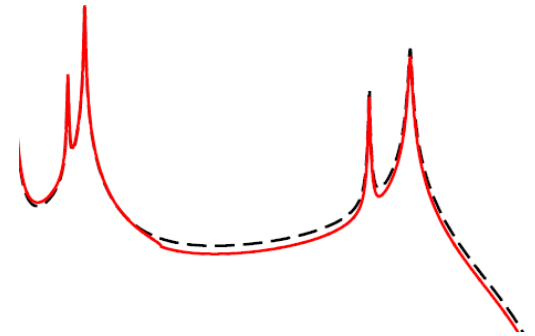


Neutrino dark matter from an experimental perspective

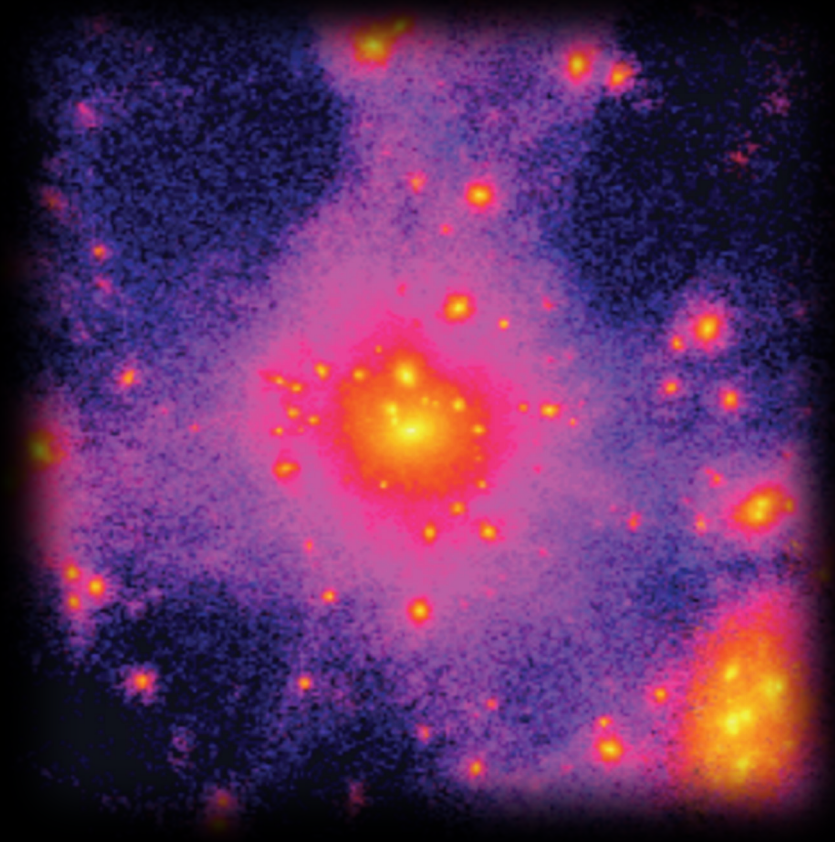
Loredana Gastaldo

Kirchhoff Institute for Physics, Heidelberg University



Contents

- Neutrinos as Dark Matter candidates
- Astrophysical and cosmological evidences
- Signature of keV sterile neutrinos in direct neutrino mass measurements
 - ECHO
 - KATRIN
- Conclusions and outlook



Evidence of Dark Matter

- The dynamics of galaxies and galaxy clusters cannot be explained by the Newtonian potential created by visible matter
- Cosmic large scale structures started to develop much before the decoupling of photons → much before ordinary matter could start clustering
- Dark Matter represents ~25% of the total energy density of the Universe
- Most plausible hypothesis: Dark Matter is composed by new particle(s)



Dark Matter models

Cold Dark Matter Model

- DM particles decouple non-relativistically
- Structure formation: small scale objects form first and then merge into larger ones
- CDM models fit cosmological data well!

Hot Dark Matter Model

- DM particles are produced relativistically and remain relativistic into the matter dominated epoch
- structure formation: the first structure to collapse have size comparable to the Hubble size
- HDM models contradict large-scale structures

Warm Dark Matter Model

- DM particles are produced relativistically and become non-relativistic in the radiation dominated epoch
- Structure formation: similar to CDM above the free streaming length, below this scale density fluctuations are suppressed

SM Neutrinos (1)

The only electrically neutral and long living Standard Model particles are **neutrinos**

Neutrinos

are **massive** particles

interacts **weakly**

→ in the early universe neutrinos are in thermal equilibrium down to temperature of a few MeV

→ background of relic neutrinos was created before primordial nucleosynthesis

SM Neutrinos (2)

- To describe the whole Dark Matter, the mass of neutrinos should be **~10 eV**
- **Tremain-Gunn bound** gives a lower limit for fermion DM particles $m(\nu) > 10\text{-}100\text{ eV}$

→ **Contradiction** with present limits

$$\Sigma m_i < 1\text{ eV}$$

Cosmology

$$m(\nu_e) < 2\text{ eV}$$

Direct measurement (^3H)

- Neutrino mass much smaller than decoupling temperature → neutrinos decouple relativistically and become non-relativistic only deeply in matter dominated epoch
→ hot Dark Matter excluded by structure formation

Standard model elementary particles cannot describe Dark Matter

Fermionic Dark Matter

- Lower limit for the mass is given by Tremain-Gunn bound
- Not necessarily stable, but half-life significantly longer than the age of the Universe
- DM particles should have become non-relativistic sufficiently early in the radiation dominated epoch (- sub-dominant fraction)

Candidates

Weakly Interacting Massive Particles

Sterile neutrinos

Cold Dark Matter

Warm Dark Matter

Foreseen in the Supersymmetric extension
of the Standard Model

Good agreement with observations

Sterile neutrinos

Standard Model (SM)

Quarks	2.4 MeV $\frac{2}{3}$ Left u up Right	1.27 GeV $\frac{2}{3}$ Left c charm Right	171.2 GeV $\frac{2}{3}$ Left t top Right
	4.8 MeV $-\frac{1}{3}$ Left d down Right	104 MeV $-\frac{1}{3}$ Left s strange Right	4.2 GeV $-\frac{1}{3}$ Left b bottom Right
	< 1 eV 0 Left ν_e Right	< 1 eV 0 Left ν_μ Right	< 1 eV 0 Left ν_τ Right
	0.511 MeV -1 Left e electron Right	105.7 MeV -1 Left μ muon Right	1.777 GeV -1 Left τ tau Right

Neutrino Minimal SM (nuMSM)

2.4 MeV $\frac{2}{3}$ Left u up Right	1.27 GeV $\frac{2}{3}$ Left c charm Right	171.2 GeV $\frac{2}{3}$ Left t top Right
4.8 MeV $-\frac{1}{3}$ Left d down Right	104 MeV $-\frac{1}{3}$ Left s strange Right	4.2 GeV $-\frac{1}{3}$ Left b bottom Right
< 1 eV 0 Left ν_e sterile neutrino Right	< 1 eV 0 Left ν_μ sterile neutrino Right	< 1 eV 0 Left ν_τ sterile neutrino Right
0.511 MeV -1 Left e electron Right	105.7 MeV -1 Left μ muon Right	1.777 GeV -1 Left τ tau Right

In order to explain dark matter and active neutrino masses, the minimal model contains three right-handed neutrinos

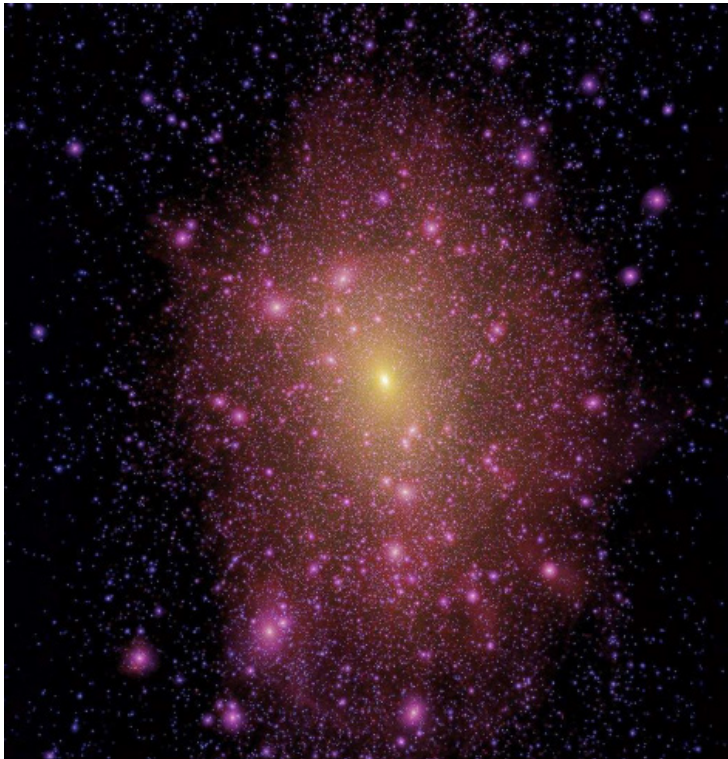
Sterile neutrinos

	Standard Model (SM)			Neutrino Minimal SM (nuMSM)		
Quarks	2.4 MeV 2/3 Left u Right up	1.27 GeV 2/3 Left c Right charm	171.2 GeV 2/3 Left t Right top	2.4 MeV 2/3 Left u Right up	1.27 GeV 2/3 Left c Right charm	171.2 GeV 2/3 Left t Right top
	4.8 MeV -1/3 Left d Right down	104 MeV -1/3 Left s Right strange	4.2 GeV -1/3 Left b Right bottom	4.8 MeV -1/3 Left d Right down	104 MeV -1/3 Left s Right strange	4.2 GeV -1/3 Left b Right bottom
	< 1 eV 0 Left ν_e Right	< 1 eV 0 Left ν_μ Right	< 1 eV 0 Left ν_τ Right	< 1 eV 0 Left ν_e Right	< 1 eV 0 Left ν_μ Right	< 1 eV 0 Left ν_τ Right
Leptons	0 -1 Left e Right electron	0 -1 Left μ Right muon	1.777 GeV -1 Left τ Right tau	0.511 MeV -1 Left e Right electron	105.7 MeV -1 Left μ Right muon	1.777 GeV -1 Left τ Right tau
				< 1 eV 0 Left ν_e Right	< 1 eV 0 Left ν_μ Right	< 1 eV 0 Left ν_τ Right
				< 1 eV 0 Left ν_e Right	< 1 eV 0 Left ν_μ Right	< 1 eV 0 Left ν_τ Right

In order to explain dark matter and active neutrino masses, the minimal model contains three right-handed neutrinos

Sterile neutrinos as warm Dark Matter

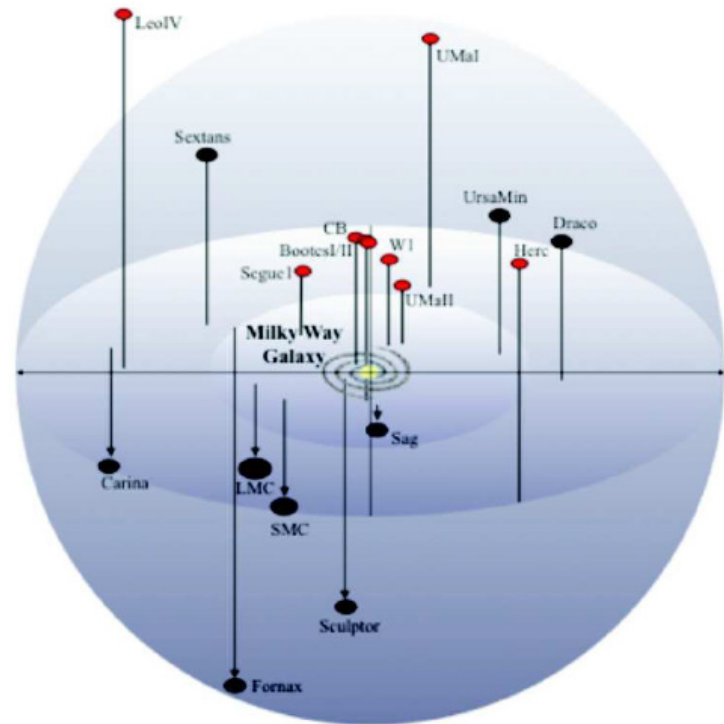
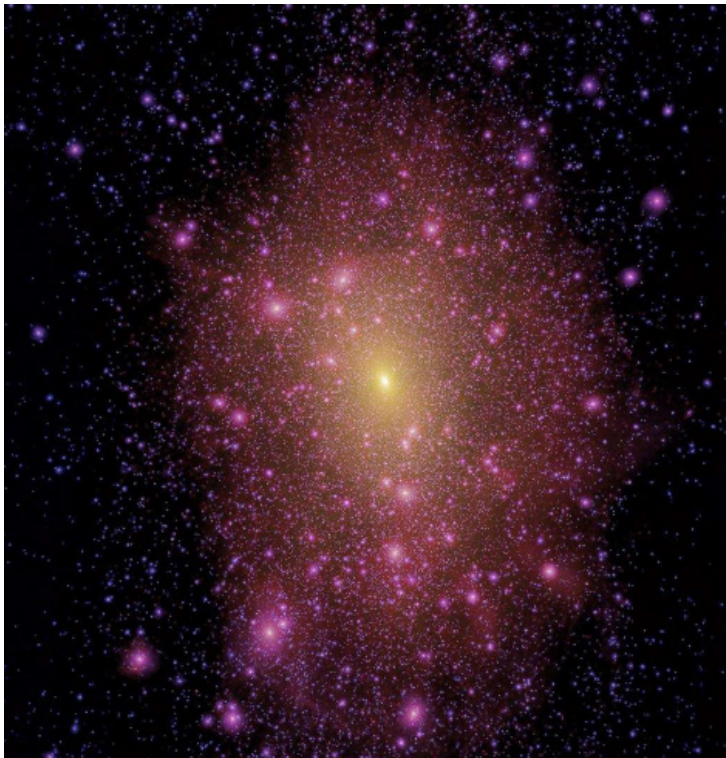
COLD DM models predict [millions of sub-structures](#) within a galaxy like Milky Way



Sterile neutrinos as warm Dark Matter

COLD DM models predict **millions of sub-structures** within a galaxy like Milky Way
Only **30** are observed within our Galaxy.

M. Geha 2010



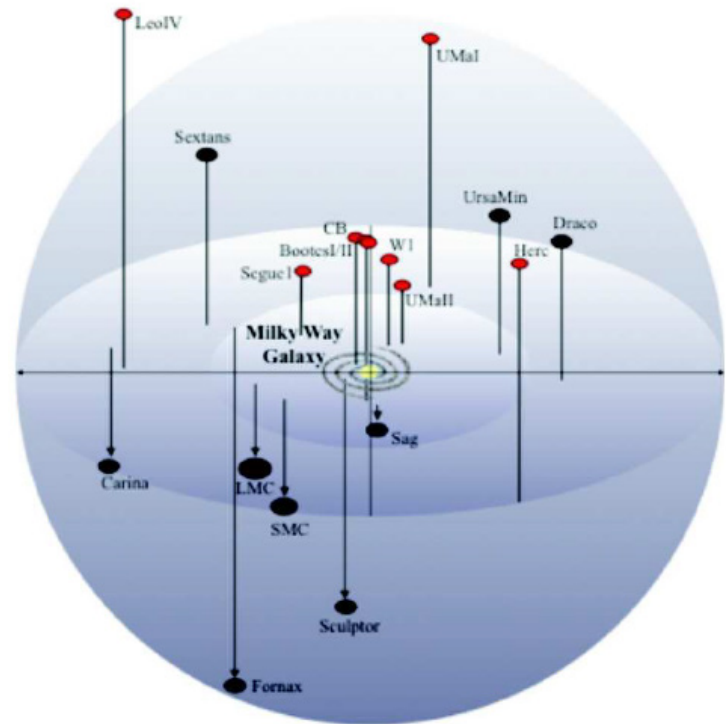
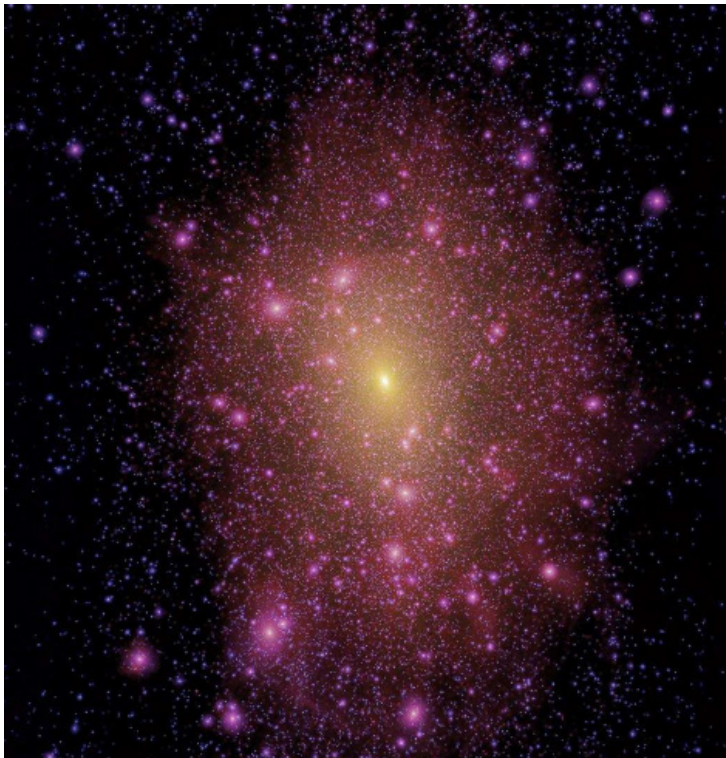
Sterile neutrinos as warm Dark Matter

COLD DM models predict **millions of sub-structures** within a galaxy like Milky Way
Only **30** are observed within our Galaxy.

M. Geha 2010

Is small number of observed substructures due to dark matter free-streaming?

Moore et al. (1999), Klypin et al. (1999)



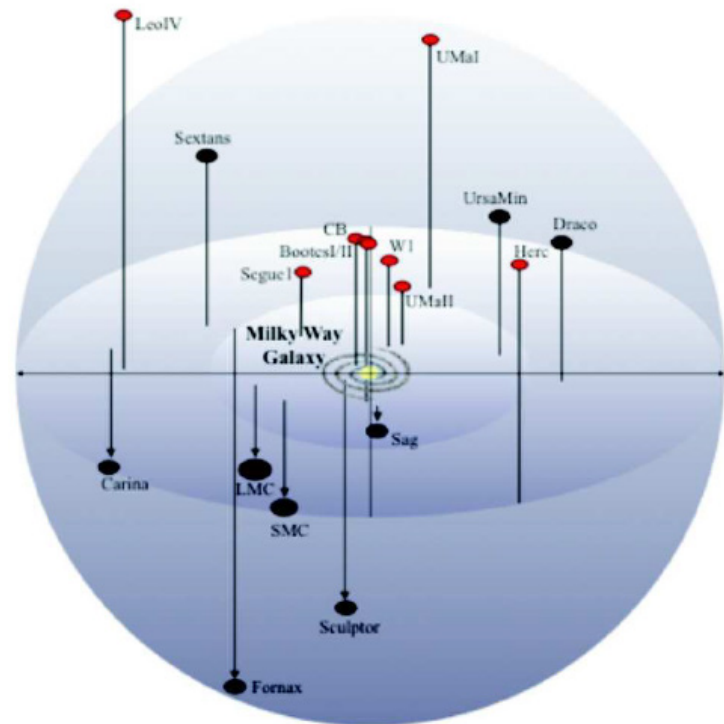
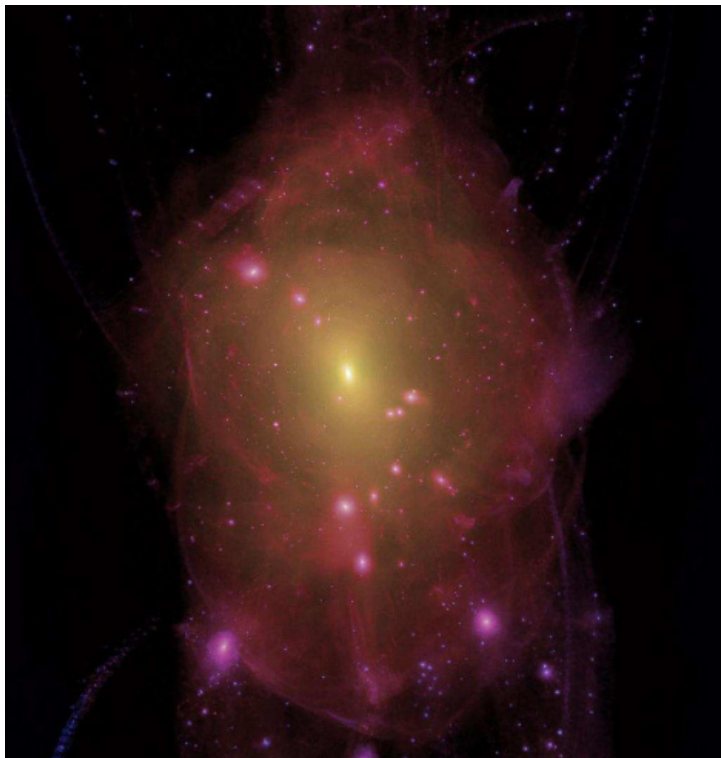
Sterile neutrinos as warm Dark Matter

COLD DM models predict **millions of sub-structures** within a galaxy like Milky Way
Only **30** are observed within our Galaxy.

M. Geha 2010

Is small number of observed substructures due to dark matter free-streaming?

Moore et al. (1999), Klypin et al. (1999)



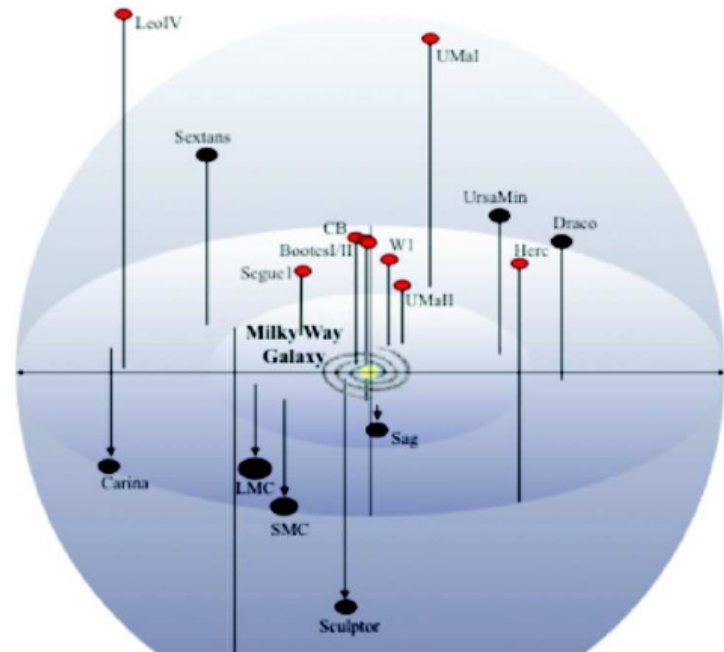
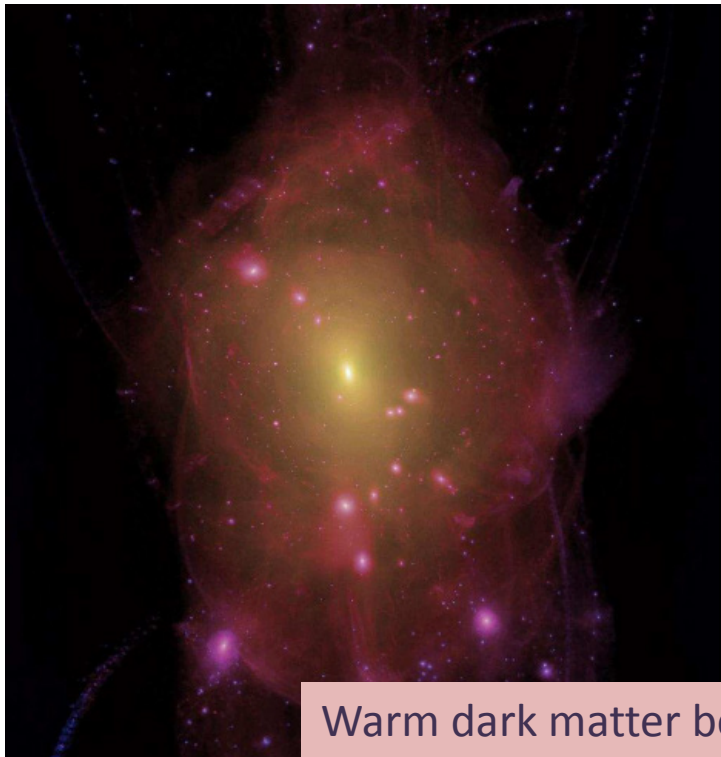
Sterile neutrinos as warm Dark Matter

COLD DM models predict **millions of sub-structures** within a galaxy like Milky Way
Only **30** are observed within our Galaxy.

