

“Observifolds”: Deforming the Path Integral to Improve Noisy Observables

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Lattice field theories

1. Non-perturbative regulator: lattice spacing a cuts off UV modes $\gg 1/a$

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{O}[U] e^{-S[U]} \quad \rightarrow \quad \langle \mathcal{O}_{reg.} \rangle = \frac{1}{Z} \int \prod_i dU_i \mathcal{O}[U] e^{-S[U]}$$

(in Euclidean spacetime)

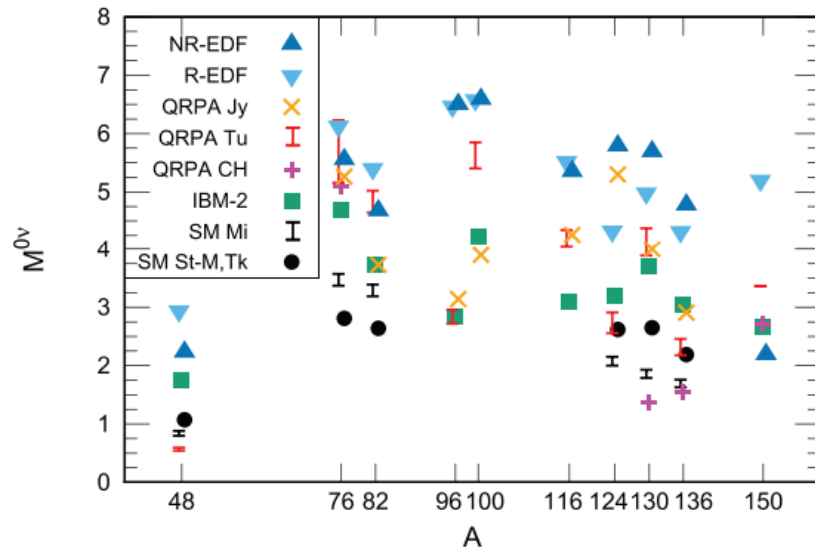
(on Euclidean spacetime lattice)

2. Criticality / universality: access well-defined continuum limit

3. Gauge theories: exact gauge invariance preserved

(Just one) Motivation for LQFT

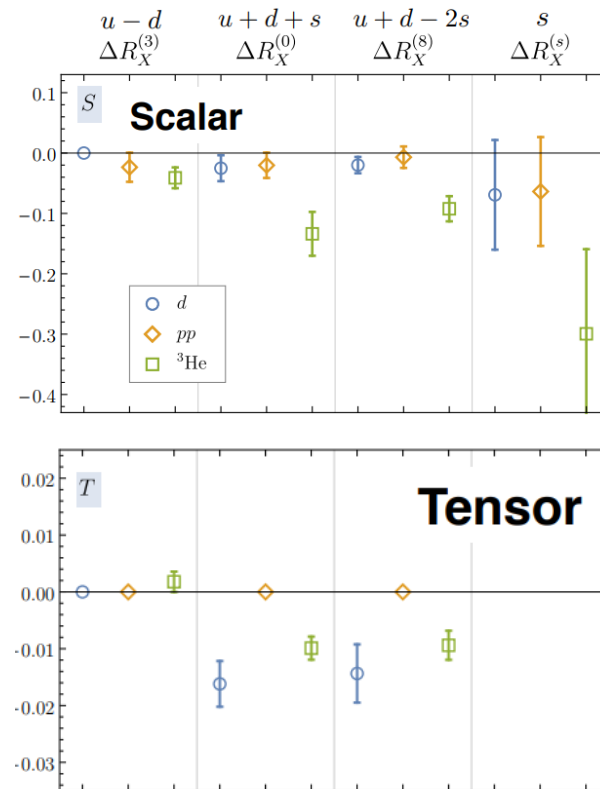
- Many experiments for new physics rely on nuclear targets / samples
- Need to know SM predictions for nuclear matrix elements
- Models disagree: **ab initio is key!**



[Engel & Menéndez, Rep Prog Phys 2017, 046301]

(Just one) Motivation for LQFT

- Lattice Quantum Chromodynamics (LQCD) gives ab initio theory inputs
- LQCD matrix elements from QCD \rightarrow LECs for many-body methods
- Complementary to experiment



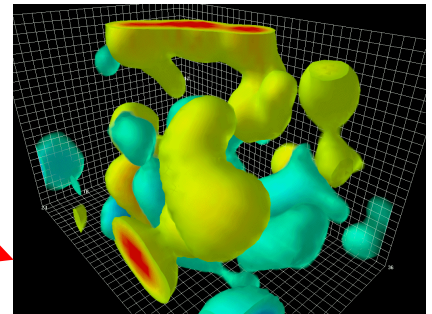
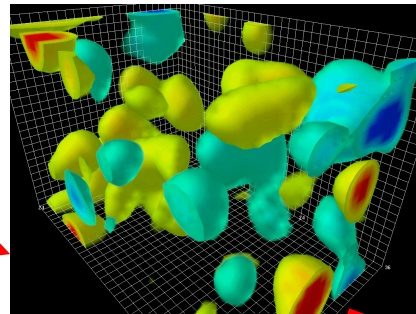
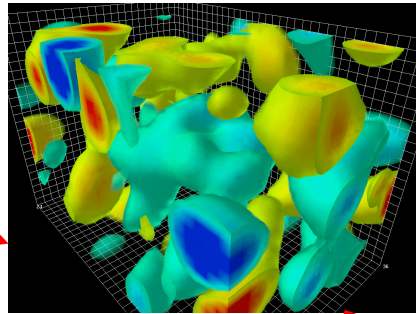
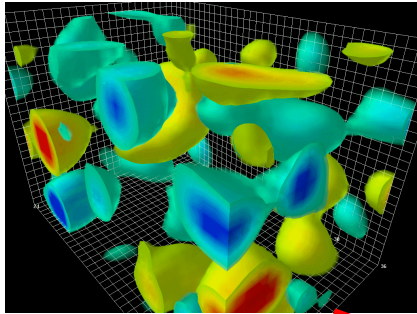
[Chang et al, PRL120 (2018) 152002]
[Shanahan, INT-18-70]

