# Physics of Extra Dimensions (in Field and String Theory) Plan: - Kaluza- Klein theory

We take the latter approach and study phenomenology first and put everything together in the end in string-theory motivated example.

Note: no string theory needed, will phrase everything in field theory language.

#### 1) Kaluza - Klein Compactifications

Will look at 5d example My x 51 (My: ordinary 4d spacetime, Sicircle):

$$=\frac{1}{M_4}$$

#### 1.1) Scalur Fields

Look at real, massless, free scalar field in 5d 
$$S_{5d} \left[ \phi \right] = \begin{cases} d^{5}x & \left( -\frac{1}{2} \partial_{m} \phi(x^{m}) \partial^{m} \phi(x^{m}) \right) \\ M_{y}xs^{n} \end{cases}, \quad m = 0, \dots, 4.$$

Split the coordinates into  $x^{\mu}$  on  $M_4$ ,  $\mu=0,...,3$ , &  $y=x^{\mu}$  on  $S^{1}$   $S^{1}$  is defined by a relation  $y \simeq y + 2\pi R$ .

For \$\phi\$ to be well-defined, we need

$$\Phi(x^{\mu},y) \doteq \Phi(x^{\mu},y+2\pi R)$$

$$\phi(x^{\mu},y) = \sum_{n \in \mathbb{Z}} \phi_n(x^n) e^{i\frac{ny}{R}}$$

Reality requires 
$$\phi^* = \phi = 0$$
  $\phi_{-n} = \phi_n^*$ 

$$\Rightarrow \phi(x^{\mu},y) = \phi_{o}(x^{\mu}) + \sum_{n=1}^{\infty} (\phi_{n}(x^{n})e^{inx^{n}} + \phi_{n}(x^{n})e^{-inx^{n}})$$

With this, we now compactify explicitly. That is, we integrate out the S1-dimension to find a 4d spectrum.

I some preliminary calculations:

$$\partial_y \phi(x^{\mu}, y) = O + \sum_{n=1}^{\infty} (i \frac{n}{R}) \left( \phi_n(x^{\mu}) e^{i \frac{n y}{R}} - \phi_n^*(x^{\mu}) e^{-i \frac{n y}{R}} \right)$$

$$(\partial_{y} \phi)^{2} = \underbrace{\underbrace{\underbrace{\sum_{n=1}^{\infty} \sum_{m=1}^{\infty} (-\frac{nm}{R^{2}})}_{n=1} (\Phi_{n} e^{i\frac{ny}{R}} - \Phi_{n}^{*} e^{-i\frac{ny}{R}})}_{n=1} (\Phi_{n} e^{i\frac{ny}{R}} - \Phi_{n}^{*} e^{-i\frac{ny}{R}})$$

$$= \sum_{n=1}^{\infty} 2 \frac{n^2}{R^2} \phi_n \phi_n^* + (\text{terms } w/e^{\frac{i}{R}}, k \neq 0).$$

$$\int_{0}^{2\pi R} dy \ (...) \ e^{iky} = 0 \quad \text{for any } k \neq 0 \quad \left( \begin{array}{c} \text{integral of periodic } \text{fct. over} \\ \text{entire period} \end{array} \right)$$

We put the general form of a 5d solution into the action:

$$S_{5d} \left[ \phi \right] = \left[ \int_{\mathcal{M}_{x} \times S^{4}} d^{5}x \left[ -\frac{1}{2} \partial_{m} \phi(x^{m}, y) \partial^{m} \phi(x^{m}, y) \right] \right]$$

insert 
$$\int_{\text{above}} \int_{\text{My}} \int_{\text{S}^{1}} \int_{\text{N}} \int_{\text{N}^{2}} \int_{$$

$$\int_{M_{4}}^{dy} \int_{M_{4}}^{d^{4}x} \left(2\pi R\right) \left[-\frac{1}{2} \partial_{\mu} \Phi_{0} \partial^{\mu} \Phi_{0} - \sum_{n=1}^{\infty} \left(\partial_{\mu} \Phi_{n} \partial^{\mu} \Phi_{n}^{*} + \frac{n^{2}}{R^{2}} \Phi_{n} \Phi_{n}^{*}\right)\right]^{3}$$
rescule
$$\int_{M_{4}}^{d^{4}x} \int_{M_{4}}^{d^{4}x} \left[-\frac{1}{2} \left(\partial_{\mu} \widetilde{\Phi}_{0}(x^{\mu})\right)^{2} - \frac{1}{2} \sum_{n=1}^{\infty} \left(|\partial_{\mu} \widetilde{\Phi}_{n}(x^{n})|^{2} + m_{n}^{2} |\widetilde{\Phi}_{n}(x^{\mu})|^{2}\right)\right]$$

$$= S_{4d} \left[ \widetilde{\phi}_n \right]$$

 $\Rightarrow$  tower of 4d fields  $\tilde{Q}_n$  w/ masses  $m_n^2 = \frac{n^2}{R^2}$ ,  $n \in \mathbb{N}_0$ .

