Renormalization flow of relativistic fermions (2<d<4)

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& FRG @ Jena

Functional Renormalization – from quantum gravity and dark energy to ultracold atoms and condensed matter Heidelberg, March 7-10 2017

FRG

ERG

Exact RG

Exact RG

from first principles

"includes irrelevant operators"

but often only approximation solutions

NPRG

fun RG

ERG

European RG

FRG

(WETTERICH'93)

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PHYSICS LETTERS B

Exact evolution equation for the effective potential

Christof Wetterich Institut für Theoretische Physik, Universität Heidelberg, Philosophenweg 16, W-6900 Heidelberg, FRG

$$\frac{\partial}{\partial t} \Gamma_{k}[\varphi] = \frac{1}{2} \operatorname{Tr} \left((\Gamma_{k}^{(2)}[\varphi] + R_{k})^{-1} \frac{\partial}{\partial t} R_{k} \right).$$
(3)
$$\frac{\partial}{\partial t} \Gamma_{k} = \frac{1}{2}$$

(WETTERICH'93)



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(WETTERICH'93)

the effective potential

, Philosophenweg 16, W-6900 Heidelberg, FRG

ved 17 December 1992

... written in FRG Land

(WETTERICH'93)

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... used only by IOC and FIFA

(WETTERICH'93)

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ved 17 December 1992

... written in FRG Land

... use discouraged by authorities ... considered to be a derogatory communist term

From quantum gravity ... to ... condensed matter

Iow dimensional relativistic fermions

& quantum gravity

▷ (perturbative) QFT:

$$\delta(\gamma) = d - \sum_{i} n_{E_i}[\phi_i] + \sum_{\alpha} n_{V_{\alpha}} \delta(V_{\alpha})$$

 $D_{\text{RG, cr}} = \begin{cases} 4 & (\text{gauge + matter, Yukawa/Higgs}) \\ 2 & (\text{gravity, pure fermionic matter}) \end{cases}$

 \implies RG critical dimension:



many similarities:

pert. nonrenormalizable, BUT: nonperturbatively renormalizable

"Asymptotic safety"

quantum phase transition

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... no experimental evidence so far ...

Chirality & Dirac Fermions

⊳ d=3:

$$\{\gamma_{\mu}, \gamma_{\nu}\} = 2g_{\mu\nu}, \qquad \gamma_{\mu=1,2,3} \sim \sigma_{i=1,2,3}$$
 (irreducible)

 \implies no γ_5

("no chirality")

▷ Dirac fermions in irreducible representation:

 $\chi, \bar{\chi}$ 2-component

Chirality & Dirac Fermions

⊳ d=3:

 $\{\gamma_{\mu}, \gamma_{\nu}\} = 2g_{\mu\nu}, \qquad \text{(reducible, 4-comp. spinors: } \psi, \bar{\psi}\text{)}$ $\implies \gamma_{5}, \qquad P_{L/R} = \frac{1}{2}(1 \pm \gamma_{5})$ $\& \gamma_{4} \qquad \qquad \gamma_{45} = i\gamma_{4}\gamma_{5} \qquad P_{L/R}^{45} = \frac{1}{2}(1 \pm \gamma_{45})$ $C \qquad = \bar{\psi}^{3}(i\beta_{\nu})^{3} \qquad = \bar{\psi}^{3}(i\beta_{\nu})^{3} + \bar{\psi}^{3}(i\beta_{\nu})^{3}$

$$\mathcal{L}_{kin} = \psi^{a} i \partial \psi^{a} \qquad \qquad = \psi^{a}_{L} i \partial \psi^{a}_{L} + \psi^{a}_{R} i \partial \psi^{a}_{R} = \dots$$

 \triangleright max. chiral symmetry group: U(2N_f)

chiral symmetry (reducible) \simeq flavor symmetry (irreducible)

Why 3d chiral fermions?

