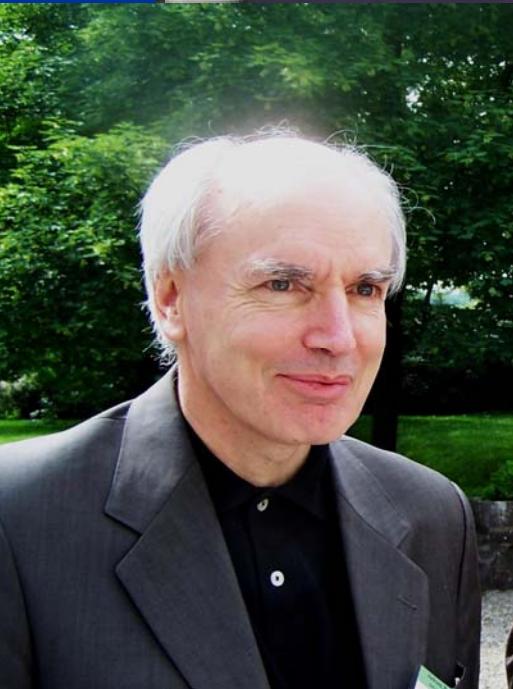


# Flow equations and phase transitions



# phase transitions



# QCD – phase transition

## Quark –gluon plasma

- Gluons :  $8 \times 2 = 16$
- Quarks :  $9 \times 7/2 = 12.5$
- Dof : 28.5

Chiral symmetry

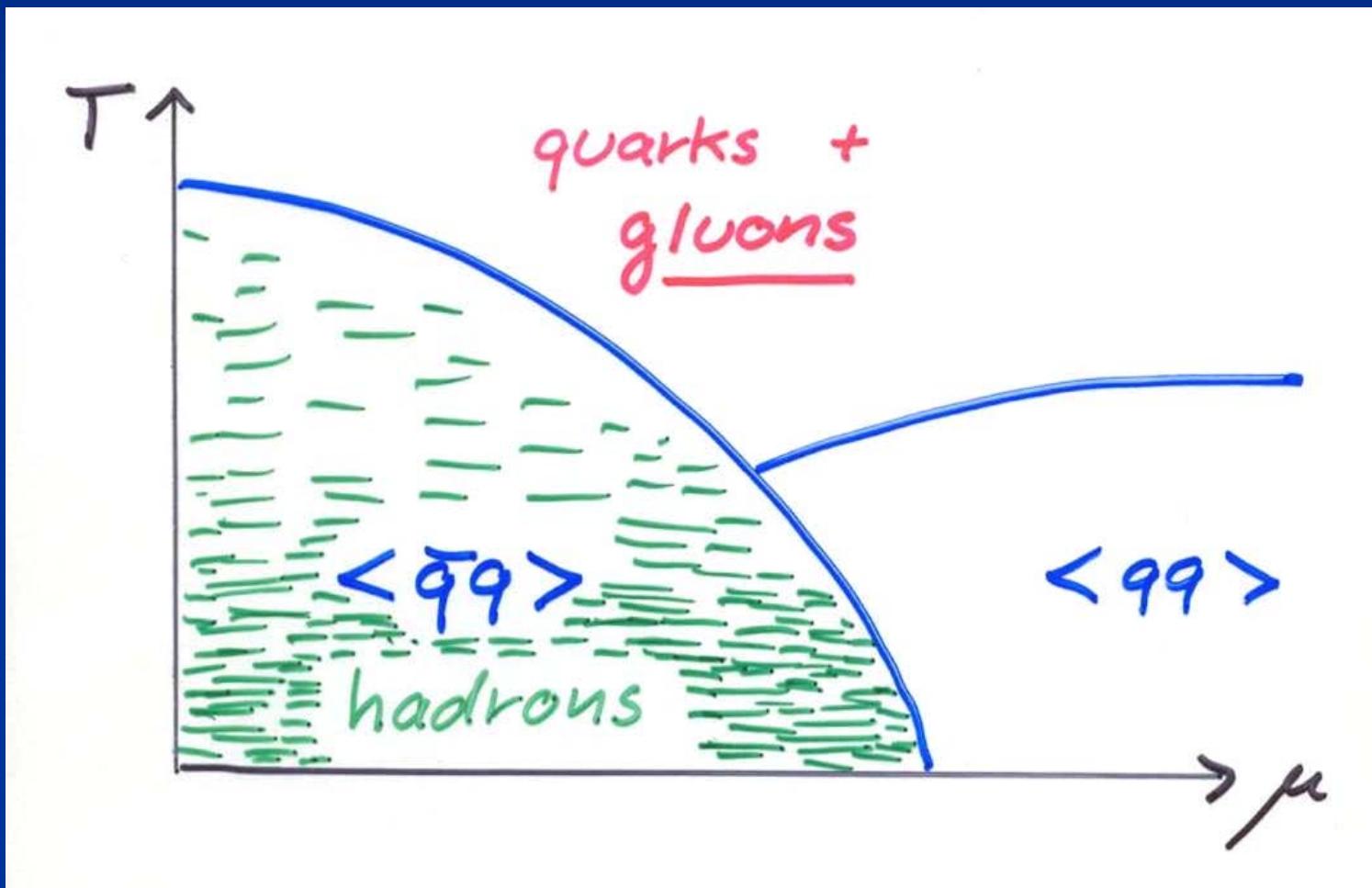
Large difference in number of degrees of freedom !  
Strong increase of density and energy density at  $T_c$  !

## Hadron gas

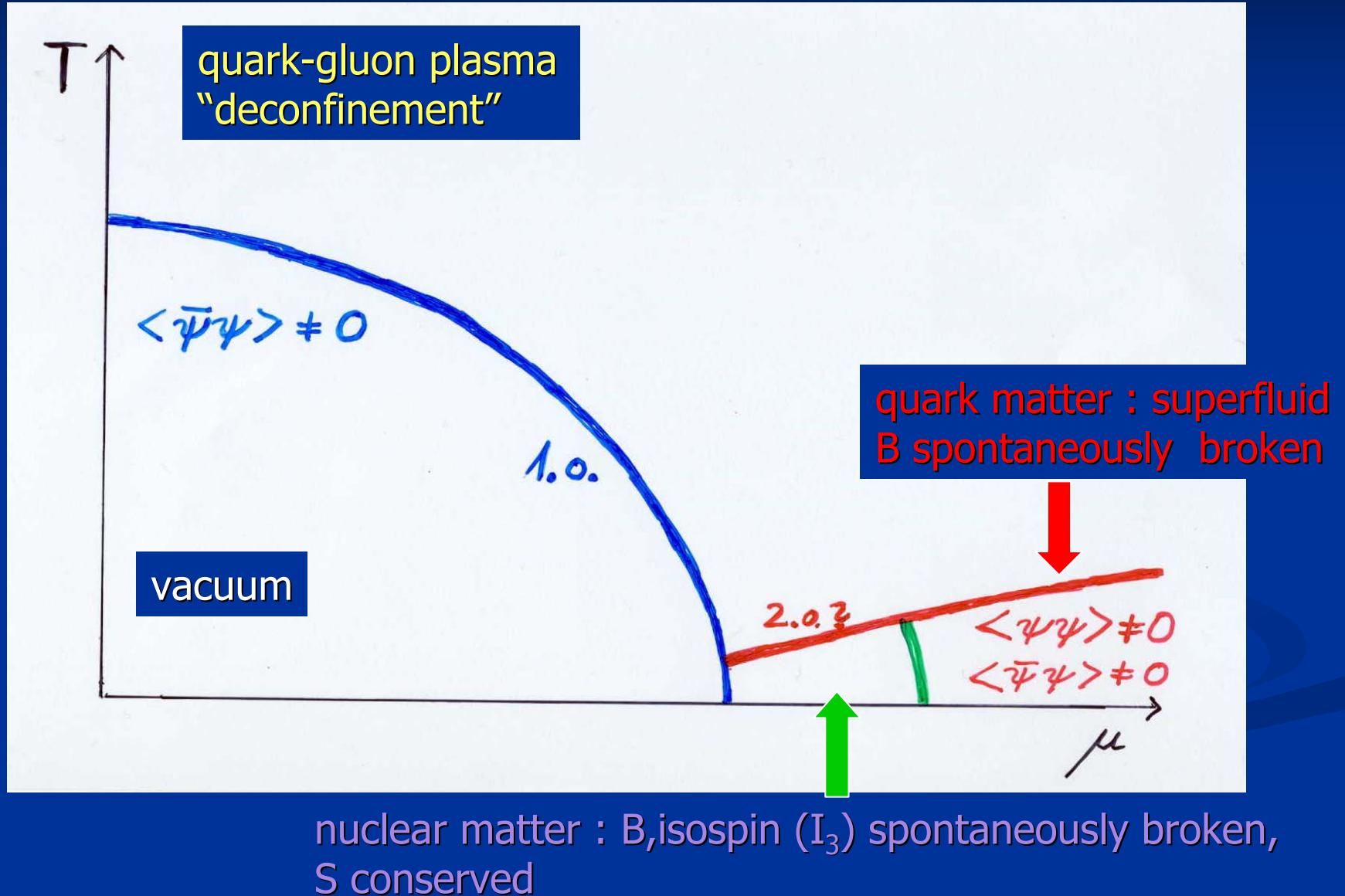
- Light mesons : 8
- (pions : 3)
- Dof : 8