M. Geha 2010

Is small number of observed substructures due to dark matter free-streaming?

Moore et al. (1999), Klypin et al. (1999)

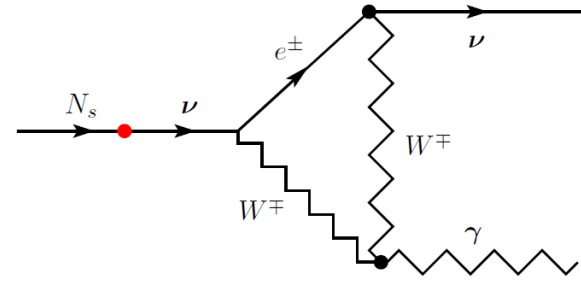


Warm dark matter better represents observations

Sterile neutrinos decay

Main decay mode: $N_s \rightarrow \nu \nu \bar{\nu}$

Subdominant process: $N_1 \rightarrow \nu + \gamma$



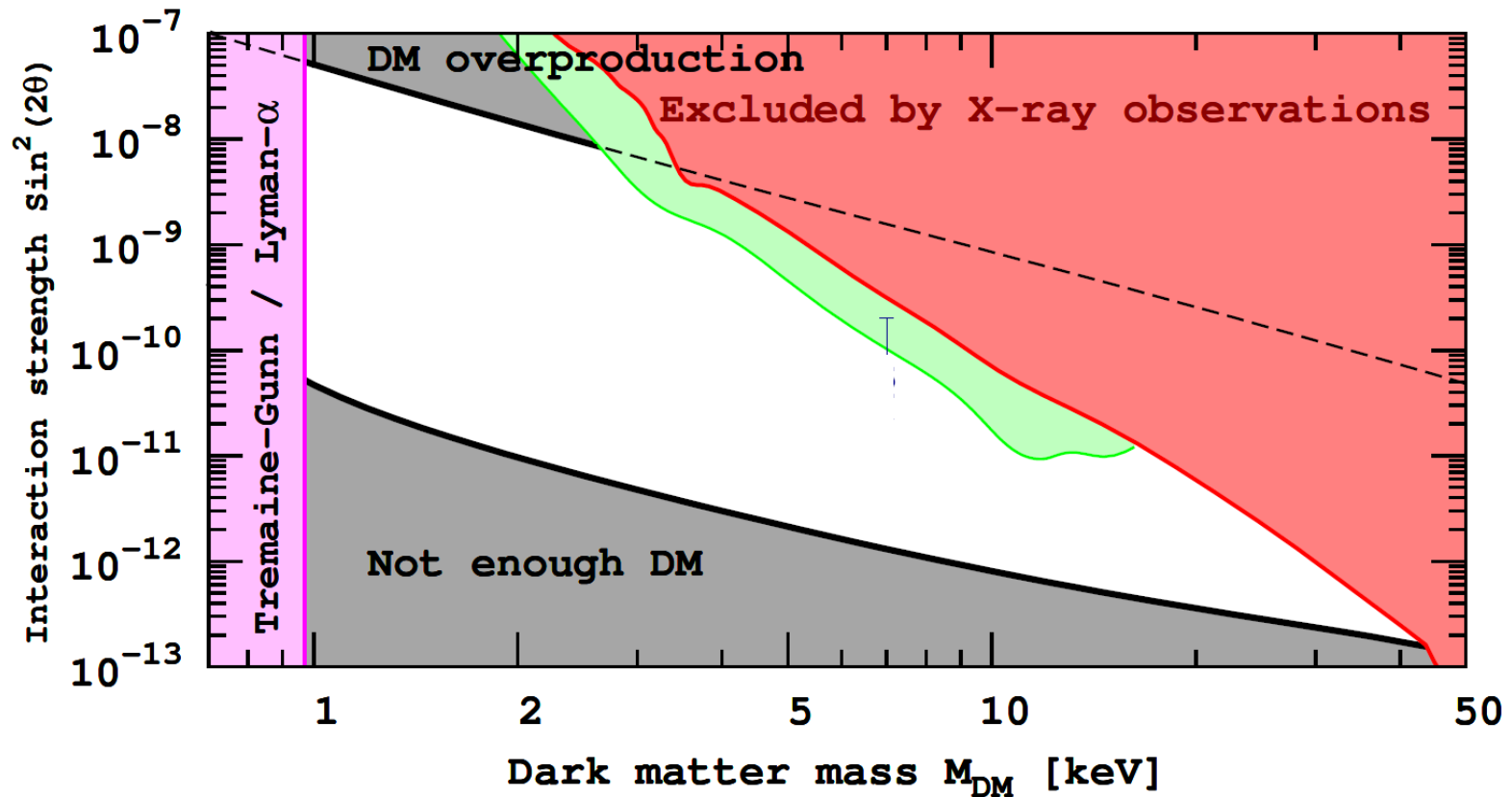
$$E_\gamma = 1/2 M_N$$

Expect a signal from any large concentration of dark matter
(galaxy, galaxy group, galaxy cluster)

The width of the decay line is determined by Doppler broadening
→ narrow line in all DM-dominated objects

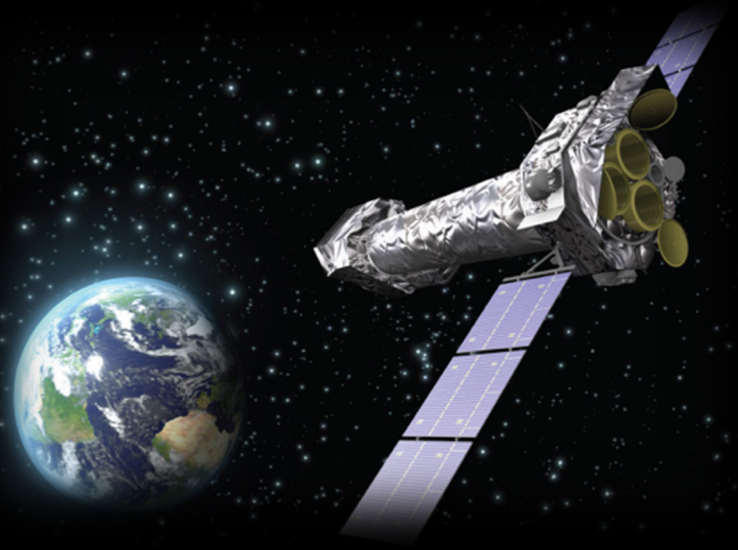
$$\frac{\Delta E}{E_\gamma} \approx 10^{-4} \div 10^{-2}$$

keV-scale sterile neutrinos

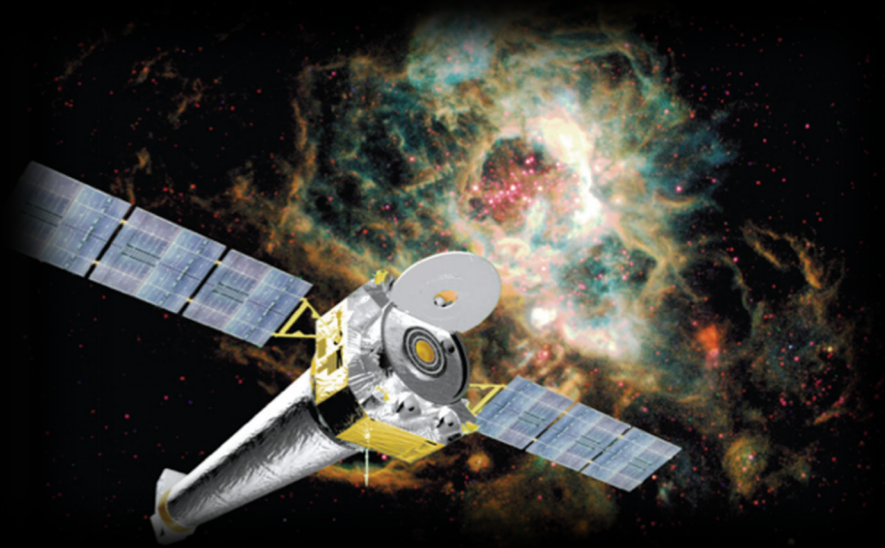


Sterile neutrinos decay - detection

XMM Newton telescope



Chandra telescope



Sterile neutrinos decay – 3.5 keV line

DETECTION OF AN UNIDENTIFIED EMISSION LINE IN THE STACKED X-RAY SPECTRUM OF GALAXY CLUSTERS

ESRA BULBUL^{1,2}, MAXIM MARKEVITCH², ADAM FOSTER¹, RANDALL K. SMITH¹ MICHAEL LOEWENSTEIN², AND
SCOTT W. RANDALL¹

¹ Harvard-Smithsonian Center for Astrophysics, 60 Garden Street, Cambridge, MA 02138.

² NASA Goddard Space Flight Center, Greenbelt, MD, USA.

Submitted to ApJ, 2014 February 10

ApJ (2014) [1402.2301]

An unidentified line in X-ray spectra of the Andromeda galaxy and Perseus galaxy cluster

A. Boyarsky¹, O. Ruchayskiy², D. Iakubovskiy^{3,4} and J. Franse^{1,5}

¹Instituut-Lorentz for Theoretical Physics, Universiteit Leiden, Niels Bohrweg 2, Leiden, The Netherlands

²Ecole Polytechnique Fédérale de Lausanne, FSB/ITP/LPPC, BSP, CH-1015, Lausanne, Switzerland

PRL (2014) [1402.4119]

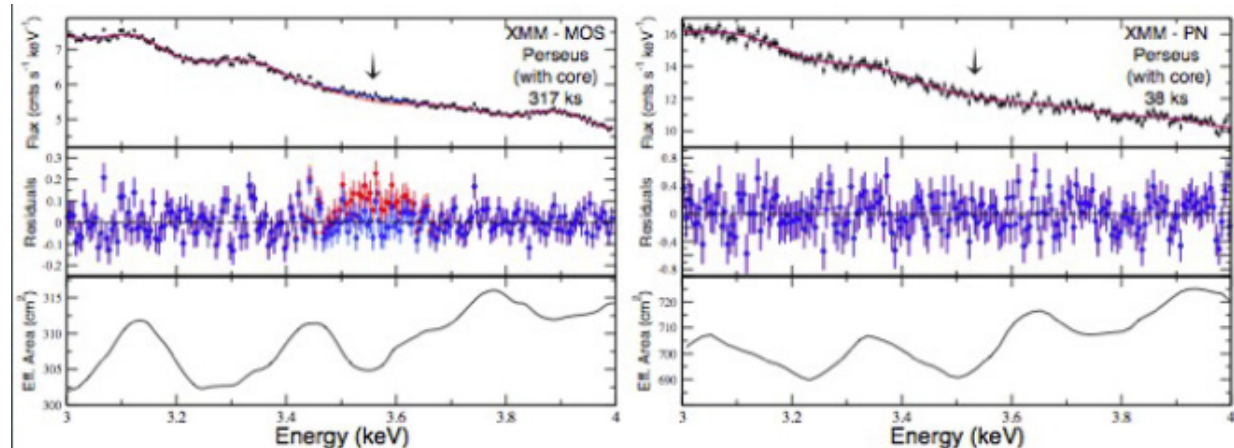
Sterile neutrinos decay – 3.5 keV line

Energy:
3.5 keV
Statistical error for
line position $\sim 30 - 50$ eV

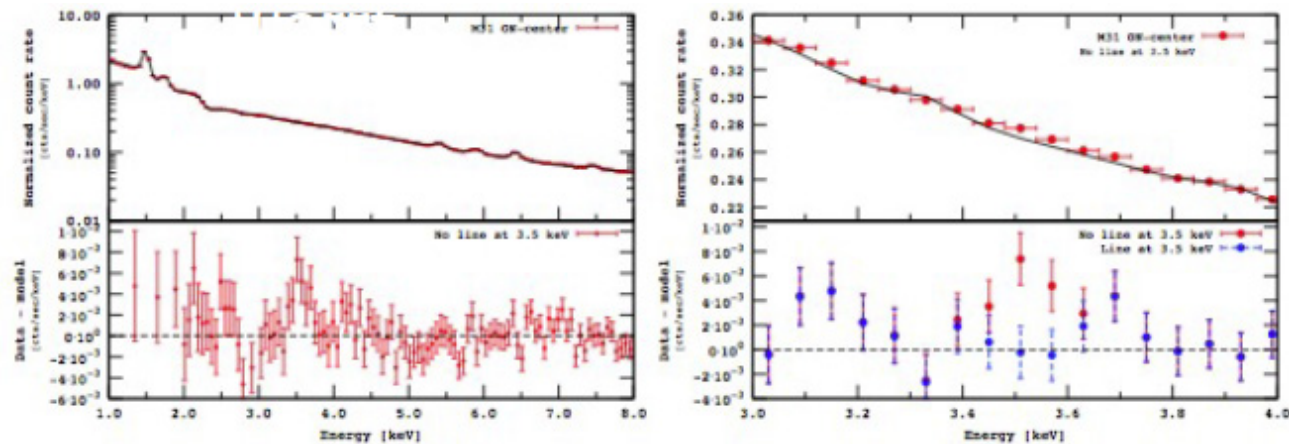
Lifetime:
 $\sim 10^{28}$ sec
(uncertainty: factor ~ 3)

Possible origin:
 $\text{DM} \rightarrow \nu + \gamma$ (fermion)
 $\text{DM} \rightarrow \gamma + \gamma$ (boson)

Atomic Physics origin is
questionable

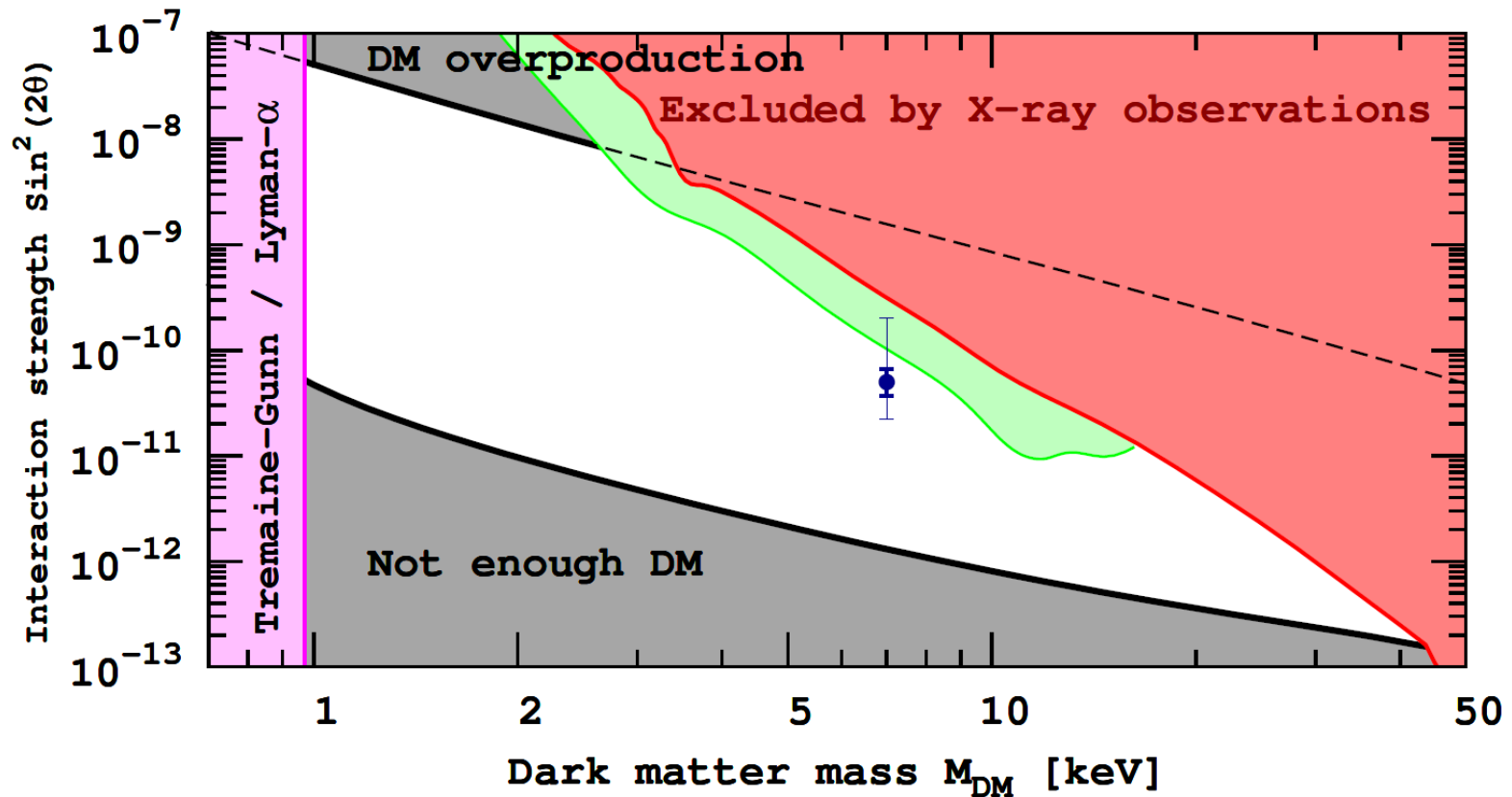


ApJ (2014) [1402.2301]

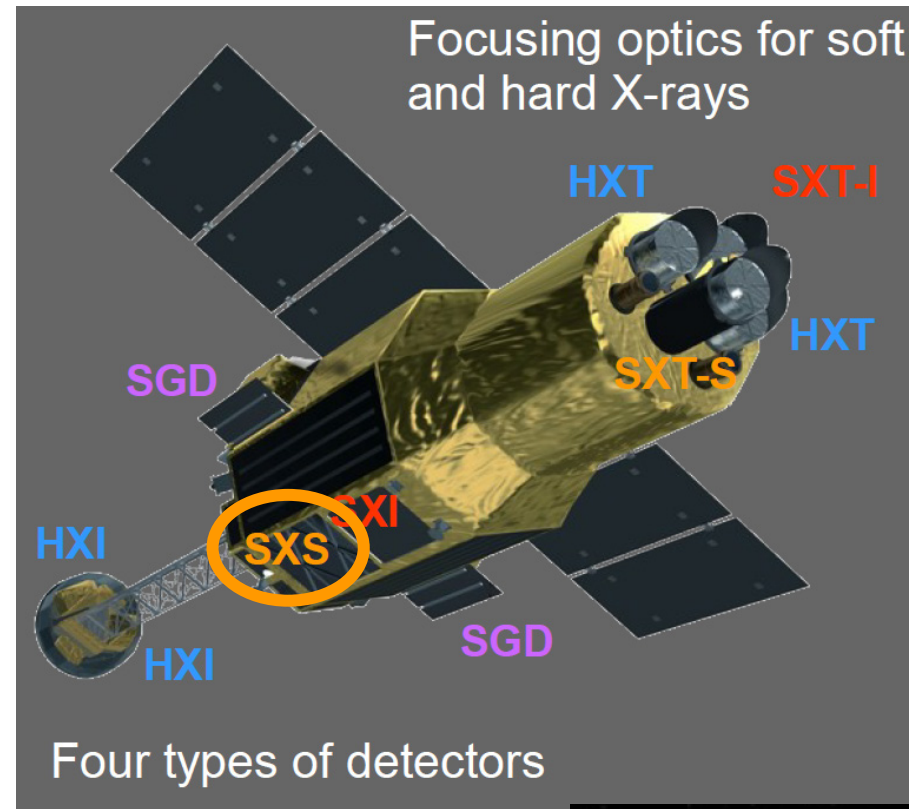
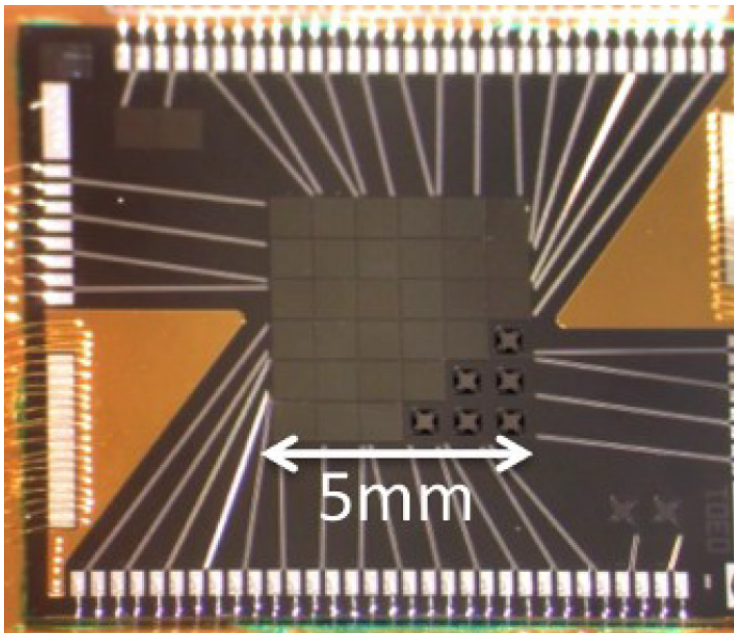
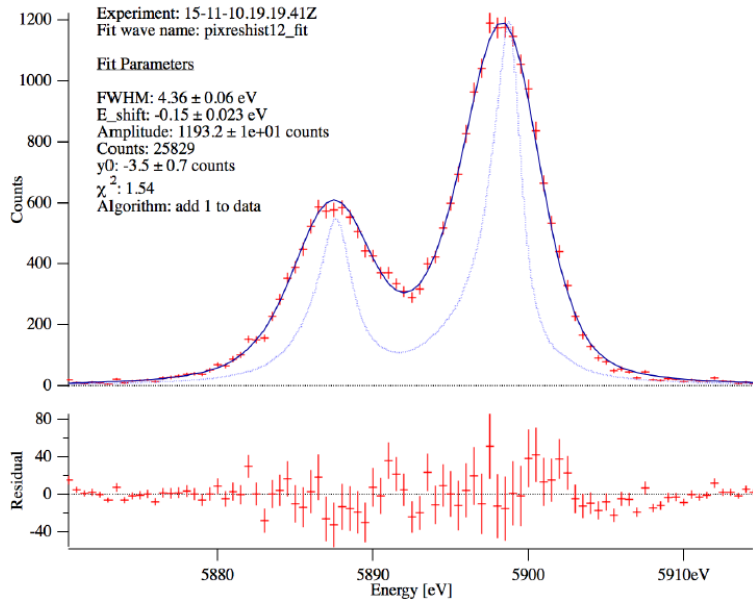