Lattice QFT

- Approximate the Euclidean path integral using Markov chain Monte Carlo

$$\langle \mathcal{O} \rangle = \int \mathcal{D}U e^{-S(U)} \mathcal{O}(U) \approx \frac{1}{N} \sum_i^N \mathcal{O}(U_i)$$


where $U_i \sim e^{-S(U)}$



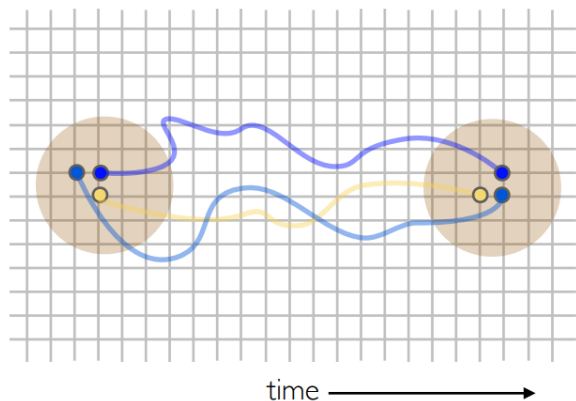
Lattice QFT

- Imaginary-time correlation functions inform us of the spectrum of the theory

$$\langle \mathcal{O}(t)\mathcal{O}^\dagger(0) \rangle = \sum_n Z_n e^{-E_n t} \sim Z_0 e^{-E_0 t}$$

 $m_{\text{eff}}(t) \equiv -\partial_t \log \langle \mathcal{O}(t)\mathcal{O}^\dagger(0) \rangle$

[Detmold, INT-14-57W]



E.g. for the nucleon in lattice QCD

Signal-to-noise problem in observables

- Sample mean of observable is **noisy**

$$\sigma = \sqrt{\text{Var}[\mathcal{O}]/N} \gg \langle \mathcal{O} \rangle$$

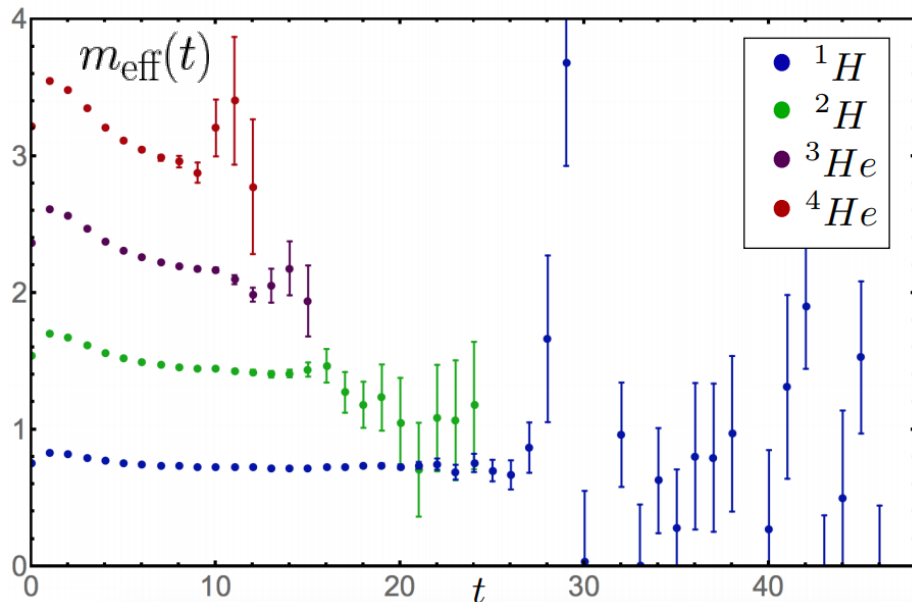
- Signal-to-noise (StN) problem

$$\text{StN} = \frac{\langle \mathcal{O} \rangle}{\sigma} \ll 1$$

Noisy correlation functions

- **Large t:** StN falls exponentially
- **Small t:** Excited state effects
- To extract physical information, fit plateau at intermediate t
(if it exists!)

[Wagman, Lattice 2018]



Noise problem driven by variance correlator

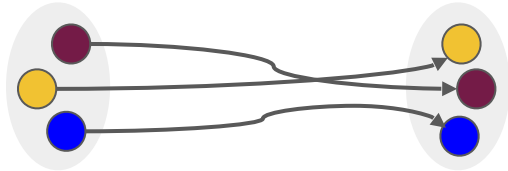
- Parisi & Lepage: variance related to physical states

$$\text{Var}[\mathcal{A}(t)\mathcal{A}^\dagger(0)] = \langle \mathcal{A}(t)\mathcal{A}^\dagger(t)\mathcal{A}^\dagger(0)\mathcal{A}(0) \rangle$$

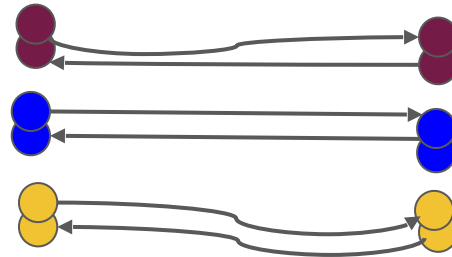
Interpret as creating / annihilating a physical state