Chiral sym. broken

# Understanding the phase diagram



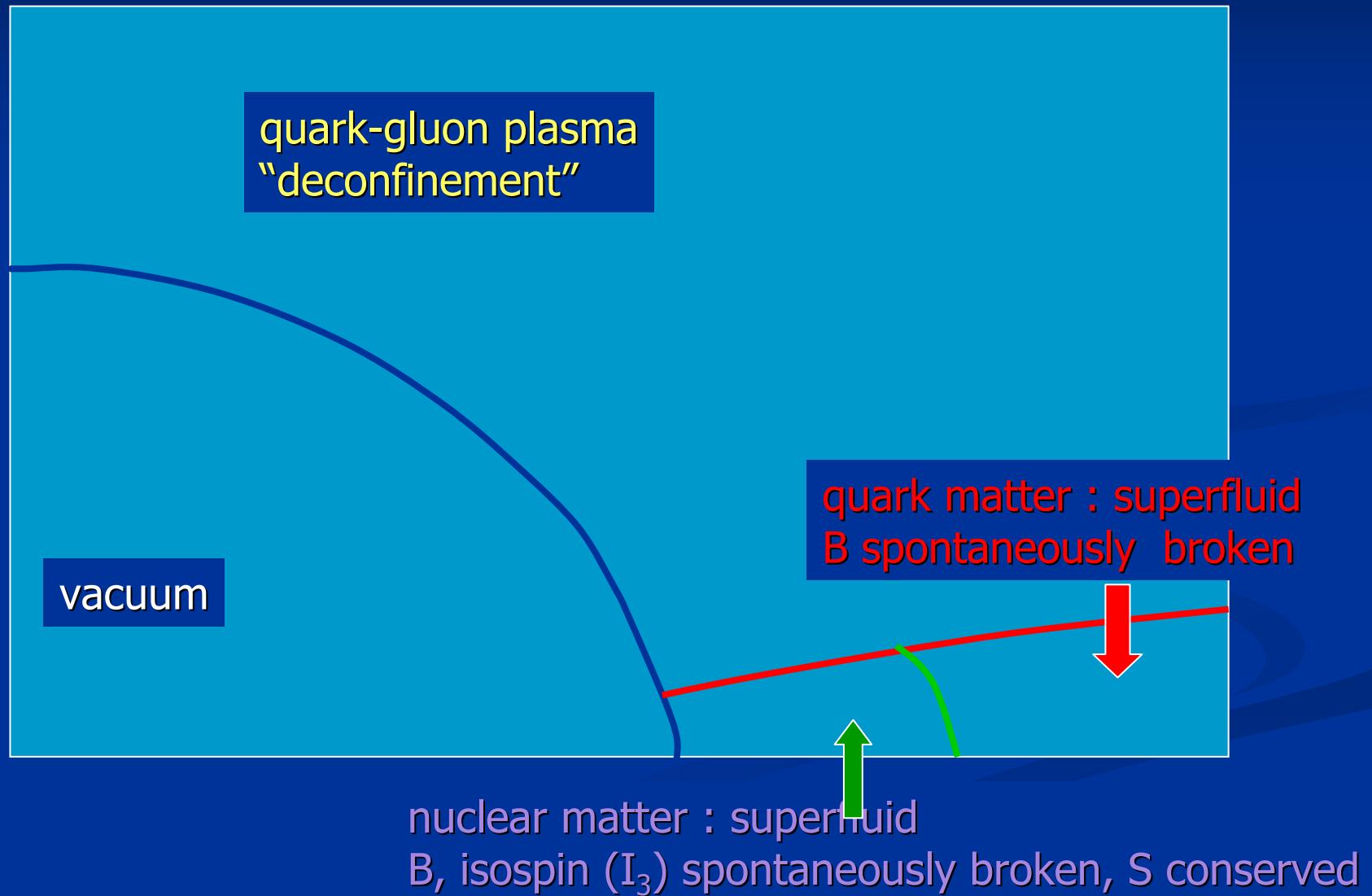
# Phase diagram for $m_s > m_{u,d}$



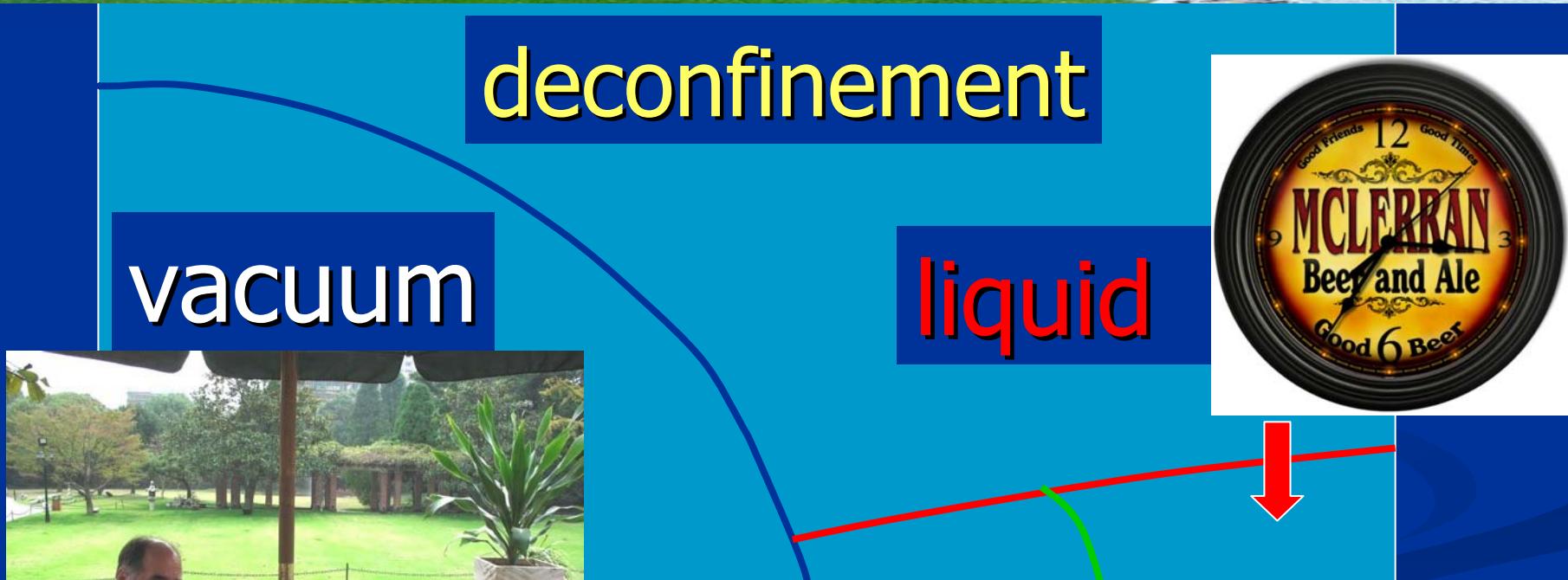
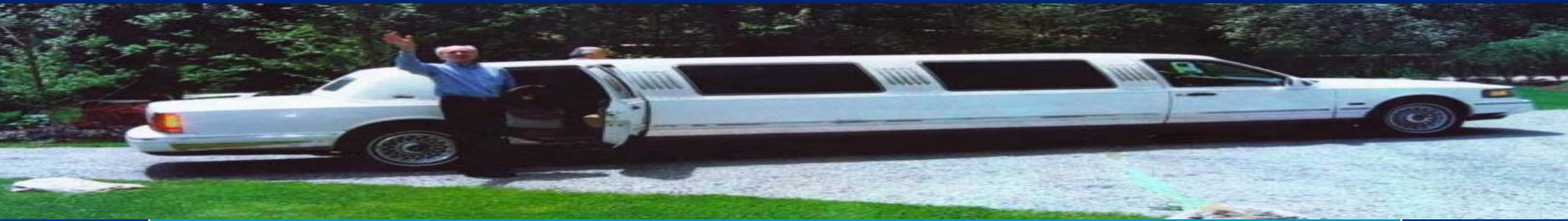
# Order parameters

- Nuclear matter and quark matter are separated from other phases by true critical lines
- Different realizations of global symmetries
- Quark matter: SSB of baryon number  $B$
- Nuclear matter: SSB of combination of  $B$  and isospin  $I_3$   
neutron-neutron condensate