PRL (2014) [1402.4119]

keV-scale sterile neutrinos



ASTRO-H HITOMI mission

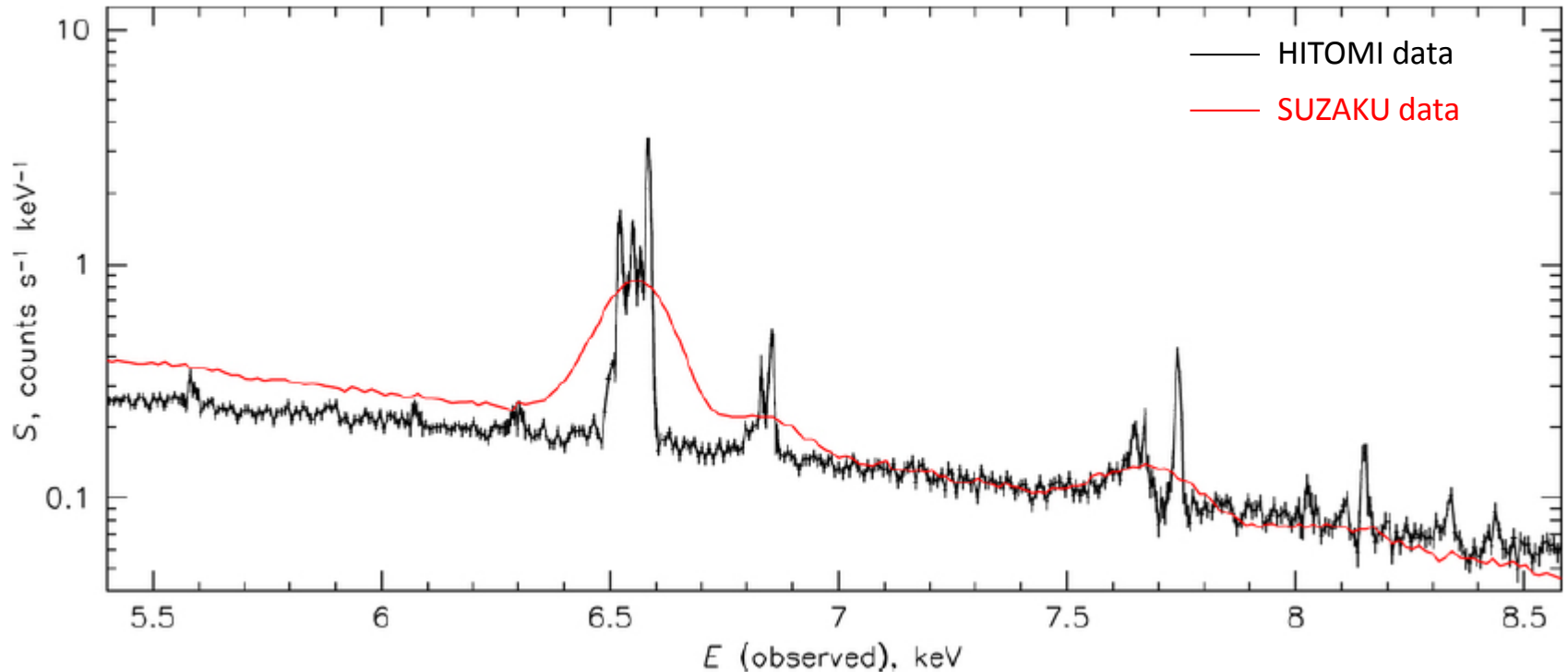


ASTRO-H - HITOMI



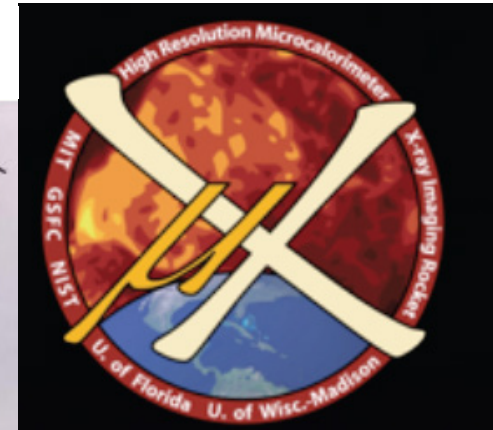
ASTRO-H HITOMI mission

There are observations of the center of Perseus galaxy cluster before the instrument was lost



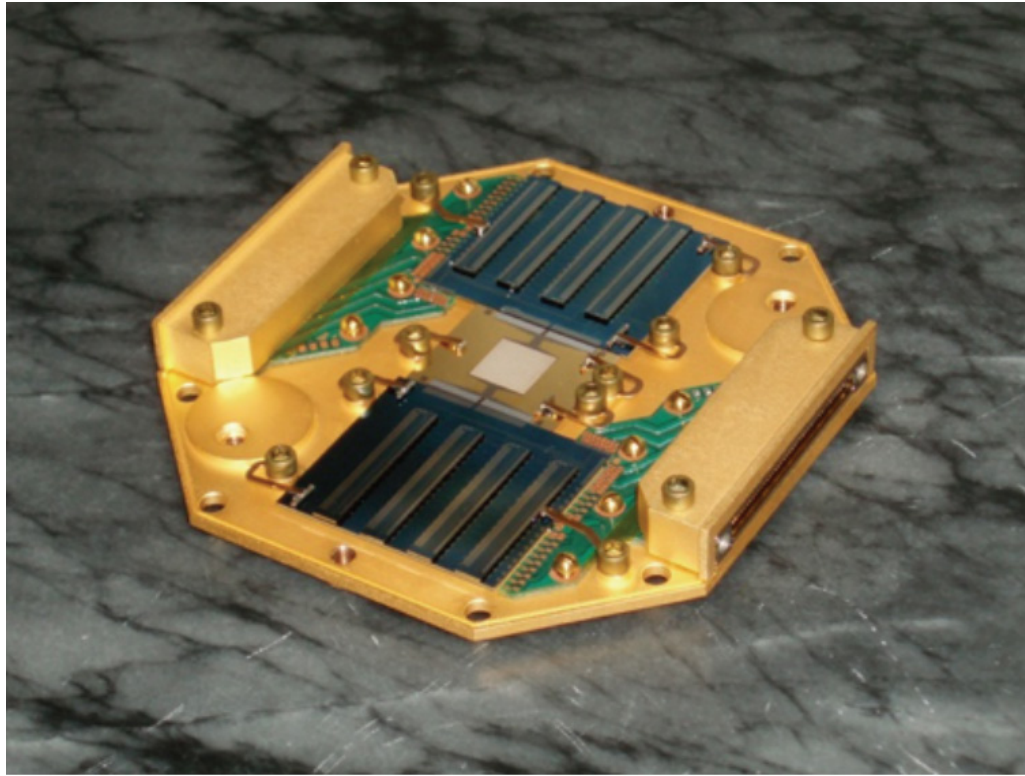
Sounding Rocket Payloads – Micro-X

- 300 seconds of on-target data above 169 km
- High resolution X-ray microcalorimeter with $\sim 1\text{cm}^2$ area and large $\sim$ steradian FOV
- Flights from White Sands Missile Range in New Mexico and Woomera Range in Australia

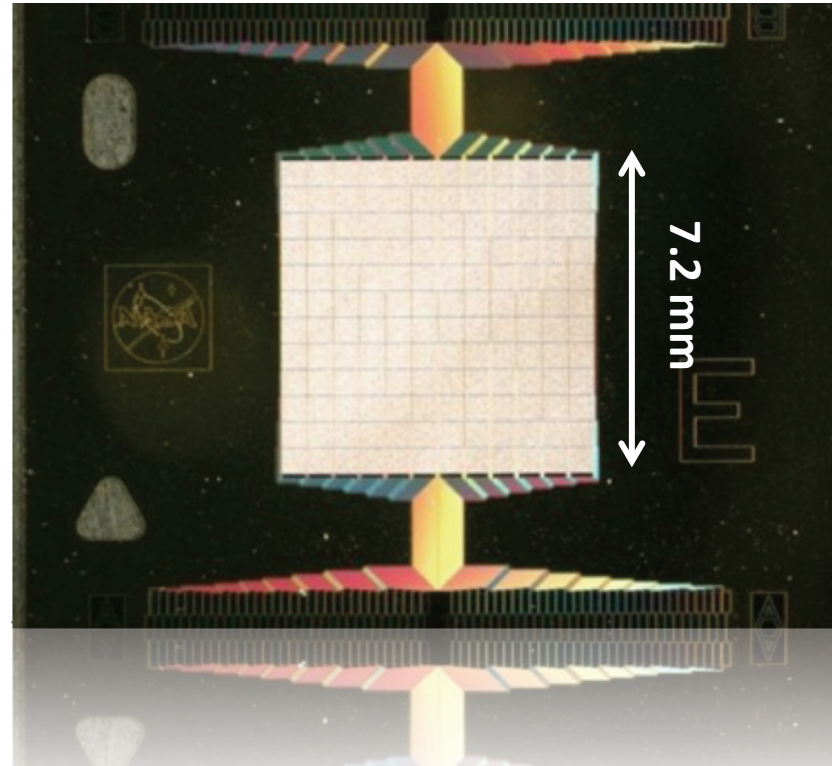


Sounding Rocket Payloads – Micro-X

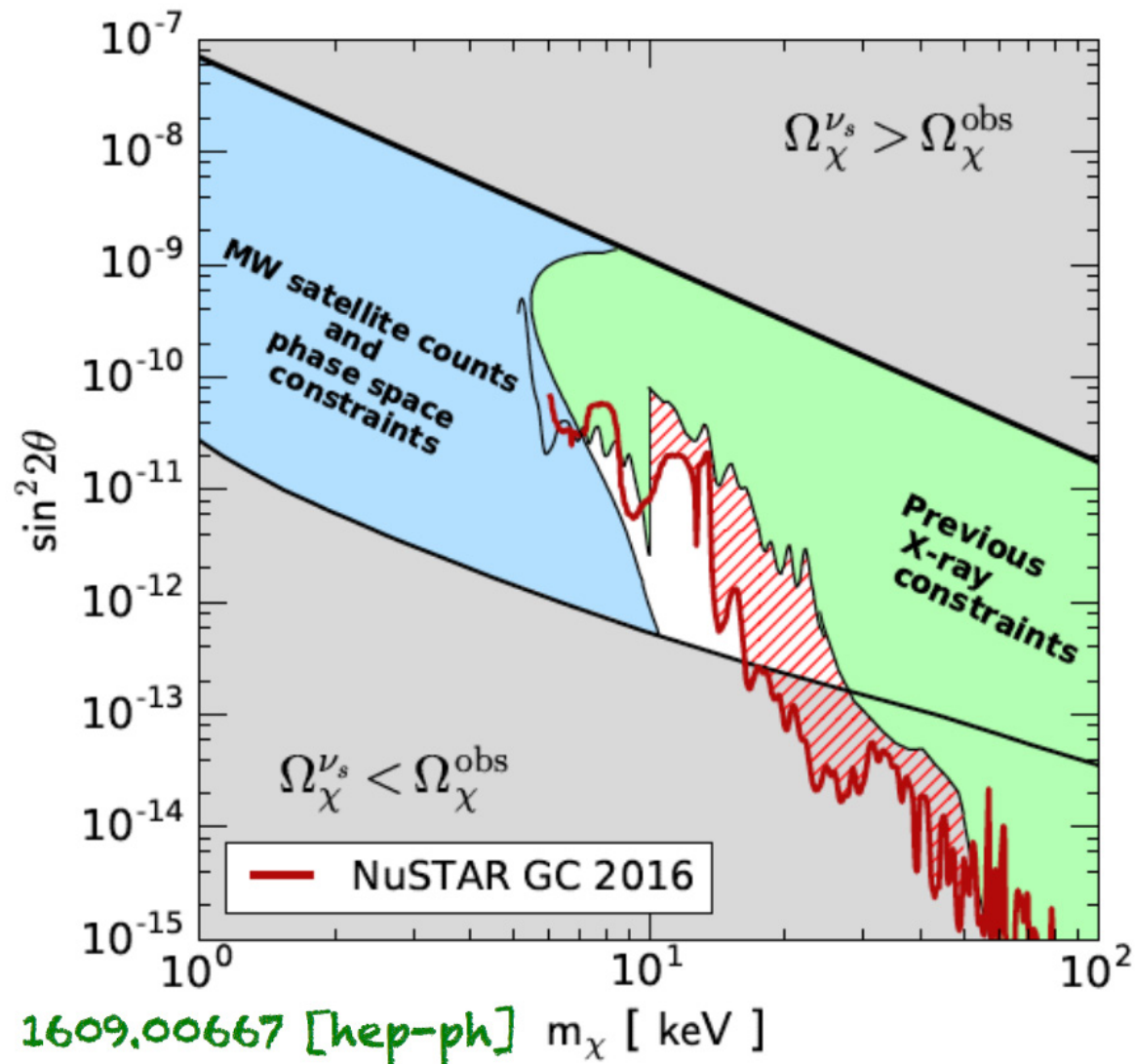
12 × 12 TES pixels array



Micro-X Focal Plane



NuSTAR limit



Can we find direct evidence of
sterile neutrinos in laboratory
based experiments?

Neutrino mass determination

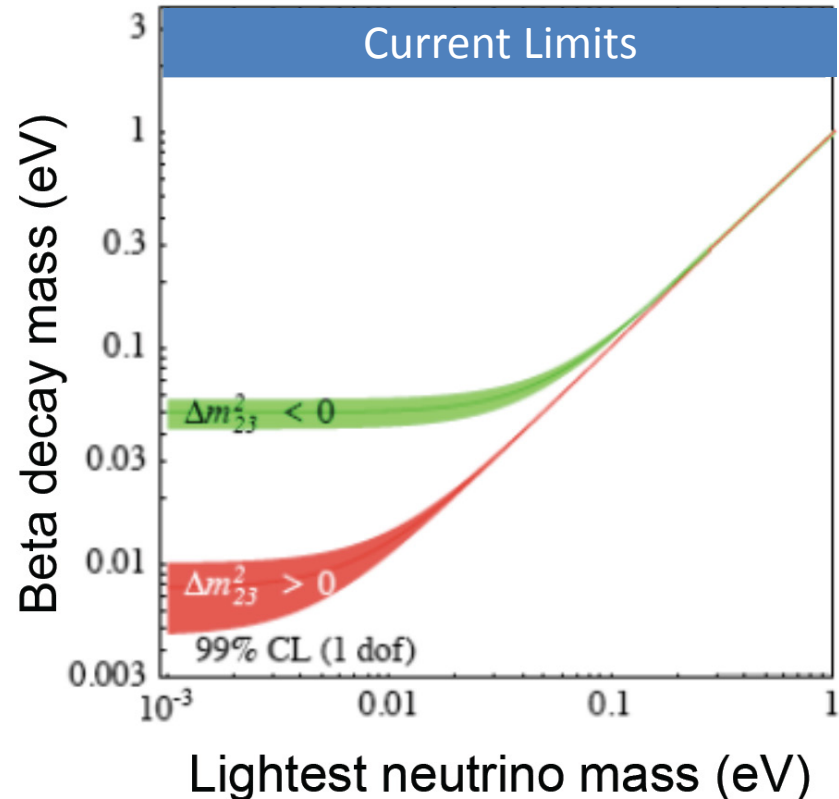
Kinematics of beta decay

$$m^2(\nu_e) = \sum_i |U_{ei}|^2 m_i^2$$

- Model independent
- Laboratory experiments

$$m(\bar{\nu}_e) < 2.2 \text{ eV} \quad {}^3\text{H} \quad (1)$$

$$m(\nu_e) < 225 \text{ eV} \quad {}^{163}\text{Ho} \quad (2)$$



(1) Ch. Kraus *et al.*, Eur. Phys. J. C **40** (2005) 447

Ch. Weinheimer, Prog. Part. Nucl. Phys. **57** (2006) 22

N. Aseev *et al.*, Phys. Rev D **84** (2011) 112003

(2) P. T. Springer, C. L. Bennett, and P. A. Baisden Phys. Rev. A 35 (1987) 679

Neutrino mass determination

Kinematics of beta decay

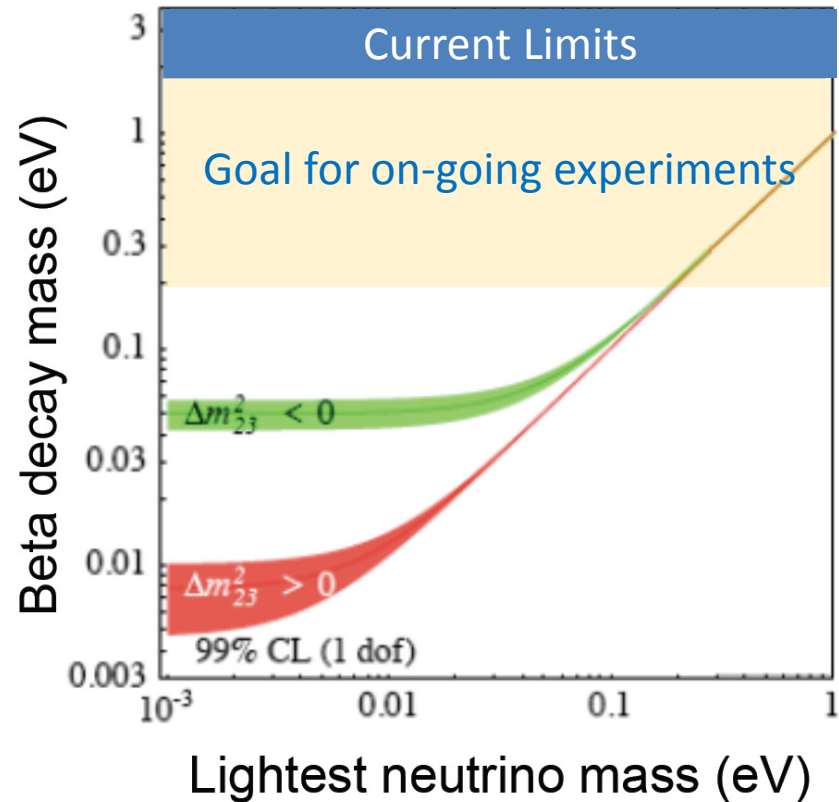
$$m^2(\nu_e) = \sum_i |U_{ei}|^2 m_i^2$$

- Model independent
- Laboratory experiments

$$m(\bar{\nu}_e) < 2.2 \text{ eV} \quad {}^3\text{H} \quad (1)$$

$$m(\nu_e) < 225 \text{ eV} \quad {}^{163}\text{Ho} \quad (2)$$

- Next future 200 meV



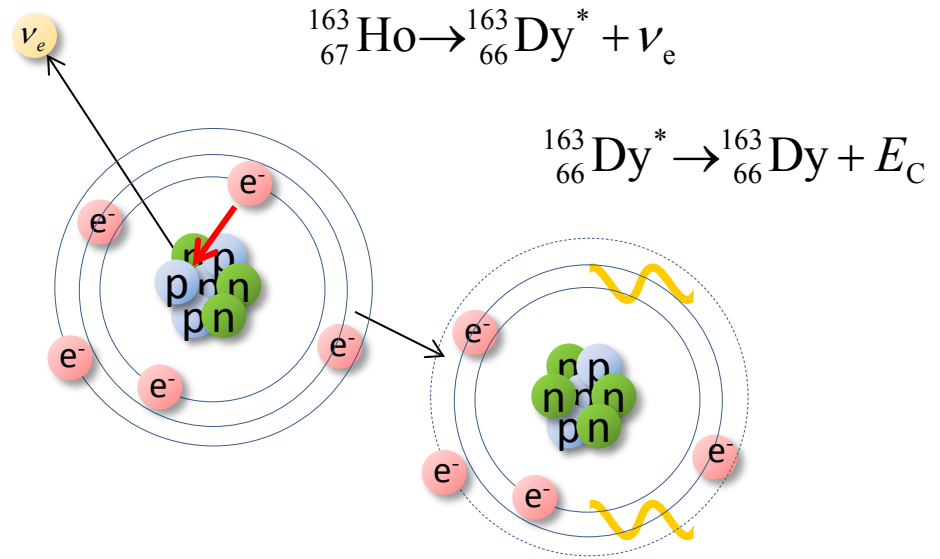
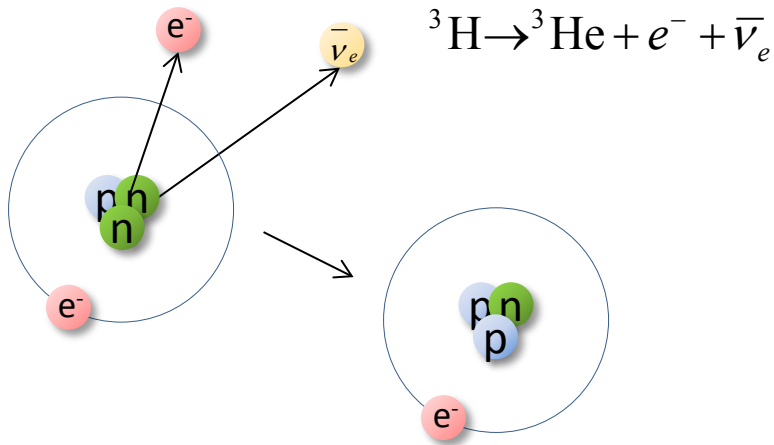
(1) Ch. Kraus *et al.*, Eur. Phys. J. C **40** (2005) 447

Ch. Weinheimer, Prog. Part. Nucl. Phys. **57** (2006) 22

N. Aseev *et al.*, Phys. Rev D **84** (2011) 112003

(2) P. T. Springer, C. L. Bennett, and P. A. Baisden Phys. Rev. A 35 (1987) 679

Beta decay and electron capture



- $\tau_{1/2} \cong 12.3 \text{ years}$ ($4 \cdot 10^8$ atoms for 1 Bq)

- $Q_\beta = 18\,592.01(7) \text{ eV}$

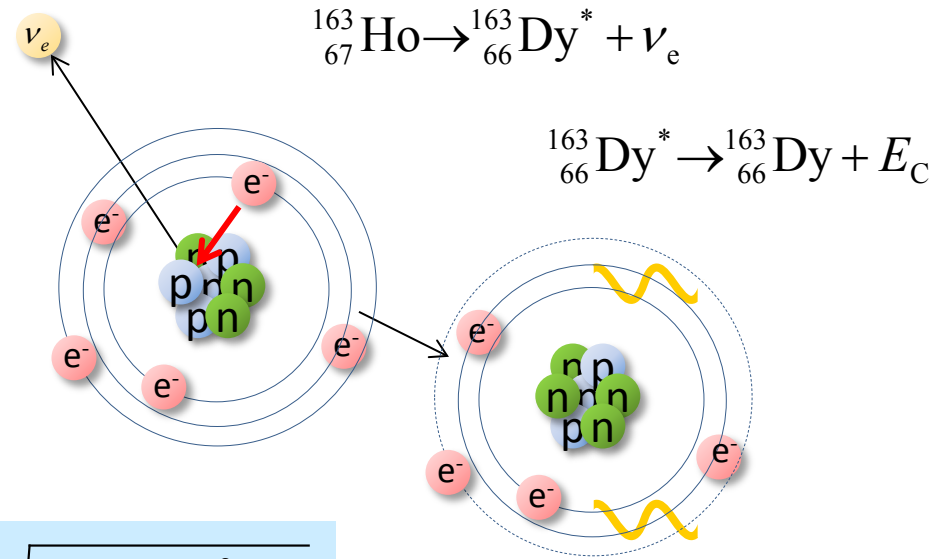
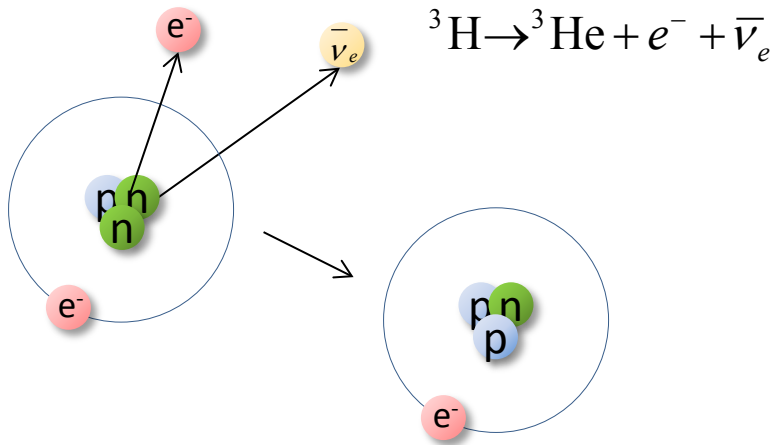
E.G. Myers et al., *Phys. Rev. Lett.* **114** (2015) 013003