- For the nucleon,

$$\langle \mathcal{N}(t)\mathcal{N}^\dagger(0) \rangle \sim e^{-M_N t}$$

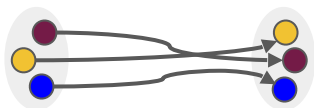


$$\text{Var}[\mathcal{N}(t)\mathcal{N}^\dagger(0)] \sim e^{-3M_\pi t}$$

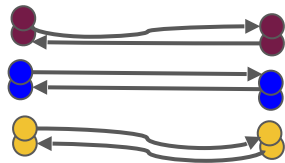


Variance correlator
~ 3 pions

Noise problem driven by variance correlator



$$\langle \mathcal{N}(t) \mathcal{N}^\dagger(0) \rangle \sim e^{-M_N t}$$



$$\text{Var}[\mathcal{N}(t) \mathcal{N}^\dagger(0)] \sim e^{-3M_\pi t}$$



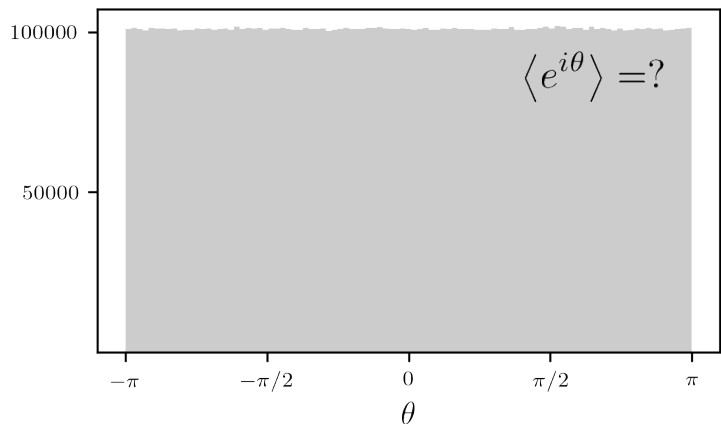
$$\text{StN}[\mathcal{N}(t) \mathcal{N}^\dagger(0)] = \frac{\langle \mathcal{N}(t) \mathcal{N}^\dagger(0) \rangle}{\sqrt{\text{Var}[\mathcal{N}(t) \mathcal{N}^\dagger(0)]}} \sim e^{-(M_N - 3M_\pi/2)t}$$

↑
Exponentially bad!

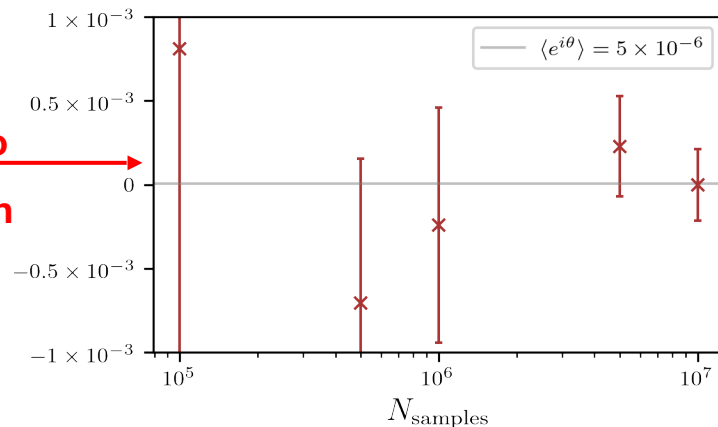
(actually, phase)

Noise problem = sign problem

- Even for observables with real expectation, $\text{Arg} [\mathcal{O}_i] \neq 0$
- **Sign problem:** when phase distribution is nearly uniform, precise near-cancellation of phases determines the mean



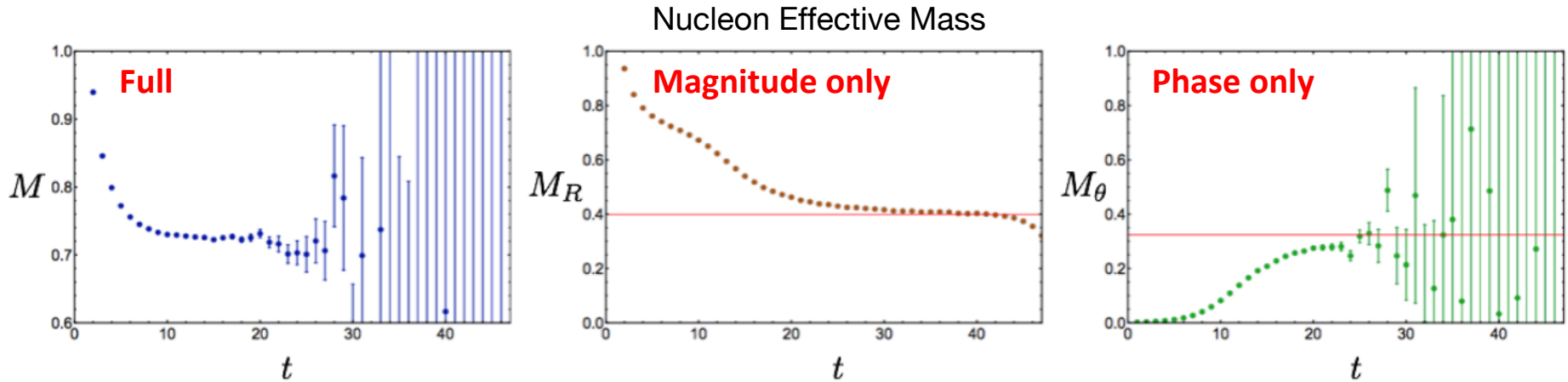
Monte Carlo
breaks down



(actually, phase)

Noise problem = sign problem

- Empirically observed (e.g. nucleon, nuclei, Wilson loops in lattice QCD)



[Wagman & Savage, PRD96 (2017) 114508]