Goal: understanding QPTs with

order parameter $\phi \leftrightarrow \psi, \bar{\psi}$ gapless fermions ... beyond the ϕ^4 paradigm

relativistic fermions from electrons on

- honeycomb lattice
- π -flux square lattice
- \implies robust against weak interactions

Hubbard model on honeycomb lattice

Nodal *d*-wave superconductors



phase transition: semi-metal \rightarrow (Mott) insulator

⇒ long-range order:

AF, CDW, QAHS





a.k.a. "chiral Ising"

 $a = 1, \ldots, N_{\rm f}$

▷ classical action, e.g., in d=3:

$$S = \int d^3x \left[\bar{\psi}^a \mathbf{i} \partial \psi^a + \frac{1}{2N_f} \, \bar{g} (\bar{\psi}^a \psi^a)^2 \right], \qquad [\bar{g}] = -1$$

- symmetries of reducible model:
 - · discrete "chiral" symmetry:

$$\mathbb{Z}_2^5: \qquad \psi^a \to \gamma_5 \psi^a, \qquad \bar{\psi}^a \to -\bar{\psi}^a \gamma_5$$

flavor symmetry:

$$P_{L/R}^{45} = rac{1}{2}(1\pm\gamma_{45}): \qquad U(N_{\rm f})_L imes U(N_{\rm f})_R$$

a.k.a. "chiral Ising"

 $a = 1, ..., 2N_{\rm f}$

▷ classical action, e.g., in d=3:

$$S = \int d^3x \left[\bar{\chi}^a \mathrm{i} \partial \chi^a + \frac{1}{2N_{\mathrm{f}}} \, \bar{g} (\bar{\chi}^a \chi^a)^2 \right], \qquad [\bar{g}] = -1$$

- symmetries of irreducible model:
 - parity symmetry:

$$\mathbb{Z}_2^{\mathcal{P}}: \qquad \chi^{\mathfrak{a}}(x) \to \chi^{\mathfrak{a}}(-x), \qquad \bar{\chi}^{\mathfrak{a}}(x) \to -\bar{\chi}^{\mathfrak{a}}(-x)$$

· flavor symmetry:

 $U(2N_{\rm f})$

 \triangleright irreducible model in reducible notation (2N_f \in \mathbb{N}):

 $(\bar{\chi}^a \chi^a)^2 \sim (\bar{\psi} \gamma_{45} \psi)^2$

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▷ Recette: On prend ...

$$\partial_t \Gamma_k = \frac{1}{2} \operatorname{Tr} \partial_t R_k (\Gamma_k^{(2)} + R_k)^{-1}$$



Simplest approximation: "pointlike" vertices:

$$\Gamma_{k} = \int d^{3}x \left[\bar{\psi}^{a} \mathrm{i} \partial \psi^{a} + \frac{1}{2N_{\mathrm{f}}} \, \frac{\bar{g}_{k} (\bar{\psi}^{a} \psi^{a})^{2}}{2N_{\mathrm{f}}} \right]$$

▷ RG flow of dim'less coupling $g = k^{d-2}\bar{g}_k$:

$$\partial_t g \propto (d-2)g - \left(\frac{4N_t-2}{N_t}\right) \tilde{\partial}_t g$$



- ▷ UV fixed point: g_{*}
- ▷ IR divergence in scalar channel for $g_{\Lambda} > g_*$

indication for χ SB

- \triangleright critical exponent $\Theta = 1/\nu = 1$ (in d = 3)
- \implies asymptotically safe

proven to all orders in $1/N_{\rm f}$ expansion

(GAWEDZKI, KUPIAINEN'85; ROSENSTEIN, WARR, PARK'89; DE CALAN ET AL.'91)

Partial Bosonization

mapping to Yukawa model:

(STRATONOVICH'58, HUBBARD'59)





Pros:

- + RG flow into χ SB regime
- + access to long-range observables

Cons:

- use in FRG trunc's: assumes dominance of bosonized channel
- can be affected by "Fierz ambiguity"

Cons less relevant for GN case

RG flow of Gross Neveu model

(ROSA, VITALE, WETTERICH'01; HOFLING, NOWAK, WETTERICH'02; BRAUN, HG, SCHERER'10)

▷ NLO derivative expansion:

$$\Gamma_{k} = \int \left[Z_{\psi} \bar{\psi}^{a} (i\partial \!\!\!/ + i\bar{h}\sigma) \psi^{a} + \frac{1}{2} Z_{\sigma} (\partial_{\mu}\sigma)^{2} + U(\sigma) \right]$$

▷ quantum phase transition



Exact large-N_f fixed-point solution

▷ anomalous dimensions:

(BRAUN, HG, SCHERER'10)

$$\eta_{\psi} = \mathbf{0}, \qquad \eta_{\sigma} = \mathbf{1}$$

 \triangleright large- $N_{\rm f}$ fixed point effective potential for 2 < *d* < 4:

$$u_*(\rho) = -\frac{2d-8}{3d-4}\rho_2 F_1\left(1-\frac{d}{2},1;2-\frac{d}{2};\frac{(d-4)(d-2)}{6d-8}\frac{d}{d_\gamma v_d}\rho\right), \rho = \frac{\sigma^2}{2}$$

▷ exact critical exponents:

$$\Theta = 1, -1, -1, -3, -5, -7, \dots$$

 \implies critical surface: dim S = 1 physical parameter

Global effective potential and finite $N_{\rm f}$



3d Gross-Neveu universality class, (arbitrary $N_{\rm f}$)

(BRAUN, HG, SCHERER'10)



leading-order derivative expansion

identical results for irreducible model (Rosa, VITALE, WETTERICH'01; HOFLING, NOWAK, WETTERICH'02)

▷ Derivative expansion:

$$\begin{split} \Gamma_{k} &= \int \left[\frac{1}{2} Z_{\psi}(\rho) (\bar{\psi} \partial \psi - (\partial_{\mu} \bar{\psi}) \gamma_{\mu} \psi) + h(\rho) \bar{\psi} \psi + \frac{1}{2} Z_{\sigma}(\rho) (\partial_{\mu} \sigma)^{2} \right. \\ &\left. - U(\sigma) + i J_{\psi}(\rho) (\partial_{\mu} \rho) \bar{\psi} \gamma_{\mu} \psi + X_{1}(\rho) \sigma (\partial_{\mu} \bar{\psi}) (\partial_{\mu} \psi) \right. \\ &\left. + \frac{i}{2} X_{2}(\rho) (\partial_{\mu} \sigma) [\bar{\psi} \partial \psi - (\partial_{\mu} \bar{\psi}) \gamma_{\mu} \psi] + X_{3}(\rho) (\partial^{2} \sigma) \bar{\psi} \psi \right. \\ &\left. + \frac{1}{2} X_{4}(\rho) (\partial_{\mu} \sigma) [\bar{\psi} \Sigma_{\mu\nu} \partial_{\nu} \psi - (\partial_{\nu} \bar{\psi}) \Sigma_{\mu\nu} \psi] \right. \\ &\left. + \frac{1}{2} [X_{5}(\rho) + 2 X_{3}'(\rho)] (\partial_{\mu} \sigma)^{2} \sigma \bar{\psi} \psi \right] \end{split}$$

FRG LO: U(ρ), h, Z_ψ, Z_σ

(BRAUN, HG, SCHERER'10)

- FRG LO': U(ρ), h(ρ), Z_ψ, Z_σ
- FRG NLO

(+regulator optimization, + pseudospectral solver + XACT)

(VACCA, ZAMBELLI'15)



(KNORR'16)

 \triangleright critical exponents $N_{\rm f} = 2$:

	FRG	FRG	FRG	FRG	FRG
	LO	LO	LO+ps	LO'	NLO
	iGN	rGN	rGN	rGN	rGN
	(HNW'02)	(BGS'10)	(BK'15)	(VZ'15)	(K'16)
ν	1.018	1.018	1.018	1.004	1.006(2)
η_{σ}	0.756	0.760	0.760	0.789	0.7765
η_{ψ}	0.032	0.032	0.032	0.031	0.0276

(HOFLING, NOWAK, WETTERICH'02; BRAUN, HG, SCHERER'10; BORCHARDT, KNORR'15; VACCA, ZAMBELLI'15; KNORR'16)

⇒ satisfactory apparent convergence

FRG performs rather well already at LO

 \triangleright critical exponents $N_{\rm f} = 2$:

method comparison

	FRG	MC	1/ <i>N</i> f	$2 + \epsilon$	$2 + \epsilon$	$4 - \epsilon$	2-sided
	NLO			3rd	4th +res.	2nd	Padé
	(K'16)	(KLLP'94)	(G'94;HJ'14)	(G'90'91;LR'91)	(GLS'16)	(RYK'93)	(FGKT'16)
ν	1.006(2)	1.00(4)	1.04	1.309	1.074	0.948	1.055
η_{σ}	0.7765	0.754(8)	0.776	0.602	0.745	0.695	0.739
η_{ψ}	0.0276	-	0.044	0.081	0.082	0.065	0.041