- $\tau_{1/2} \cong 4570 \text{ years}$ ($2 \cdot 10^{11}$ atoms for 1 Bq)

- $Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$

S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501

Beta decay and electron capture



$$\frac{dW}{dE} \propto (Q - E)^2 \sqrt{1 - \frac{m_\nu^2}{(Q - E)^2}}$$

• $\tau_{1/2} \cong 12.3 \text{ years}$ ($4 \cdot 10^8$ atoms for 1 Bq)

• $Q_\beta = 18\,592.01(7) \text{ eV}$

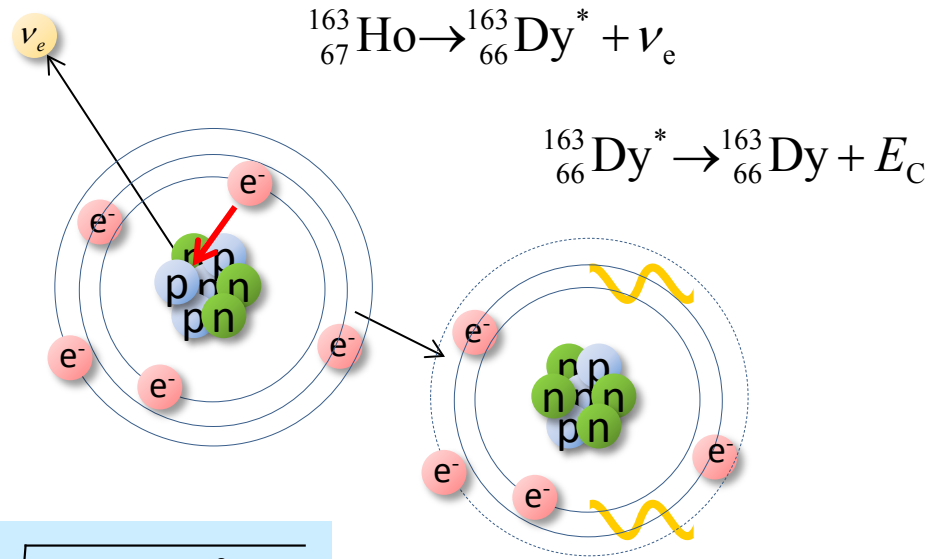
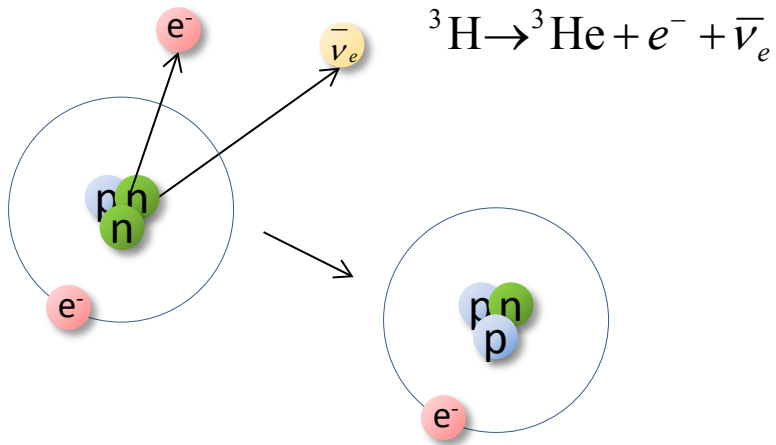
E.G. Myers et al., *Phys. Rev. Lett.* **114** (2015) 013003

• $\tau_{1/2} \cong 4570 \text{ years}$ ($2 \cdot 10^{11}$ atoms for 1 Bq)

• $Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$

S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501

Beta decay and electron capture

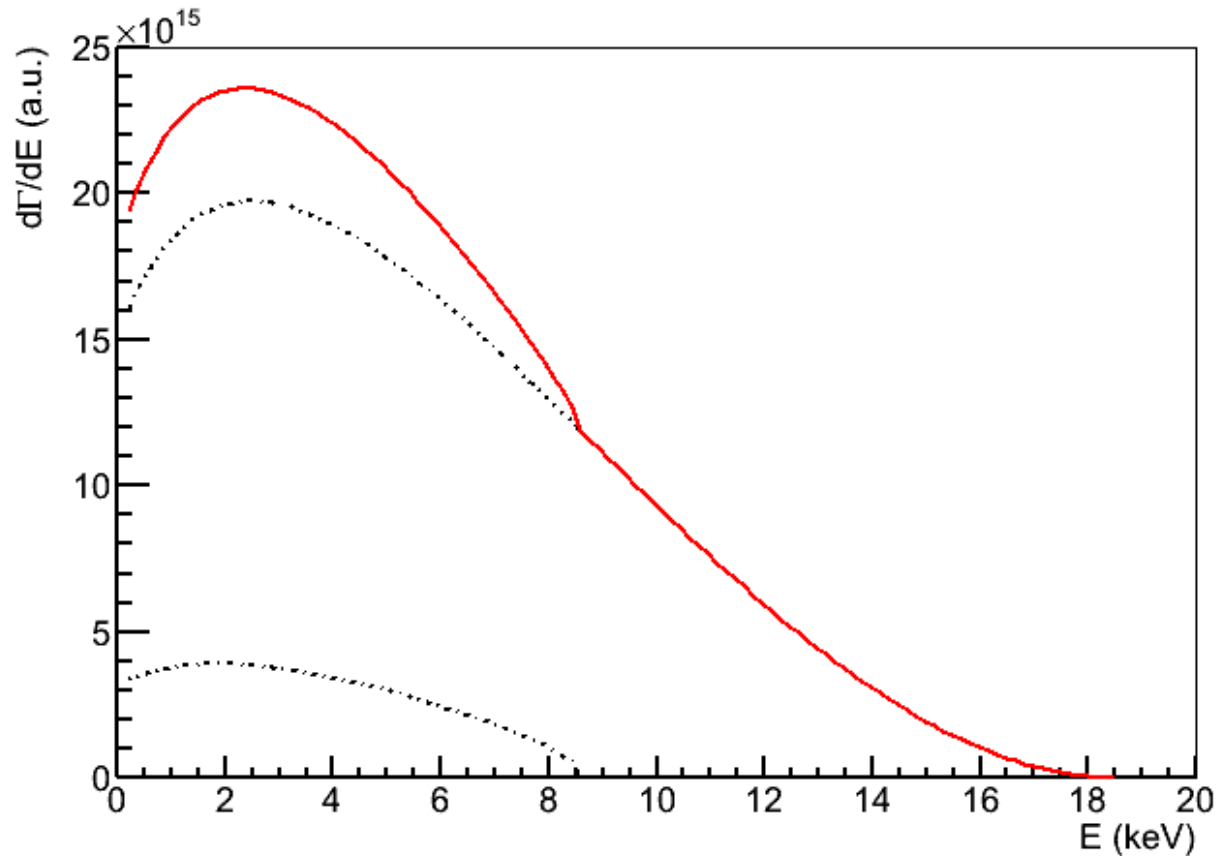


$$\frac{dW}{dE} \propto (Q - E)^2 \sqrt{1 - \frac{m_\nu^2}{(Q - E)^2}}$$

$$\frac{dW}{dE} \propto (Q_{\text{EC}} - E)^2 \sum_i |U_{ei}|^2 \sqrt{1 - \frac{m_i^2}{(Q_{\text{EC}} - E)^2}}$$

$$\frac{dW}{dE} \propto (Q_{\text{EC}} - E)^2 \left[\left(1 - |U_{e4}|^2\right) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{\text{EC}} - E)^2}} H(Q_{\text{EC}} - E - m_4) \right]$$

Beta decay and electron capture

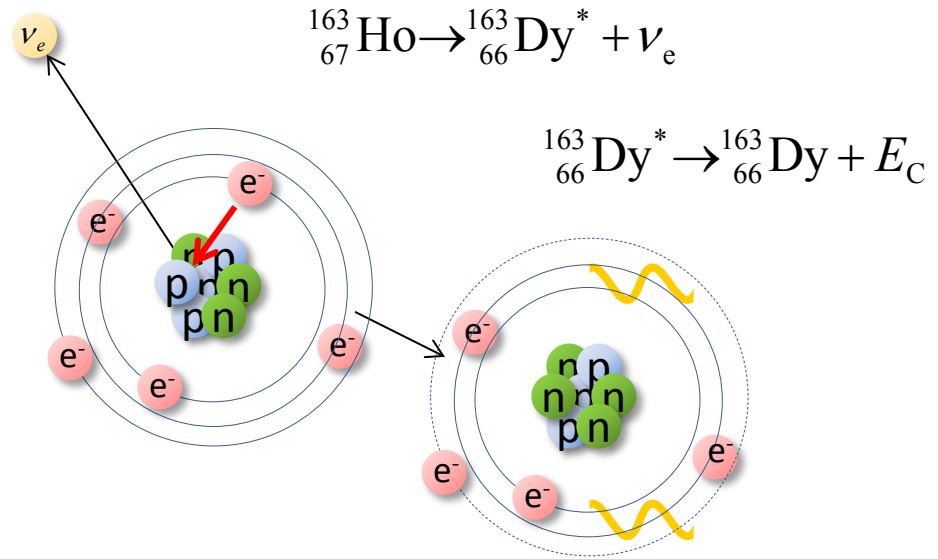


$$\frac{dW}{dE} \propto (Q_{\text{EC}} - E)^2 \left[(1 - |U_{e4}|^2) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{\text{EC}} - E)^2}} H(Q_{\text{EC}} - E - m_4) \right]$$

Electron capture in ^{163}Ho

Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions



• $\tau_{1/2} \cong 4570 \text{ years}$ ($2 \cdot 10^{11}$ atoms for 1 Bq)

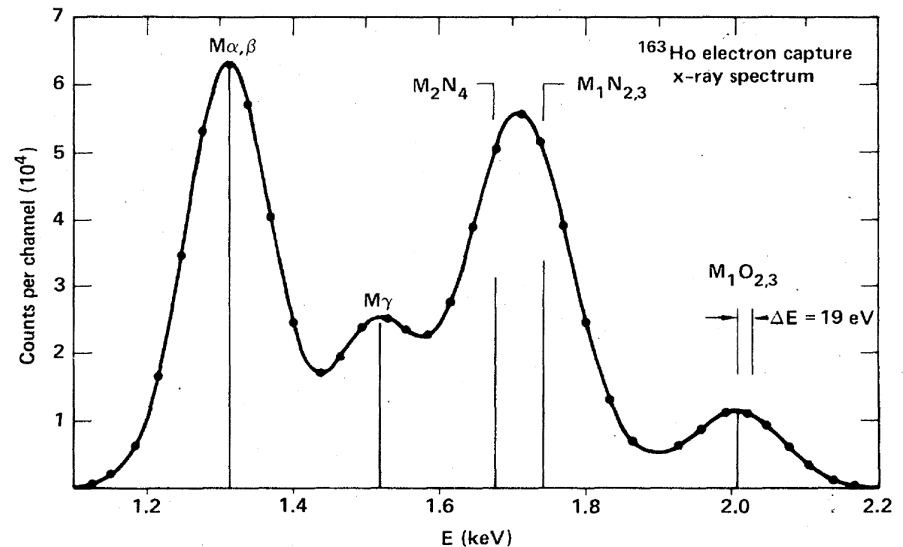
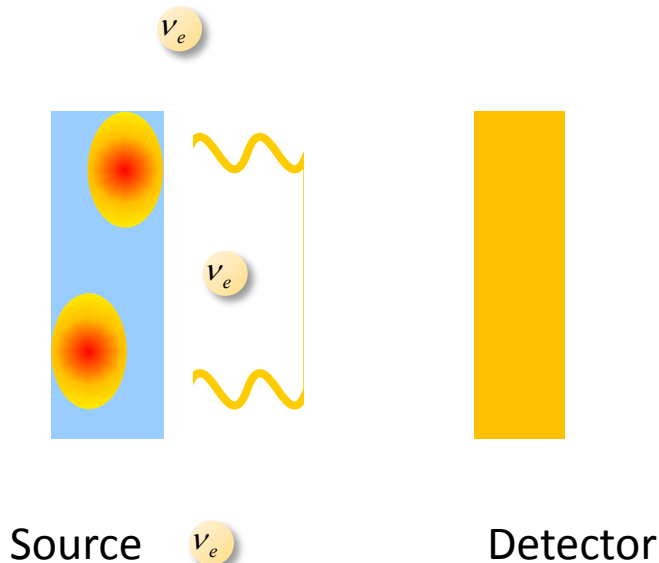
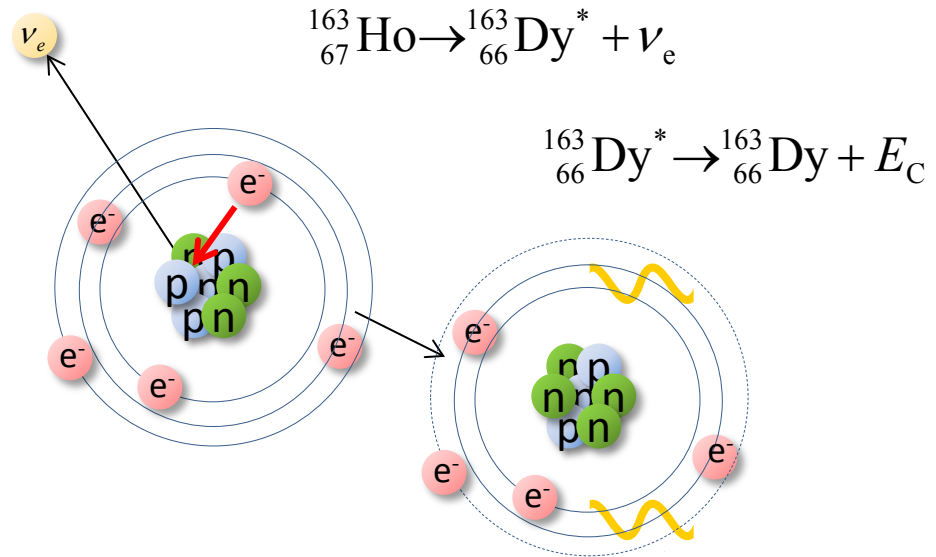
• $Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$

S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501

Electron capture in ^{163}Ho

Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

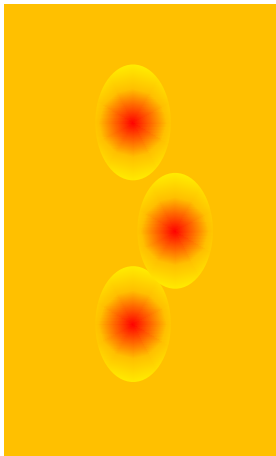


Electron capture in ^{163}Ho

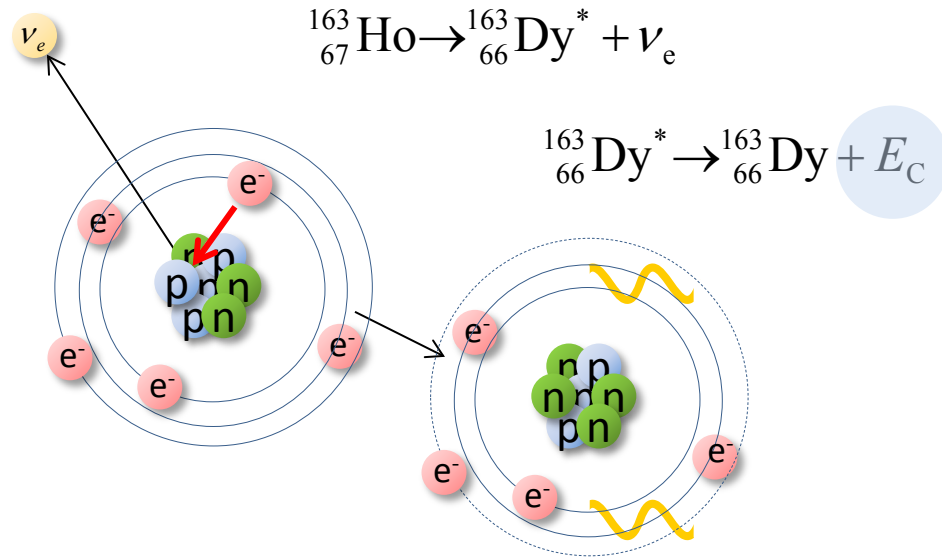
Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement



Source = Detector

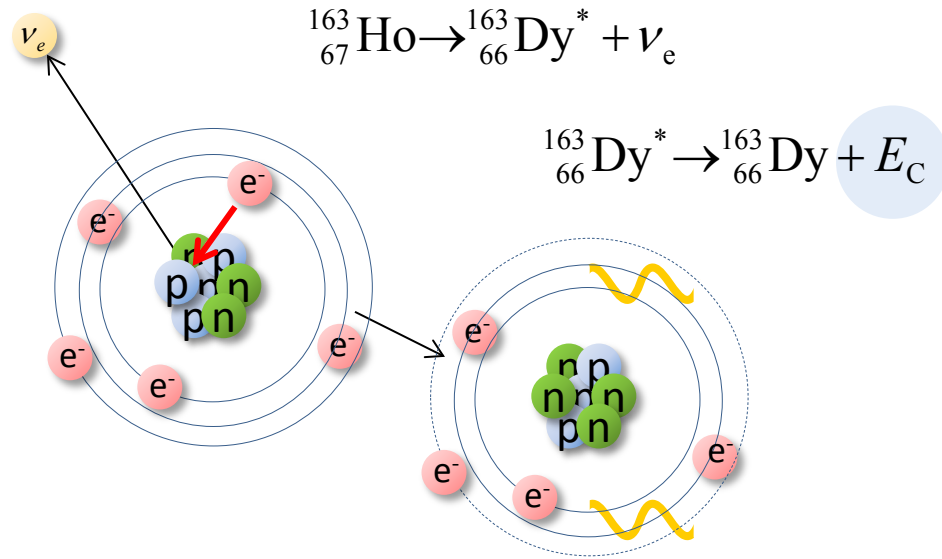


Electron capture in ^{163}Ho

Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement



Volume 118B, number 4, 5, 6

PHYSICS LETTERS

9 December 1982

CALORIMETRIC MEASUREMENTS OF ^{163}Ho DECAY AS TOOLS TO DETERMINE THE ELECTRON NEUTRINO MASS

A. DE RÚJULA and M. LUSIGNOLI ¹

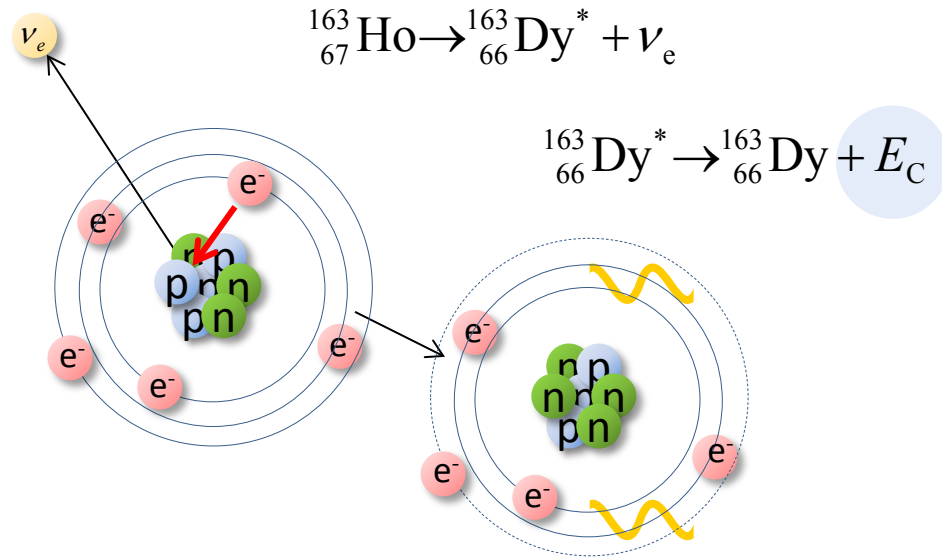
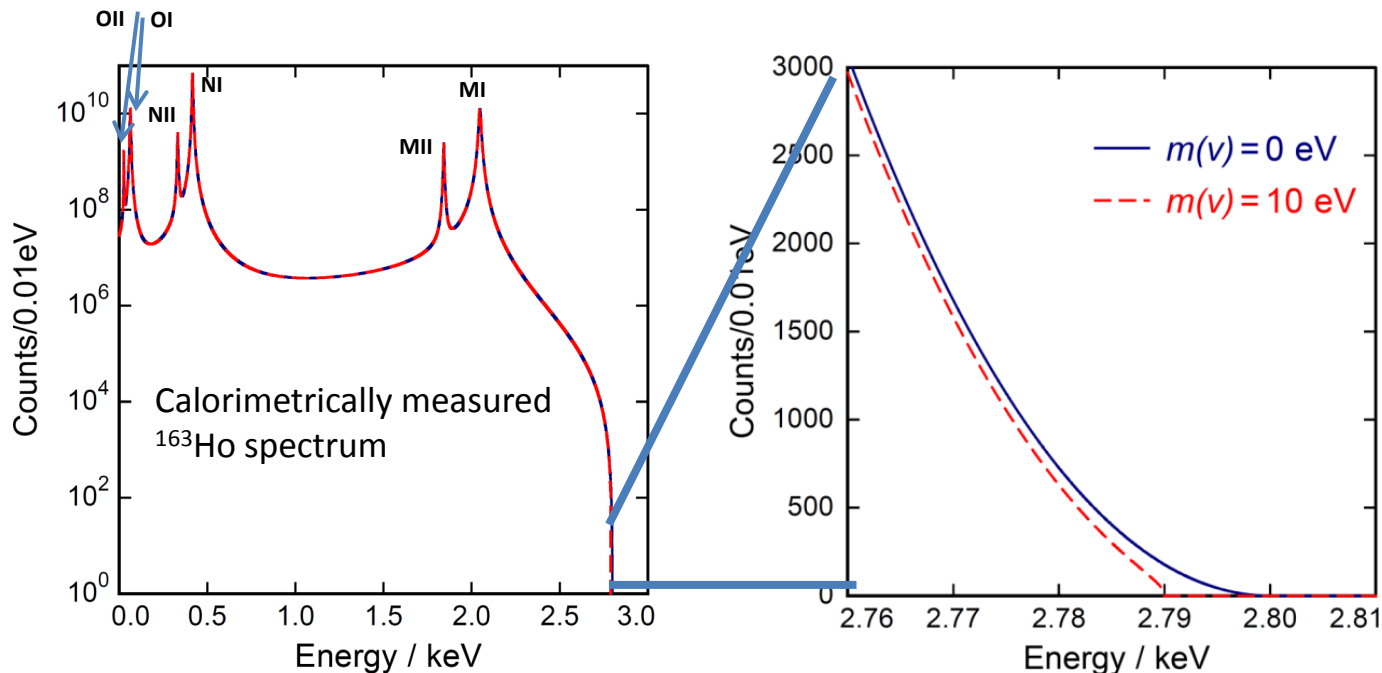
CERN, Geneva, Switzerland

Electron capture in ^{163}Ho

Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement



Requirements for sub-eV sensitivity in ECHo

Statistics in the end point region

- $N_{\text{ev}} > 10^{14} \rightarrow A \approx 1 \text{ MBq}$

Unresolved pile-up ($f_{\text{pu}} \sim a \cdot \tau_r$)

