

REAL-FREQUENCY CORRELATION FUNCTIONS OF CORRELATED ELECTRONIC SYSTEMS FROM QUANTUM FIELD THEORY

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NR, Anxiang Ge, Elias Walter, Santiago Aguirre, Jan von Delft, and Fabian B. Kugler J. Chem. Phys. 161, 054118 (2024)

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 Background: multi-point correlation functions in condensed matter physics

- Field-theory methods for fermionic problems
- Keldysh formalism for computing real-frequency dynamical correlation functions
- fRG vs. parquet study of the Anderson impurity model

FLATIRON INSTITUTE

- Outlook: Field theory methods + DMFT -0.5
 - Spectral representation of correlation functions
 - Outlook: Quantics Tensor Cross Interpolation

RUTGERS







Alexander von **HUMBOLDT** STIFTUNG

<u>Multi-point correlation functions in condensed matter physics</u>

General theory of interacting fermions:

$$S = -\sum_{\substack{1',1\\ 1',1}} \bar{c}_{1'} [G_0^{-1}]_{1',1} c_1 - \frac{1}{4} \sum_{\substack{1',1,2',2\\ 1',1,2',2}} \Gamma_{0;1',2';1,2} \bar{c}_{1'} \bar{c}_{2'} c_2 c_1$$

imaginary) time,
homentum, bare propagator bare interaction vertex

Correlation functions (= time-ordered expectation values of operators)

$$\langle c_1 \dots \bar{c}_{1'} \rangle = \frac{1}{Z} \int \mathscr{D}[\bar{c}, c] c_1 \dots \bar{c}_{1'} e^{-S}$$

Two-point functions:

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- local density of states
- spectral function $A(\omega, \mathbf{k}) = -\frac{1}{\pi} \operatorname{Im} G^{R}(\omega, \mathbf{k})$



Spectral function of Sr_2RuO_4 from ARPES **A.Tamai et al., PRX (2019)**



see M.Qin, T.Schäfer, S.Andergassen, P.Corboz, E.Gull, Ann. Rev. Cond. Mat. Phys. (2021)



Dynamical spin structure factor for $SrCo_2V_2O_8$ from INS **A.K.Bera et al., PRB (2017)**







Field theory formulation

Two-point function $G_{1,1'} = -i\langle c_1 \overline{c}_{1'} \rangle$ $G_{1|1'} = \frac{1}{4} \frac{1'}{G_0} = \frac{1}{G_0} \frac{1'}{G_0} + \frac{1}{G_0} \frac{2}{G_0} \frac{1'}{G_0} \frac{1}{G_0} \frac{1}{$

Four-point function $G_{12|1'2'}^{(4)} = i \langle c_1 \, c_2 \, \bar{c}_{2'} \, \bar{c}_{1'} \rangle$



needed as function of <u>real frequencies</u>

Four-point vertex $\Gamma_{\sigma_1'\sigma_2'|\sigma_1\sigma_2}(\omega_1, \omega_2, \omega_3; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$:

Energy-dependent effective interaction

In thermal equilibrium: Matsubara formalism

looks like time-evolution
 in <u>imaginary time</u>

$$Z = \operatorname{Tr} e^{-\beta \hat{H}} = \int \prod_{i} \left(d\bar{c}_{i} dc_{i} \right) e^{-\sum_{i} \bar{c}_{i} c_{i}} \langle -c \mid e^{-\beta \hat{H}} \mid c \rangle$$
$$= \int \mathscr{D}[\bar{c}, c] e^{-S} \quad \text{with} \quad S = \int_{0}^{\beta} d\tau \sum_{i} \bar{c}_{i}(\tau) \,\partial_{\tau} c_{i}(\tau) + H[\bar{c}, c]$$

Imaginary times defined on interval $\tau \in [0, \beta)$ \rightarrow switch to Matsubara-frequency representation

 $c(\tau) = \frac{1}{\beta} \sum_{\omega_n} c_n e^{-i\omega_n \tau} \quad \text{with} \quad \omega_n = \frac{(2n+1)\pi}{\beta}, \quad n \in \mathbb{Z}$

Convenient to work with:

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- compute correlation functions only at discrete points
- no integrations, just summations over Matsubara frequencies
- can formally return to real-frequency description by analytic continuation: $G^R(\nu) = G(i\omega_n \rightarrow \nu + i0^+)$

Problem(s):

vertex Γ

solved by Ge, Halbinger, Lee, von Delft, Kugler Ann. Phys (2024)

- How to do this for multi-point functions?
- Analytic continuation is numerically ill-conditioned!