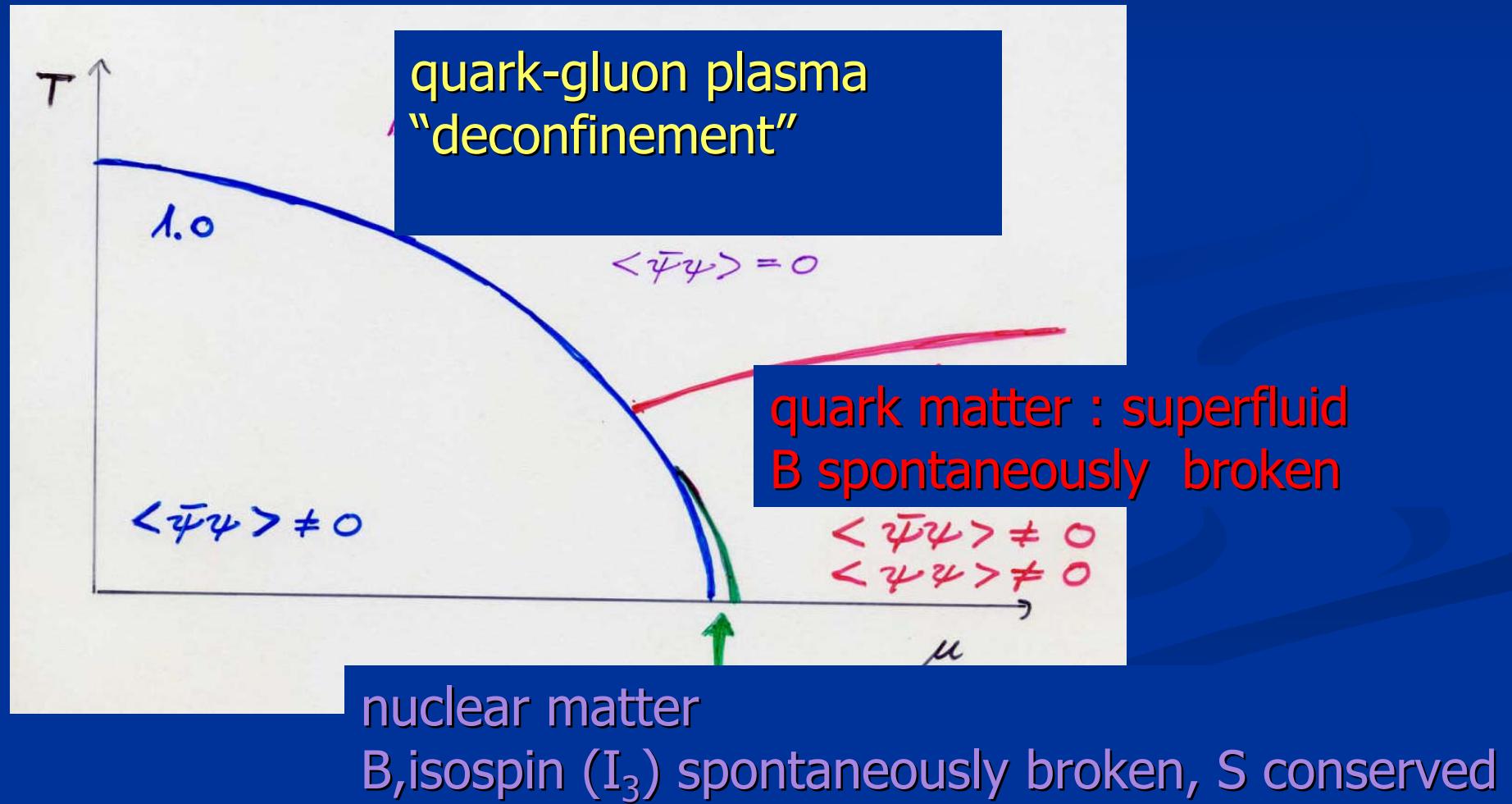
# Phase diagram for $m_s > m_{u,d}$



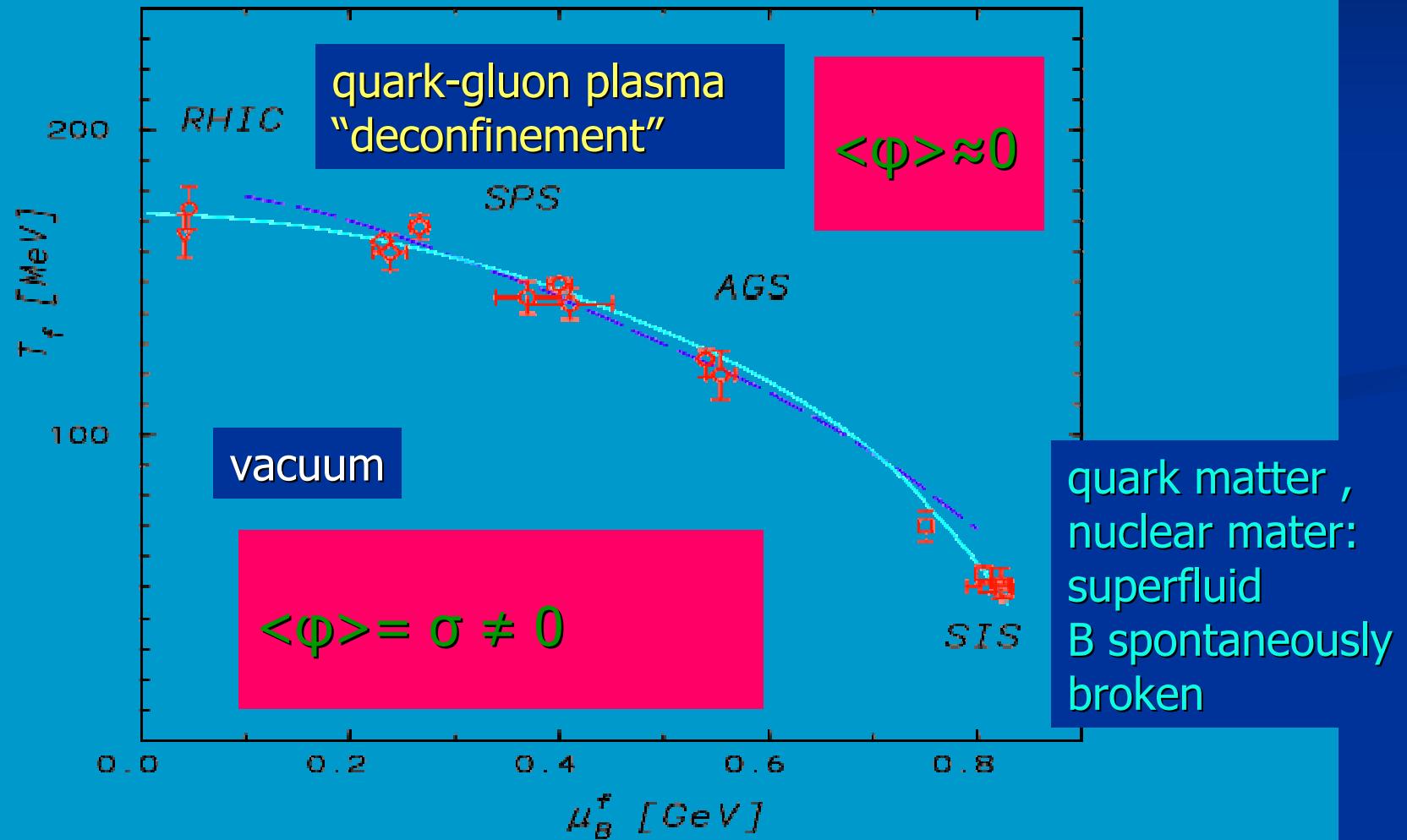
# Phase diagram for $m_s > m_{u,d}$



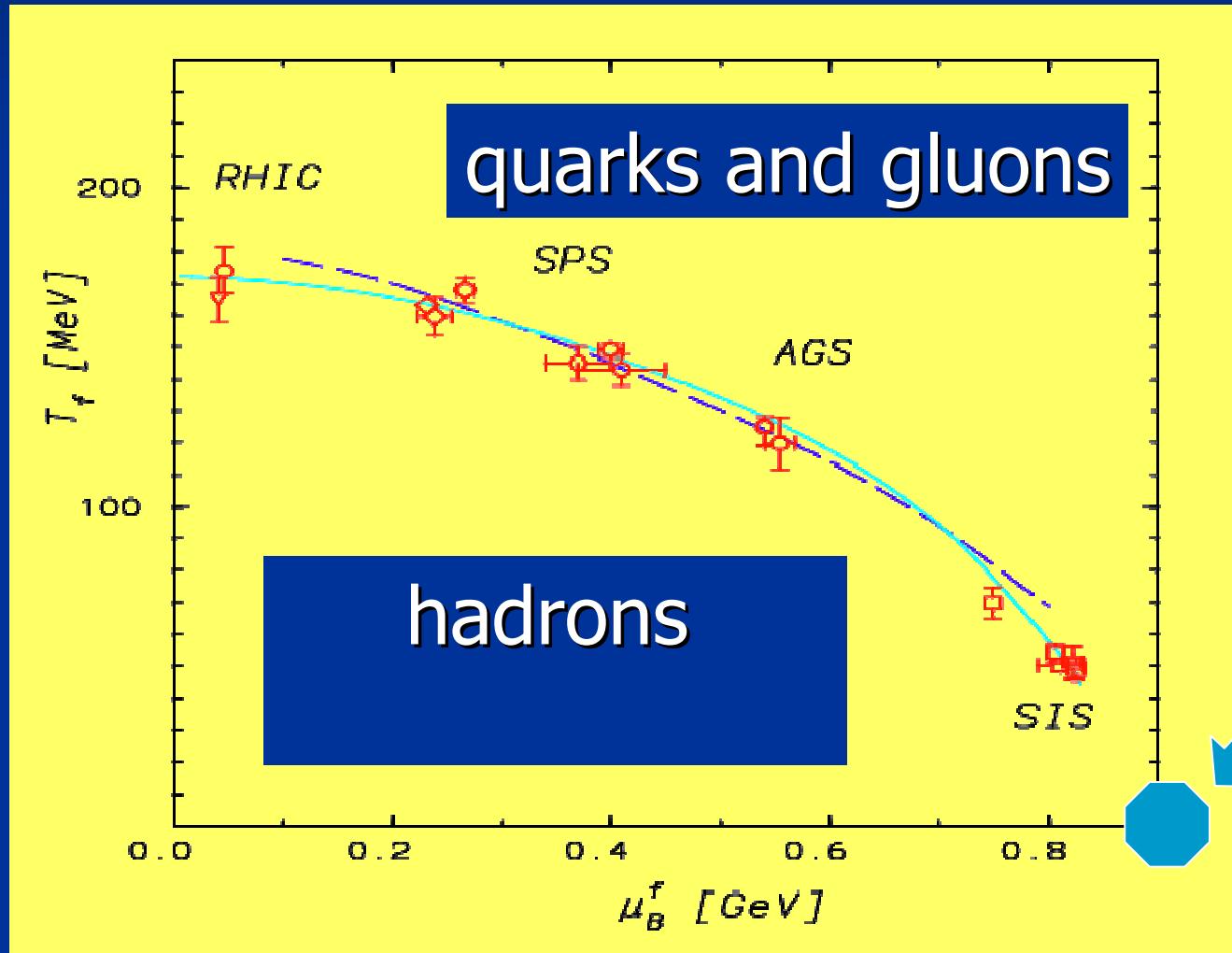
# Phase diagram for $m_s > m_{u,d}$



# Phase diagram

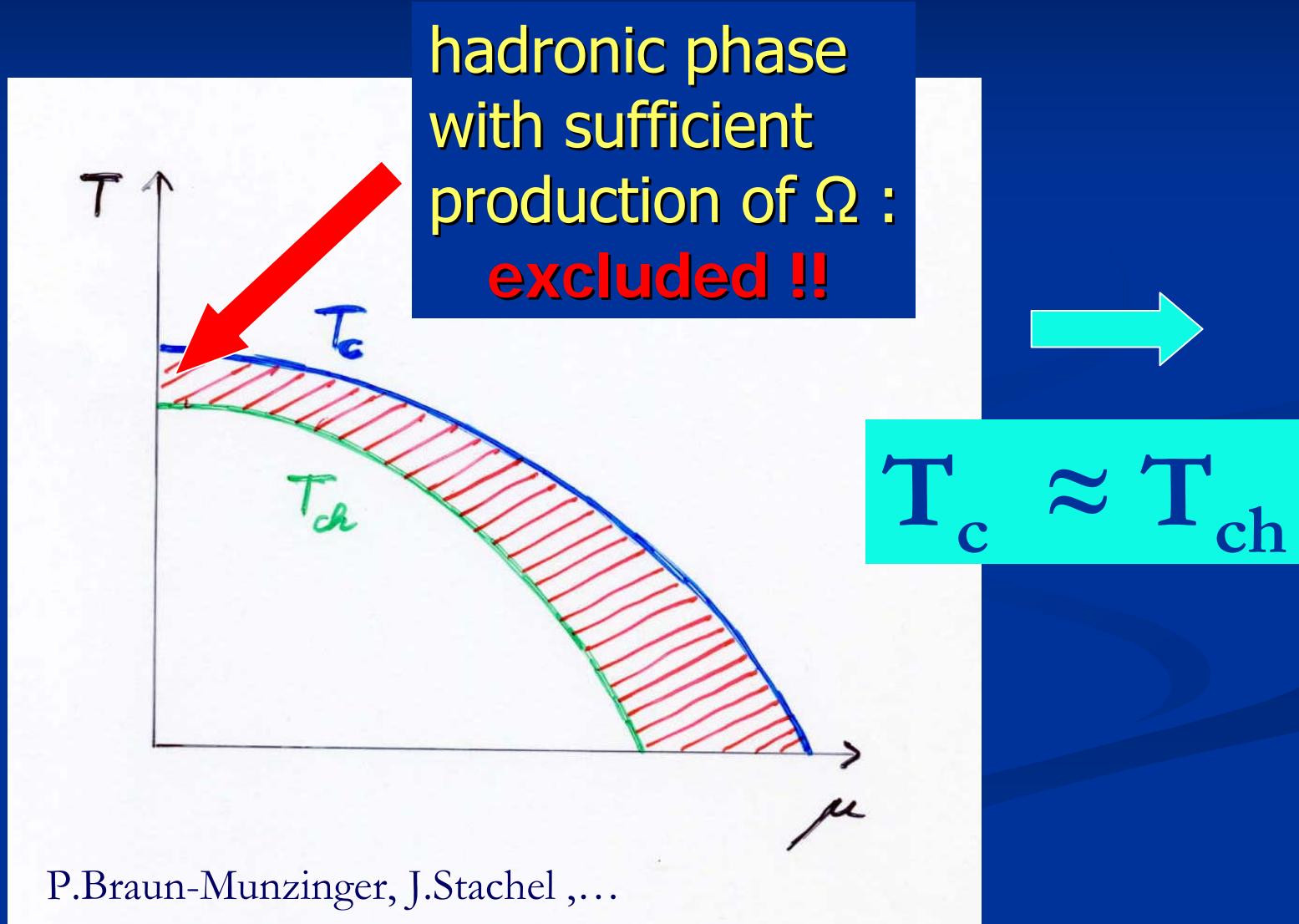


# First order phase transition line

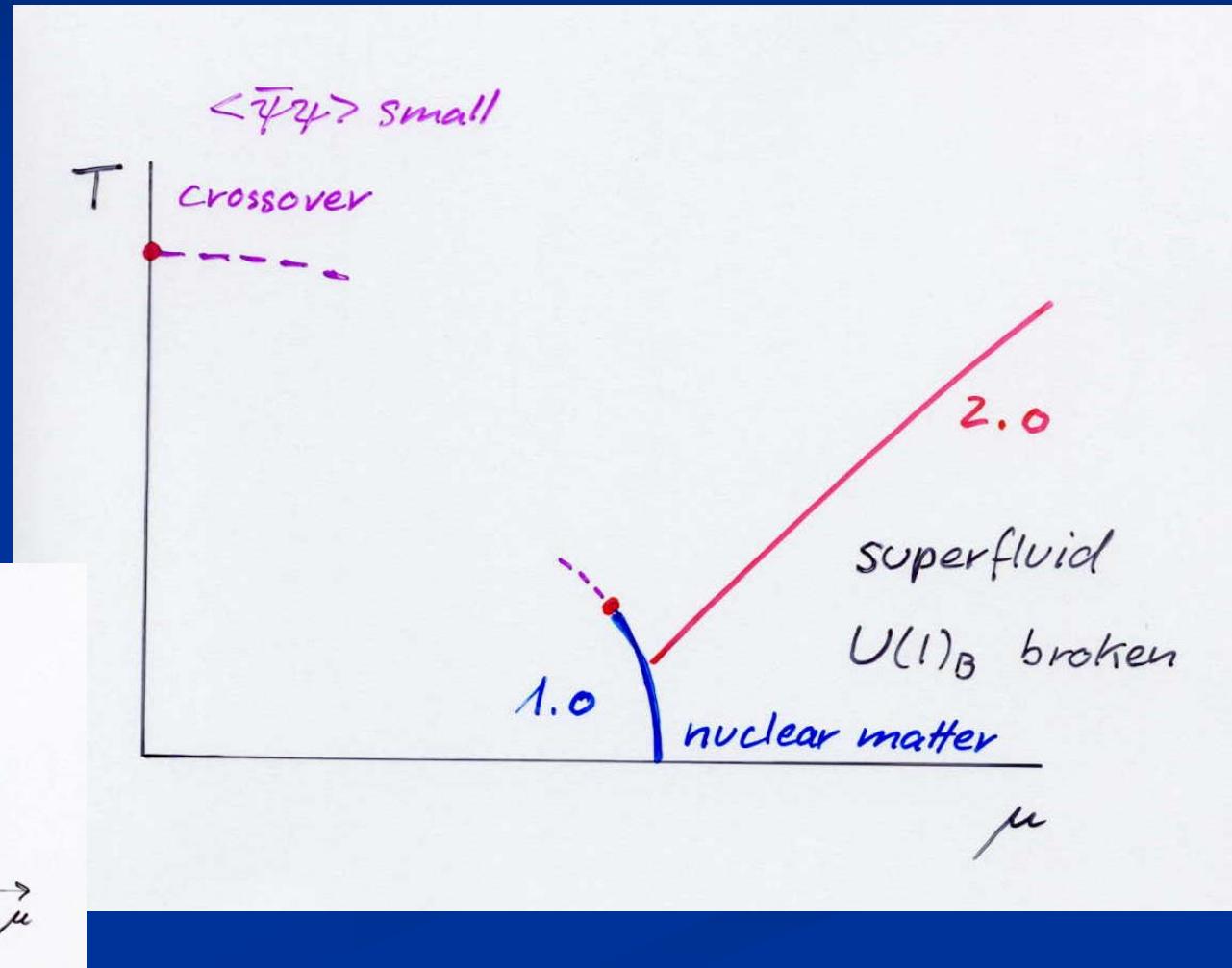


$\mu = 923 \text{ MeV}$   
transition to  
nuclear  
matter

# Exclusion argument



# “minimal” phase diagram for equal nonzero quark masses



# strong interactions in high T phase

$SU(2)$  gauge theory ( $d=3$ )

$$k \ll 2\pi T \quad k: IR\text{-Regulator}, z.B. \sim M_W$$

$$g^2 \frac{T}{k} \ll 1 \quad g: \text{Eckkopplung}$$

$$k \frac{\partial}{\partial k} g^2(k) = - \frac{23}{24\pi} g^4(k) \frac{T}{k}$$

$$(\bar{g}_3^2 = g^2 T)$$

Running gauge coupling in three dimensions  
and the electroweak phase transition

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C. Wetterich

Institut für Theoretische Physik, Universität Heidelberg, Philosophenweg 16, W-6900 Heidelberg, Germany  
(Received 21 January 1993)  
(Revised 4 June 1993)  
Accepted for publication 22 June 1993

We present a method which allows us to deal with the strong infrared effects in three-dimensional gauge theories. In particular, we compute the three-dimensional running of the gauge coupling. Applying these results to the electroweak phase transition in the standard model, we conclude that the transition cannot be of second order. It is either a first-order transition or a smooth cross-over.

solution