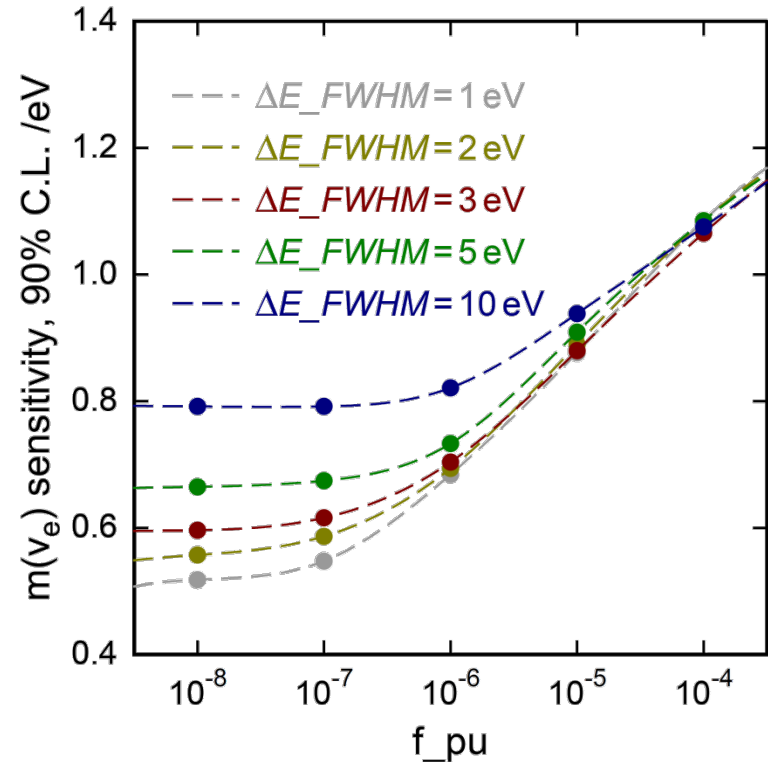
- $f_{\text{pu}} < 10^{-5}$
- $\tau_r < 1 \mu\text{s} \rightarrow a \sim 10 \text{ Bq}$
- $10^5 \text{ pixels} \rightarrow \text{multiplexing}$

Precision characterization of the endpoint region

- $\Delta E_{\text{FWHM}} < 3 \text{ eV}$

Background level

- $< 5 \cdot 10^{-5} \text{ events/eV/det/day}$



Requirements for sub-eV sensitivity in ECHo

Statistics in the end point region

- $N_{\text{ev}} > 10^{14} \rightarrow A \approx 1 \text{ MBq}$

Unresolved pile-up ($f_{\text{pu}} \sim a \cdot \tau_r$)

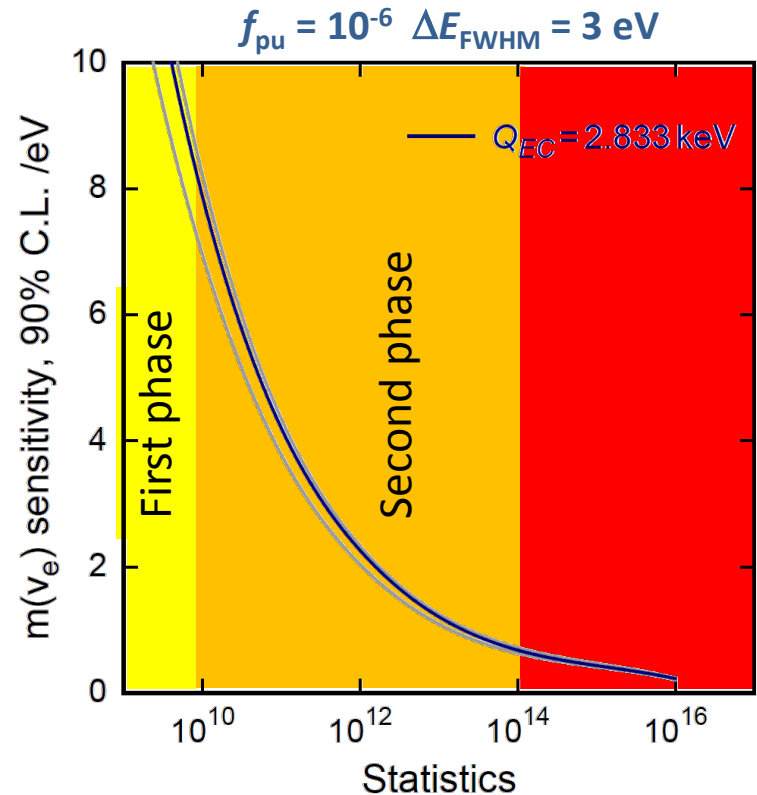
- $f_{\text{pu}} < 10^{-5}$
- $\tau_r < 1 \mu\text{s} \rightarrow a \sim 10 \text{ Bq}$
- $10^5 \text{ pixels} \rightarrow \text{multiplexing}$

Precision characterization of the endpoint region

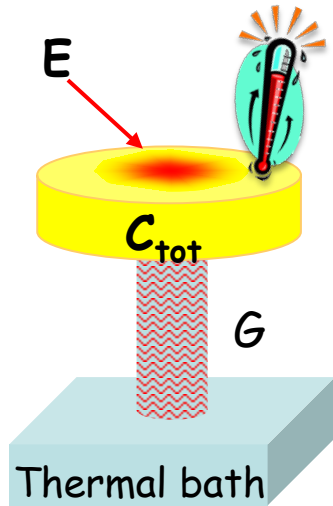
- $\Delta E_{\text{FWHM}} < 3 \text{ eV}$

Background level

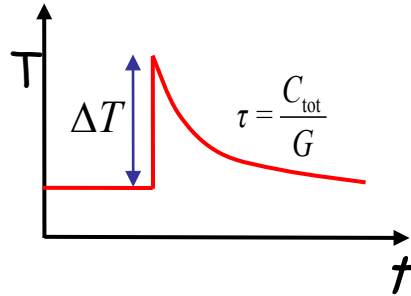
- $< 5 \cdot 10^{-5} \text{ events/eV/det/day}$



Low temperature micro-calorimeters



$$\Delta T \cong \frac{E}{C_{\text{tot}}}$$



$$E = 10 \text{ keV}$$

$$C_{\text{tot}} = 1 \text{ pJ/K}$$

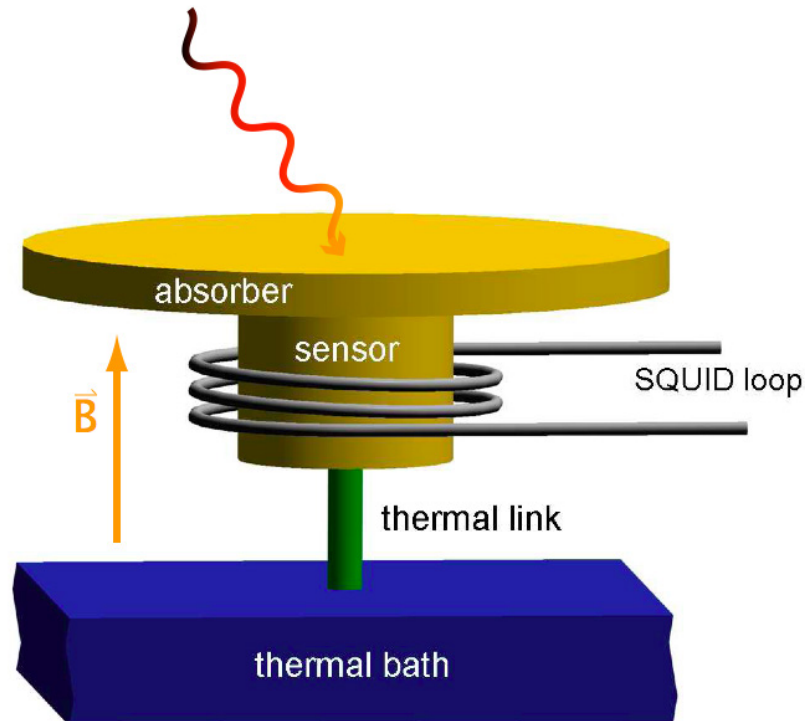
$$\rightarrow \sim 1 \text{ mK}$$

- Very small volume
- Working temperature below 100 mK
small specific heat
small thermal noise
- Very sensitive temperature sensor

Metallic magnetic calorimeters (MMCs)

A. Fleischmann et al.,
AIP Conf. Proc. **1185**, 571, (2009)

- Paramagnetic Au:Er sensor
 Ag:Er

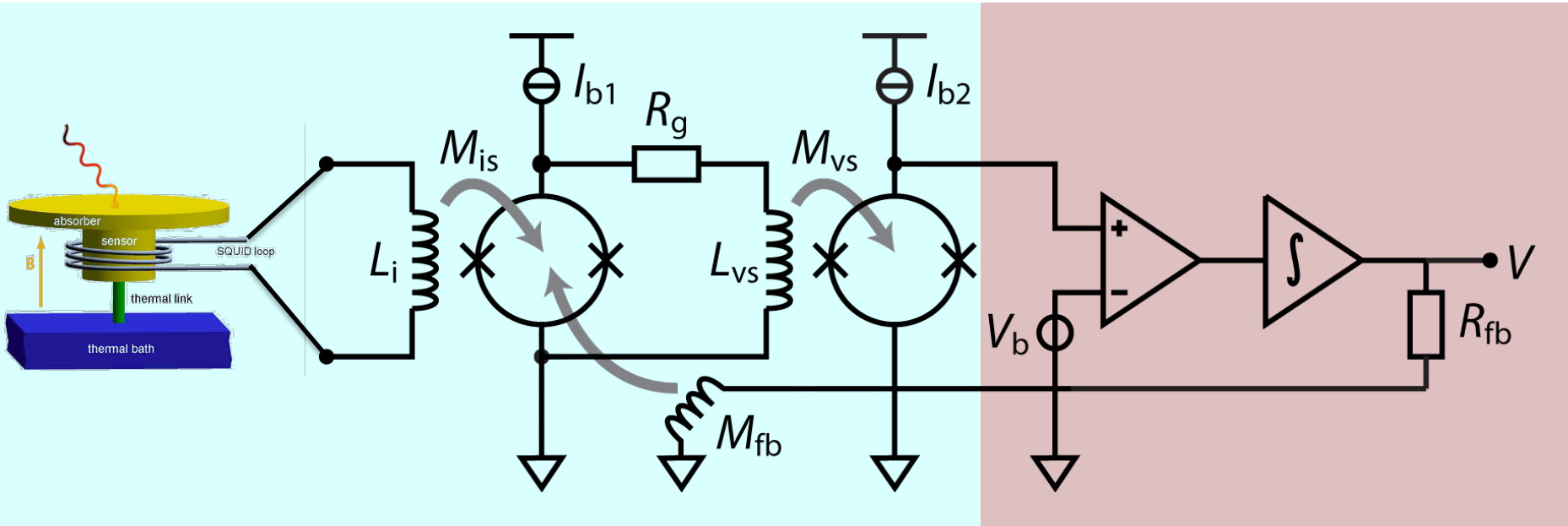


$$\Delta\Phi_s \propto \frac{\partial M}{\partial T} \Delta T \rightarrow \Delta\Phi_s \propto \frac{\partial M}{\partial T} \frac{E}{C_{\text{sens}} + C_{\text{abs}}}$$

MMCs: Readout

$T \sim 30 \text{ mK}$

$T \sim 300 \text{ mK}$

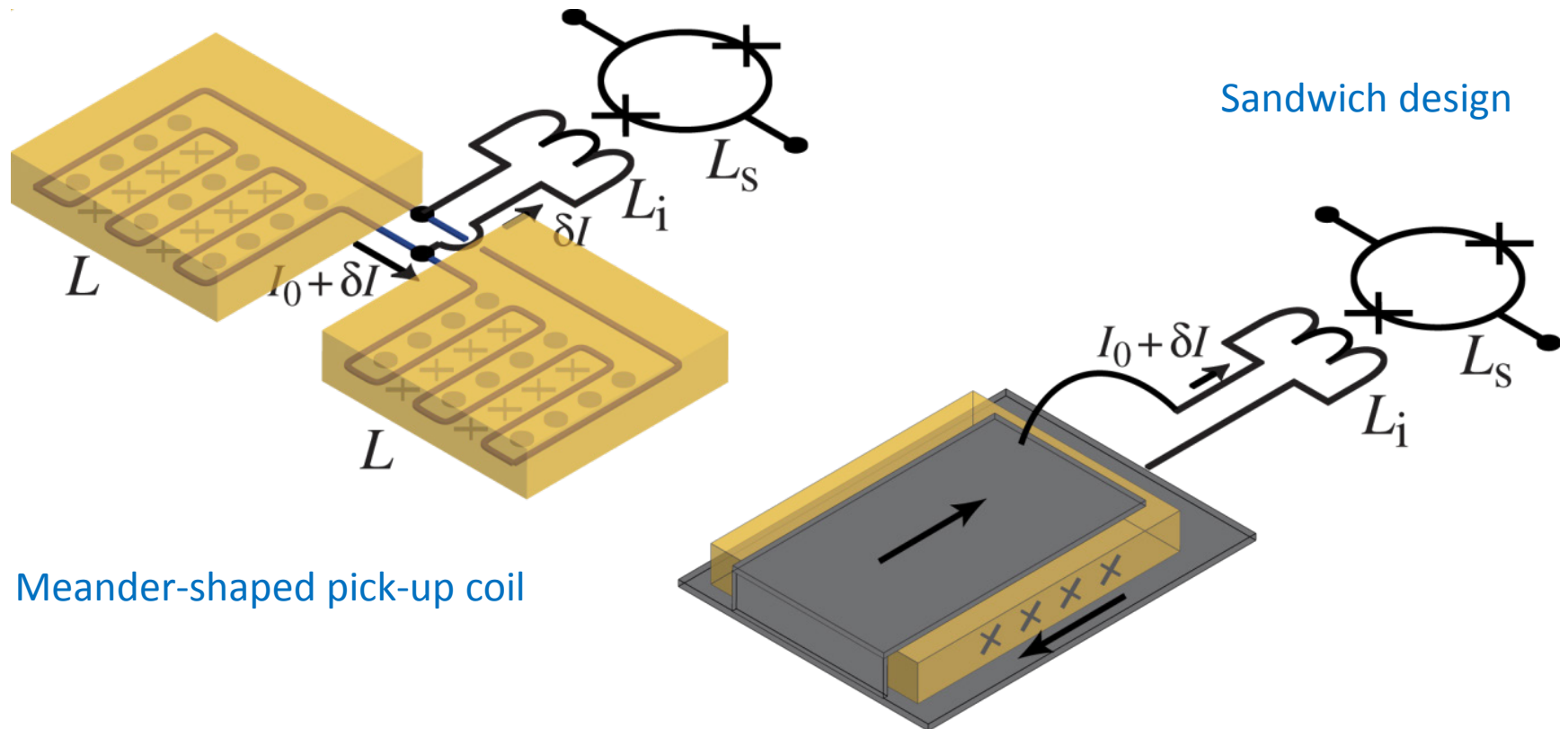


Two-stage SQUID setup with flux locked loop allows for:

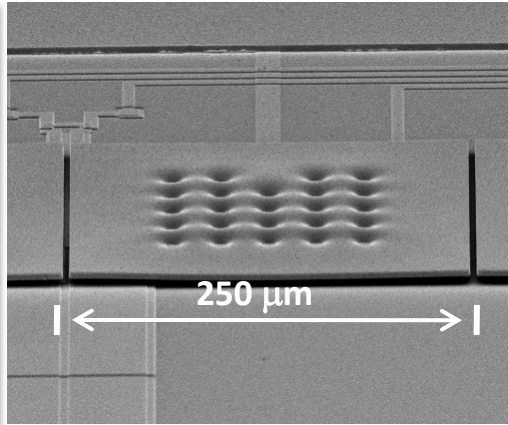
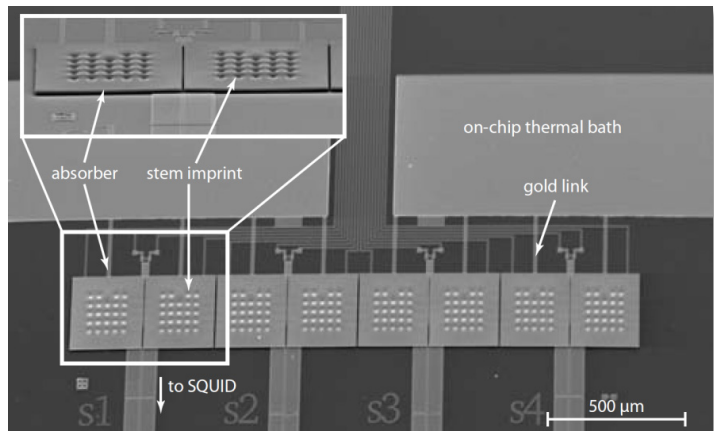
- low noise
- large bandwidth / slewrate
- small power dissipation on detector SQUID chip (voltage bias)

MMCs: Planar geometries

- Planar temperature sensor
- B-field generated by persistent current
- transformer coupled to SQUID

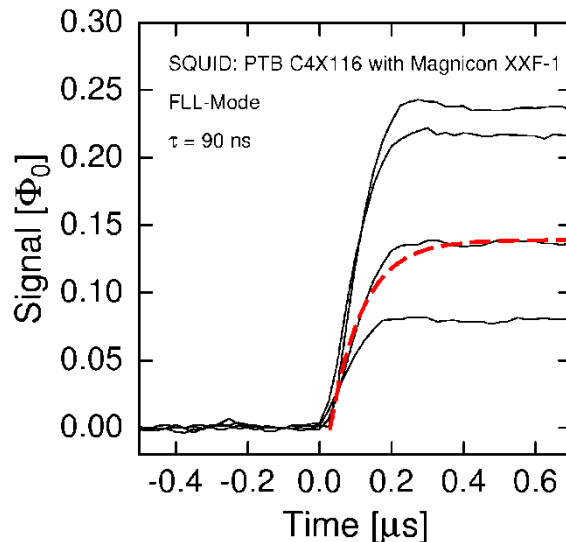


MMCs: 1d-array for soft x-rays ($T=20$ mK)



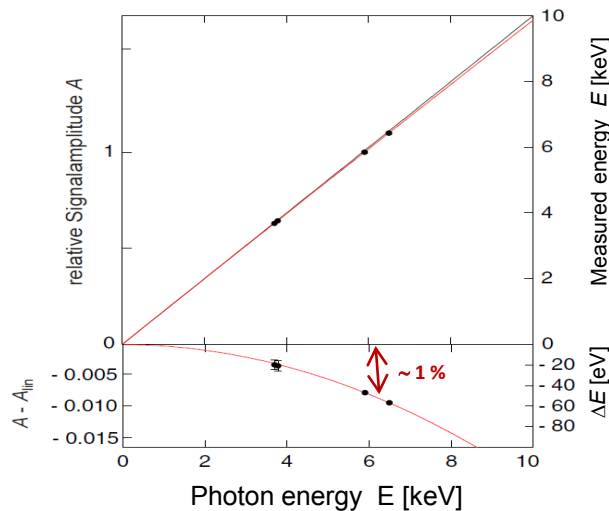
$$\Delta E_{\text{FWHM}} = 1.6 \text{ eV @ } 6 \text{ keV}$$

Rise Time: 90 ns

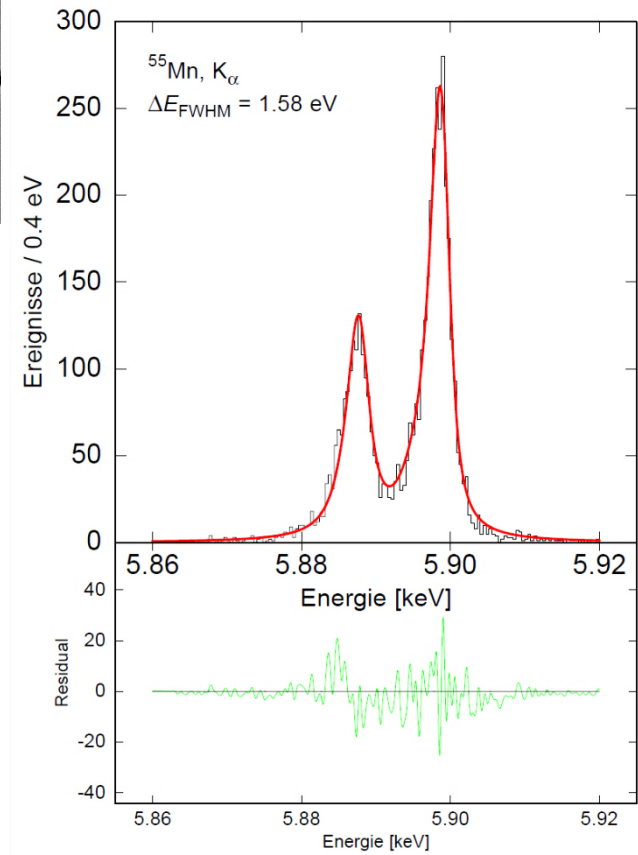


Reduction
un-resolved pile-up

Non-Linearity < 1% @6keV



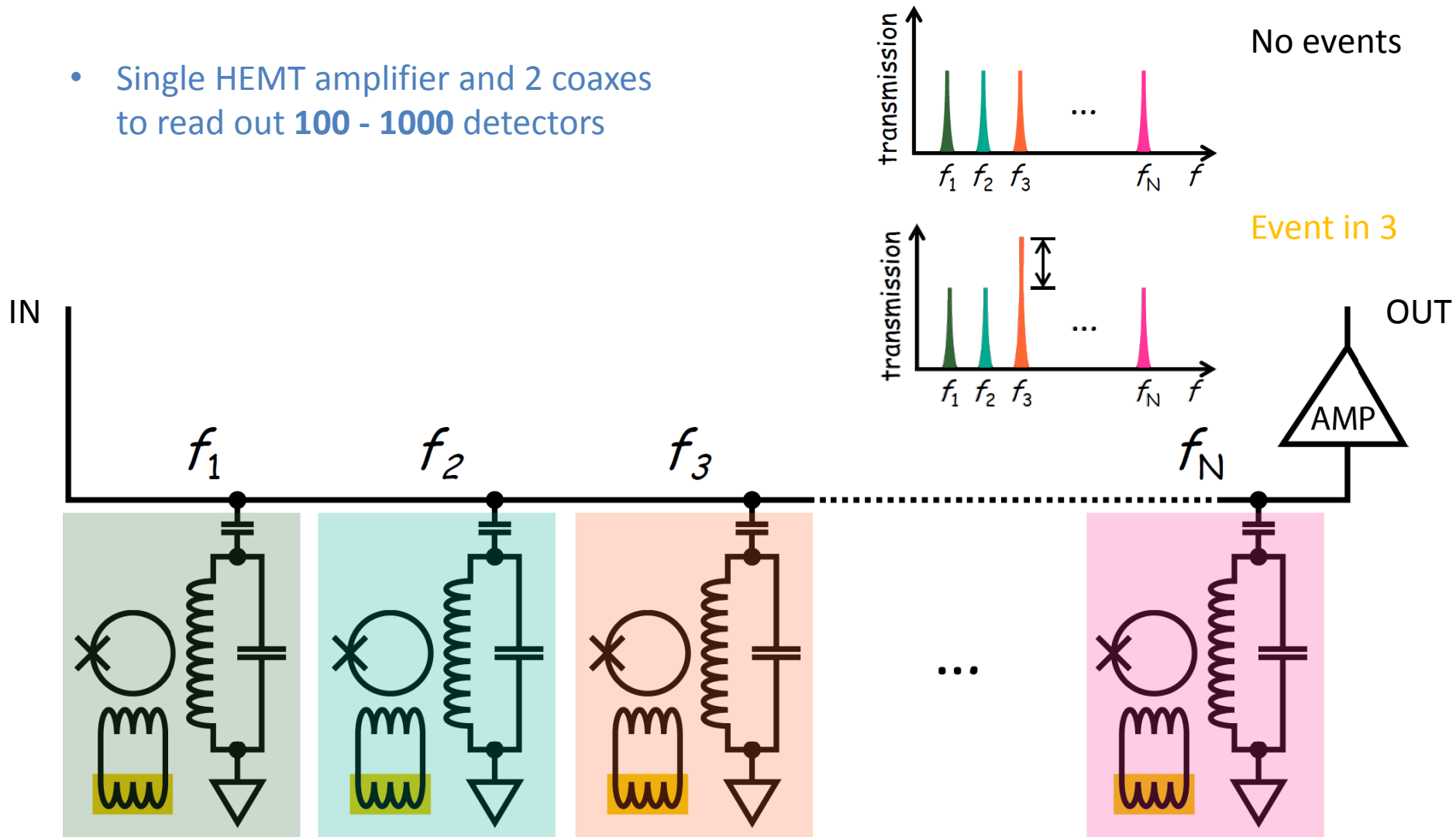
Definition
of the energy scale



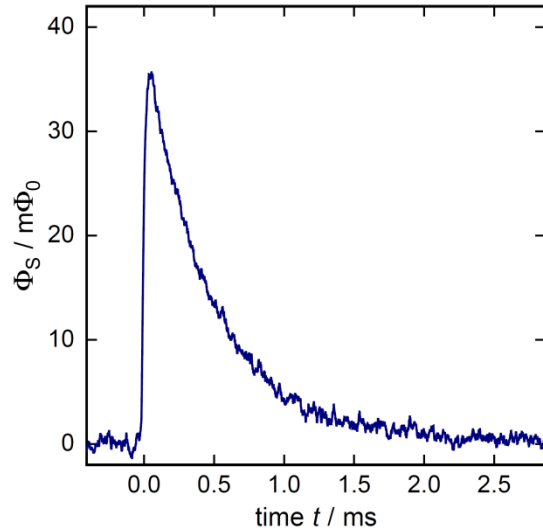
Reduced smearing
in the end point region

