

**Structure**

1. FCNC  $\rightarrow$  no FCNC at tree level
2. How should a flavour experiment look like
- 3.

Theory that describes fundamental particles and their interactions is the Standard Model (SM)

$$G_{SM} = \underbrace{SU(3)_c}_{\text{strong}} \times \underbrace{SU(2)_L \times U(1)_Y}_{\text{electroweak}}$$

flavour conserved global symmetry      symmetry is broken

Focus of this lecture will be on the electroweak sector

Flavour physics: Study difference between the generations such as masses, flavour transitions and CP-violation

$$Q_{Lj} = \begin{pmatrix} u_{Lj} \\ d_{Lj} \end{pmatrix} = \begin{pmatrix} u_{Lj} \\ d_{Lj} \end{pmatrix}, \begin{pmatrix} c_{Lj} \\ s_{Lj} \end{pmatrix}, \begin{pmatrix} t_{Lj} \\ b_{Lj} \end{pmatrix}$$

Left handed  $SU(2)$  doublets

$$u_{Rj} = (u_R, c_R, t_R)$$

Right handed  $SU(2)$  singlets

$$d_{Rj} = (d_R, s_R, b_R)$$

Yukawa matrix complex, non-diagonal

$$\mathcal{L}_{Yukawa} = Y_{ij}^d \bar{Q}_{Lj} \phi d_{Ri} + Y_{ij}^u Q_{Lj} \tilde{\phi} u_{Ri} + h.c.$$

Higgs symmetry breaking

$$= \frac{v}{\sqrt{2}} (\bar{d}_{Lj} Y_{ij}^d d_{Ri} + \bar{u}_{Lj} Y_{ij}^u u_{Ri}) + h.c.$$

$$= \bar{d}_{Lj} V_{Lj}^d V_{Ri}^d \frac{v}{\sqrt{2}} Y_{ij}^d d_{Ri} + \bar{u}_{Lj} V_{Lj}^u V_{Ri}^u \frac{v}{\sqrt{2}} Y_{ij}^u u_{Ri} + h.c.$$

$M_{ij}^d$        $M_{ij}^u$

V is defined such that

$$\frac{v}{\sqrt{2}} V_{Lj}^d Y_{ij}^d V_{Ri}^d = \text{diag}(m_d, m_s, m_b) = M^d$$

$$\text{and } \frac{v}{\sqrt{2}} V_{Lj}^u Y_{ij}^u V_{Ri}^u = \text{diag}(m_u, m_c, m_t) = M^u$$

$$\text{and } \begin{pmatrix} \tilde{u}_L \\ \tilde{d}_L \end{pmatrix} = V_{Lj}^u \begin{pmatrix} u_L \\ d_L \end{pmatrix} \Rightarrow \begin{pmatrix} u_L \\ d_L \end{pmatrix} = V_{Lj}^u \begin{pmatrix} \tilde{u}_L \\ \tilde{d}_L \end{pmatrix}$$

$$\begin{pmatrix} u_R \\ d_R \end{pmatrix} = V_{Ri}^u \begin{pmatrix} \tilde{u}_R \\ \tilde{d}_R \end{pmatrix} \Rightarrow \begin{pmatrix} \tilde{u}_R \\ \tilde{d}_R \end{pmatrix} = V_{Ri}^u \begin{pmatrix} u_R \\ d_R \end{pmatrix}$$

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} (\bar{\tilde{u}}_{Lj} \gamma^\mu W_\mu^+ d_{Li} + \bar{\tilde{d}}_{Lj} \gamma^\mu W_\mu^- u_{Li})$$

insert

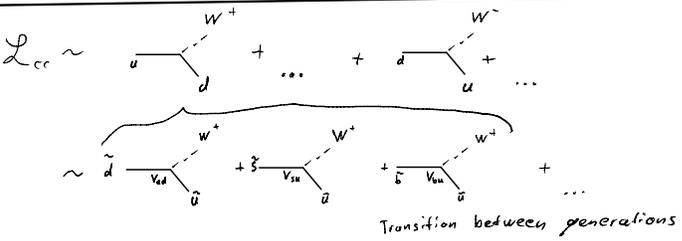
$$= -\frac{g}{\sqrt{2}} (\bar{\tilde{u}}_{Lj} \gamma^\mu W_\mu^+ (V_{Lk}^u V_{Ri}^u)_{ij} \tilde{d}_{Lj} + \bar{\tilde{d}}_{Lj} \gamma^\mu W_\mu^- (V_{Lk}^d V_{Ri}^d)_{ij} \tilde{u}_{Lj})$$