$$\frac{1}{\bar{g}_3^2(k)} = \frac{1}{\bar{g}_3^2(2\pi T)} + \frac{23}{24\pi} \left( \frac{1}{2\pi T} - \frac{1}{k} \right)$$

High temperature „confinement scale“  $\Lambda_3$ :

where gauge coupling diverges or gets very large

$$\Lambda_3 = \left( \frac{1}{2\pi T} + \frac{24\pi}{23 \bar{g}_3^2(2\pi T)} \right)^{-1}$$

$$\Lambda_3 \approx \frac{23}{24\pi} g^2 T = \frac{23}{6} \alpha_W T$$

$$\approx 0.13 T$$

(similar for QCD !)

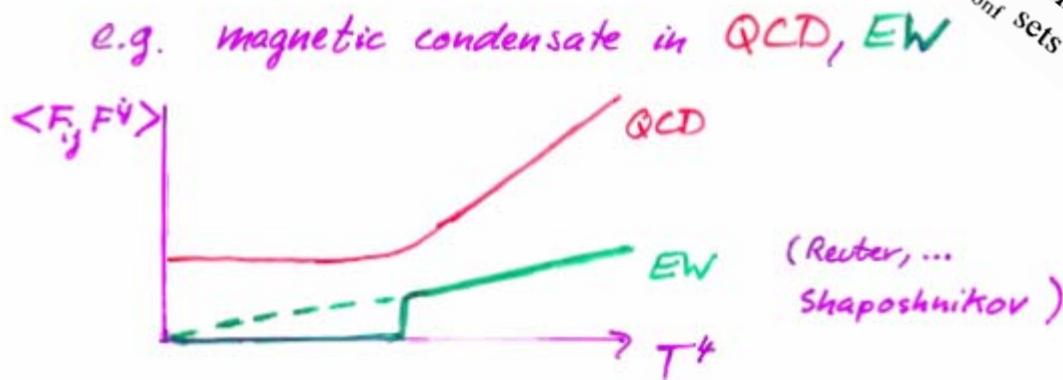
## Consequences

Typical nonperturbative scales :

(condensates, glue ball)

a consequence of three-dimensional confinement one expects at very high temperatures that the quark degrees of freedom are described by mesons and hadrons as far as the space-like correlations are concerned. (The picture of weakly interacting quark degrees of freedom applies here to momenta much higher than the temperature scale  $\Lambda_{\text{conf}}$ )

$\sim T$



Reliability of perturbation theory  
for electroweak phase transition ?

$$\Lambda_{\text{conf}}^{(3)} \approx 5\alpha_s(5T)T,$$

The relevant degrees of freedom in the momentum range  $q_{\perp}^2 < T^2$  are not weakly interacting gluons but rather the glueballs of a three-dimensional confining theory. In that the value of  $\Lambda_{\text{conf}}^{(3)}$  is larger than  $T^2$ , for higher temperatures, however, three-dimensional running of the gauge coupling  $\alpha_s(T)$  emerges. As long as the temperature is small enough, the three-dimensional confinement remains effective. For higher temperatures, however, three-dimensional confinement and  $\Lambda_{\text{conf}}^{(3)}$  sets the relevant mass scale for the theory.