MMCs: Microwave SQUID multiplexing

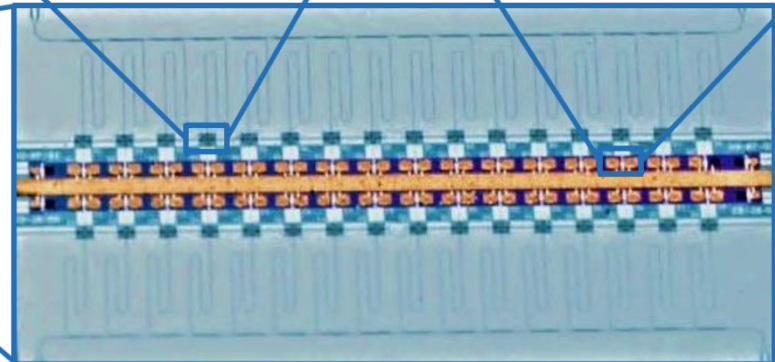
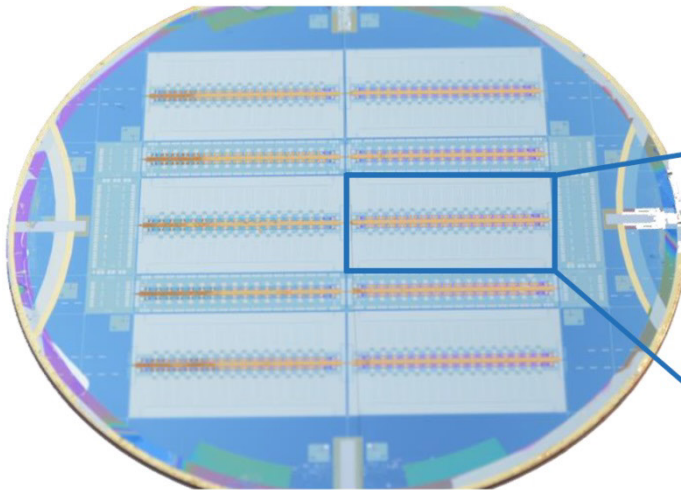
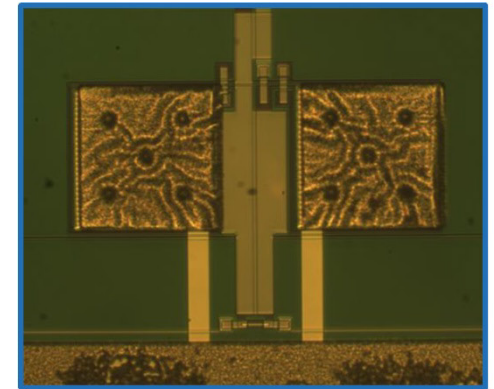
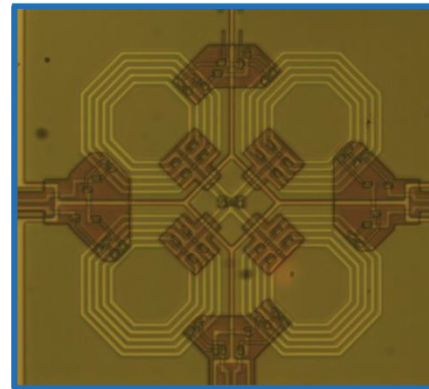
- Single HEMT amplifier and 2 coaxes to read out **100 - 1000** detectors



MMCs: Microwave SQUID multiplexing

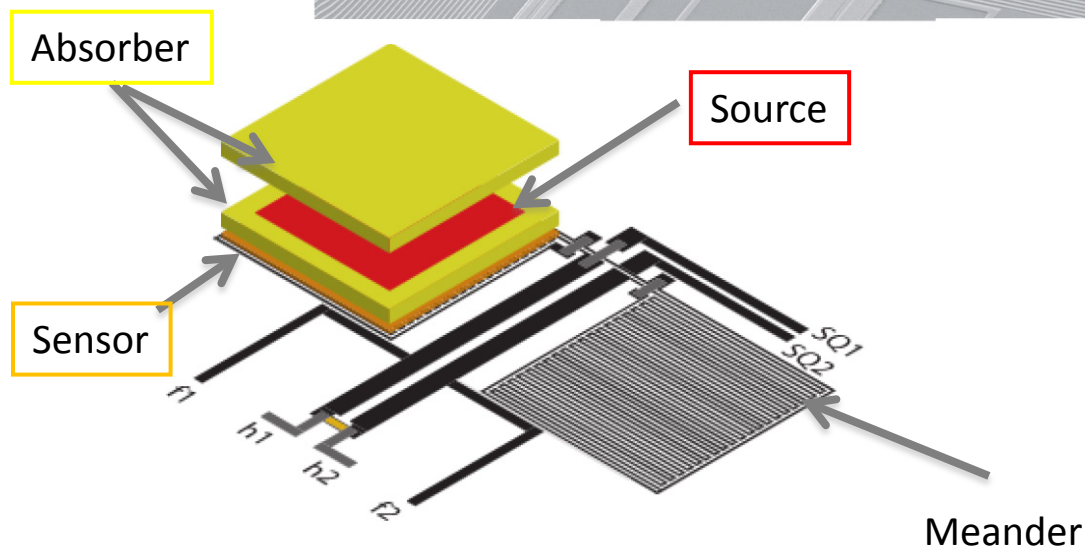
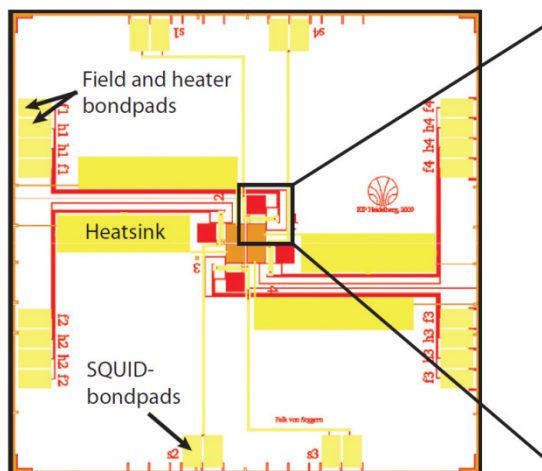
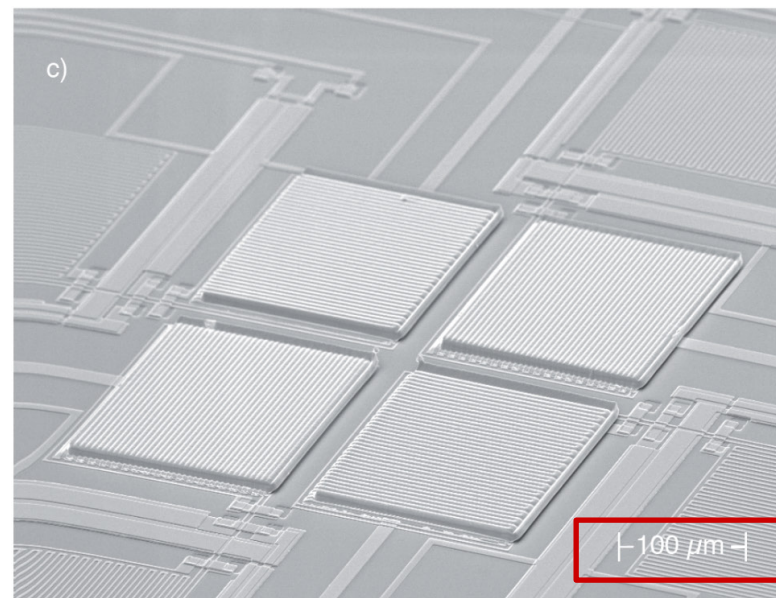


Successful production and
test of the first prototype



EC^{Ho} First detector prototype

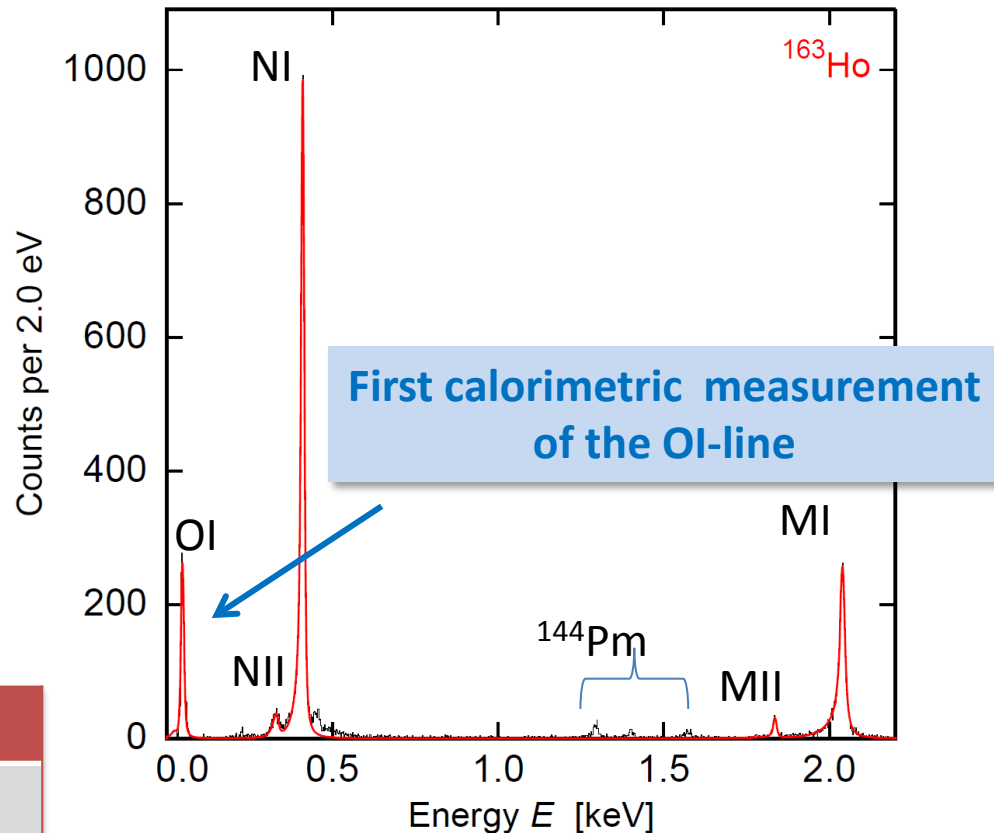
- Absorber for metallic magnetic calorimeters
→ ion implantation @ ISOLDE-CERN in 2009
on-line process
- About 0.01 Bq per pixel
- Operated over more than 4 years



EC^{Ho} Calorimetric spectrum

- Rise Time ~ 130 ns
- $\Delta E_{\text{FWHM}} = 7.6$ eV @ 6 keV (2013)
- Non-Linearity $< 1\%$ @ 6keV

	E_{H} bind.	E_{H} exp.	Γ_{H} lit.	Γ_{H} exp
MI	2.047	2.040	13.2	13.7
MII	1.845	1.836	6.0	7.2
NI	0.420	0.411	5.4	5.3
NII	0.340	0.333	5.3	8.0
OI	0.050	0.048	5.0	4.3



$$Q_{\text{EC}} = (2.843 \pm 0.009^{\text{stat}} \pm 0.06^{\text{syst}}) \text{ keV}$$

Where to improve

High purity ^{163}Ho source:

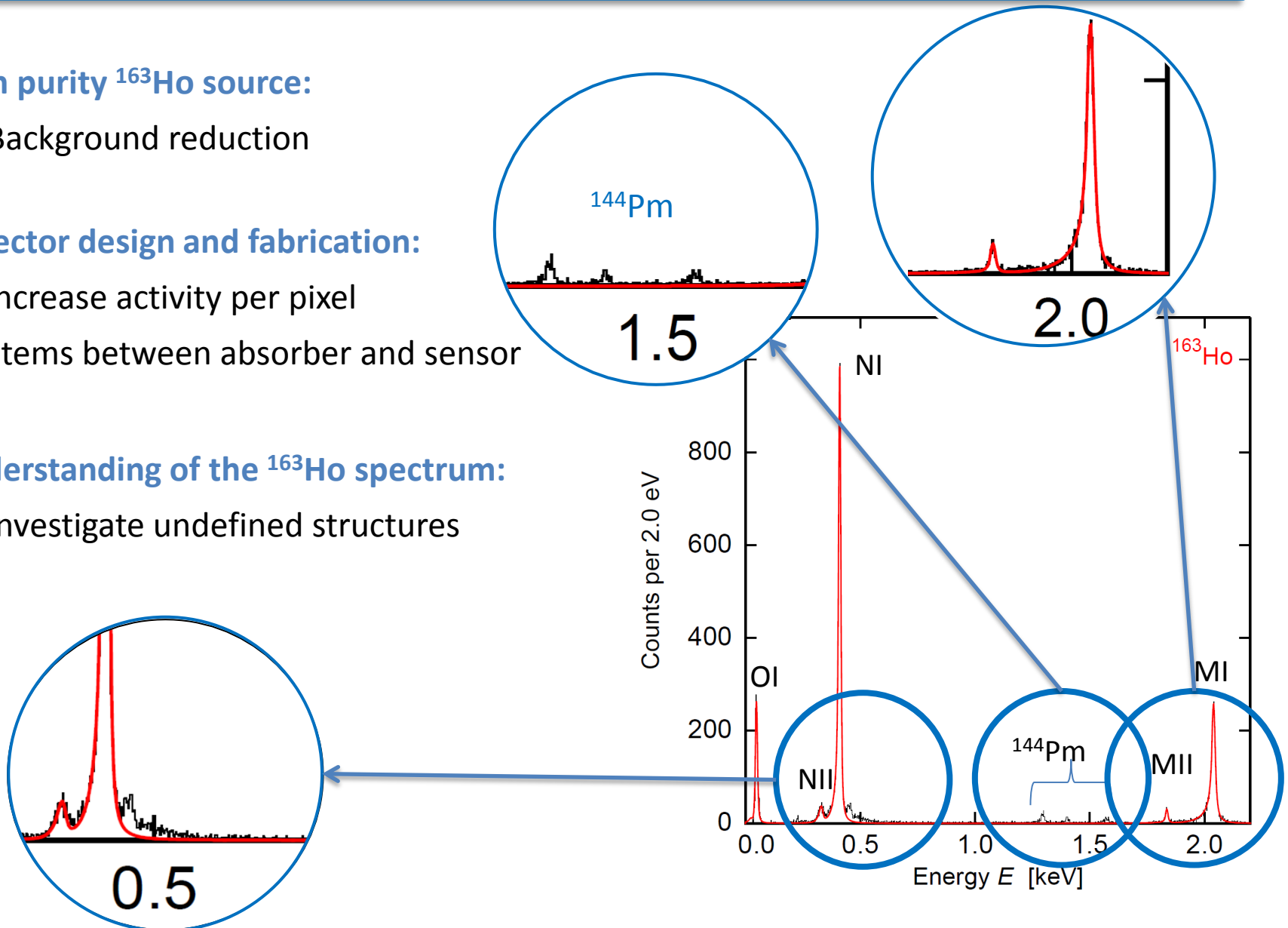
- Background reduction

Detector design and fabrication:

- Increase activity per pixel
- Stems between absorber and sensor

Understanding of the ^{163}Ho spectrum:

- Investigate undefined structures



High purity ^{163}Ho source: Chemical purification

Requirement : $>10^6 \text{ Bq} \rightarrow >10^{17} \text{ atoms}$

➤ (n,γ) -reaction on ^{162}Er

- High cross-section



- Radioactive contaminants



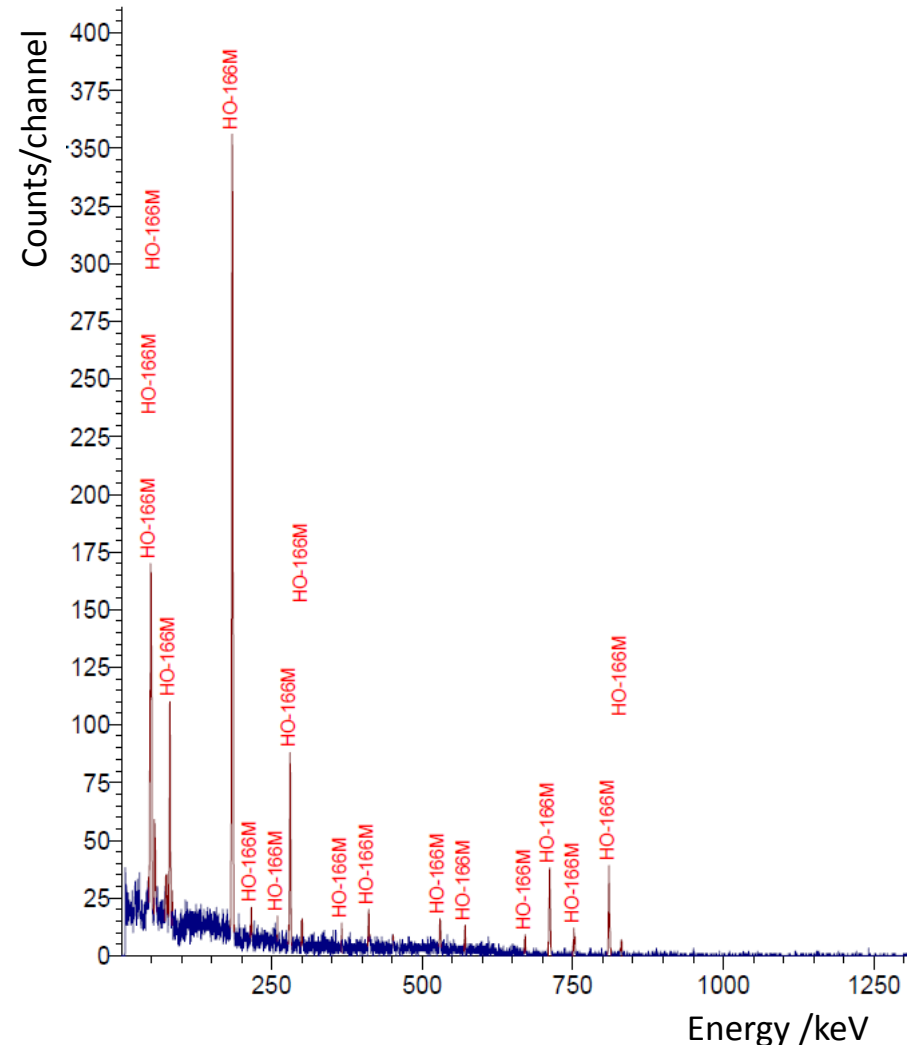
Er161 3.21 h 3/2- EC	Er162 0+ 0.14	Er163 75.0 m 5/2- EC	Er164 0+ 1.61	Er165 10.36 h 5/2- EC	Er166 0+ 33.6
Ho160 25.6 m 5+ EC *	Ho161 2.48 h 7/2- EC *	Ho162 15.0 m 1+ EC *	Ho163 4570 y 7/2- EC *	Ho164 29 m 1+ EC,β- *	Ho165 7/2- 100

➤ Excellent chemical separation

Only $^{166\text{m}}\text{Ho}$

➤ Available ^{163}Ho source:

$\sim 10^{18} \text{ atoms}$



High purity ^{163}Ho source: Chemical purification

Requirement : $>10^6 \text{ Bq} \rightarrow >10^{17} \text{ atoms}$

➤ (n,γ) -reaction on ^{162}Er

- High cross-section



- Radioactive contaminants



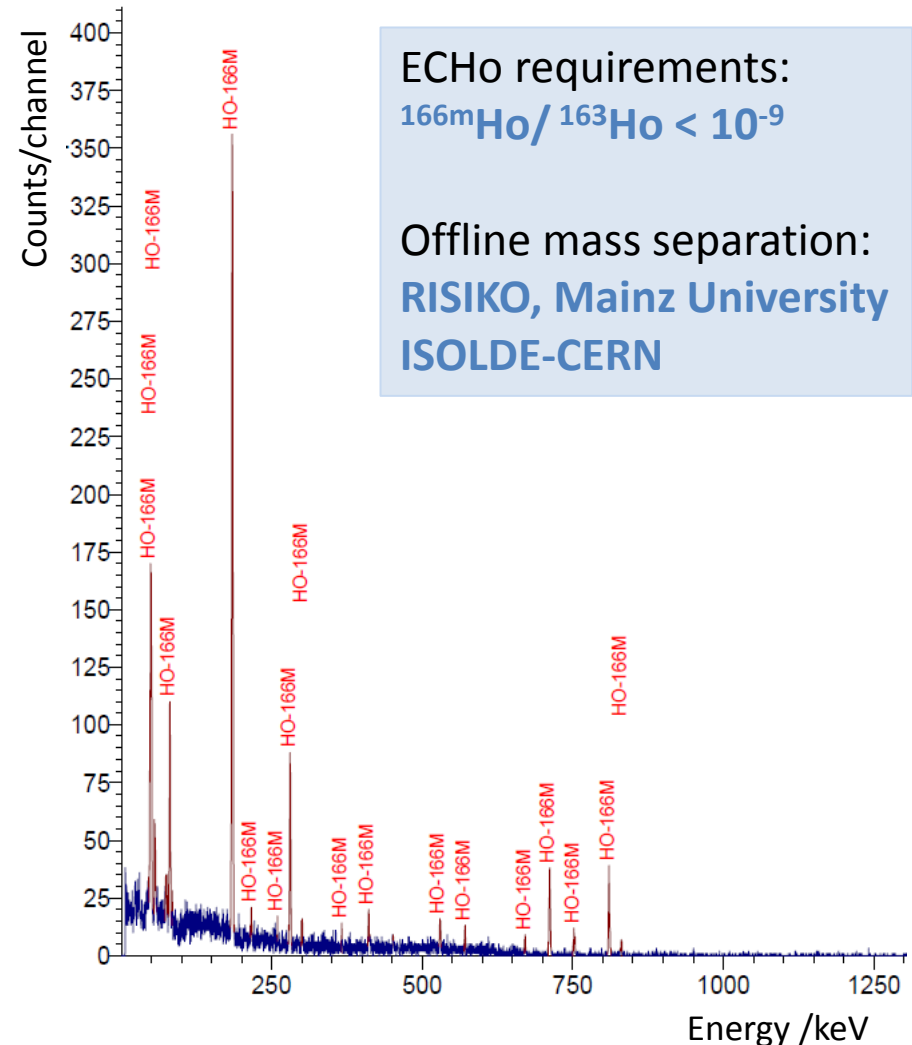
Er161 3.21 h 3/2- EC	Er162 0+ 0.14 EC	Er163 75.0 m 5/2- EC	Er164 0+ 1.61 EC	Er165 10.36 h 5/2- EC	Er166 0+ 33.6 EC
Ho160 25.6 m 5+ EC *	Ho161 2.48 h 7/2- EC *	Ho162 15.0 m 1+ EC *	Ho163 4570 y 7/2- EC *	Ho164 29 m 1+ EC,β- *	Ho165 7/2- 100