$V_{CKM}^+$

$$\mathcal{L}_{NC} = \frac{g}{\cos \theta_w} \left\{ \bar{\tilde{u}}_{Lj} \gamma^\mu \left( \frac{2}{3} - \frac{4}{3} s_w^2 \right) u_{Lj} + \bar{\tilde{u}}_{Rj} \gamma^\mu \left( -\frac{2}{3} + \frac{4}{3} s_w^2 \right) u_{Rj} + \bar{\tilde{d}}_{Lj} \gamma^\mu \left( -\frac{2}{3} + \frac{4}{3} s_w^2 \right) d_{Lj} + \bar{\tilde{d}}_{Rj} \gamma^\mu \left( \frac{2}{3} - \frac{4}{3} s_w^2 \right) d_{Rj} \right\} Z^\mu$$

$\Rightarrow$  flavour diagonal  $\Rightarrow$  no flavour mixing

$s_w = \sin \theta_w = \frac{g'}{\sqrt{g^2 + g'^2}}$   
electroweak mixing angle



$$\mathcal{L}_{NC} \sim \frac{g}{\cos \theta_w} \left( u \text{---} Z^0 \text{---} u + \dots \right)$$

flavour diagonal  $\Rightarrow$  no flavour mixing

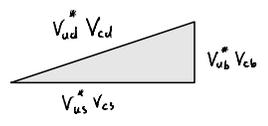
$$V^\dagger V = \mathbb{1}$$

**Properties of  $V_{CKM}$ :**

- Unitary ( $V^\dagger V = V V^\dagger = \mathbb{1}$ )
- 3 real parameters and 1 phase

Remark  
Unit: 18 parameters (9 real + 9 phases)  
 $\downarrow$   
Unitary: 9 parameters (3 real + 6 phases)  
 $\downarrow$   
Phases are: 4 parameters (3 real + 1 phase)

Reason for CPV



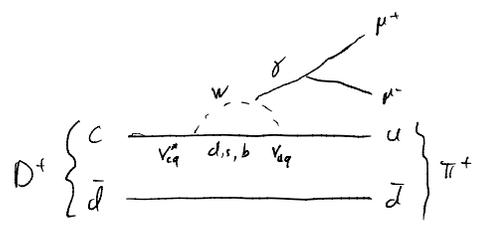
One goal is to overconstrain the unitarity triangle to search for new physics

$$|V_{CKM}| = \begin{pmatrix} \square & \square & \square \\ \square & \square & \square \\ \cdot & \square & \square \end{pmatrix}$$

Magnitude of CKM elements is purely based on measurements

$$V_{CKM} \approx \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + O(\lambda^4)$$

$\lambda = |V_{us}| \sim 0.22$   
[PDG review]

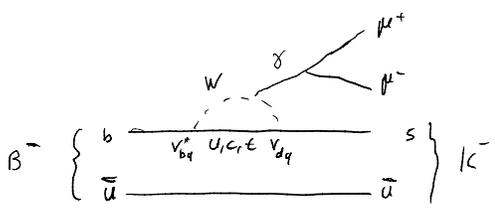


$$A_{SM}^D \propto V_{cd}^* V_{ud} + \left( \frac{m_d^2}{m_W^2} \right) + V_{cs}^* V_{us} + \left( \frac{m_s^2}{m_W^2} \right) + V_{cb}^* V_{ub} + \left( \frac{m_b^2}{m_W^2} \right)$$

$$= -V_{cs}^* V_{us} + \left( \frac{m_d^2}{m_W^2} \right) - V_{cb}^* V_{ub} + \left( \frac{m_b^2}{m_W^2} \right)$$

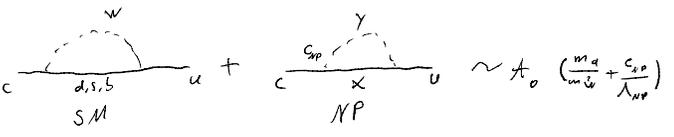
$$= V_{cs}^* V_{us} \left( + \left( \frac{m_d^2}{m_W^2} \right) - \left( \frac{m_s^2}{m_W^2} \right) \right) + V_{cb}^* V_{ub} \left( + \left( \frac{m_b^2}{m_W^2} \right) - \left( \frac{m_c^2}{m_W^2} \right) \right) \approx 10^{-8}$$

$\sim \lambda$  "GIM suppressed"       $\sim \lambda^5$  "CKM suppressed"



$$A_{SM}^B \propto \frac{V_{bt}^* V_{st}}{\lambda^2} + \left( \frac{m_t^2}{m_W^2} \right) \approx 10^{-3}$$

$O(10^{-2})$



The goal of flavour experiments is often to look at these loop processes to indirectly search for new physics. Even particles too heavy for direct production can contribute and change the final rate.