The Compare: energy levels of periodic solutions in infinite potential nell:  $E_n^2 \sim \frac{n^2}{R^2}$   $= \frac{1}{100} \cdot \frac{1}{100} \cdot$ 

At energies  $E \ll \frac{1}{R}$ , all massive fields  $\widehat{\Phi}_n$ ,  $\widehat{\Phi}_2$ ,... are not observable. We only probe  $\widehat{\Phi}_0$ , the theory seems like an ordinary 4d theory.

If  $\phi(x^{\mu},y)$  was massive w/ mass M, the spectrum would be  $m_n^2 = M^2 + \frac{n^2}{R^2}$ ,  $n \in \mathbb{N}_0$ .

What do we make of this? Take  $\Phi_0(x^\mu)$  as, e.g., the Higgs. We have not observed heavier scalar fields at  $E \sim 10 \, \text{TeV}$ .

=> m, m2, ... > 10 TeV

 $\ll$  R <  $\frac{1}{10\text{ TeV}} \sim 10^{-20} \text{ m}$  in this simple model.

For contractions in both 4d & 5d we implicitly used metrics. Let's consider them in more detail:

- In a curved space, the metric can be in general different at every spacetime point:  $g_{\mu\nu} = g_{\mu\nu} (x^{\mu})$ .  $\Rightarrow$  It is a field!
- GR tells us that its dynamics is governed by a very specific Lagrangian:  $S_{EH} = \frac{M_{C}^{2}}{2} \int d^{4}x \, \left[ -\frac{1}{9} \, R \, \left[ \frac{1}{9} \right] , \, R \, \left[ \frac{1}{9} \right] = g^{\mu\nu} \partial_{\mu} \left( \frac{9}{9} \, \partial_{\nu} \, g_{g\mu} \right)^{+} ...$

It will suffice to consider schematically

$$S[gpv] = \frac{4p^2}{2} \int d^4x (\partial_g)^2$$
.

Here,  $M_p^2 = \frac{1}{8\pi G}$  is the Planck muss measuring the strength of gravity.

The same is true for the metric  $G_{mn}$  of 5d-spacetime:  $S[G_{mn}] = \frac{M_5^2}{2} \int d^5x (2G)^2$ ,  $M_5:5d$  Planck mass.

For  $M_{y} \times S'$  we have again:  $G_{mn}(x^{\mu}, y) = \sum_{k \in \mathbb{Z}} G_{mn}(x^{\mu}) e^{i\frac{ky}{R}}$ 

=D We have a 4d massless field Gmn (x").

From a 4d perspective the indices  $\{m,n\}$  should be decomposed into  $\{p,v\}$ ,  $\{p,4\}$ ,  $\{4,v\}$ ,  $\{4,4\}$ , i.e.

$$G_{mn}^{\circ}(x^{\mu}) = \begin{pmatrix} G_{\mu\nu} & G_{4\nu} \\ G_{\mu\mu} & G_{4\mu} \end{pmatrix} = : \begin{pmatrix} g_{\mu\nu}(x^{\mu}) & A_{\nu}(x^{\mu}) \\ A_{\mu}(x^{\mu}) & e^{2\sigma(x^{\mu})} \end{pmatrix}$$

= > 4d massless metric gro (x"), vector Ap(x"), scalar o(x").

Interpretation of o:

(Infinitesimal) lengths are measured in 5d by the (square roof of the) line element:

 $ds_{5d}^{2} = G_{mn} dx^{m} dx^{n} = g_{\mu\nu} dx^{\mu} dx^{\nu} + e^{2\sigma} dy^{2} + (non-diag.)$   $\sim ds_{4d}^{2} + ds_{5}^{2}$ 

-> dsgs is the proper measure of lengths along the St-direction.

For example, the 5'-circumference is given by

 $V_{0}I(S^{1}) = \int_{S^{1}} ds_{s^{1}} = \int_{S^{1}} V_{G_{11}1} dy = \int_{S^{1}}^{2\pi R} e^{-t} dy = 2\pi R e^{-t}$ 

=> o rescales volume of S1.