**presence of strong interactions:**

**crossover or first order** phase transition

if no global order parameter distinguishes phases

( second order only at critical endpoint )



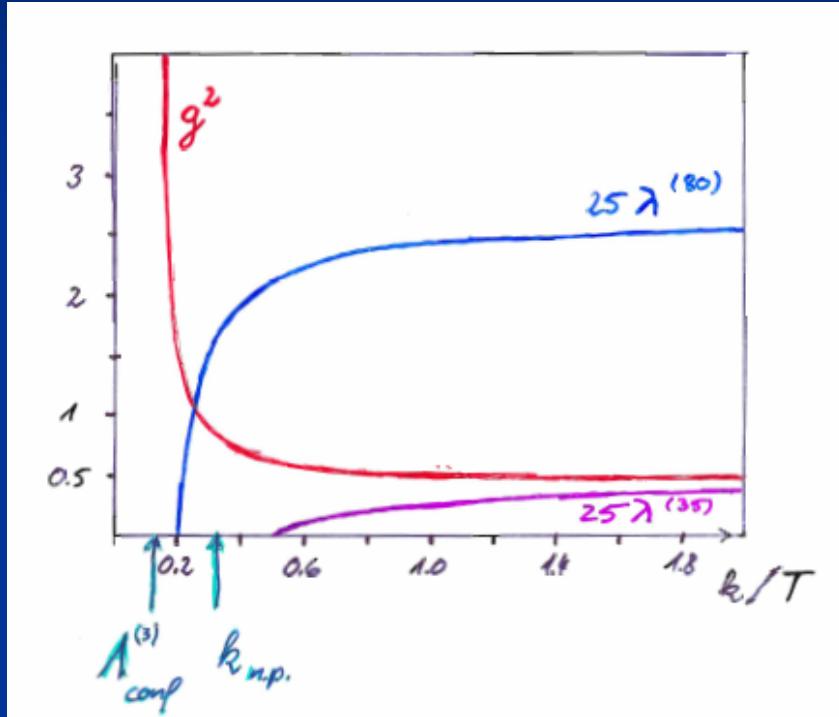
use flow non-perturbative equations

# Electroweak phase transition ?

- $10^{-12}$  s after big bang
- fermions and W-,Z-bosons get mass
- standard model : crossover Reuter,Wetterich '93
- baryogenesis if first order  
( only for some SUSY – models )  
bubble formation of “ our vacuum “

Kuzmin,Rubakov,Shaposhnikov '85 , Shaposhnikov '87

# strong electroweak interactions responsible for crossover



Running gauge coupling in three dimensions  
and the electroweak phase transition

M. Reuter

DESY, Notkestrasse 85, W-2000 Hamburg 52, Germany

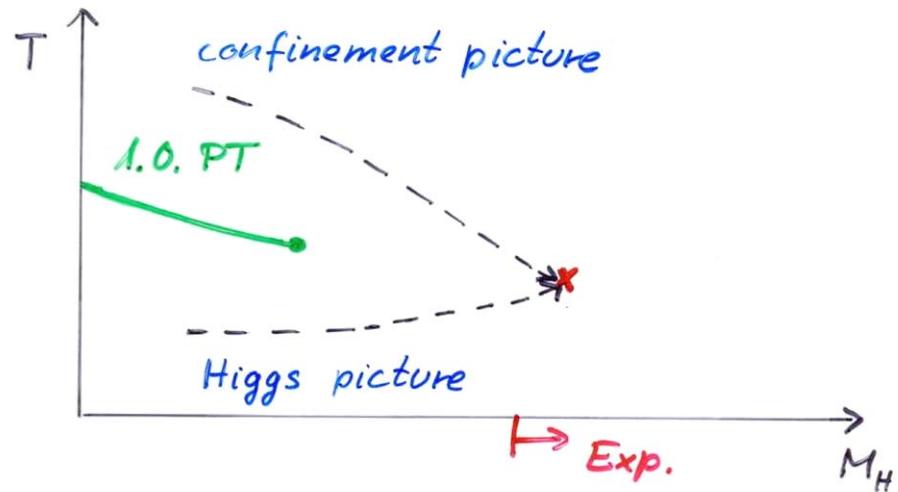
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# Electroweak phase diagram



Reuter, W.

Philipsen, Buchmüller

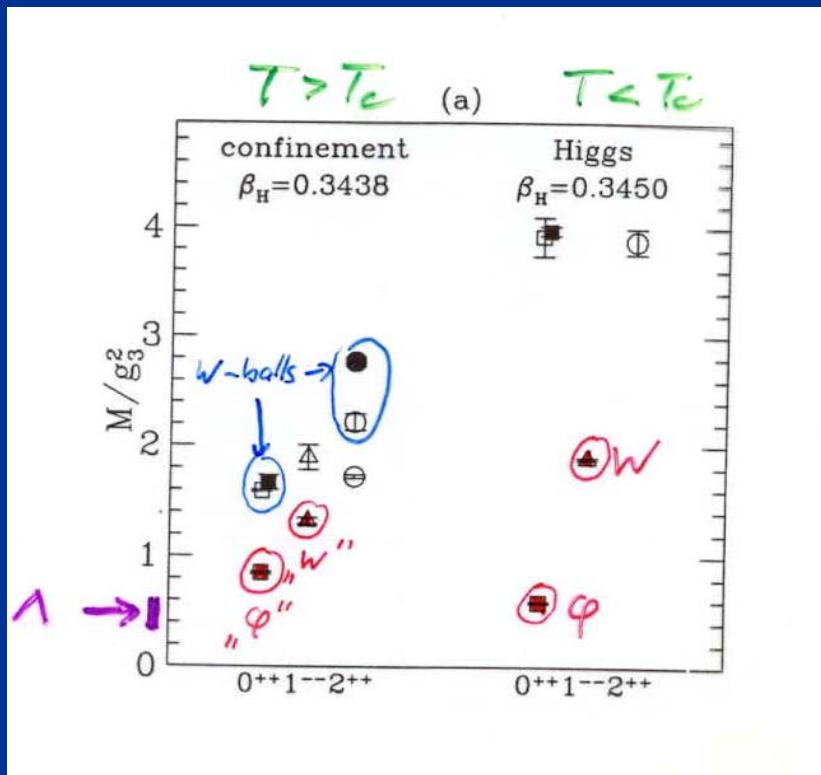
Laine, Kajantie, Rummukainen,  
Shaposhnikov

M.Reuter,C.Wetterich  
Nucl.Phys.B408,91(1993)

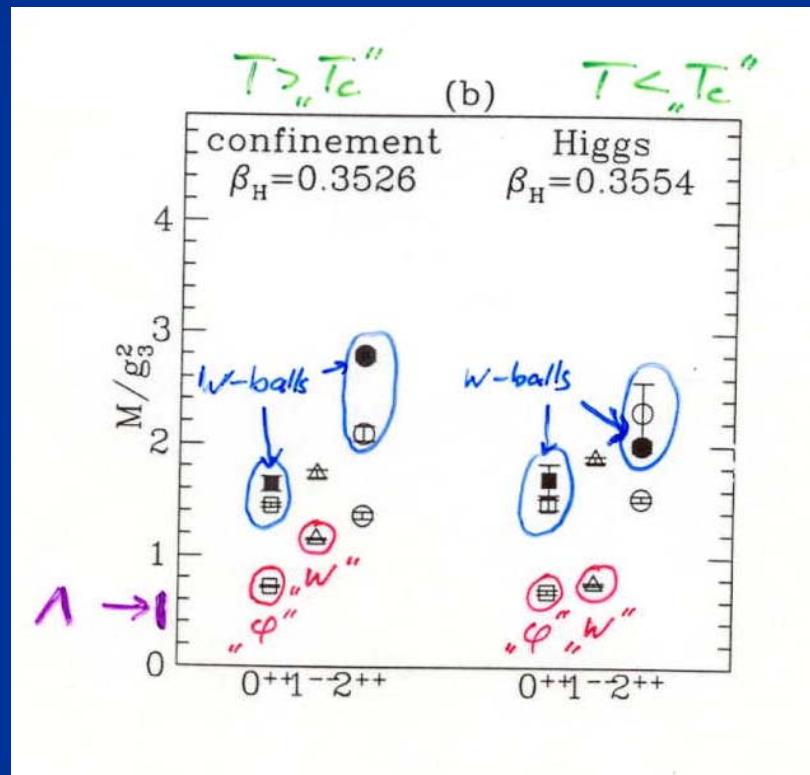
Crossover in the standard model !