Keldysh formalism

$$\langle \hat{O}(t) \rangle = \operatorname{Tr} \left[\tilde{\mathcal{T}} e^{-i \int_{t}^{t_{0}} \mathrm{d}t' H(t')} \hat{O} \, \mathcal{T} e^{-i \int_{t_{0}}^{t} \mathrm{d}t' H(t')} \rho_{0} \right] = \operatorname{Tr} \left| \mathcal{T}_{\mathscr{C}} \right|$$

contour index!

$$G^{c|c'}(t|t') = -i\langle \mathcal{T}_{\mathscr{C}}\psi^{c}(t)\psi^{\dagger c'}(t')\rangle = \begin{pmatrix} G^{\mathscr{T}} & G^{<} \\ G^{>} & G^{\tilde{\mathcal{T}}} \end{pmatrix}$$

Redundancy:
$$G^{<} + G^{>} - G^{\mathcal{T}} - G^{\tilde{\mathcal{T}}} = 0$$

Simplification by Keldysh rotation:

$$\begin{split} G^{k|k'} &= D^{kc} G^{c|c'} (D^{-1})^{c'k'} = \begin{pmatrix} G^{1|1} & G^{1|2} \\ G^{2|1} & G^{2|2} \end{pmatrix} = \begin{pmatrix} 0 & G^{A} \\ G^{R} & G^{K} \end{pmatrix} \\ D &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1-1 \\ 1 & 1 \end{pmatrix}, \\ D^{-1} &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \qquad G^{R}(t_{1}, t_{2}) = -i \Theta(t_{1} - t_{2}) \langle \{c(t_{1}), c^{\dagger}(t_{2})\} \rangle \\ G^{A}(t_{1}, t_{2}) &= [G^{R}(t_{2}, t_{1})]^{*} \end{split}$$





$$G^{K}(\nu) = 2i \tanh\left(\frac{\nu}{2T}\right) \operatorname{Im} G^{R}(\nu)$$

Fluctuation-Dissipation Relation only explicit occurrence of temperature





Field theory methods

1 Functional Renormalization Group

Start from vertex expansion of Wetterich's equation. Flow equations:



Use single-particle flow parameter Λ to interpolate between $\Lambda_{initial} \rightarrow \Lambda_{final}$. Theory at $\Lambda_{initial}$ should be under control.

Review: Metzner, Salmhofer, Honerkamp, Meden, Schönhammer, RMP (2012) Review: Bickers (2004)



<u>Connection: multi-loop fRG</u> Add the 2PR-contributions of $\Gamma^{(6)}$ to $\dot{\Gamma}$ <u>iteratively</u>, organized by loop-order.



[Related work for bosonic theory: Blaizot, Pawlowski, Reinosa, PLB 696, 523 (2011)]



Kugler, von Delft PRL (2018), PRB (2018), NJP (2018)









Application: Single-impurity Anderson model



$$G_{\rm H}^{\it R}(\nu) = \frac{1}{\nu - \epsilon_d + i\Delta - \Sigma_{\rm H}} \stackrel{=}{\overleftarrow{\uparrow}} \frac{1}{\nu + i\Delta}$$
particle-hole symmetry

 $\epsilon_d \in \{-U/2, 0\}$ impurity level shift

effective level width ("hybridization") Δ

$$T_{\rm K} = \sqrt{\Delta U/2} \, \exp\left[-\pi \left(\frac{U}{8\Delta} + \frac{\Delta}{U}\right)\right]$$

Kondo temperature

Benchmark: Numerical Renormalization Group (NRG)



Logarithmic discretization of the bath: Wilson chain

Wilson, RMP (1995); Bulla, Costi, Pruschke, RMP (2008)

• Iterative diagonalization: complete basis of energy eigenstates

Anders, Schiller, PRL (2005)

• Tensor network formulation: many-body states as matrix product states

Weichselbaum, PRB (2008)

 Correlation functions from (partial) spectral functions Peters, Pruschke, Anders, PRB (2006) Weichselbaum, von Delft, PRL (2007) Lee, Kugler, von Delft, PRX (2021)

<u>fRG & parquet treatment</u>

Anxiang Ge, NR, Elias Walter, Santiago Aguirre, Jan von Delft, and Fabian B. Kugler Phys. Rev. B 109, 115128 (2024)





 $E \star$

• **K1SF**: Previous state-of-the-art by S. Jakobs, V. Meden, H. Schoeller (RWTH Aachen)