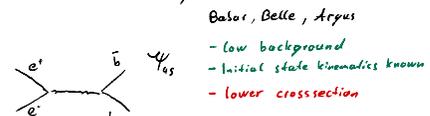
# Experiments

## Flavour experiment wish list

1. Large amount of data
2. Particle identification
3. Decay-time/Vertex resolution
4. Momentum resolution

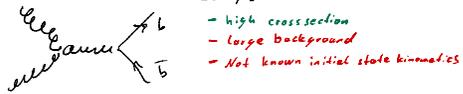
## 1. Heavy flavour production

•  $e^-e^+$  collider e.g. Belle II, BES III



- Babar, Belle, Argus
- low background
- initial state kinematics known
- lower cross section

• hadron colliders e.g. LHCb, ATLAS, CMS, ...



- CDF, D0
- high cross section
- large background
- Not known initial state kinematics

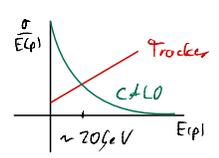
## 2. Particle Identification

Main idea is to stop particle with enough mass and measure deposited energy. In an ideal case the energy from the shower is contained inside the calorimeter.

### Electromagnetic Calorimeter ECAL

- contains complete  $\gamma$  and  $e^-$  showers
- 66 layers of 4mm scintillators between 2mm thick lead

$$\frac{\sigma_E}{E} \sim \frac{3\% - 10\%}{\sqrt{E [\text{GeV}]}}$$



good mass resolution for high energies (e.g. for CMS Calo resolution is better than tracker resolution (momentum))

### Hadronic Calorimeter HCAL

- contains most charged and neutral hadron showers
- layers of scintillators and iron

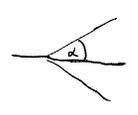
$$\frac{\sigma_E}{E} \sim \frac{50\%}{\sqrt{E [\text{GeV}]}}$$

### Muon chambers

- Normally most distanced detector from interaction point
- detects charged tracks

## Cherenkov detector

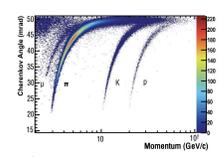
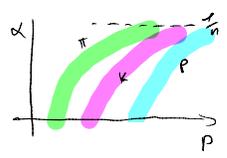
- general idea: measure  $\beta$  ( $= \frac{v}{c}$ ) to infer  $m$  if  $p$  is known
- e.g. @ LHCb Ring Imaging Cherenkov Detector



$$\cos(\alpha) = \frac{1}{\beta \cdot n}$$

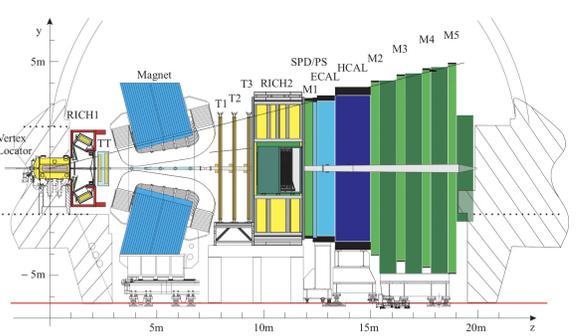
$$\beta = \frac{pc}{E}$$

$$= \frac{p}{\sqrt{p^2 + m^2 c^2}}$$



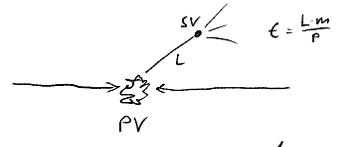
At LHCb the information of all sub-detector systems is at the end combined

- $e \sim 90\%$
- $K \sim 95\%$
- $\mu \sim 97\%$
- $e \rightarrow h \sim 5\%$
- $\pi \rightarrow K \sim 5\%$
- $\pi \rightarrow \mu \sim 1.3\%$