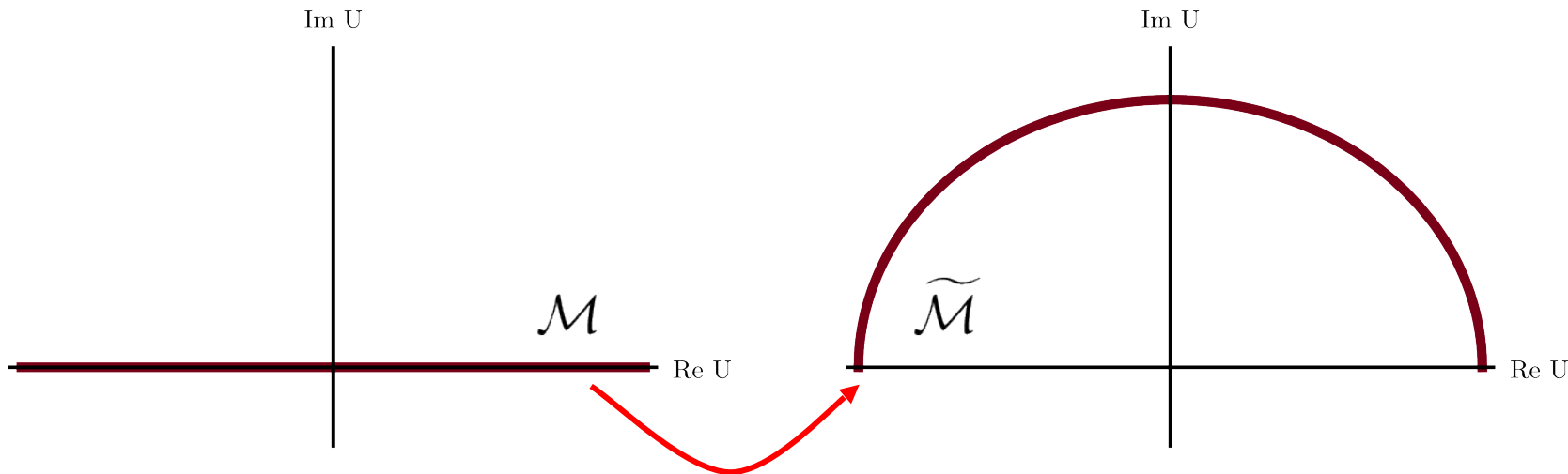
Can we make $\text{Var}[\hat{\mathcal{O}}]$ smaller
while preserving $\langle \mathcal{O} \rangle$?

Observifolds: Yes, and (often) without
changing Monte Carlo sampling

Complex contour deformations

Original integral: $\mathcal{I} = \int_{\mathcal{M}} \mathcal{D}U f(U)$

If $f(U)$ is holomorphic: $\mathcal{I} = \int_{\tilde{\mathcal{M}}} \mathcal{D}\tilde{U} f(\tilde{U})$



"Deform" the contour!

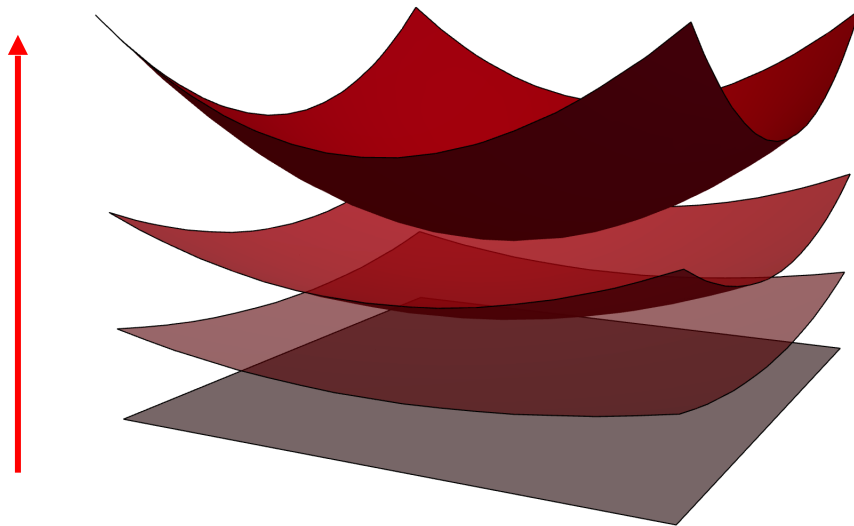
Deforming the path integral

Just high-dimensional contour deformation...

$$\langle \mathcal{O} \rangle = \int_{\mathcal{M}} \mathcal{D}U e^{-S(U)} \mathcal{O}(U) = \int_{\tilde{\mathcal{M}}} \mathcal{D}\tilde{U} e^{-S(\tilde{U})} \mathcal{O}(\tilde{U})$$

Integral value unchanged!

Deform all variables
in high-dimensional
configuration space



Holomorphic?

- Write Boltzmann weight e^{-S} and observable \mathcal{O} in terms of real field variables.

$$\text{E.g. } S_\phi = \dots + m^2 \sum_n \phi_n^* \phi_n \quad \rightarrow \quad S_\phi = \dots + m^2 \sum_n (a_n - ib_n)(a_n + ib_n),$$

where $\phi_n = a_n + ib_n$, path integral holomorphic over $a_n, b_n \in \mathbb{R}$

- Lattice gauge theory: **angular parameterizations** give the needed real field variables
- Deforming angular params into complexified group, we are effectively treating

$$U^\dagger \rightarrow U^{-1}$$

Many related works on path integral deformations

Simulating theories with complex actions

- Non-zero density [\[Cristoforetti, et al. PRD86\(074506\), PRD88\(051501\), PRD89\(114505\); Aarts PRD88\(094501\); Alexandru, et al. PRD93\(014504\), JHEP05\(053\), PRD96\(094505\), PRD98\(054514\), PRD98\(034506\), PRD97\(094510\), PRL121\(191602\); Fujii, et al. JHEP12\(125\); Tanizaki, et al. NJP18\(033002\); Mori, et al. PTEP2018\(023B04\), PRD99\(014033\); ...\]](#)
- Real-time evolution [\[Alexandru, et al. PRL117\(081602\), PRD95\(114501\); Mou, et al. JHEP11\(135\)\]](#)

... and related to complex Langevin approaches [\[Aarts, et al. JHEP10\(159\); Sexty NPA931\(856\)\]](#)

Path integral deformations for observables (“observifolds”)

Action is **real**, observable is **complex**

$$\langle \mathcal{O} \rangle = \int_{\tilde{\mathcal{M}}} e^{-S(\tilde{U})} \mathcal{O}(\tilde{U}) \xrightarrow{\text{manifold coordinates}} \langle \mathcal{O} \rangle = \int_{\mathcal{M}} J(U) e^{-S(\tilde{U}(U))} \mathcal{O}(\tilde{U}(U))$$

Deformed observable with respect to **original Monte Carlo sampling**

$$\mathcal{Q}(U) \equiv e^{-[S_{\text{eff}}(U) - S(U)]} \mathcal{O}(\tilde{U}(U)) \qquad \langle \mathcal{Q}(U) \rangle = \langle \mathcal{O}(U) \rangle$$

Note: $S_{\text{eff}}(U) \equiv S(\tilde{U}(U)) - \log J(U)$

The big picture

$$\langle \mathcal{Q}(U) \rangle = \langle \mathcal{O}(U) \rangle$$

$$\text{Var}[\mathcal{Q}(U)] \neq \text{Var}[\mathcal{O}(U)]$$

Optimizing the variance

Either use **intuition** about good deformations, or **numerically optimize**.