 $\gamma(\omega, \nu, \nu') \approx \gamma(\omega) \rightarrow \text{only "static feedback"}$ from other channels

• PT2: Second-order perturbation theory



$\epsilon + 0$
U/2
U/2
· 6



Dynamical Susceptibilities









Static quantities



Static susceptibilities:

$$\chi_{\rm m} = \frac{1}{4} \partial_h \langle n_{\uparrow} - n_{\downarrow} \rangle \big|_{h=0}$$
$$\chi_{\rm d} = \frac{1}{4} \partial_{\epsilon_d} \langle n_{\uparrow} + n_{\downarrow} \rangle$$



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<u>Regulator dependence in (one-loop) fRG</u>



Flow schemes (Matsubara formalism):

hybridization (Δ) flow: $G_{\Delta}(i\nu) = \frac{1}{i\nu - \epsilon_d - \Delta - \Sigma(i\nu)}$ interaction (U) flow: $G_{\Lambda}(i\nu) = \frac{\Lambda}{i\nu - \epsilon_d - \Delta - \Lambda \Sigma(i\nu)}$ frequency (ν) flow: $G_{\Lambda}(i\nu) = \frac{\nu^2}{\nu^2 + \Lambda^2} \frac{1}{i\nu - \epsilon_d - \Delta - \Sigma(i\nu)}$ (not possible in Keldysh)

The one-loop truncation in fRG yields highly regulator-dependent results!

In Keldysh, the Δ - flow is the method of choice.





Fulfillment of Fermi-liquid identities



$$Z^{-1} = [\chi_{\rm m}(0) + \chi_{\rm d}(0)]/\rho(0) \qquad \qquad \rho(0) \equiv A(0) |_{T=0}$$

$$-\rho(0)\Gamma_{\uparrow\downarrow}(\vec{0}) = [\chi_{\rm m}(0) - \chi_{\rm d}(0)]/\rho(0)$$

$$-\Sigma''(\nu) = \frac{1}{2}\pi\rho(0)^3 [\Gamma_{\uparrow\downarrow}(\vec{0})]^2 (\nu^2 + \pi^2 T^2)$$

$$\lim_{\omega \to 0} \chi_{\rm m}''(\omega)/\omega = 2\pi \left[\chi_{\rm m}'(0) \right]^2$$







Details 1: Frequency parametrization





similarly for channels p and t

see also Wentzell, Li, Tagliavini, Taranto, Rohringer, Held, Toschi, Andergassen, PRB (2020)

 $= \mathcal{K}_1^a(\omega_a) + \mathcal{K}_2^a(\omega_a, \nu_a) + \mathcal{K}_{2'}^a(\omega_a, \nu_a') + \mathcal{K}_3^a(\omega_a, \nu_a, \nu_a')$





Details 2: Frequency grids and integrations

Use a non-linear grid to resolve sharp structures at small frequencies and the slow asymptotic decline.



most challenging: bubble-integrations of the type



approximate:





 Γ^L

Π

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Details 3: Solving the fRG flow equations <u>& self-consistent parquet equations</u>



Observation: Right-hand sides can vary rapidly w.r.t. Λ

 \rightarrow crucial to use a solver with adaptive step-size control!

Here: Cash-Karp method with $\epsilon_{\rm rel} = 10^{-6}$

(4th order Runge-Kutta solver with 5th order error estimate)

Cash, Karp, ACM TMS (1990) see also Beyer, Goth, Müller, EPJB (2022)



Parquet equations = set of self-consistent equations





Set up as fixed-point equation: $\Psi = f_{PA}(\Psi)$ $\Psi = (\Sigma, \Gamma)$

Solve iteratively, stabilizing with partial update:

$$\Psi \leftarrow (1 - m) \cdot \Psi + m \cdot f_{\text{PA}}(\Psi)$$

$$\bigwedge \int_{0 < m \le 1}^{\infty} f_{\text{PA}}(\Psi)$$

faster convergence using Anderson acceleration, combining previous evaluations of $f_{\rm PA}(\Psi)$

Anderson, JACM (1965)