With some calculation, we arrive at

 $S_{5d} [G] = \frac{M_5^2}{2} \int_{0}^{4} d^5x \left(\partial G\right)^2 = \dots$  as before, more involved now ...

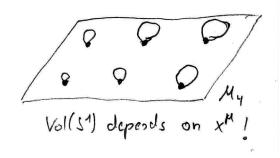
= M3 TR Sd'x [(2g)2 - 2 2p - 2 0p - 2 Fpo Fpo ]

= The 4d massless scalar field  $\sigma(x^{\mu})$  is dynamical ('modulus' or 'radion' in this special case)

-> internal spacetime (51) is dynamical due to gravity!

By comparison w/ 4d action for guo, one finds:

 $M_p^2 = 2\pi R M_5^3$ .



The strength of Ygravity decreases w/ growing volume of extra dimensions

6

Can have e.g. 
$$M_5 \sim m_{\text{Higgs}} \sim 100 \text{ GeV}$$
.

$$M_p^2 \sim R M_5^3 \quad \text{requires} \quad R \sim \frac{M_p^2}{M_5^3} \sim \frac{\left(10^{18} \text{ GeV}\right)^2}{(100 \text{ GeV})^2} \sim \frac{10^{20}}{\text{GeV}} \sim 10^{14} \text{m}$$

Do same thing in 6 extra dimensions:
$$R \sim \left(\frac{M_p^2}{M_{40}^8}\right)^{1/6} \sim \left(\frac{\left(10^{18} \text{ GeV}\right)^2}{\left(100 \text{ GeV}\right)^8}\right)^{1/6} \sim \frac{10^{2}}{\text{GeV}} \sim 10^{-13} \text{ m}$$

## Simmary

Extra dimensions lead to

- tower of states  $m_n^2 \sim \frac{n^2}{R^2}$
- · moduli o (x") determining shape and size of extra dimensions
- · dilution of gravity:  $M_p^2 \sim Vol_d(\text{extra dimensions})$   $M_{4+d}^{2+d}$

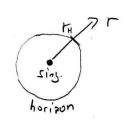
# 2) Warped Compach fications

Motivation: Matter backreacts on full geometry. The general ansatz capturing this are warped products. We look at this in the following example:

### 2.1) Bluch Brunes

We look at Black Hole solution of Einstein's equations: vacuum with a singularity at r=0.

$$ds^{2} = -\left(1 - \frac{r_{H}}{r}\right) dt^{2} + \left(1 - \frac{r_{H}}{r}\right)^{-1} gij(\vec{x}) dx^{i} dx^{j}$$
time dilatation/
metric on
spatial slices of
constant time



$$= e^{-A(r)} \left(-dt^2\right) + e^{A(r)} \left(g_{ij}(\vec{x}) dx^i dx^j\right)$$

The 2nd line is just a rewriting with  $e^{-A(r)} := 1 - \frac{r_H}{r}$ .

... but why only a singular point and not a singular surface?

Solution:

$$ds^{2} = e^{-A(y)} \left(-dt^{2} + dx^{2}\right) + e^{A(y)} g_{ij}(\bar{y}) dy dy$$

$$metric along metric orthogonal$$

$$black string to black string$$

$$y = \sqrt{\sum_{y=1}^{2}} e^{-A(y)} = (1 - \frac{y^{2}}{y^{2}})$$

Finally: Black p-Brane dis 
$$^2 = e^{-A(y)} \left( -dt^2 + d\vec{x}^2 \right) + e^{A(y)}$$

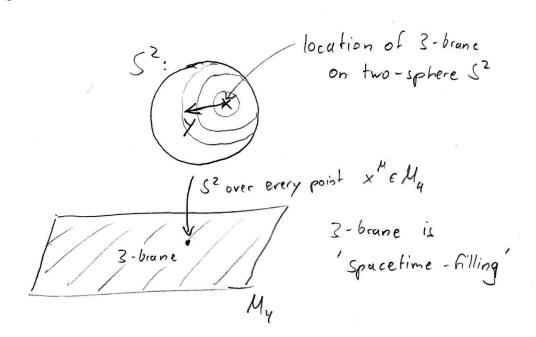
$$\frac{g_{ij}(\vec{y}) dy}{(p+1)-dim. \ metric}$$

$$\frac{g_{ij}(\vec{y}) dy}{metric \ orth. \ to}$$

$$\frac{g_{ij}(\vec{y}) dy}{metric \ orth. \ to}$$

$$\frac{g_{ij}(\vec{y}) dy}{metric \ orth. \ to}$$

E.g. 
$$p=3$$
 on  $M_4$  of  $M_y \times S^2$ :



Note the appearance of a y-dep. fet. e-Aly) in front of y-indep. metric of space parallel to p-brane.