# Masses of excitations (d=3)

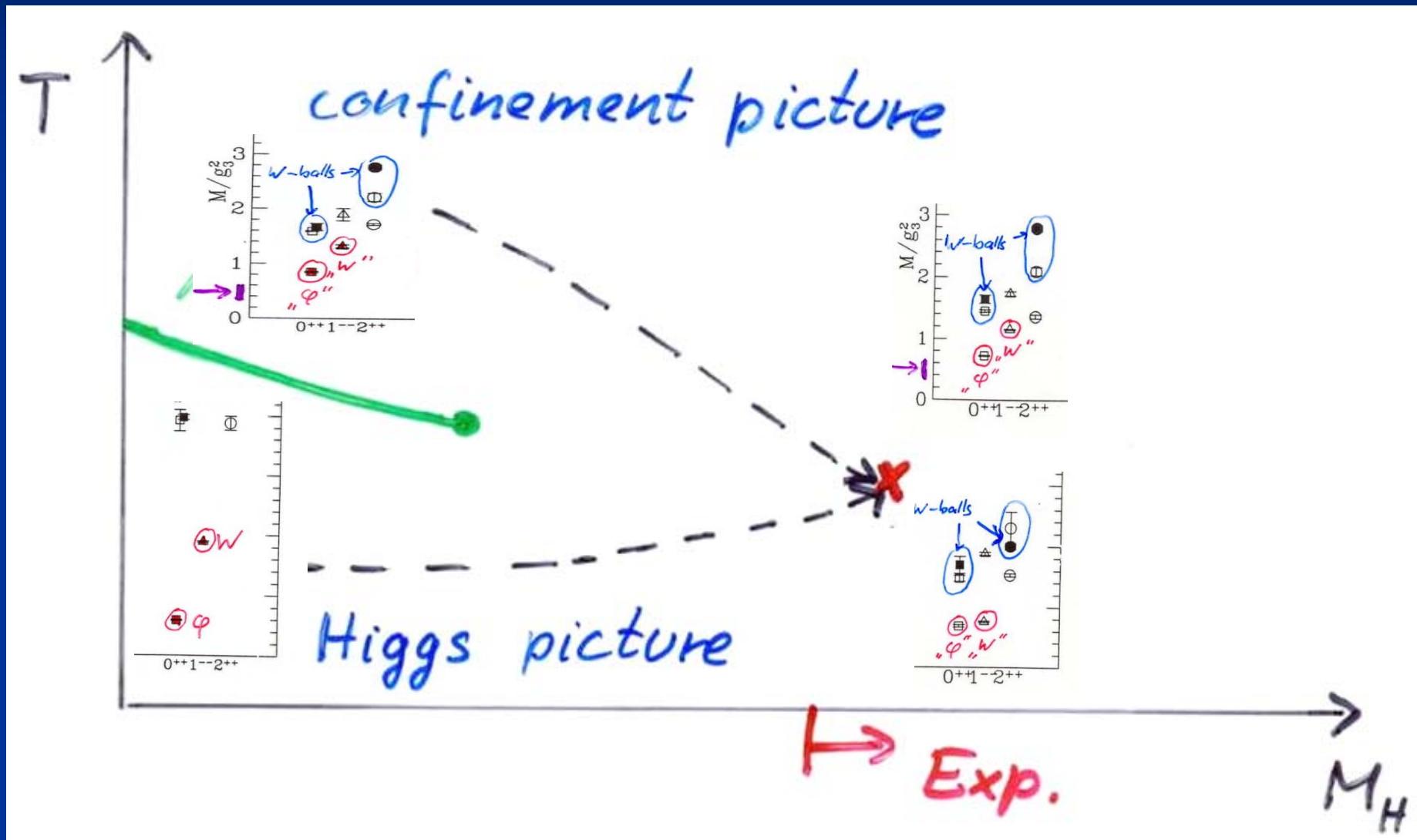
small  $M_H$



large  $M_H$



# Continuity



# **BEC – BCS crossover**

Bound molecules of two atoms  
on microscopic scale:

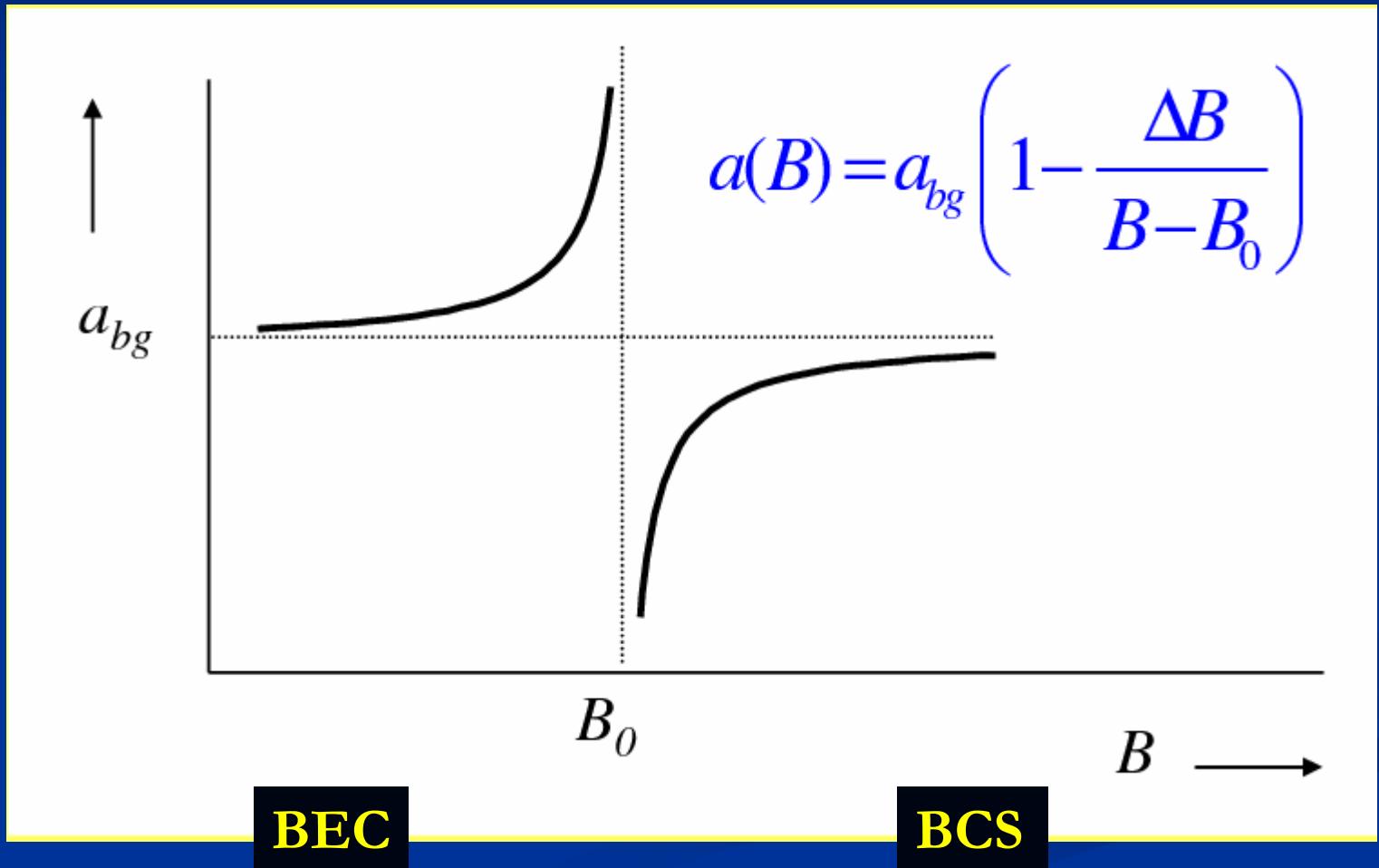
**Bose-Einstein condensate (BEC ) for low T**

Fermions with attractive interactions  
(molecules play no role ) :

**BCS – superfluidity at low T**  
by condensation of Cooper pairs

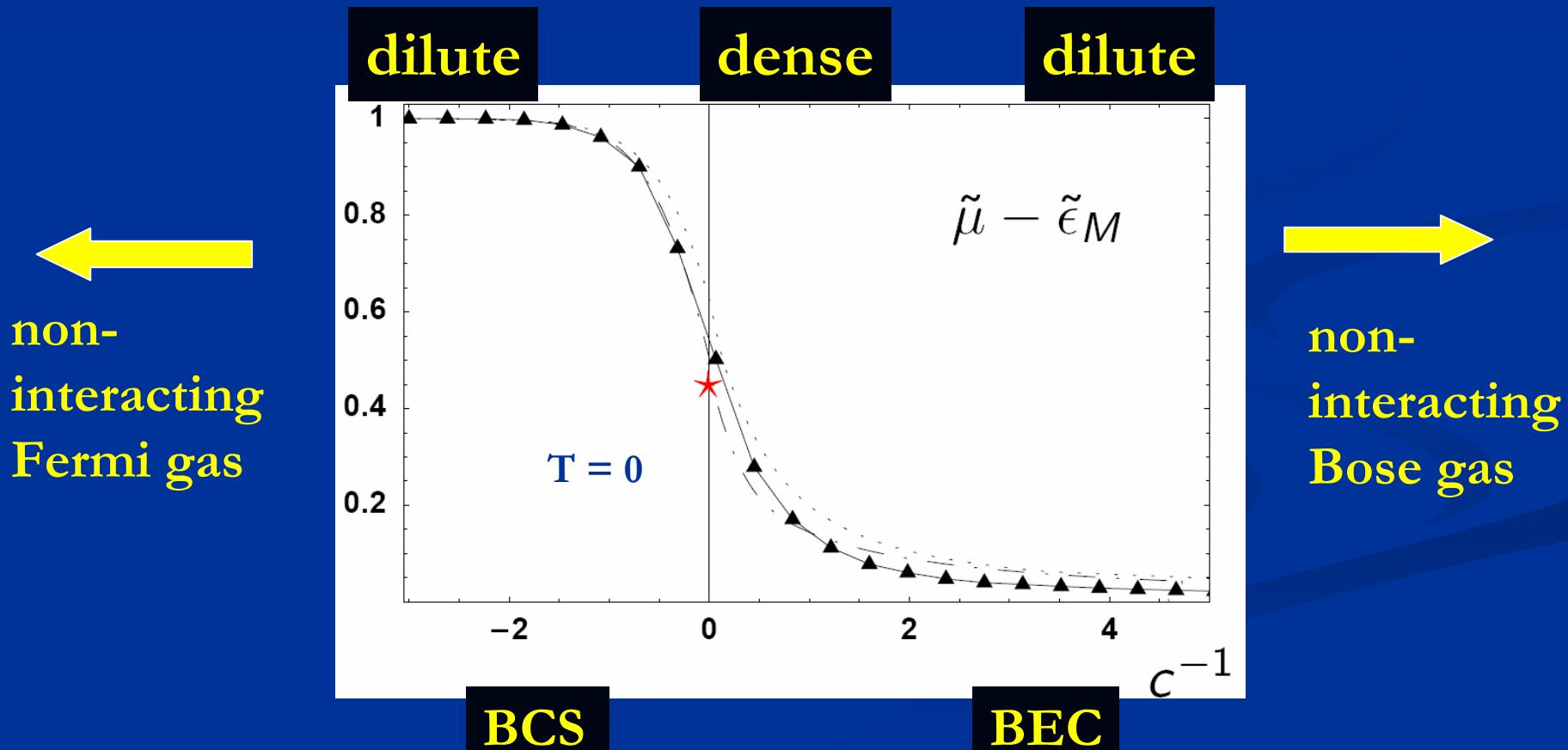
**Crossover** by Feshbach resonance  
as a transition in terms of external magnetic field