Gradients can be estimated using existing MC samples,

$$\begin{aligned}\nabla_{\vec{\omega}} \text{Var}(\text{Re } \mathcal{Q}) &= \langle \nabla_{\vec{\omega}} (\text{Re } \mathcal{Q})^2 \rangle = 2 \langle \text{Re } \mathcal{Q} \text{Re } \nabla_{\vec{\omega}} \mathcal{Q} \rangle \\ &= 2 \left\langle (\text{Re } \mathcal{Q}) \text{Re} \left(\mathcal{Q} \left[-\nabla_{\vec{\omega}} S_{\text{eff}} + \frac{\nabla_{\vec{\omega}} \mathcal{O}(\tilde{U})}{\mathcal{O}(\tilde{U})} \right] \right) \right\rangle.\end{aligned}$$

Application: 1+1 U(1) gauge theory

[Detmold, GK, Wagman, Warrington PRD102 (2020) 014514]

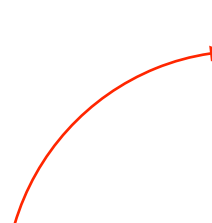
Pure-gauge Schwinger model

1+1 U(1) gauge theory is the **quenched** limit of the Schwinger model (QED 1+1)

$$U_x^\mu \in U(1) \longrightarrow P_x = U_x^1 U_{x+\hat{1}}^2 (U_{x+\hat{2}}^1)^\dagger (U_x^2)^\dagger \longrightarrow \theta_x \equiv \arg P_x$$

links **plaquettes** **plaq phase**

Action is **holomorphic** in angular parameters

$$S_G(\theta) \equiv -\beta \sum_x \cos \theta_x$$


Note: theory with this action solvable for open BCs

Wilson loops

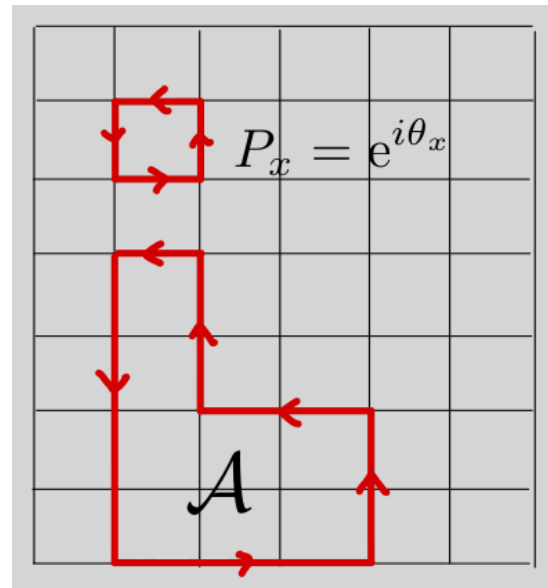
Closed loops of links, for $x \times t$ rectangle gives access to static quark correlation function, **string tension**

StN exponentially bad with Wilson loop area

$$\langle W_{\mathcal{A}} \rangle \equiv \left\langle \prod_{x \in \mathcal{A}} e^{i\theta_x} \right\rangle = e^{-\sigma A} \quad \text{where } \sigma = \ln \left[\frac{I_0(\beta)}{I_1(\beta)} \right]$$

$$\text{StN}(W_{\mathcal{A}}) = \frac{e^{-\sigma A}}{\sqrt{\frac{1}{2} + \frac{1}{2}e^{-\sigma' A} - e^{-2\sigma A}}}$$