=> more than a simple product.

Generally, one can show: Any matter that respects

4d Poincaré invariance leads to a solution of Einstein's equations.

of a 'warped product' form  $ds^2 = e^{-A(y)} \eta_{\mu\nu} dx^{\mu} dx^{\nu} + e^{A(y)} g_{ij} dy^i dy^j$   $e^{-A(y)}: 'warp factor'$ 

#### 2.2) Randall-Sundrum model



Buch to 5d. Now compactify 5th dimension on an intervall. [0,L]

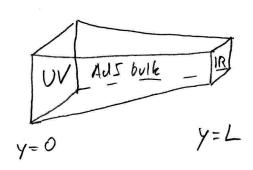
General form of metric:

$$ds^{2} = e^{-A(y)} \max_{q_{x}} dx^{M} dx^{U} + dy^{2}$$

$$e^{\widetilde{A(y)}} d\widetilde{y}^{2}$$

We add 2 3-branes (of opposite tension) on 4d space dt y = 0 & y = L. One finds solution

$$ds^2 = e^{-2\gamma/k} \eta_{NV} dx^M dx^V + dy^2 , \quad l = \frac{M_s^3}{T}$$



5d 'bulh' is AdS  $W/L = -\frac{T^2}{M_5^2}$ ± T: tension of brunes

Can have matter live on the IR brane only:

$$S_{5d} = \frac{M_5^3}{2} \int d^5x \int g^{-1} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( -\frac{1}{2} \frac{g}{g} |_{y=L} \frac{\partial \mu}{\partial \rho} \partial \rho \partial \rho - \frac{1}{2} m^2 \phi^2 \right)$$

$$= \frac{M_5^3}{2} \int d^5x \int g^{-1} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( -\frac{1}{2} \frac{g}{g} |_{y=L} \frac{\partial \mu}{\partial \rho} \partial \rho \partial \rho - \frac{1}{2} m^2 \phi^2 \right)$$

$$= \frac{M_5^3}{2} \int d^5x \int g^{-1} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( -\frac{1}{2} \frac{g}{g} |_{y=L} \frac{\partial \mu}{\partial \rho} \partial \rho \partial \rho - \frac{1}{2} m^2 \phi^2 \right)$$

$$= \frac{M_5^3}{2} \int d^5x \int g^{-1} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( -\frac{1}{2} \frac{g}{g} |_{y=L} \frac{\partial \mu}{\partial \rho} \partial \rho \partial \rho - \frac{1}{2} m^2 \phi^2 \right)$$

$$= \frac{M_5^3}{2} \int d^5x \int g^{-1} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( -\frac{1}{2} \frac{g}{g} |_{y=L} \frac{\partial \mu}{\partial \rho} \partial \rho - \frac{1}{2} m^2 \phi^2 \right)$$

$$= \frac{M_5^3}{2} \int d^5x \int g^{-1} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{y=L}} \left( \frac{\partial g}{\partial y} \right)^2 + \int d^4x \sqrt{-g|_{$$

insert

metric

metric

determinants

Ms

d'xdy

l-n

e

-2y/e

(2n)

2

$$+\int_{TR}^{d'} \sqrt{-\eta'} e^{-2L/2} \left(-\frac{1}{2}e^{2L/2}\eta^{MD}\partial_{D}\phi - \frac{1}{2}m^{2}\phi^{2}\right)$$

$$S_{4d,TR} = \frac{M_{p^2}}{2} \int_{TR} d^4x \int_{-\frac{\pi}{2}}^{-\frac{\pi}{2}} (\partial \eta)^2$$

$$+\int_{TR}d^{4}x\sqrt{-n^{2}}\left(-\frac{1}{2}2^{m}\partial_{\mu}\phi\partial_{\nu}\phi-\frac{1}{2}m_{tR}^{2}\phi^{2}\right)$$

We end up w/ 4d theory of gravity and matter with 
$$M_p^2 = \int_0^L dy \ e^{-2y/\varrho} M_5^3 = \frac{1}{2} M_5^3 \, l \, \left(1 - e^{-2L/\varrho}\right)$$

$$\frac{2}{m_{tR}} = \frac{-2L}{e} \qquad \frac{2}{m}$$

For e.g. 
$$M_5 = M_p = \frac{1}{2} = m$$
, one needs

The UV-brane theory would have

$$m_{uv}^2 = m^2$$
,  $M_p^2 = as above$ 

=) 
$$\frac{m_{IR}^2}{m_{vv}^2} = e^{-2L/\varrho}$$
 redshifting of IR quantities

Moving a particle from UV- to IR-brane has same effect as moving a particle towards black hole horizon!