Details 4: Symmetries, Vectorization and Parallelization

$$\begin{array}{lll} \mbox{crossing symmetry:} & \Gamma_{1'2'|12} = -\Gamma_{2'1'|12} = -\Gamma_{1'2'|21} = \Gamma_{2'1'|21} \\ \mbox{complex conjugation:} & \Gamma_{1'2'|12} = (-1)^{1+\sum_i k_i} \Gamma_{1'2|1'2'}^* \\ \mbox{SU(2) symmetry:} & \Gamma_{\sigma\sigma|\sigma\sigma} = \Gamma_{\sigma\bar{\sigma}|\sigma\bar{\sigma}} + \Gamma_{\sigma\bar{\sigma}|\bar{\sigma}\sigma} \\ \mbox{conticle-hole symmetry:} & \Gamma_{1'2'|12}(\nu_1', \nu_2' | \nu_1, \nu_2) = \Gamma_{12|1'2'}(-\nu_1, -\nu_2| - \nu_1', -\nu_2') \\ & = (-1)^{1+\sum_i k_i} \Gamma_{1'2'|12}(-\nu_1', -\nu_2' | -\nu_1, -\nu_2)^* \\ \mbox{energy conservation:} & \nu_1' + \nu_2' = \nu_1 + \nu_2 \end{array}$$

Parallelize across multiple threads (OpenMP) and nodes (MPI)

OpenMP:



$$\Gamma^{k_{1'},k_{2'},k_{1},k_{2}} \mapsto \begin{cases} \Gamma^{(k_{1'},k_{2}),(k_{2'},k_{1})}, & \text{for a - cha} \\ \Gamma^{(k_{1'},k_{2'}),(k_{1},k_{2})}, & \text{for p - cha} \\ \Gamma^{(k_{2'},k_{2}),(k_{1'},k_{1})}, & \text{for t - char} \end{cases}$$

> matrix product

MPI:

- parallelization across multiple nodes running many threads each
- distributed memory









PA @ u = 1: 25k CPU h (single data point!)

fRG more economical (full parameter sweep)







<u>Outlook: Field theory methods + DMFT</u>



(1) How do we compute Γ with NRG?

(2) Vertex $\Gamma_{\sigma_1'\sigma_2'|\sigma_1\sigma_2}(\omega_1,\omega_2,\omega_3;\mathbf{k}_1,\mathbf{k}_2,\mathbf{k}_3)$ becomes huge due to additional momentum dependence! How to resolve?

Before: 1-loop fRG and parquet approximation fail at large couplings. How to improve?









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(1) Diagrammatic consistency of NRG

NRG knows nothing about equations from field theory. Should fulfill them anyway if used as a starting point for diagrammatic calculations.

Example: U(1) Ward-identity (related to particle number conservation on the impurity):

$$\begin{split} i\Sigma^{\alpha_{1}'\mid\overline{\alpha}_{1}}(\nu-\frac{\omega}{2}) &- i\Sigma^{\overline{\alpha}_{1}'\mid\alpha_{1}}(\nu+\frac{\omega}{2}) \stackrel{!}{=} \frac{1}{2\pi} \sum_{\alpha_{2}'\alpha_{2}\alpha_{2}} \int d\tilde{\nu} \bigg\{ \omega \ G^{\alpha_{\tilde{2}}\mid\alpha_{2}'}(\tilde{\nu}+\frac{\omega}{2})\Gamma^{\alpha_{1}'\alpha_{2}'\mid\alpha_{2}\alpha_{1}}_{\uparrow\uparrow\uparrow+\uparrow\downarrow}(\omega,\nu,\tilde{\nu})G^{\alpha_{2}\mid\alpha_{\tilde{2}}}(\tilde{\nu}-\frac{\omega}{2}) \\ &- \sum_{\alpha_{\tilde{1}}} \bigg[\Delta^{\overline{\alpha}_{\tilde{2}}\mid\alpha_{\tilde{1}}}(\tilde{\nu}+\frac{\omega}{2})G^{\alpha_{\tilde{1}}\mid\alpha_{2}'}(\tilde{\nu}+\frac{\omega}{2})\Gamma^{\alpha_{1}'\alpha_{2}'\mid\alpha_{2}\alpha_{1}}_{\uparrow\uparrow+\uparrow\downarrow}(\omega,\nu,\tilde{\nu})G^{\alpha_{2}\mid\alpha_{\tilde{2}}}(\tilde{\nu}-\frac{\omega}{2}) \\ &- G^{\alpha_{\tilde{2}}\mid\alpha_{\tilde{2}}}(\tilde{\nu}+\frac{\omega}{2})G^{\alpha_{\tilde{1}}\mid\alpha_{2}'}(\tilde{\nu}+\frac{\omega}{2})\Gamma^{\alpha_{1}'\alpha_{2}'\mid\alpha_{2}\alpha_{1}}_{\uparrow\uparrow+\uparrow\downarrow}(\omega,\nu,\tilde{\nu})G^{\alpha_{2}\mid\alpha_{\tilde{2}}}(\tilde{\nu}-\frac{\omega}{2}) \\ &- G^{\alpha_{\tilde{2}}\mid\alpha_{\tilde{2}}}(\tilde{\nu}+\frac{\omega}{2})G^{\alpha_{\tilde{1}}\mid\alpha_{2}'}(\tilde{\nu}+\frac{\omega}{2})\Gamma^{\alpha_{1}'\alpha_{2}'\mid\alpha_{2}\alpha_{1}}_{\uparrow\uparrow+\uparrow\downarrow}(\omega,\nu,\tilde{\nu})G^{\alpha_{2}\mid\alpha_{\tilde{2}}}(\tilde{\nu}-\frac{\omega}{2}) \\ &- S^{\alpha_{\tilde{1}}\mid\alpha_{1}}(\tilde{\nu}+\frac{\omega}{2})G^{\alpha_{\tilde{1}}\mid\alpha_{2}'}(\tilde{\nu}+\frac{\omega}{2})\Gamma^{\alpha_{1}'\alpha_{2}'\mid\alpha_{2}\alpha_{1}}_{\uparrow\uparrow+\uparrow\downarrow\downarrow}(\omega,\nu,\tilde{\nu})G^{\alpha_{2}\mid\alpha_{1}}(\tilde{\nu}-\frac{\omega}{2})\Delta^{\alpha_{\tilde{1}}\mid\alpha_{\tilde{2}}}(\tilde{\nu}-\frac{\omega}{2}) \\ &- S^{\alpha_{\tilde{1}}\mid\alpha_{1}\mid\alpha_{1}\mid\alpha_{2}\mid$$