➤ Excellent chemical separation

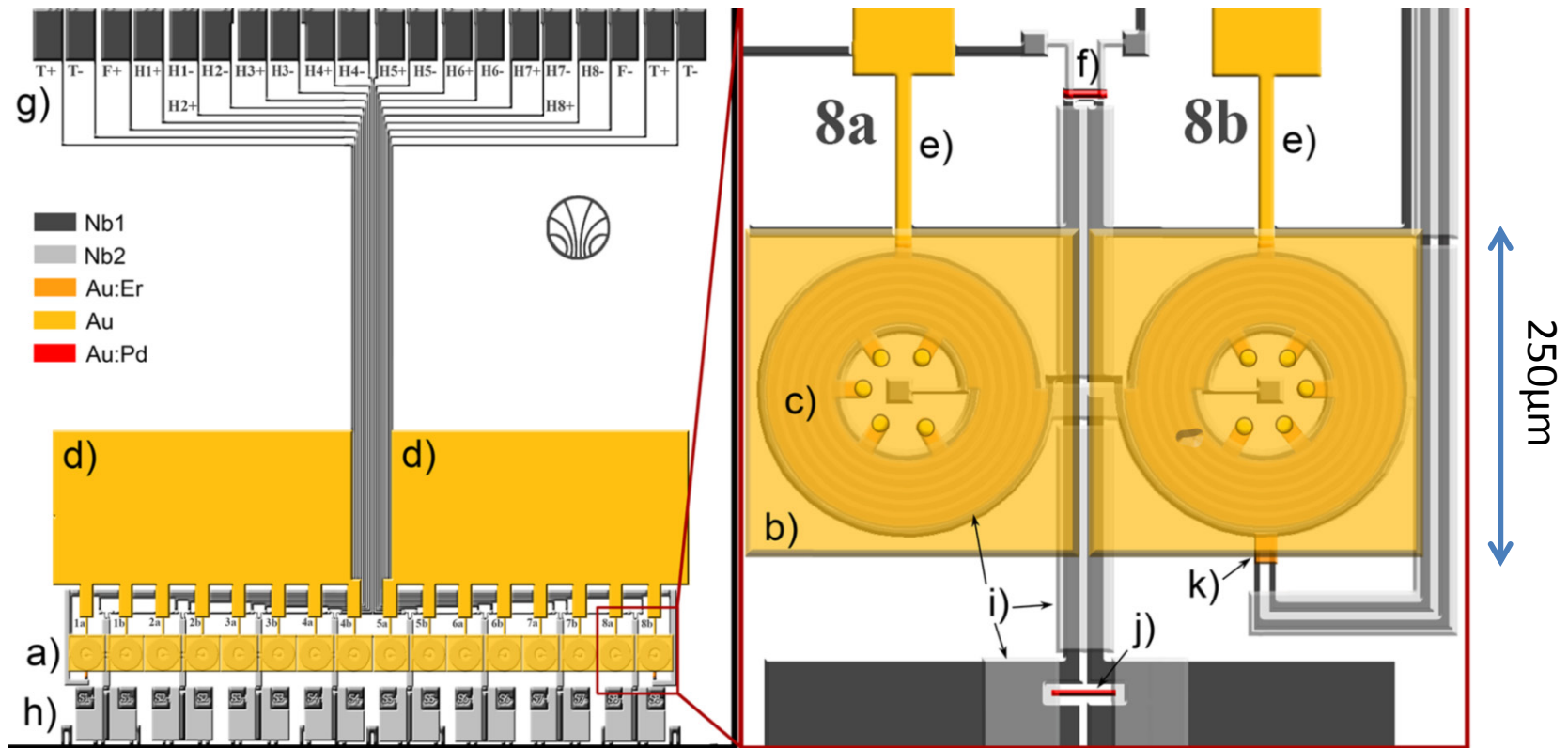
Only $^{166\text{m}}\text{Ho}$

➤ Available ^{163}Ho source:

$\sim 10^{18} \text{ atoms}$



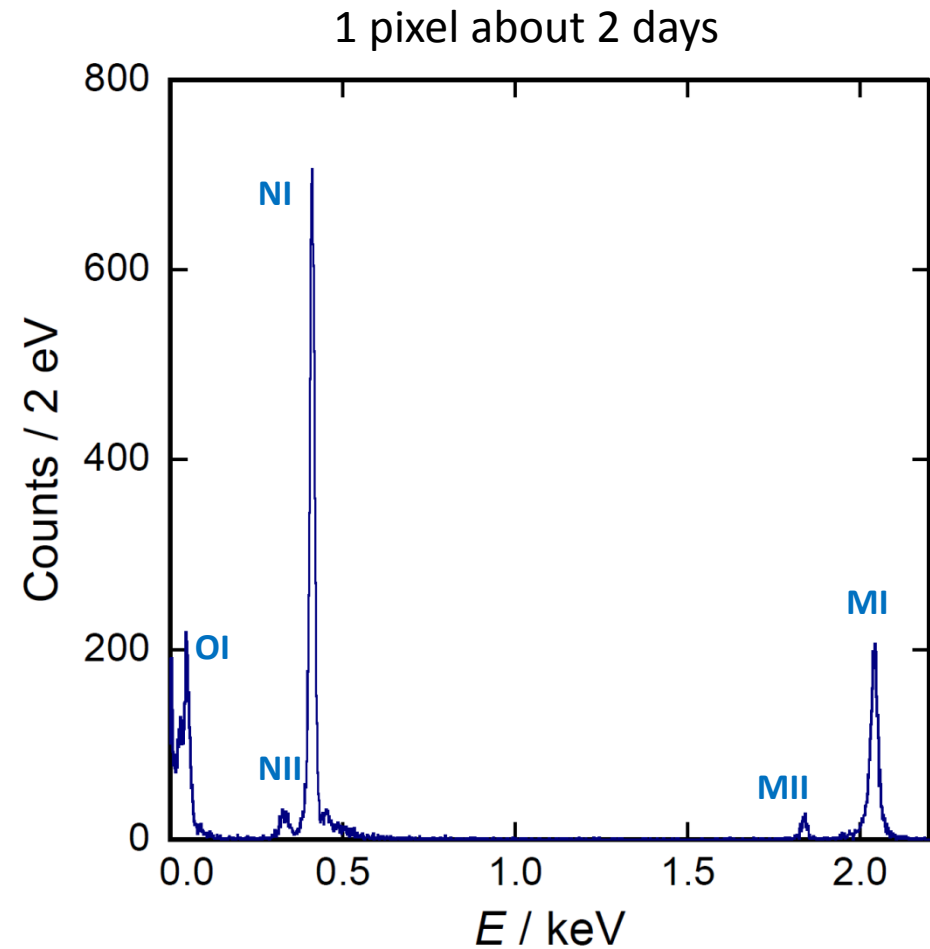
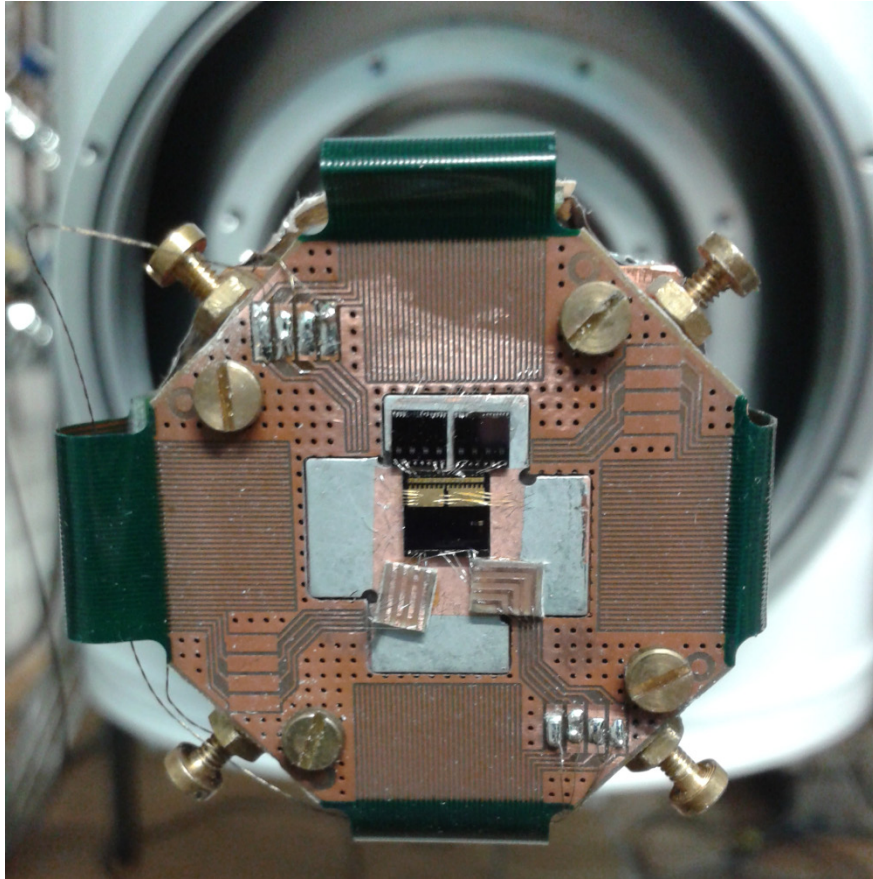
EC_{Ho} Second ^{163}Ho implantation



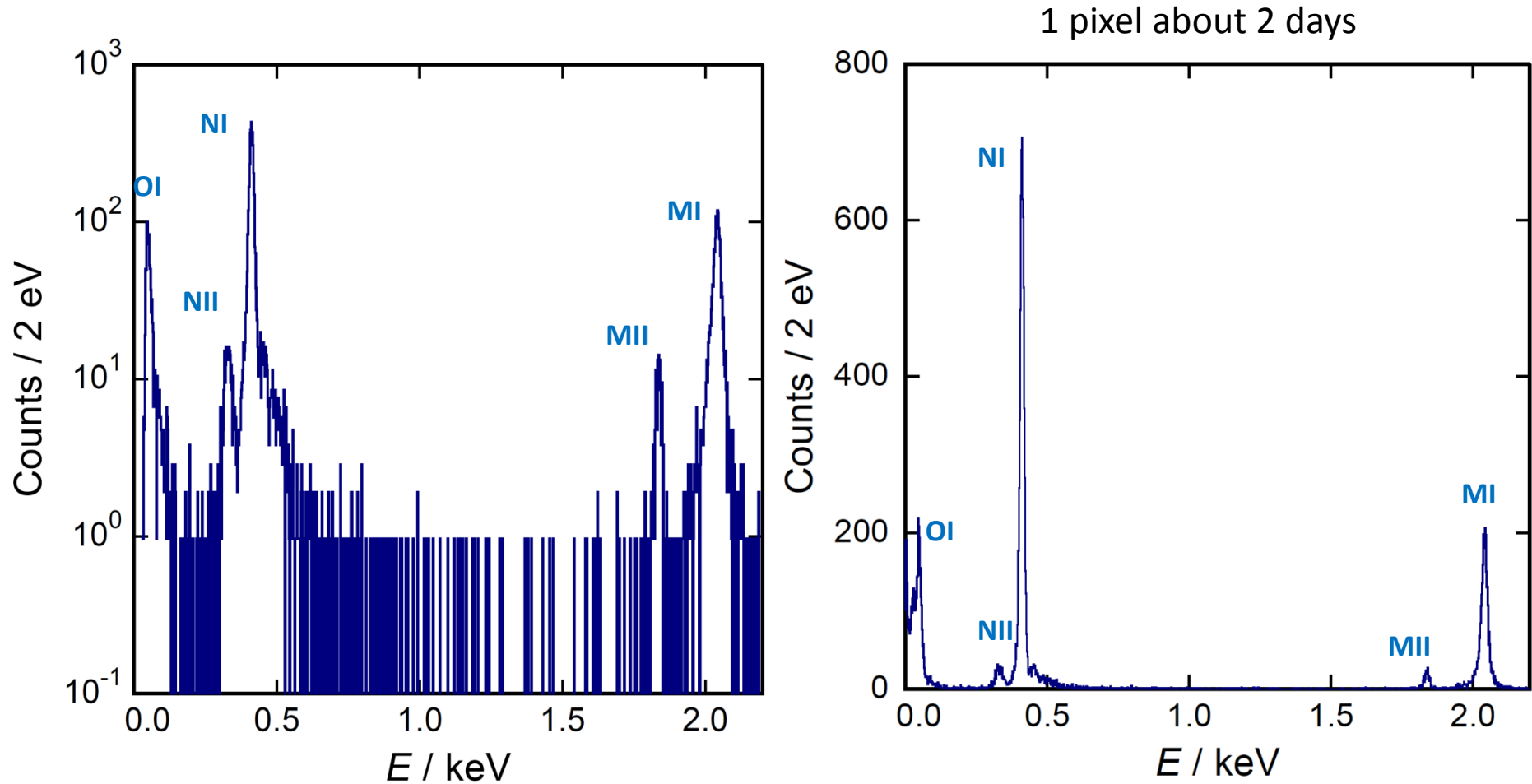
- Chemically purified ^{163}Ho source
- Offline implantation @ISOLDE-CERN using GPS and RILIS (December 2014)

ECHo New detectors

Mounted on a cold arm of a dry cryostat



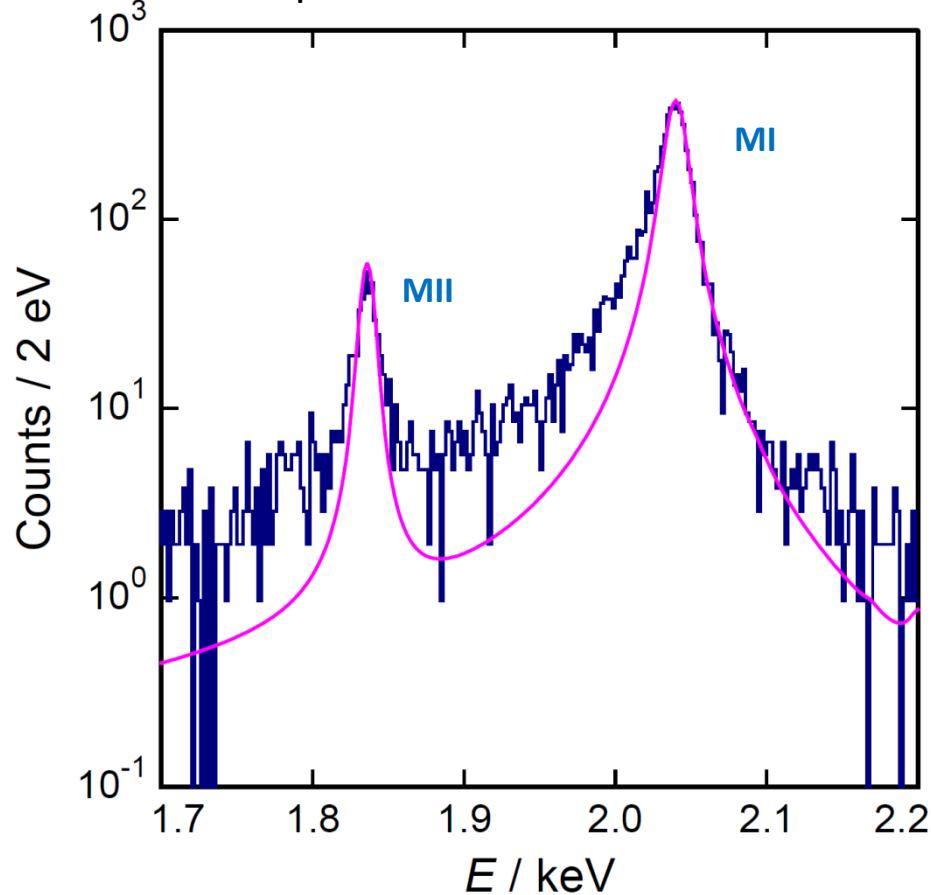
... first results



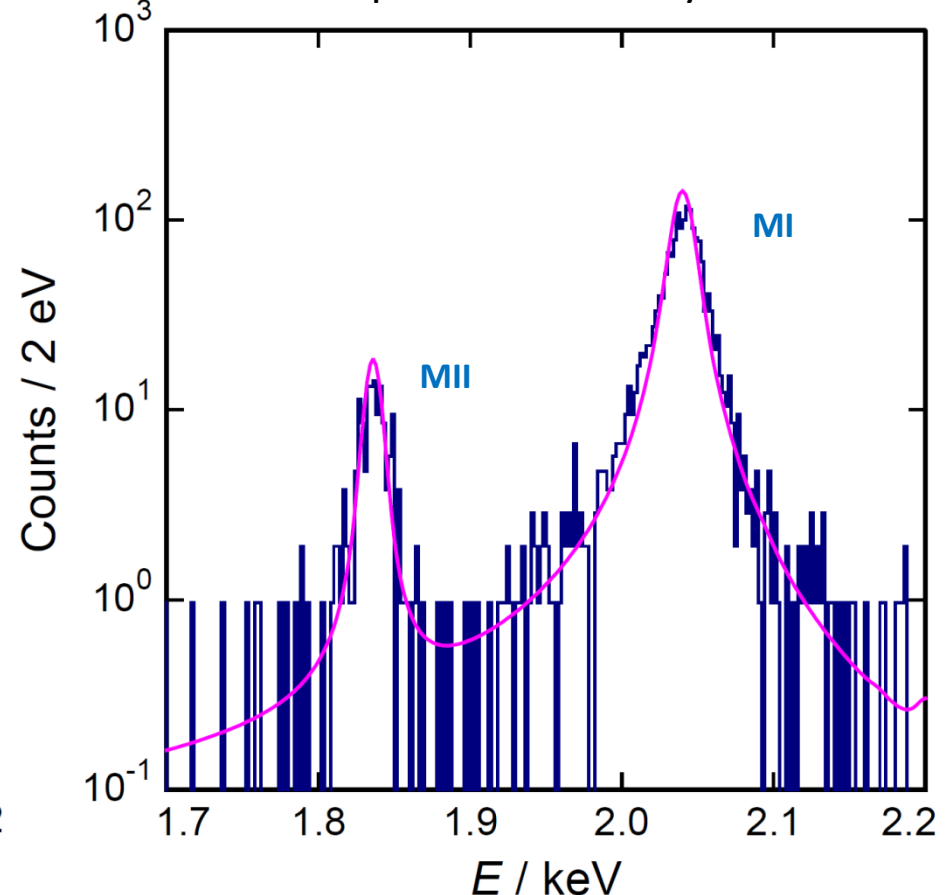
- Activity per pixel $A \sim 0.2 \text{ Bq}$
- Baseline resolution $\Delta E_{\text{FWHM}} \sim 5 \text{ eV}$
- No strong evidence of radioactive contamination in the source

... first results

Two pixels for more than 1 month

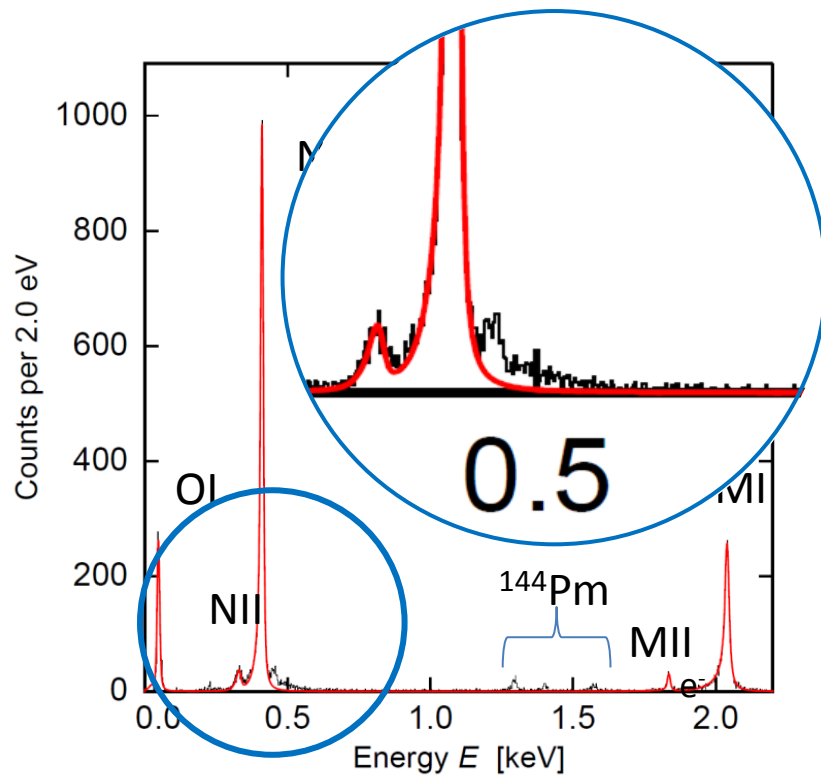


1 pixel about 2 days

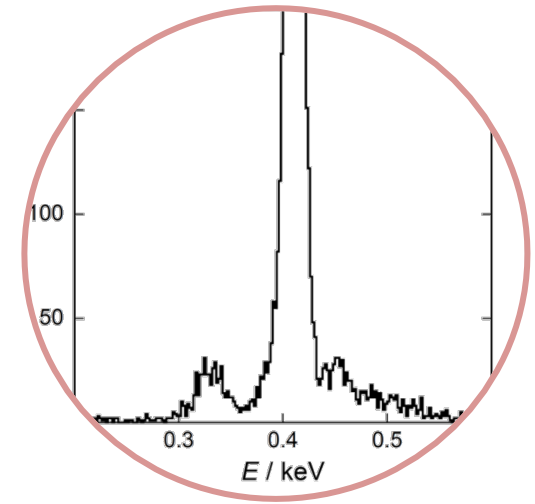


- Activity per pixel $A \sim 0.1 \text{ Bq}$
- Baseline resolution $\Delta E_{\text{FWHM}} \sim 5 \text{ eV}$
- No strong evidence of radioactive contamination in the source
- Symmetric detector response