#### 3) Axions

3.1) From Gauge Potentials

Consider a 5d U(1) gauge theory on My × 51.

 $A_m(x^\mu, y) = \sum_{k \in \mathbb{Z}} A_m^k(x^\mu) e^{i\frac{ky}{R}}$ 

Consider 0-mode  $A_m^o(x^m)$  and define  $\alpha(x^n) \equiv A_5^o(x^m)$ .

 $A_m^o(x^\mu) = (A_\mu(x^\mu), \alpha(x^\mu))$ 4d garge field 4d scular

 $\frac{\left(\begin{array}{c} A_{5}(x^{\mu}) \end{array}\right)}{\alpha(x^{\mu})} M_{4}$ 

In a theory wholf matter, Am only appears, due to gauge invariance, in the combination  $\overline{T}_{mn}\supset \partial_m A_n^o-\partial_n A_m^o \ .$ 

We again compactify and truck the field a (x"):

 $S_{sd} = \int d^4x \int dy \left( -\frac{1}{4} + \overline{T}_{mn} + \overline{T}^{mn} \right) = -\frac{1}{4} \int d^4x \int dy \left( \partial_m A_n - \partial_n A_m \right) \left( \partial^m A^n - \partial^n A^m \right)$ 

collect of terms = -1 dy dy [Om An om Aon - Om An on Aon ] mauless stuff

everything  $\int -\frac{1}{2} \left[ d^{\mu}x \right] dy \left[ \partial_{\mu} \alpha(x^{\mu}) \partial^{\mu} \alpha(x^{\mu}) - \partial_{\mu} \alpha(x^{\mu}) \partial^{5} A^{0,\mu}(x^{\mu}) \right]$ 

 $= -\frac{1}{2} \int d^4x \int dy \left( \partial_\mu \alpha(x^\mu) \right)^2$ 

 $= \int d^4x \left[ -\frac{1}{2} f_{\alpha}^2 \left( \partial_{\mu} \alpha \right)^2 \right] \qquad \text{w/} \qquad f_{\alpha}^2 = 2\pi R.$ 

Since only Fmn appears in original action, alx") will only ever appear as

Op a(x") in the 4d action => shift symmetry!

a is an axion,  $a \rightarrow a + const.$ ,  $f_a$ : axion decay constant.

# 3.2) From p-Form Gauge Potentials

Instead of a vector gauge potential Am with field strength Fmn one can have '2-form' gauge potential Bmn with field strength Hmno:

	vector = 1-form	2-form
garge field	$A_{m} = A_{CmJ}$	Bmn = B[mn]
field strength	Fmn = O[m An]	Hmno = Olm Bno]
gauge transf.	Am + Om X	Bmn + am Xnj
action	Sdx Fmn Fmn	(de Hmno Hmno

Here, [ ] indicates antisymmetrization:

$$\overline{T}_{mn} = \partial_{Em} A_{n7} = \frac{1}{2!} (\partial_m A_n - \partial_n A_m)$$

or 
$$H_{mno} = \partial_{Em} B_{noj} = \frac{1}{3!} \left( \partial_m B_{no} + \partial_n B_{om} + \partial_o B_{mn} - \partial_m B_{on} - \partial_o B_{nm} \right)$$

(An object w/ just one index is automatically antisymmetrized:

Aim = Am.

More general: p-form gauge potentials  $C_{m_1...m_p}$ :

Gauge transf.  $C_{m_1...m_p} \rightarrow C_{m_1...m_p} + O_{[m_1} Y_{m_1...m_p]}$ leaves field strength  $F_{m_1...m_{p+1}} = O_{[m_1} C_{m_2...m_{p+1}]}$  and therefore action  $\int d^4x \, F_{m_1...m_{p+1}} \, F_{m_1...m_{p$ 

Note, that we often look at 2-form gauge theories in 4d:

Just like 1-form gauge theory has a dual description

Fun = Epusa For W/ Fun = DIM ANT

in terms of dual gauge recter Au, one can dualize

2 a = Epuga 2 H30]

The gauge symmetry of the gauge field a(x'') is then  $a(x'') \rightarrow a(x'') + const.$ 

=D The electromagnetic dual to a 2-form gauge theory is an oxion theory!

