem in practice

SUMMARY & OUTLOOK

STOCHASTIC QUANTIZATION AT FINITE CHEMICAL POTENTIAL

many stimulating results

- one link models: excellent agreement
- relativistic Bose gas: phase transition and Silver Blaze
- QCD with static quarks: encouraging

why does it work?

partly understood in simple models and relativistic Bose gas in progress:

- analytical insight in the relativistic Bose gas
- QCD with static and dynamical quarks