# scattering length

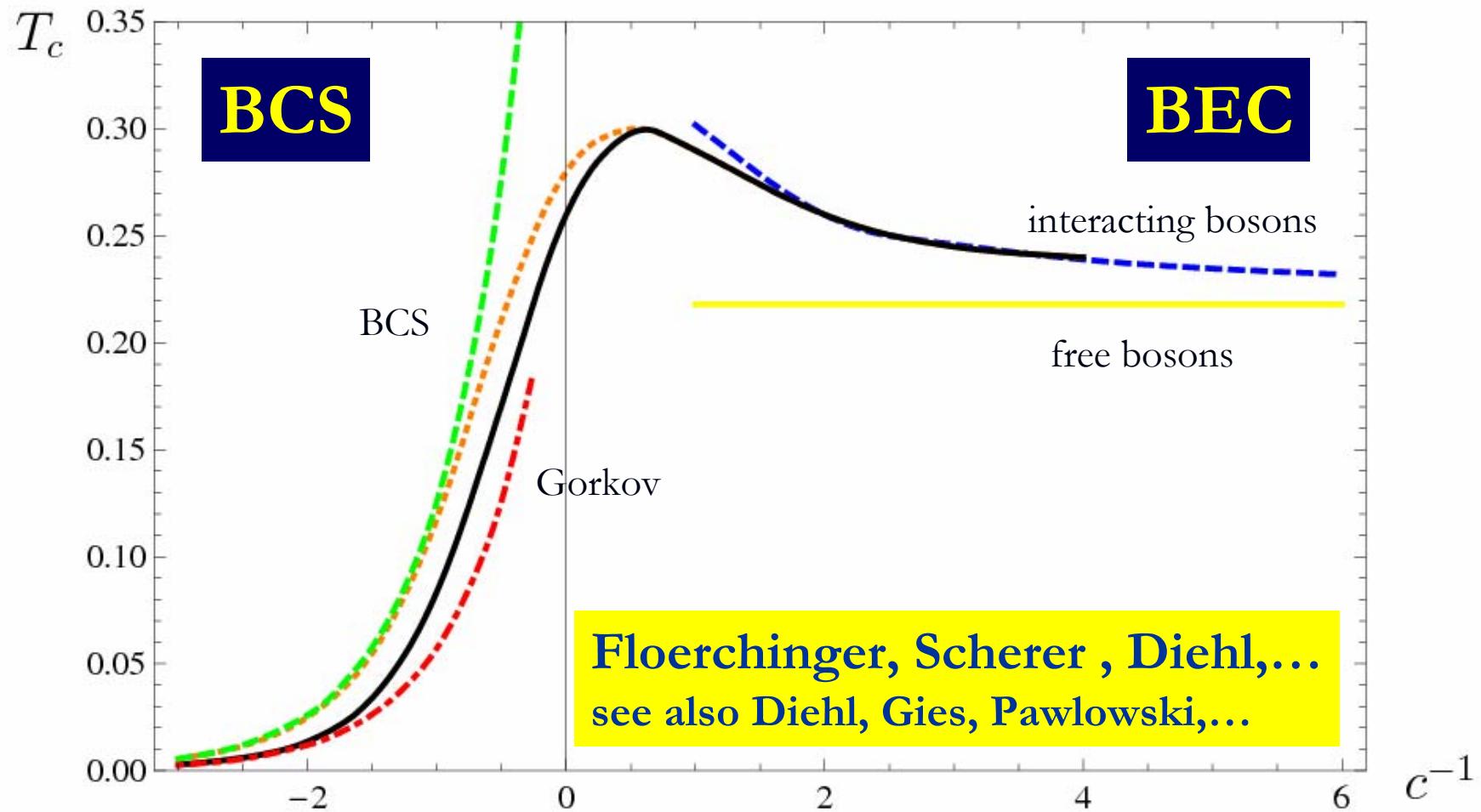


# concentration

- $c = a k_F$  ,  $a(B)$  : scattering length
- needs computation of density  $n = k_F^3 / (3\pi^2)$



# BCS – BEC crossover



# Flow equations



# Unification from Functional Renormalization

- fluctuations in  $d=0,1,2,3,\dots$
- linear and non-linear sigma models
- vortices and perturbation theory
- bosonic and fermionic models
- relativistic and non-relativistic physics
- classical and quantum statistics
- non-universal and universal aspects
- homogenous systems and local disorder
- equilibrium and out of equilibrium

# unification

abstract laws

quantum gravity

grand unification

standard model

electro-magnetism

gravity

Landau  
theory

universal  
critical physics

functional  
renormalization

complexity

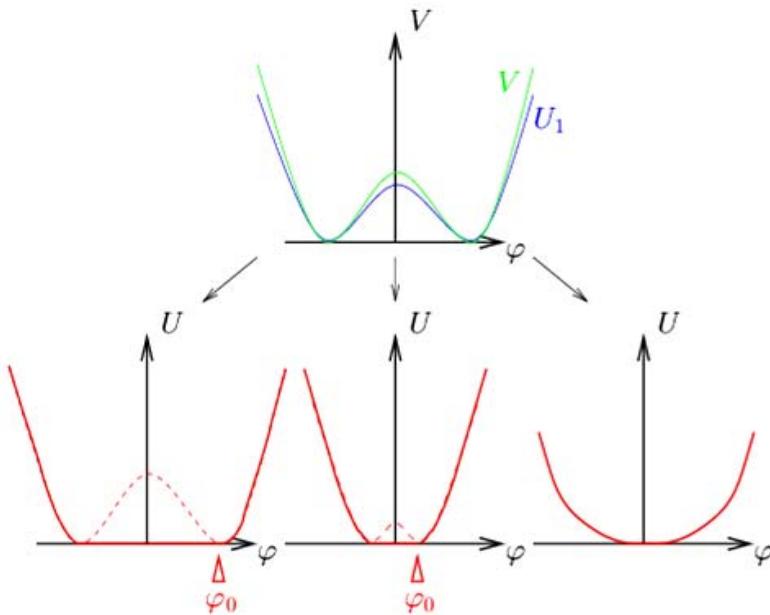
**unified description of  
scalar models for all d and N**

# Scalar field theory

$\varphi_a(x)$ : magnetization, density, chemical concentration, Higgs field, meson field, inflaton, cosmon

$O(N)$ -symmetry:

$$S = \int d^d x \left\{ \frac{1}{2} \partial_\mu \varphi_a \partial_\mu \varphi_a + V(\rho) \right\}; \quad \rho = \frac{1}{2} \varphi_a \varphi_a$$



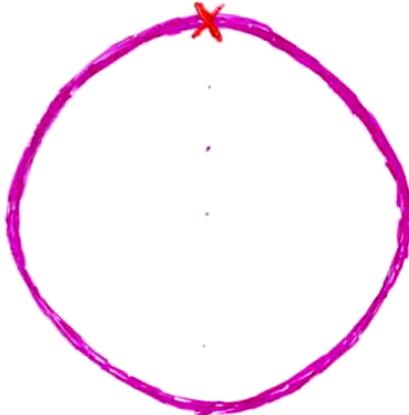
# Flow equation for average potential

$$\partial_k U_k(\varphi) = \frac{1}{2} \sum_i \int \frac{d^d q}{(2\pi)^d} \frac{\partial_k R_k(q^2)}{Z_k q^2 + R_k(q^2) + \bar{M}_{k,i}^2(\varphi)}$$

$$\bar{M}_{k,ab}^2 = \frac{\partial^2 U_k}{\partial \varphi_a \partial \varphi_b} \quad : \quad \text{Mass matrix}$$

$$\bar{M}_{k,i}^2 \quad : \quad \text{Eigenvalues of mass matrix}$$

# Simple one loop structure – nevertheless (almost) exact

$$\partial_k U_k = \frac{1}{z}$$

$$\partial_k R_k(q^2)$$
$$(Z_k q^2 + M_k^2 + R_k(q^2))^{-1}$$

# Infrared cutoff

$R_k$  : IR-cutoff

e.g.  $R_k = \frac{Z_k q^2}{e^{q^2/k^2} - 1}$   
or  $R_k = Z_k(k^2 - q^2)\Theta(k^2 - q^2)$  (Litim)

$$\lim_{k \rightarrow 0} R_k = 0$$

$$\lim_{k \rightarrow \infty} R_k \rightarrow \infty$$

# Wave function renormalization and anomalous dimension

$Z_k$ : wave function renormalization

$$k \partial_k Z_k = -\eta_k Z_K$$

$\eta_k$ : anomalous dimension

$$t = \ln(k/\Lambda)$$

$$\partial_t \ln Z = -\eta$$

for  $Z_k(\Phi, q^2)$  : flow equation is **exact !**

# unified approach

- choose N
- choose d
- choose initial form of potential
- run !

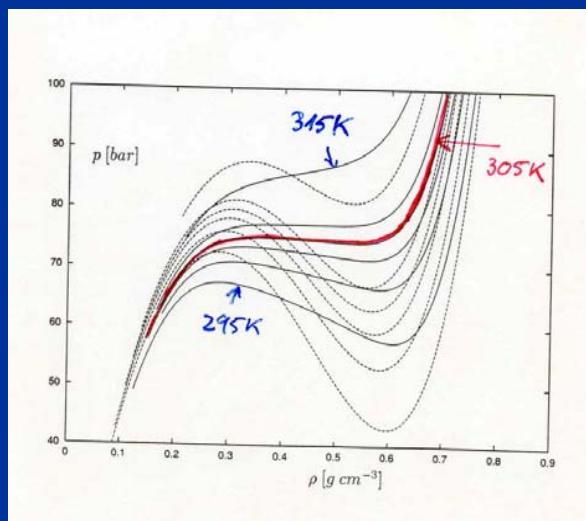
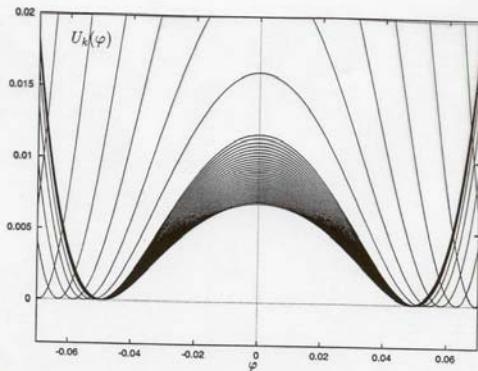
( quantitative results : systematic derivative expansion in second order in derivatives )

# Flow of effective potential

Ising model

$\text{CO}_2$

Critical exponents



$d = 3$

Critical exponents  $\nu$  and  $\eta$

$N$	$\nu$	$\eta$	
0	0.590	0.5878	0.039
1	0.6307	0.6308	0.0467
2	0.666	0.6714	0.049
3	0.704	0.7102	0.049
4	0.739	0.7474	0.047
10	0.881	0.886	0.028
100	0.990	0.980	0.0030

"average" of other methods  
(typically  $\pm(0.0010 - 0.0020)$ )

Experiment :

$$T_* = 304.15 \text{ K}$$

$$p_* = 73.8 \text{ bar}$$

$$\rho_* = 0.442 \text{ g cm}^{-3}$$

S.Seide ...