One uses the latter description since it is simpler (just a scalar theory).

Back to axions from extra dimensions:

The axion discussed before comes from the internal component of a 1-form garge field.

It's shift symmetry comes from the fact that it always appears we derivatives, since only the field strength appears in 5d.

In e.g. 6d > 4d compactifications, a 2-form gauge field  $B_{mn}$  can also have a purely internal component  $b(x^{\mu}) := B_{56}^{0}(x^{\mu})$ 

For the same reason as above, it also enjoys a shift symmetry.

= P-forms in d>4 dimensions + complicated internal geometry = plethory of axions!

3.3) Discrete Shift Symmetries

still shift symm. !

Non-pertubative effects (induced, e.g., by couplings a FF) will break the continuous shift symmetry by inducing a potential  $V(\alpha) = L^4 \left(1 - \cos\left(\frac{\alpha}{f_a}\right)\right)$ 1 = µ e = Constanton action

Thome energy scale

# 3.4) Monodromies

Some effects can induce non-periodic potentials.

Take e.g. My x (I xs1)



Now consider 'cigar' instead of Ixst

5' is a boundary of the cigar E

We have :

The have:
$$\alpha(x^{\mu}) = \frac{1}{2\pi R} \int_{S^{1}} dx^{5} A_{5} = \frac{1}{2\pi R} \int_{\partial \Sigma} dx^{5} A_{5} \sim \frac{1}{2\pi R} \int_{S^{1}} dx^{5} dx^{6} \partial_{6} A_{5}$$

$$\sim \frac{1}{2\pi R} \int_{S^{1}} dx^{5} dx^{6} F_{56}$$
Shokes' theorem

=>  $a(x^{\mu}) \neq 0$  induces  $\neq 56 \neq 0$  on  $\leq$ 



# 4) Calabi - Yau Compactifications (in type IB string theory)

String Theory requires 10 dimensions for consistency.

The 10d (vacuum) Einstein equation reads  $R_{mn} [G_{mn}] = 0. \quad (vanishing 'Ricci-curvature')$ 

=> Need Six, Ricci-flat' extra dimensions to connect string theory with our universe.

These two (+ some other conditions) imply the extra dimensions to be 'Calabi-Yau' 3-manifolds CY3.

Some properties giving rise to the phenomena discussed:

· Characterized by integer numbers (han, hz,n), see graph below for a plot of these numbers for a class of C/z's

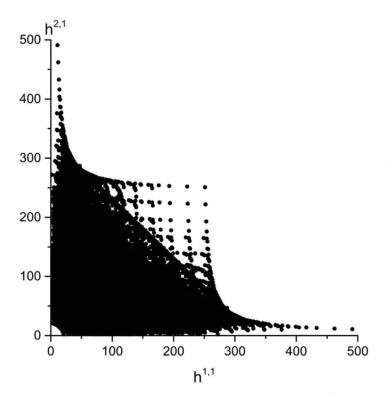
—D [2 han + 1] axions arise in a comp. on any of the C/z's.

(This does not include axions whose (discrete) shift symmetry is Groken)

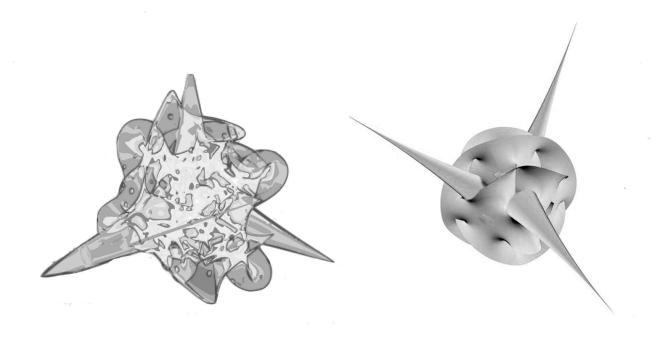
· Generically C/a's have singularities. Once one smoothers these by adding flexes (branes /matter), this leads to many

strongly wurped regions, so-called 'throats', see figure.

Mutter localized in these regions behaves as in the Randall-Sundrum model



The han-han-plane for Cys's on the Kreuzer-Skarke list. One finds up to han ~ 500.



A sketch of a CY3 with multiple 'throats, strongly warped regions.