(KNORR'16) (KARKKAINEN, LACAZE, LACOCK, PETERSSON'94) (GRACEY'94; HERBUT, JANSSEN'14) (GRACEY'90'91;

LUPERINI, ROSSI'91) (GRACEY, LUTH, SCHRODER'16) (ROSENSTEIN, YU, KOVNER'93) (FEI, GIOMBI, KLEBANOV, TARNOPOLSKY'16)

(POSTER: B. IHRIG)

⇒ acceptable overall agreement

with minor exceptions

 \triangleright critical exponents $N_{\rm f} = 1$:

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method comparison

	FRG	MC	MC	MC	1/ <i>N</i> f	$4 - \epsilon$	2-sided
	NLO	CT-INT	CT-INT f.T.	MQMC		2nd	Padé
	(K'16)	(WCT'14)	(HW'16)	(LJY'15)	(G'94;HJ'14)	(RYK'93)	(FGKT'16)
ν	0.930(4)	0.80(3)	0.74(4)	0.77(3)	0.735	0.862	1.174
η_{σ}	0.5506	0.302(7)	0.275(25)	0.45(2)	0.635	0.502	0.506
η_{ψ}	0.0645	-	_	-	0.105	0.110	0.096

(KNORR'16) (WANG, CORBOZ, TROYER'14) (HESSELMANN, WESSEL'16) (LI, JIANG, YAO'15) (GRACEY'94; HERBUT, JANSSEN'14)

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\implies overall confusion

→ no MC data within lattice field theory so far

new sign-problem free algorithm with SLAC fermions

(SCHMIDT, WELLEGEHAUSEN, WIPF IN PREP.)

stay tuned!

 \triangleright *d* = 2 + 1 lattice model ~ 2× Wess-Zumino

(LEE'08)

▷ for " $N_f = 1/4$ ": field content of GN compatible with supersymmetry ⇒ emergent susy? (BASHIROV'13; GROVER, SHENG, VISHWANATH'14)

(SHIMADA, HIKAMI'15; ILIESIU ET AL.'16)





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 $\nu \simeq 0.693, \quad \eta_{\sigma} \simeq 0.154, \quad \eta_{\psi} \simeq 0.221 \neq \eta_{\sigma}$ non-susy regularization \triangleright manifestly supersymmetric FRG (BERGNER,HG,SYNATSCHKE,WIPF'08) (HG,SYNATSCHKE,WIPF'09) \triangleright FRG for WZ at NNLO (HEILMANN,HELLWIG,KNORR,ANSORG,WIPF'14)

 $u \simeq 0.710, \quad \eta_{\sigma} \simeq 0.180, \quad \eta_{\psi} \simeq 0.180 \equiv \eta_{\sigma}$

superscaling relation satisfied

FRG in GN at LO'

(HG, SYNATSCHKE, WIPF'09)

(VACCA.ZAMBELLI'15)

$$\frac{1}{\nu_W} = \frac{1}{2}(d-\eta), \quad d \ge 2$$

holds to all orders (Heilmann, Hellwig, KNORR, ANSORG, WIPF'14)

 \triangleright " $N_f = 1/4$ "-GN-Yukawa model in susy + rest notation:

(HELLWIG, WIPF, ZANUSSO IN PREP.)

$$\Gamma_{k} = \int \left[\frac{1}{2} (Z + Z_{\psi}) \bar{\psi} i \partial \psi - \frac{1}{2} (Z + Z_{\sigma}) (\partial_{\mu} \sigma)^{2} - \frac{1}{2} Z F^{2} + FW'(\phi) - \frac{1}{4} W''(\phi) \bar{\psi} \psi + V_{0} + h(\phi) \bar{\psi} \psi \right]$$

control of higher-order operators by "dynamical supersymmetrization"

 $F \to F_k[\phi, \bar{\psi}\psi, F]$

cf. dynamical hadronization

(HG,WETTERICH'01; PAWLOWSKI'05)



(HELLWIG, WIPF, ZANUSSO IN PREP.)

ho "N_f = 1/4"-GN-Yukawa model: phase diagram (Hellwig, Wipf, Zanusso in prep.)