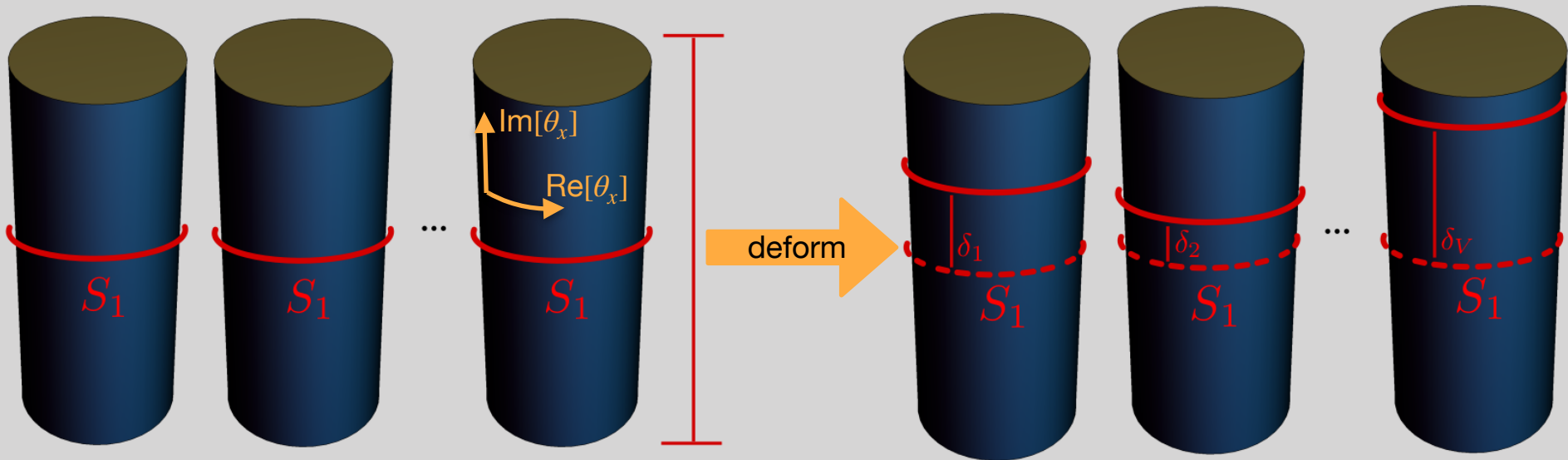
1+1 U(1) plaquettes / loops



Deformation

Shift plaquette integral in complex direction

$$\tilde{\theta}_x = \theta_x + i\delta_x$$



Deformation

For all plaquettes inside loop, $\delta_x = \delta$, otherwise 0

$$\tilde{\theta}_x = \theta_x + i\delta_x$$

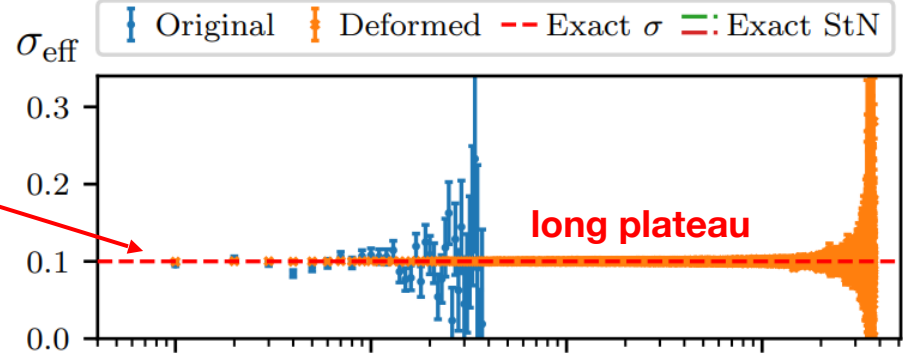
Intuition: make observable magnitude small sample-by-sample...

$$e^{i\theta_x} \rightarrow e^{i\theta_x} e^{-\delta}$$

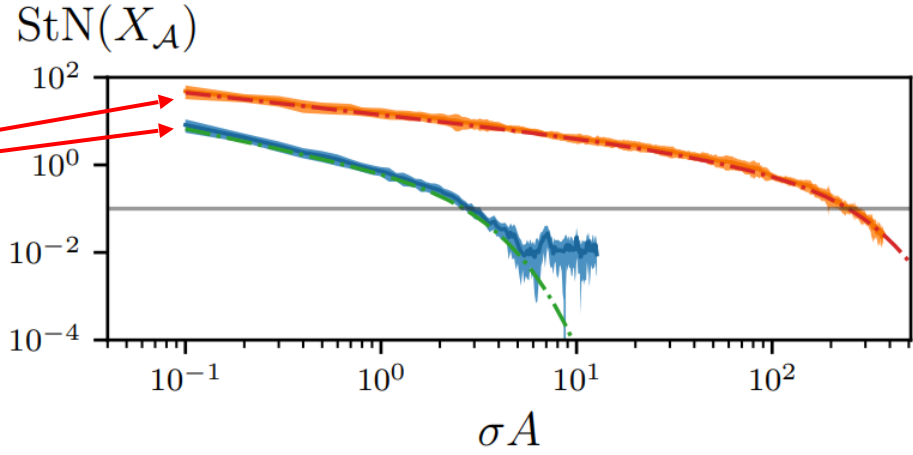
... holomorphy guarantees expectation unchanged, so less phase cancellation required!

It works!

unbiased



exponential improvement in StN



Application: 1+1 SU(N) gauge theory

[Detmold, GK, Lamm, Wagman, Warrington 2101.xxxxx]

Pure-gauge $SU(N)$ theory

Links $U_\mu(x) \in SU(N)$, plaquettes and Wilson loops constructed like Schwinger

$$P_x = U_x^1 U_{x+\hat{1}}^2 (U_{x+\hat{2}}^1)^\dagger (U_x^2)^\dagger \in SU(N)$$

$$W_{\mathcal{A}} = \prod_{x \in \mathcal{A}} P_x$$

Wilson gauge action written in terms of plaquettes

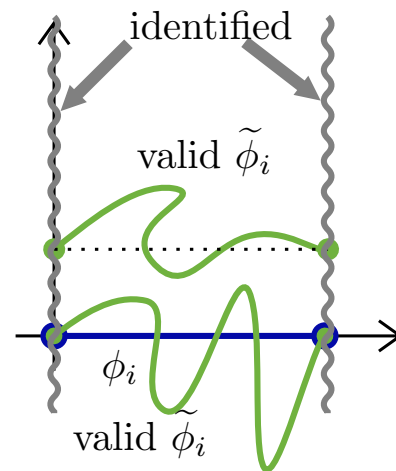
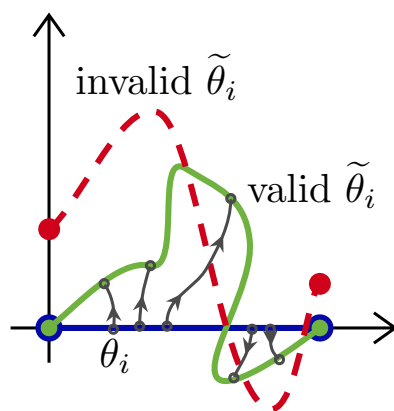
$$S = -\frac{1}{g^2} \sum_x \text{tr}(P_x + P_x^{-1})$$

Angular parameterization of $SU(N)$

[Bronzan PRD38 (1988) 1994] gives an explicit construction for $SU(3)$ and generalized approach for $SU(N)$

- Azimuthal angles $\phi_j \in [0, 2\pi]$
- Zenith angles $\theta_i \in [0, \pi/2]$
- $\Omega \equiv (\phi_1, \dots, \theta_1, \dots)$