## 3. Vertex resolution

### Tracking stations

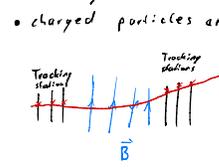


• good vertex resolution  $\hat{=}$  good decay time resolution

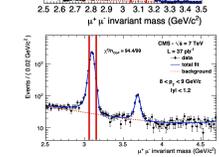
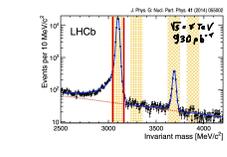
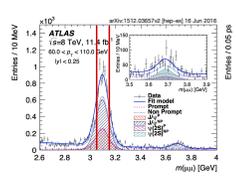
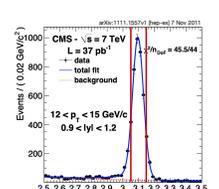
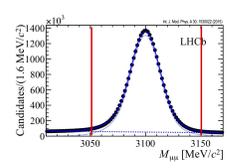
Difficulties in calculating charm X-section  
 $\Lambda_{\text{QCD}} \sim 300 \text{ MeV}$  HQE works sometimes but not always  
 $m_c \sim 1.2 \text{ GeV}$   
 $m_s \sim 96 \text{ MeV}$  ← please don't ask

## 4. Momentum measurement

### Tracking stations + magnet

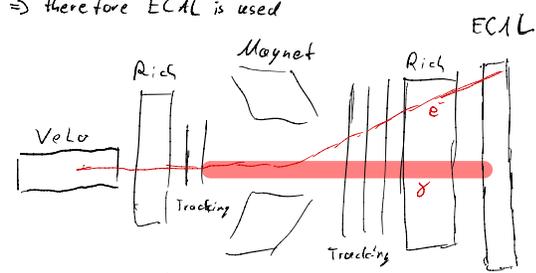


- charged particles are deflected in B-field
- Long flying distance without material  $\rightarrow$  material  $\rightarrow$  reduced as much as possible
- Strong magnet
- Mass resolution  $49,3 \pm 0,4 \text{ MeV}/c^2$
- 0.5% at low momentum
- 1% at 200 GeV/c
- @ LHCb  $\frac{\Delta p}{p} \sim (0.5-1)\%$

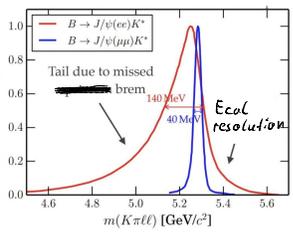


## Electron reconstruction @ LHCb

Problem (?): Bremsstrahlung  $\leftarrow$  electron interacts with detector material  
 if emitted before the magnet we can't use momentum from magnet  
 $\Rightarrow$  therefore ECAL is used



The probability to recover bremsstrahlung is around 50%  
 Advantage: Only electrons emit bremsstrahlung!!!

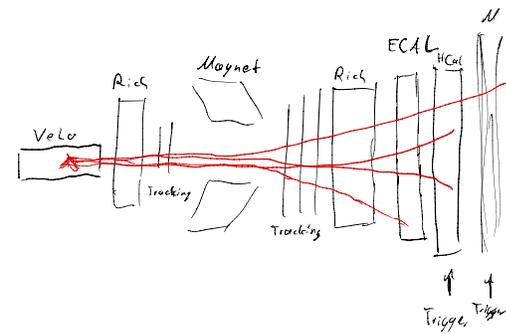


## Slides

relatively low mass  
 Parton-parton interactions producing  $b\bar{b}$ -quarks at TeV lead to a large boost in forward (and backward) direction

# Search for the LFV decay $D^0 \rightarrow h h \mu$

Lepton flavour violation is forbidden in the SM (neutrino oscillations  $\sim 10^{-45}$ )  
but it's quite rare and it's small



What do we want to measure:

$$Br(D^0 \rightarrow h h \mu) = \frac{N(D^0 \rightarrow h h \mu)}{N(D^0 \rightarrow K \pi \mu)} \cdot \frac{\epsilon(D^0 \rightarrow K \pi \mu)}{\epsilon(D^0 \rightarrow h h \mu)} \cdot Br(D^0 \rightarrow K \pi \mu)$$

↑  
External ← Measured relative to  $K \pi \mu$

if compatible with 0 a limit will be set.

Current limits and otherwise excluded reach for  $NP$ :

$$Br(D^0 \rightarrow K K \mu) \leq 10^{-7}$$

$$Br(D^0 \rightarrow \pi \pi \mu) \leq 10^{-7}$$

BoBar:

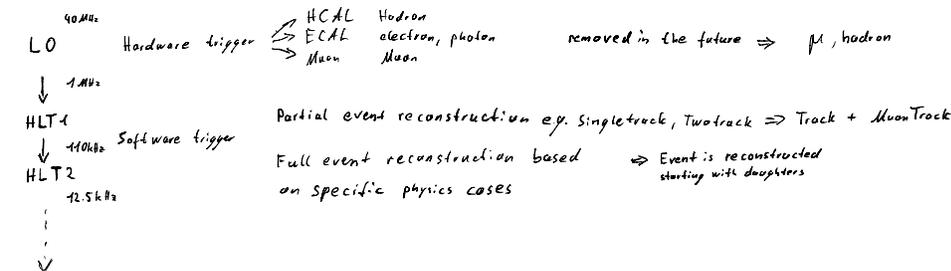
$$Br(D^0 \rightarrow \pi \pi \mu) \leq 17.1 \times 10^{-7}$$

$$Br(D^0 \rightarrow K K \mu) \leq 10.0 \times 10^{-7}$$

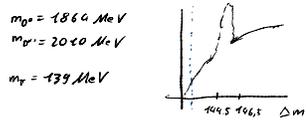
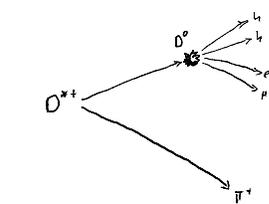
Strategy

1. Candidate reconstruction + background reduction
2. Determine signal yields and significance on a statistical basis
3. Estimate efficiency ratio

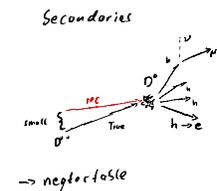
Candidate reconstruction



Offline reconstruction (different than HLT2) Used to create Tuples (root files) one can work with  
 → Particle are reconstructed under a certain mass hypothesis



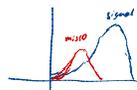
Some "special cases":



MisID.  
 $D^0 \rightarrow K K \pi \pi \sim$   
 $D^0 \rightarrow K \pi \pi \pi \sim$   
 $D^0 \rightarrow \pi \pi \pi \pi \sim$   
 We want to be sensitive to  $\sim 10^{-7}$

→ not neglectable  
 no Brem

strict PID + Dil

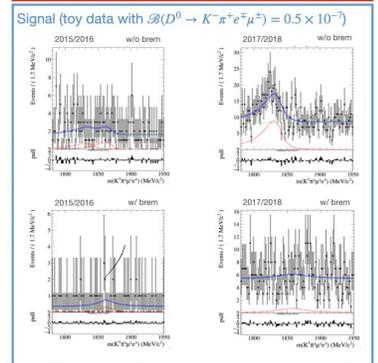
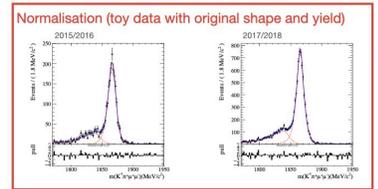


# Determination of signal yield

Last lecture Brem recovery

- $h h \mu$  Brem
  - $h h \mu$  no Brem
  - $K \pi \mu$
- 15/16 are studied separately due to different efficiencies

$$\Rightarrow \frac{N(D^0 \rightarrow h h \mu)}{N(D^0 \rightarrow K \pi \mu)}$$



## Efficiency calculation

Different steps in the analysis:

- Acceptance
- Trigger
- Reconstruction → Track
- Preselection
- BDT
- Particle Identification

Acceptance:  
 $2 < \eta < 5 \sim 20\%$

Trigger:

$$B^0 \rightarrow K^+ (\rightarrow K \pi) \gamma / \psi (\rightarrow \mu \mu) \text{ (Large statistic)} \rightarrow f = \frac{\epsilon_{sig}(MC)}{\epsilon_{sig}(data)}$$

(→ ee)

Crosschecked

$$D^0 \rightarrow K \pi e e \text{ (Lower statistic)}$$

Reconstruction:

Track correction:  
 K systematic: 11% cannot be rec.  
 pi systematic: 14% due to hadronic interactions on the material budget

$\mu$  } per track correction in kinematic bins (exp.  $f, \eta$ ) using  $\gamma / \psi \rightarrow \mu \mu$

Preselection & BDT:  
 Taken from MC (you of course need to check if kinematics match between MC and data this is done with the help of  $K \pi e e$  and  $K \pi \mu e$ .)

Comb.

Particle Identification:

$$D^0 \rightarrow K \pi$$

$$\gamma / \psi \rightarrow \mu \mu, e e$$

$P_{PID}(x | p, \eta, M_b)$  is known (you take the distribution in narrow bins of  $p, \eta, M_b$  from the calibration samples)

for each event you draw a random PID variable for each variable (particle you want to replace)

Blind analysis: Strategy is developed on  $D^0 \rightarrow K \pi \mu$   
 $D^0 \rightarrow K \pi e$