κ

supersymmetric hyperplane: IR attractive

e.g. $\Delta m =$ fermion mass – boson mass $\rightarrow 0$

⇒ emergent supersymmetry

 \triangleright "*N*_f = 1/4"-GN-Yukawa model:

	GN- FRG	WZ- FRG	SUSY- FRG	$ 4 - \epsilon$	2-sided Padé	CBS	CBS
	LO' (VZ'15)	NNLO (HHKAW'14)	+GN+d.s. (HWZ'16)	2nd (RYK'93)	(FGKT'16)	earl. est. (B'13)	impr. est. (IKPPSY'15)
ν	0.693	0.710	0.722	0.710	_	_	_
η_{σ}	0.154	0.180	0.167	0.184	0.180	0.13	0.164
η_{ψ}	0.221	0.180	0.167	0.184	0.180	0.13	0.164
θ_2	- 0.796	-0.715	-0.765	-	_	-	_

(VACCA,ZAMBELLI'15)(HEILMANN,HELLWIG,KNORR,ANSORG,WIPF'14) (HELLWIG,WIPF,ZANUSSO IN PREP.)

(ROSENSTEIN, YU, KOVNER'93) (FEI, GIOMBI, KLEBANOV, TARNOPOLSKY'16) (BASHKIROV'13)

(ILIESU, KOS, POLAND, DUFU, SIMMONS-DUFFIN, YACOBY'15)

⇒ acceptable overall agreement

with minor exceptions

Models with GN symmetry: chiral $U(N_f)_L \times U(N_f)_R$

symmetries of the reducible Gross-Neveu model

(GEHRING, HG, JANSSEN'15)

$$S = \int d^3x \left[\bar{\psi}^a i \partial \!\!\!/ \psi^a + \frac{\bar{g}}{2N_{\rm f}} \left(\bar{\psi}^a \psi^a \right)^2 \right],$$

▷ chiral projector:

$$P^{45}_{L/R} = rac{1}{2}(1 \pm \gamma_{45})$$

▷ independent chiral subsectors:

$$S = \int d^3x \left[\bar{\psi}_L^a \mathrm{i} \partial \psi_L^a + \frac{\bar{g}}{2N_{\rm f}} \left(\bar{\psi}_L^a \psi_L^a \right)^2 \right] + \quad (L \leftrightarrow R)$$

complete pointlike Fierz basis:

(GEHRING, HG, JANSSEN'15)

$$(S)^{2} = (\bar{\psi}^{a}\psi^{a})^{2}, \qquad (P)^{2} = (\bar{\psi}^{a}\gamma_{45}\psi^{a})^{2}, (V)^{2} = (\bar{\psi}^{a}\gamma_{\mu}\psi^{a})^{2}, \qquad (T)^{2} = \frac{1}{2}(\bar{\psi}^{a}\gamma_{\mu\nu}\psi^{a})^{2}.$$

- ▷ chiral model contains (as invariant subspaces):
 - reducible Gross-Neveu
 - irreducible Gross-Neveu
 - Thirring
 - an some more ...



 \triangleright N_f > 1: 4 independent pointlike interactions

(GEHRING, HG, JANSSEN'15)

 $\triangleright \text{ real fixed points:} \left\{ \begin{array}{ll} 12 & \text{for } 2 \geq \textit{N}_{f} < 3.76 \\ 16 & \text{for } \textit{N}_{f} \geq 3.76 \end{array} \right.$

 \triangleright collision of 3 (!) fixed points at $N_{\rm f,cr}^{(1)} \simeq 3.76$.

 $N_{\rm f} > N_{\rm f,cr}^{(1)}$:

 $N_{\rm f} < N_{\rm f,cr}^{(1)}$:

 \triangleright red. and irred. Gross-Neveu: "critical FPs" for any $N_{\rm f} > 1$

 \triangleright Thirring: "critical FP" for $N_{f} > 6$ (2 rel. dir. for $N_{f} < 6$)

connection to MC result $N_{\rm f,cr} \simeq 6.6$ for staggered fermions?