Deform collection of angles, dealing appropriately with **endpoints**



Deformation

Vertical deformations $\widetilde{\Omega} = \Omega + if(\Omega)$

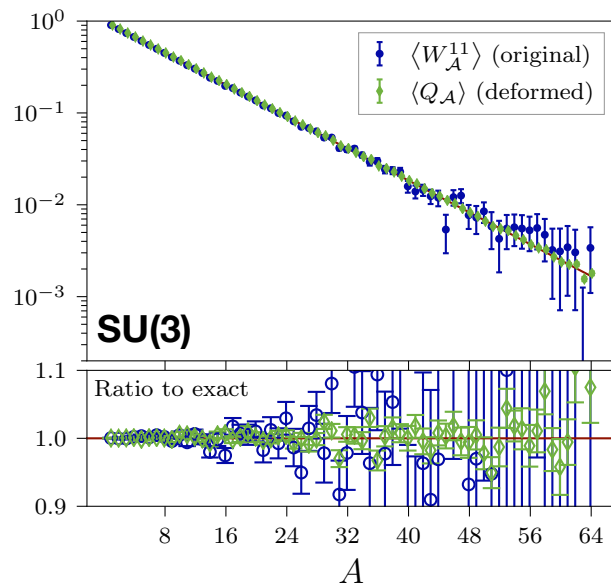
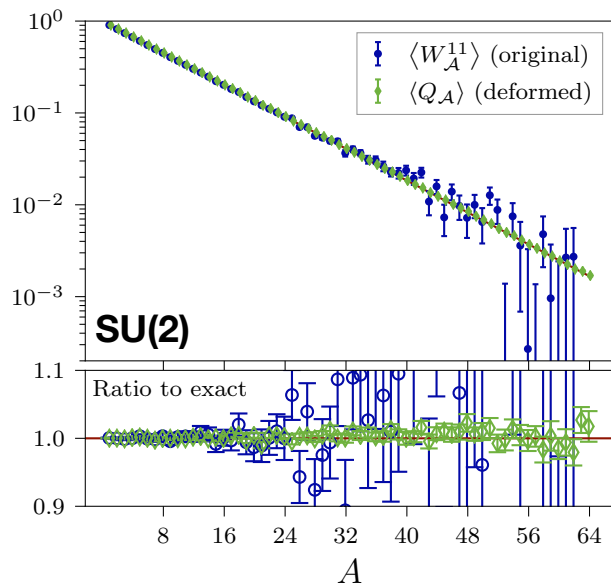
Fourier series definition of $f(\Omega)$, using a subset of all possible terms

- Care with **endpoints**: For θ_i use modes $\sin(2\theta_i m_i)$ preserving fixed endpoints, for ϕ_i use modes $\sin(\phi_i n_i + \chi_i)$ preserving endpoint identification
- Cutoff Λ labels highest mode included

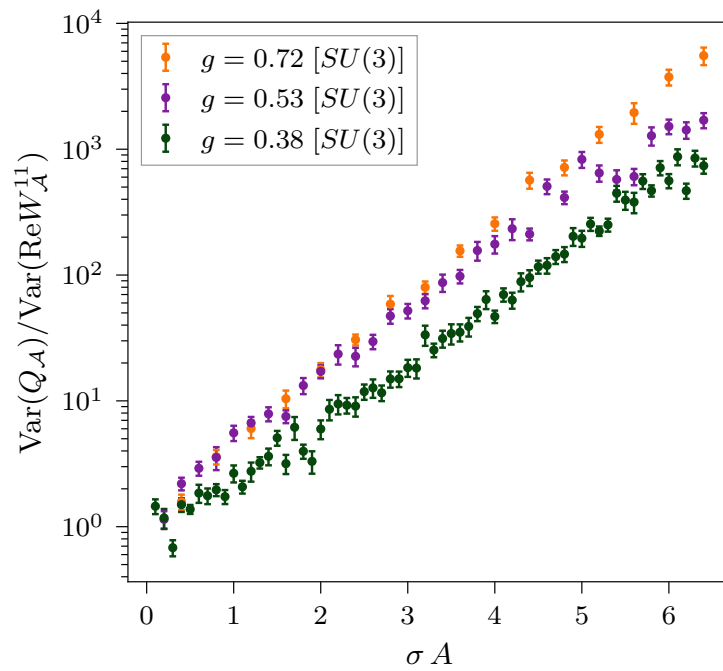
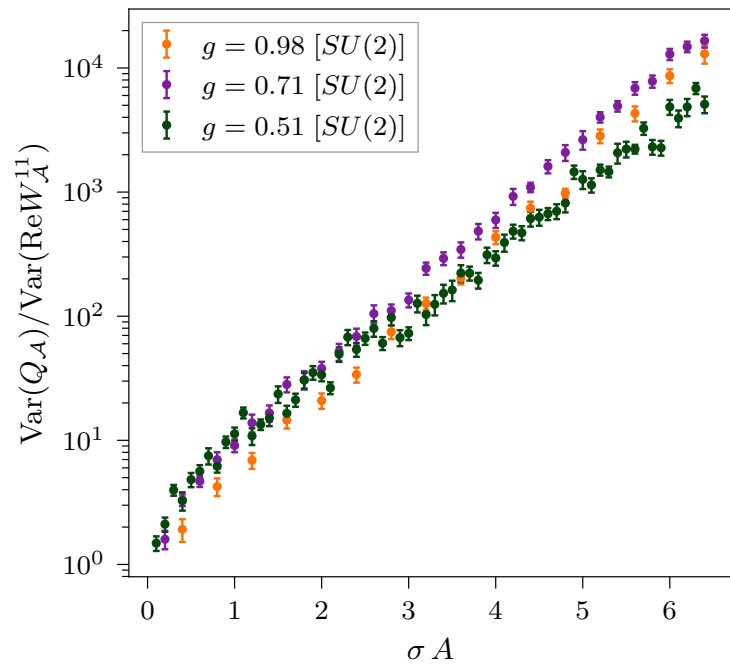
Results

- Tested on $SU(2)$ and $SU(3)$ gauge theory
- 3 choices of coupling, coarse / med / fine lattices with fixed physical volume