# critical exponents , BMW approximation

$N$	$\eta$	$\eta$ (other)	$\nu$	$\nu$ (other)	$\omega$ (prelim.)	$\omega$ (other)
0	0.033(3)	0.028(3) [1]	0.588	0.588(1) [1]	0.80	
1	0.039(3)	0.0364(2) [2] 0.0368(2) [3] 0.033(3) [1]	0.6298(4)	0.6301(2) [2] 0.6302(1) [3] 0.630(1) [1]	0.78	0.79(1) [1]
2	0.041(3)	0.0381(2) [4] 0.035(3) [1]	0.6719(4)	0.6717(1) [4] 0.670(2) [1]	0.78	0.79(1) [1]
3	0.040(3)	0.0375(5) [5] 0.036(3) [1]	0.709	0.7112(5) [5] 0.707(4) [1]	0.73	
4	0.038(3)	0.035(5)[1] 0.037(1) [6]	0.738	0.741(6) [1] 0.749(2) [6]	0.74	0.77(2) [1]
5	0.035(3)	0.031(3) [8] 0.034(1) [7]	0.768	0.764(4) [8] 0.779(3) [7]	0.73	0.77(2) [1]
10	0.022(2)	0.024 [9]	0.860	0.859 [9]	0.81	
20	0.012(1)	0.014 [9]	0.929	0.930 [9]	0.94	
100	0.0023(2)	0.0027 [10]		0.989 [10]	0.99	

- [1] R. Guida and J. Zinn-Justin '98. [2] M. Campostrini, A. Pelissetto, P. Rossi, E. Vicari '02.
- [3] Y. Deng and H. W. J. Blote '03. [4] M. Campostrini, M. Hasenbusch, A. Pelissetto, E. Vicari '06.
- [5] M. Campostrini, M. Hasenbusch, A. Pelissetto, P. Rossi, E. Vicari '02. [6] M. Hasenbusch '01.
- [7] M. Hasenbusch, A. Pelissetto, E. Vicari '05. [8] A. Butti and F. Parisen Toldin '05.
- [9] S. A. Antonenko and A. I. Sokolov '95. [10] M. Moshe and J. Zinn-Justin '03.

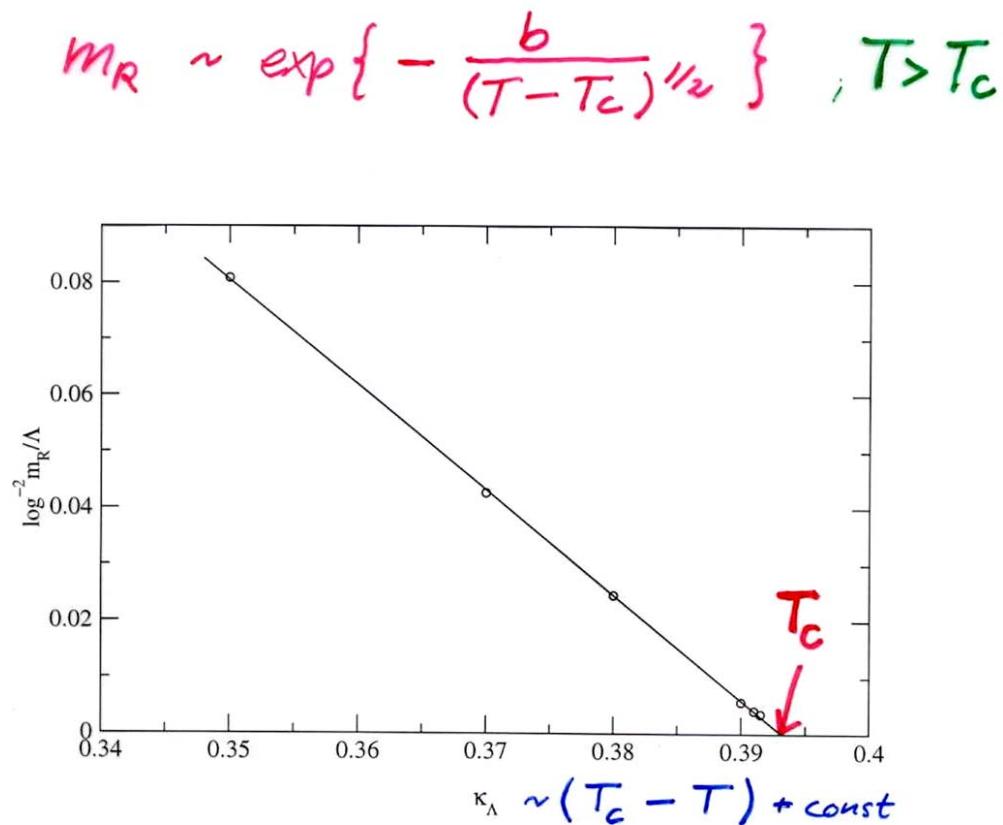
# Solution of partial differential equation :

yields highly nontrivial non-perturbative results despite the one loop structure !

Example:

Kosterlitz-Thouless phase transition

# Essential scaling : d=2,N=2



- Flow equation contains correctly the non-perturbative information !
- (essential scaling usually described by vortices)

Von Gersdorff ...

# Kosterlitz-Thouless phase transition (d=2,N=2)

Correct description of phase with  
Goldstone boson

( infinite correlation length )

for  $T < T_c$

# Exact renormalization group equation

Exact flow equation

for scale dependence of average action

$$\partial_k \Gamma_k[\varphi] = \frac{1}{2} \text{Tr} \left\{ \left( \Gamma_k^{(2)}[\varphi] + R_k \right)^{-1} \partial_k R_k \right\}$$

'92

$$\left( \Gamma_k^{(2)} \right)_{ab} (q, q') = \frac{\delta^2 \Gamma_k}{\delta \varphi_a(-q) \delta \varphi_b(q')}$$

$$\text{Tr} : \sum_a \int \frac{d^d q}{(2\pi)^d}$$

(fermions : STr)

# wide applications

## particle physics

- gauge theories, QCD

Reuter,..., Marchesini et al, Ellwanger et al, Litim, Pawłowski, Gies ,Freire, Morris et al., Braun , many others

- electroweak interactions, gauge hierarchy problem

Jaeckel,Gies,...

- electroweak phase transition

Reuter,Tetradis,...Bergerhoff,

# wide applications

## gravity

- asymptotic safety

Reuter, Lauscher, Schwindt et al, Percacci et al, Litim, Fischer,  
Saueressig

# wide applications

## condensed matter

- unified description for classical bosons

CW , Tetradis , Aoki , Morikawa , Souma, Sumi , Terao , Morris , Graeter , v.Gersdorff , Litim , Berges , Mouhanna , Delamotte , Canet , Bervilliers , Blaizot , Benitez , Chatie , Mendes-Galain , Wschebor

- Hubbard model

Baier , Bick,..., Metzner et al, Salmhofer et al, Honerkamp et al, Krahl , Kopietz et al, Katanin , Pepin , Tsai , Strack , Husemann , Lauscher

# wide applications

## condensed matter

- quantum criticality

Floerchinger , Dupuis , Sengupta , Jakubczyk ,

- sine- Gordon model

Nagy , Polonyi

- disordered systems

Tissier , Tarjus , Delamotte , Canet

# wide applications

## condensed matter

- equation of state for  $\text{CO}_2$  Seide,...
- liquid  $\text{He}^4$  Gollisch,... and  $\text{He}^3$  Kindermann,...
- frustrated magnets Delamotte, Mouhanna, Tissier
- nucleation and first order phase transitions Tetradis, Strumia,..., Berges,...

# wide applications

## condensed matter

- crossover phenomena

Bornholdt , Tetradis ,...

- superconductivity ( scalar  $\text{QED}_3$ )

Bergerhoff , Lola , Litim , Freire,...

- non equilibrium systems

Delamotte , Tissier , Canet , Pietroni , Meden , Schoeller ,  
Gasenzer , Pawłowski , Berges , Pletyukov , Reininghaus

# wide applications

## nuclear physics

- effective NJL- type models

Ellwanger , Jungnickel , Berges , Tetradis,..., Pirner , Schaefer ,  
Wambach , Kunihiro , Schwenk

- di-neutron condensates

Birse, Krippa,

- equation of state for nuclear matter

Berges, Jungnickel ... , Birse, Krippa

- nuclear interactions

Schwenk

# wide applications

## ultracold atoms

- Feshbach resonances

Diehl, Krippa, Birse , Gies, Pawłowski , Floerchinger , Scherer ,  
Krahl ,

- BEC

Blaizot, Wschebor, Dupuis, Sengupta, Floerchinger

# conclusions

- There is still a lot of interesting theory to learn for an understanding of phase transitions
- Perhaps non-perturbative low equations can help



qualitative changes that make non-perturbative physics accessible :

( 1 ) basic object is simple

average action  $\sim$  classical action  
 $\sim$  generalized Landau theory

direct connection to thermodynamics  
(coarse grained free energy )

**qualitative changes that make non-perturbative physics accessible :**

**( 2 ) Infrared scale  $k$**

**instead of Ultraviolet cutoff  $\Lambda$**

short distance memory not lost

no modes are integrated out , but only part of the fluctuations is included

simple one-loop form of flow

simple comparison with perturbation theory

# infrared cutoff $k$

cutoff on momentum resolution  
or frequency resolution

e.g. distance from pure anti-ferromagnetic momentum or  
from Fermi surface

intuitive interpretation of  $k$  by association with  
physical IR-cutoff , i.e. finite size of system :  
arbitrarily small momentum differences cannot  
be resolved !

**qualitative changes that make non-perturbative physics accessible :**

**( 3 ) only physics in small momentum range around  $k$  matters for the flow**

ERGE regularization

simple implementation on lattice

artificial non-analyticities can be avoided

# **qualitative changes that make non-perturbative physics accessible :**

## **( 4 ) flexibility**

change of fields

microscopic or composite variables

simple description of collective degrees of freedom and bound states

many possible choices of “cutoffs”

# some history ...

## ■ exact RG equations :

Symanzik eq. , Wilson eq. , Wegner-Houghton eq. , Polchinski eq. ,  
mathematical physics

## ■ 1PI : RG for 1PI-four-point function and hierarchy

Weinberg

formal Legendre transform of Wilson eq.

Nicoll, Chang

## ■ non-perturbative flow :

$d=3$  : sharp cutoff ,

no wave function renormalization or momentum dependence

Hasenfratz<sup>2</sup>