(HG, JAECKEL, WETTERICH'04; GEHRING, HG, JANSSEN'15)



less symmetry \implies richer FP structure





for *i* pointlike interactions: 2^{*i*} FPs

(HG, JAECKEL, WETTERICH'04; GEHRING, HG, JANSSEN'15)



each non-Gaußian FP has a critical exponent $\Theta = d - 2$

(HG, JAECKEL, WETTERICH'04; GEHRING, HG, JANSSEN'15)



 $\begin{pmatrix} i \\ k \end{pmatrix}$ FPs with k relevant directions



all FP rays from Gaußian FP \mathcal{O} are invariant subspaces

(HG, JAECKEL, WETTERICH'04; GEHRING, HG, JANSSEN'15)



a plane containing four pairwise linearly independent FPs

 $\mathcal{O}, \mathcal{P}_1, \mathcal{P}_2, \mathcal{P}_3$

is an invariant subspace.

 \implies candidate for emergent symmetry

e.g., $U(2N_f)$ for $N_f > 6$

Irreducible vs. reducible GN

 \triangleright $N_{\rm f}$ > 1

(GEHRING, HG, JANSSEN'15)

irreducible GN

$$\sim (\bar{\psi}\gamma_{45}\psi)^2$$

 $\mathsf{FP} {:} \, \mathcal{A}$

1 relevant direction SB pattern:

Z₂ parity

reducible GN

$$\sim (\bar{\psi}\psi)^2$$

 $\mathsf{FP} {:} \mathcal{D}$

1 relevant direction

SB pattern:

 \mathbb{Z}_2 "discrete chirality" CDW

same dimension d
 symmetry of σ
 # of long-range degrees of freedom

Irreducible vs. reducible GN

$\triangleright N_{\rm f} = 1$	(Gehring, HG, Janssen' 15)				
irreducible GN	reducible GN				
$\sim (ar\psi\gamma_{45}\psi)^2$	$\sim (ar\psi\psi)^2$				
FP: A	$FP:\mathcal{D}\qquad\qquadFP:\mathcal{E}$				
relevant direction SB pattern:	2 relevant direction 1 relevant direction SB pattern:				
\mathbb{Z}_2 parity	\mathbb{Z}_2 "discrete chirality"				
QAHS	CDW				
critical exponents:	critical exponents:				
$ u \simeq 1, \; heta_{2} \simeq -2$	$ u\simeq$ 1, $ heta_{2}\simeq-(3-\sqrt{5})$				
\implies spectator: U(2 $N_{\rm f}$)	\implies spectator: U(N _f) _L × U(N _f) _R				

ł

Universality class conjecture

universality classes are determined by

(GEHRING, HG, JANSSEN'15)

- dimension d
- symmetry of order parameter
- # long-range degrees of freedom
- & spectator symmetries

Conclusions

Low-dimensional chiral fermion systems:

plethora of theories

2×Gross-Neveu, Thirring, NJL, chiral ...

• "perfect" quantum field theories

non-perturbatively renormalizable, asymptotically safe

wide variety of universality classes

& variety of symmetry breaking patterns quantitative playground for FRG

• emergent (super-)symmetries

... general mechanism?

specification of universality classes

+ spectator symmetries



Enjoy FRG Land!



▷ e.g., $N_{\rm f}$ = 2 (~ graphen ?):

 $U(2)_L \times U(2)_R \simeq U_V(1) \times U_A(1) \times SU_L(2) \times SU_R(2)$

- $U_V(1)$: charge conservation
- $U_A(1)$: translational symmetry on honeycomb lattice

(HERBUT, JURICIC, ROY'09)

 SU(2)_{L/R}: independent spin rotation in the two Dirac-cone sectors (expected to be broken at strong coupling)

(JANSSEN, HERBUT'14)

Spinless chiral $U(1)_L \times U(1)_R$ model

 \triangleright N_f = 1: 3 independent pointlike interactions

(GEHRING, HG, JANSSEN'15)

▷ RG flow: 7 fixed points (O, A - F)



- \triangleright 1 relevant direction at Thirring and irreducible Gross-Neveu FP $$\sim$ critical point, 2nd order QPT ? $$
- > 2 relevant directions at reducible Gross-Neveu FP

1st order transition ?