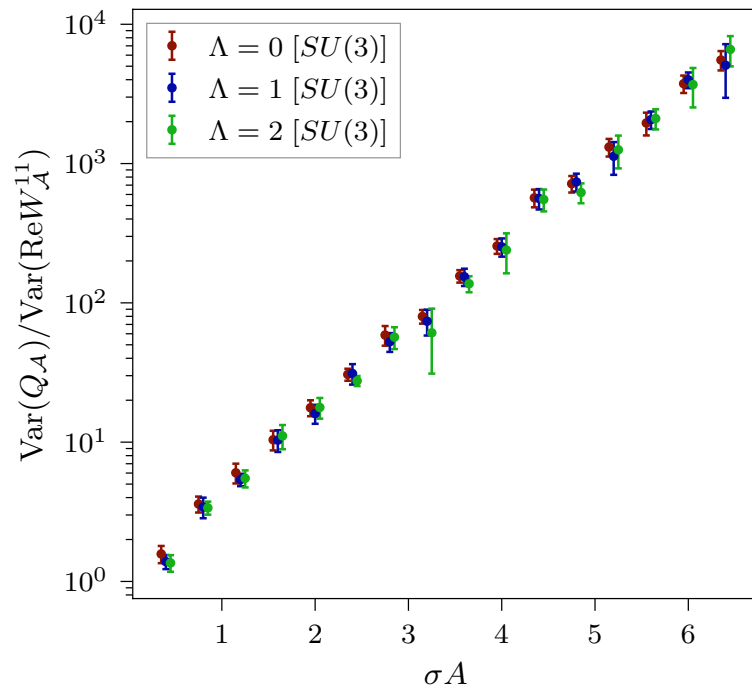
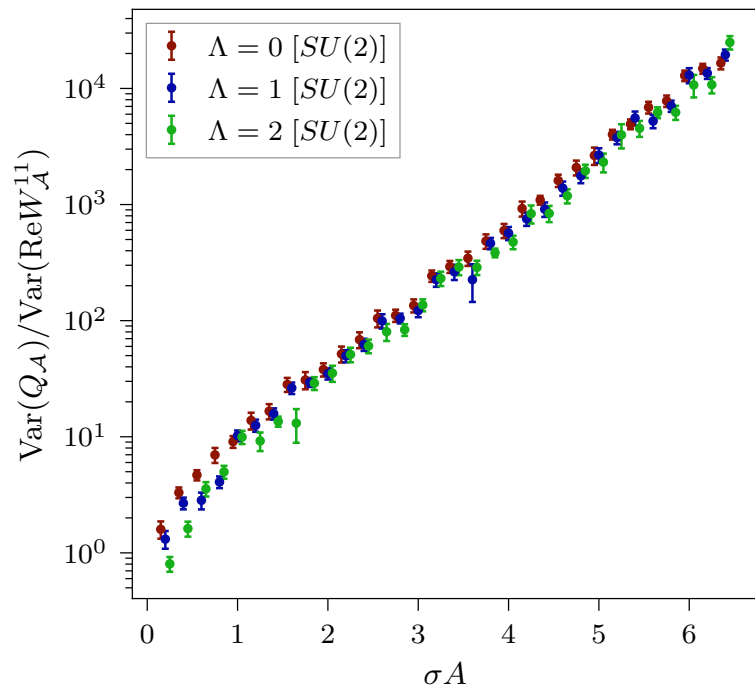
Fine lattices:



Results



Results



Conclusions / Outlook

1. Deforming holomorphic path integral preserves mean
2. Use this to our advantage: find better observables, **provably** identical mean
3. Both $U(1)$ and $SU(N)$ lattice gauge theory in 1+1D promising
4. No theoretical obstacle to higher dims... practical results?
5. Fermionic observables?



Towards LQCD and other relevant LQFTs!

Backup Slides

Application: 0+1 complex scalar theory

Complex scalar theory

Use phase-magnitude decomposition for variables $\phi_t = R_t e^{i\theta_t}$

Holomorphic:
$$S = -2 \sum_{t=0}^{L-1} R_t R_{t+1} \cos(\theta_{t+1} - \theta_t) + V(R)$$

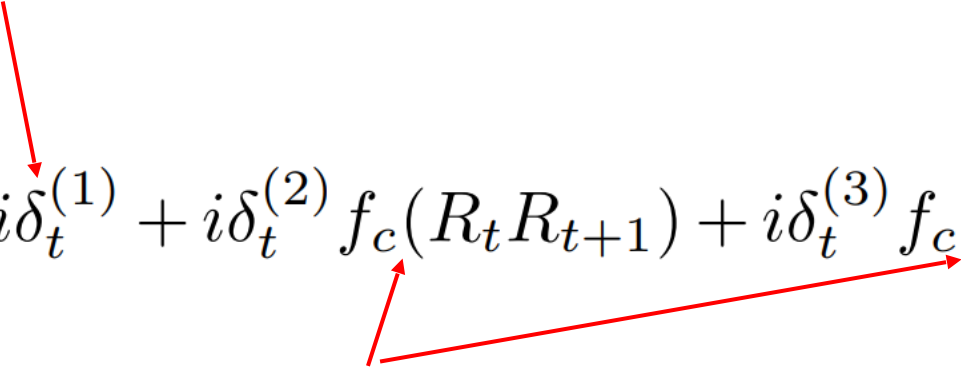
$$V(R) = \sum_t (2 + m^2) R_t^2 + \lambda R_t^4$$

Interested in correlation functions

$$G_t = \langle R_t R_0 e^{i\theta_t - i\theta_0} \rangle \equiv \langle C_t(R, \theta) \rangle$$

Deformation for scalar theory

Intuition: phase differences appear in action similarly to phases of Schwinger, use shifts into imaginary direction

$$\tilde{\theta}_t = \theta_t + i\delta_t^{(1)} + i\delta_t^{(2)} f_c(R_t R_{t+1}) + i\delta_t^{(3)} f_c(R_{t-1} R_t)$$


Extra terms inspired by small phase fluctuation expansion.

Results

Experiments with numerical optimization as well as simple one-parameter hand tuning