Choice $\alpha_1 = \alpha_{1'} = 2$, u = 0.5, T/U = 0.01



PRELIMINARY!





(2) Quantics Tensor Cross Interpolation (QTCI)



I. V. Oseledets, Dokl Math 2009; B. N. Khoromskij, Constr Approx 2011 Shinaoka, Wallerberger, Murakami, Nogaki, Sakurai, Werner, Kauch, PRX 2023 Ritter, Nuñez Fernández, Wallerberger, von Delft, Shinaoka, Waintal: Phys. Rev. Lett. 132 (2024)

How to resolve $\Gamma_{\sigma_1'\sigma_2'|\sigma_1\sigma_2}(\omega_1,\omega_2,\omega_3;\mathbf{k}_1,\mathbf{k}_2,\mathbf{k}_3)$??





Algorithms

Tensor train construction via TCI: $O(\chi^3 L)$



Fourier transform: $O(\chi^3 L)$

Integral transform: $O(\chi^4 L)$ $f(\mathbf{x}, \mathbf{z}) = \int_{I^d} \mathrm{d}^d \mathbf{y} \, g(\mathbf{x}, \mathbf{y}) h(\mathbf{y}, \mathbf{z})$

Multiplication: $O(\chi^4 L)$ $f(\mathbf{x}, \mathbf{y}, \mathbf{z}) = g(\mathbf{x}, \mathbf{y})h(\mathbf{y}, \mathbf{z})$

Addition, subtraction, coordinate transform, ...





 $\ldots \sigma_{nR}$



Application to the Keldysh vertex (preliminary!)



 $\chi \lesssim 70$ sufficient! (worst case: $\chi = 4096$)

Very recent: Proof-of-principle study on solving the parquet equations (Matsubara formalism)



Rohshap, Ritter, Shinaoka, von Delft, Wallerberger, Kauch, arXiv:2410.22975









<u>Summary</u>

- multi-point correlation functions play a crucial role in $\widehat{\mathfrak{E}}_{0.2}$ condensed matter physics
- real-frequency QFT with full frequency resolution of the vertex Γ is feasible \rightarrow full frequency dependence improves accuracy
- PA gives best agreement, where available
- fRG more economical, but less accurate

Next steps

- **Combine** local calculations from NRG with diagrammatic computations, extended to include non-local correlation effects
- Make sure NRG computations are ullet**consistent** with diagrammatic equations
- Use compression techniques such as QTCI to keep the required numerical resources in check
- Long-term: Apply to realistic materials!

energy

 σ_1

 σ_2

0.0

 $\nabla/(n)$

0.0

 $\nabla/(\lambda)$ 1.0