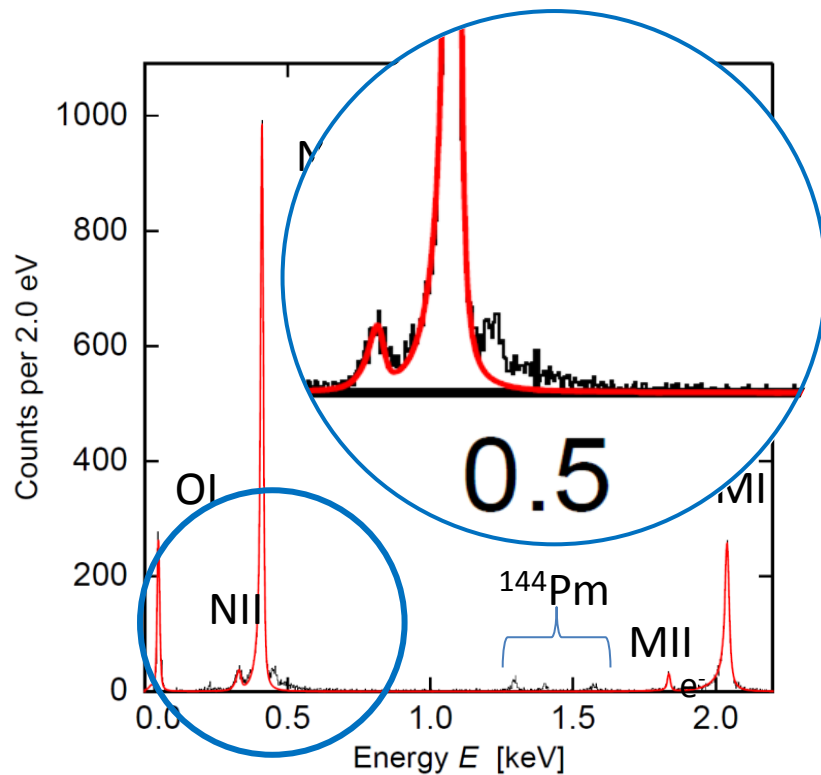
Characterisation of spectral shape



Structures present
also in new data



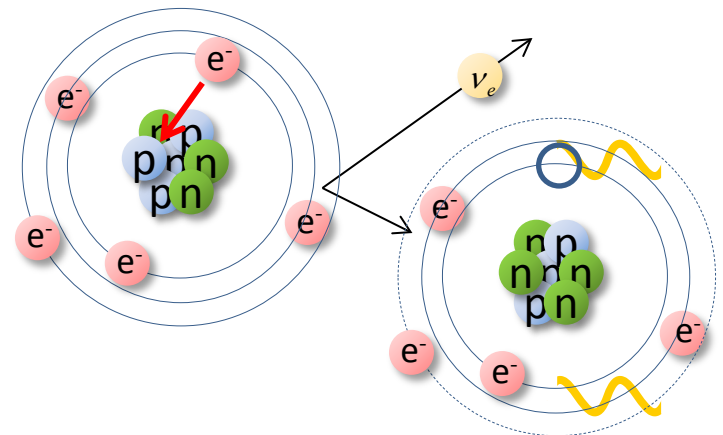
Characterisation of spectral shape



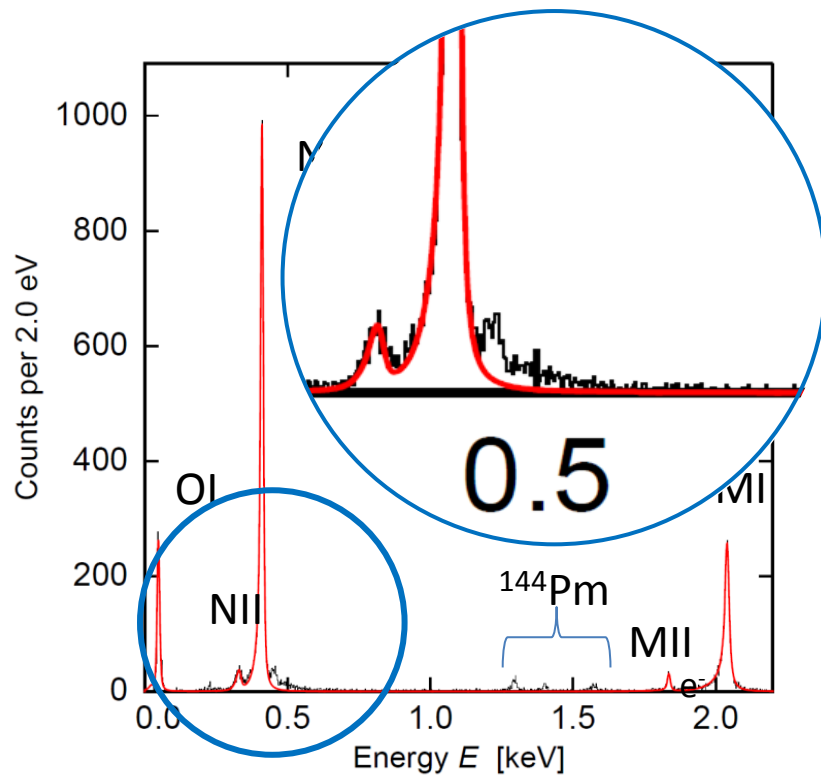
Estimate the effect of

- Higher order excitation in ^{163}Ho

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016

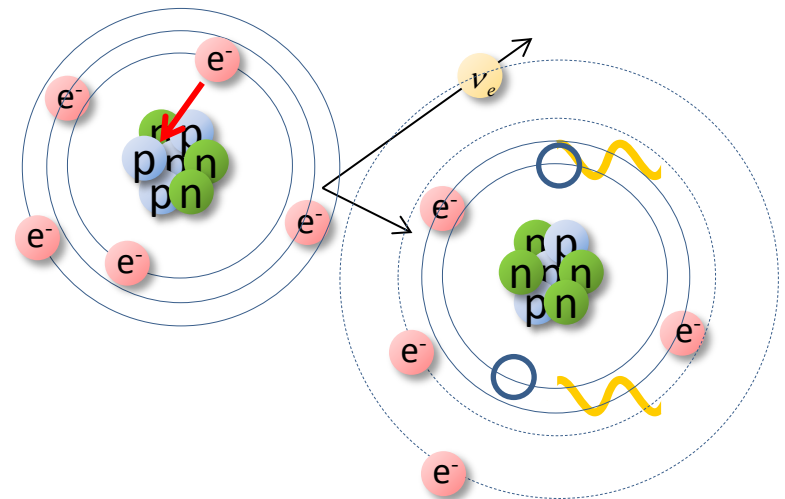


Characterisation of spectral shape

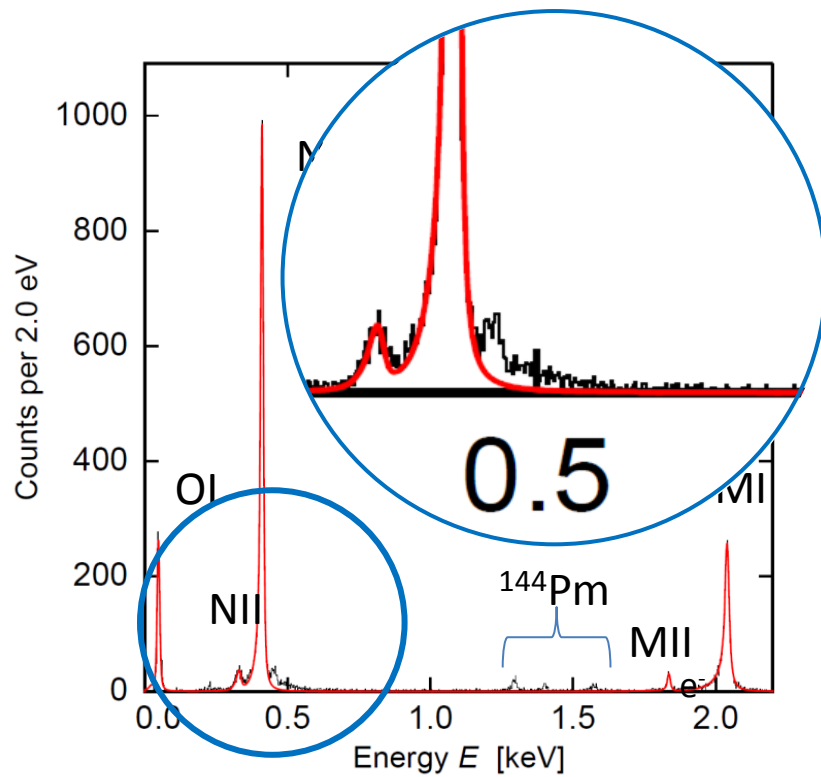


Two-holes excited states: shake-up

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016

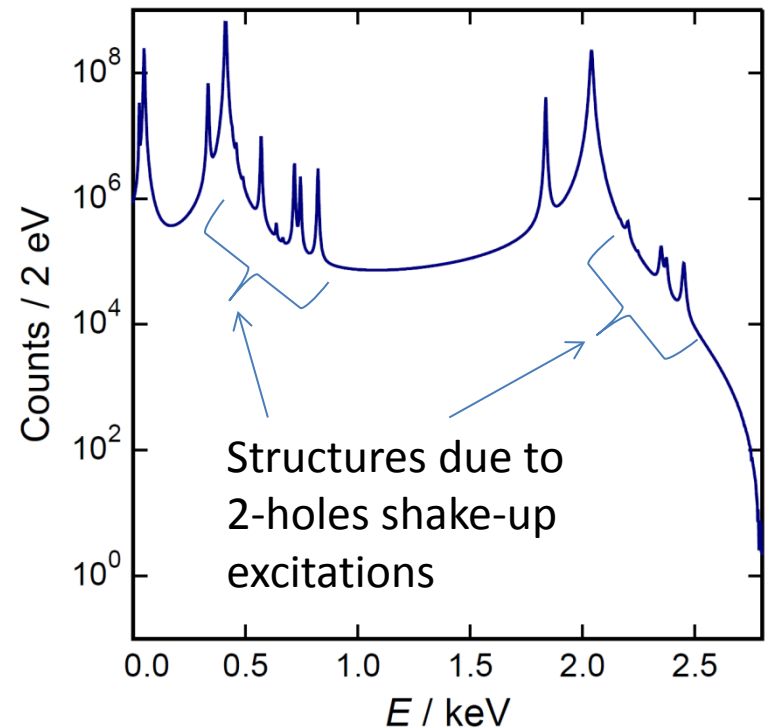


Characterisation of spectral shape

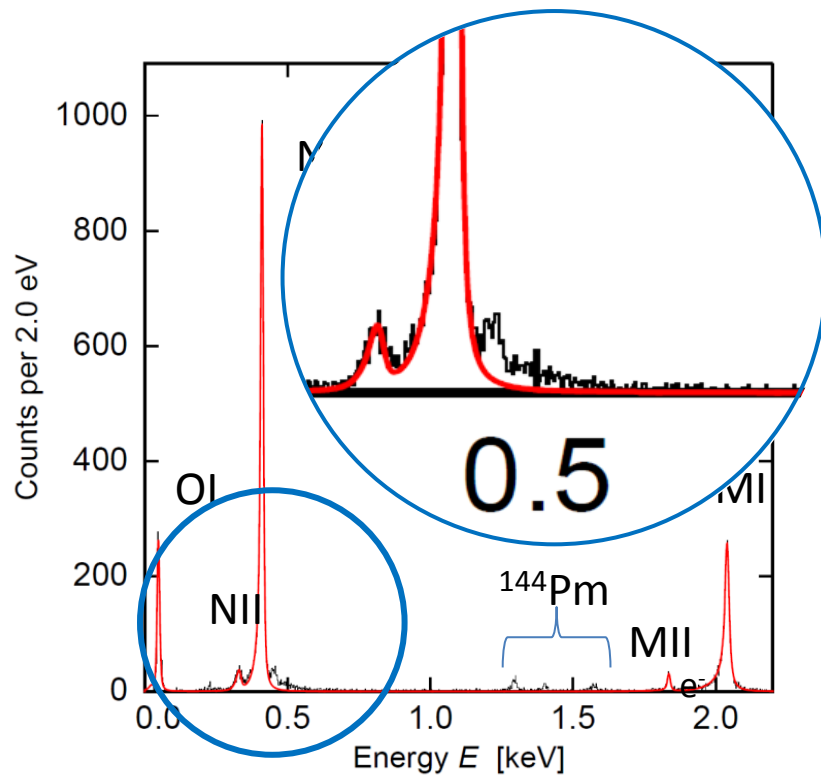


Two-holes excited states: shake-up

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016

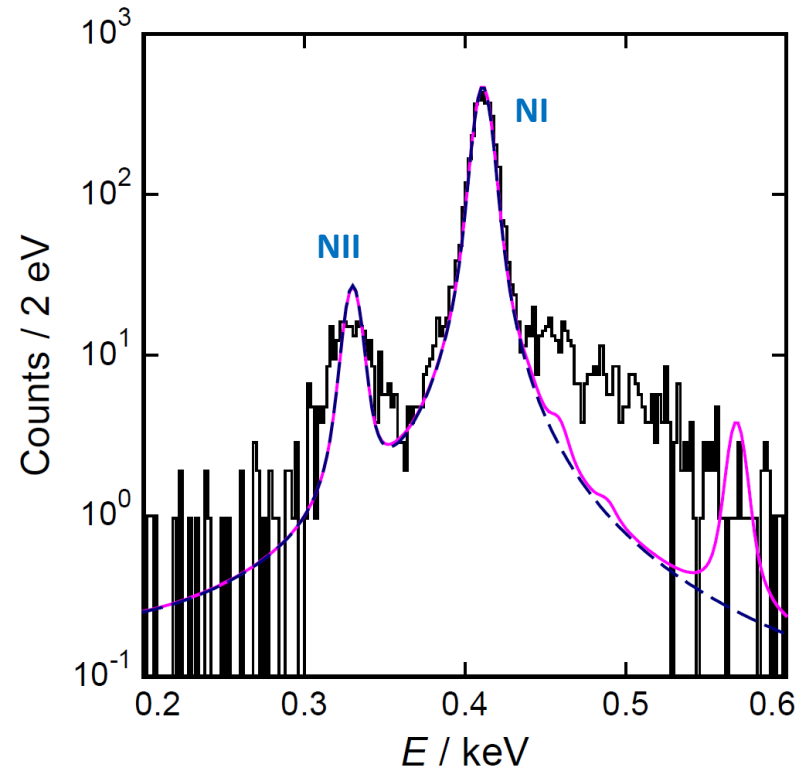


Characterisation of spectral shape

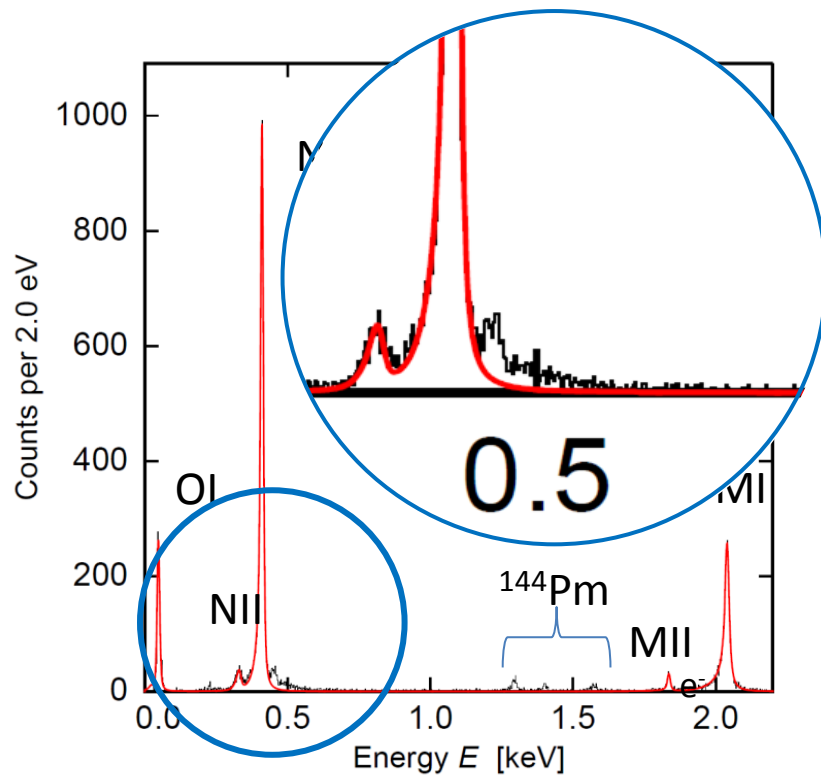


Two-holes excited states: shake-up

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016

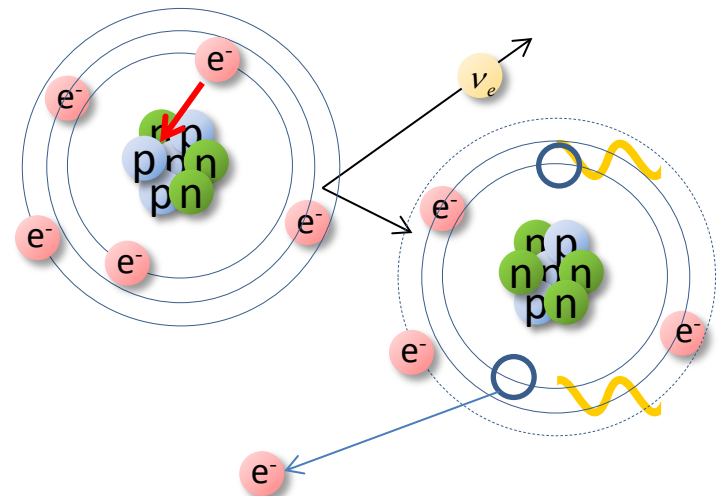


Characterisation of spectral shape

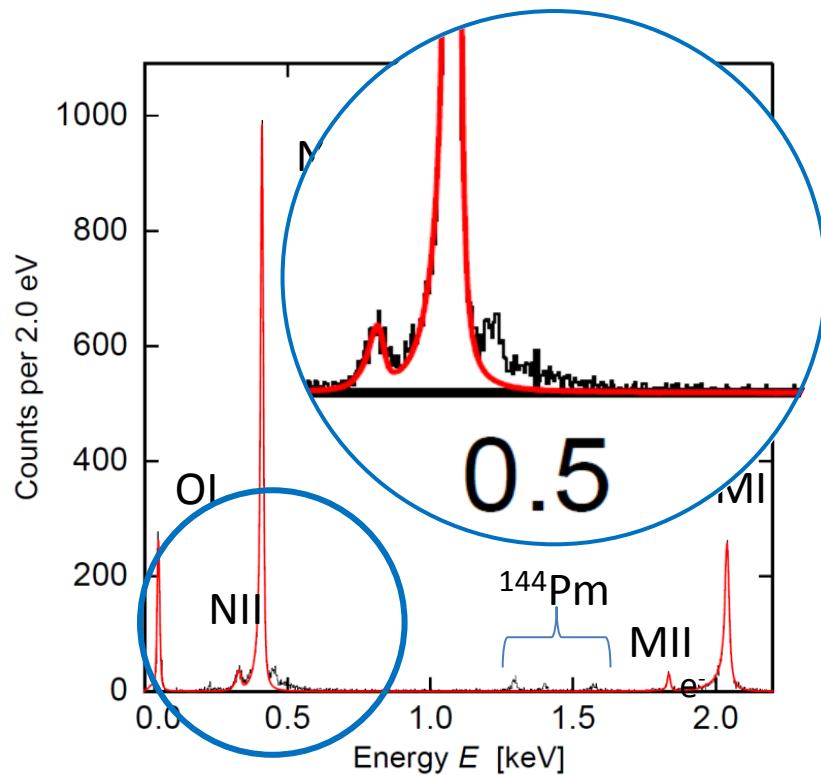


Two-holes excited states: shake-up
shake-off

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
[arXiv:1601.04990v1 \[hep-ph\]](https://arxiv.org/abs/1601.04990v1) 19 Jan 2016



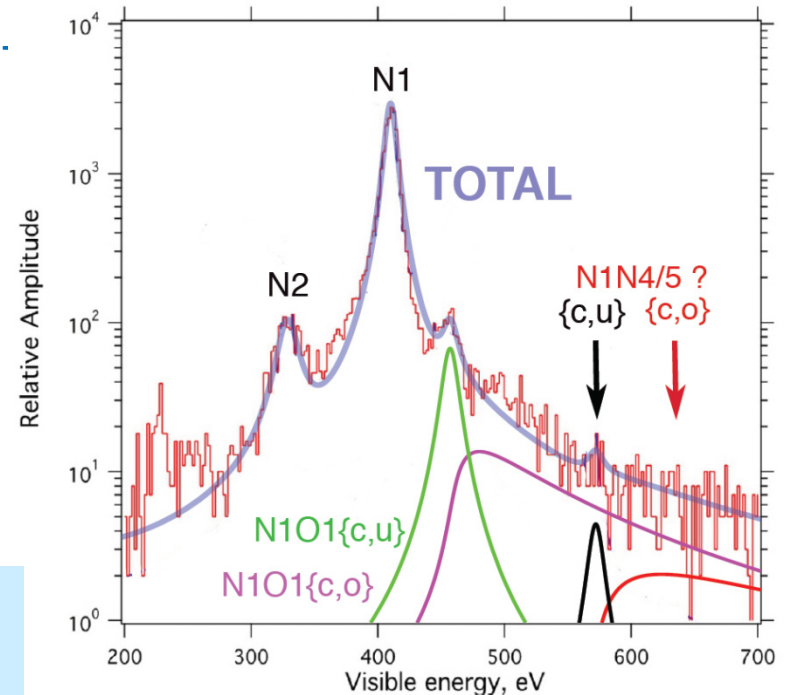
Characterisation of spectral shape



Two-holes excited states: shake-up
shake-off

High statistics and high energy resolution spectra will provide information on the spectral shape

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
[arXiv:1601.04990v1 \[hep-ph\]](https://arxiv.org/abs/1601.04990v1) 19 Jan 2016



ECHo timeline

➤ Prove **scalability** with medium large experiment **ECHo-1K** (2015 - 2018)

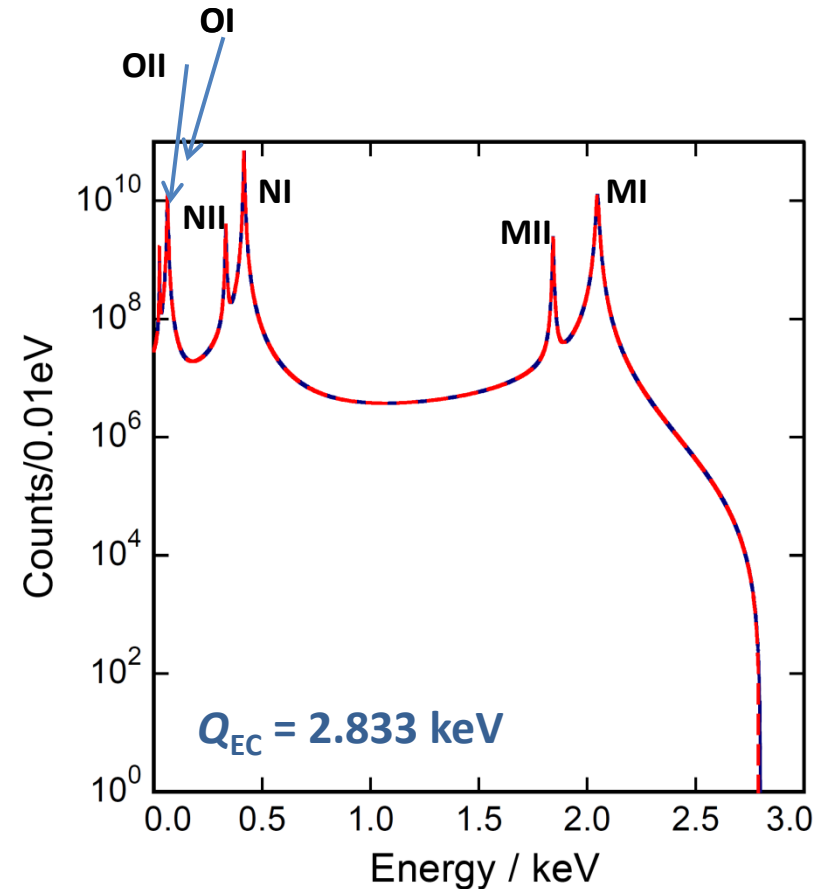
- $A \sim 1000 \text{ Bq}$ High purity ^{163}Ho source (produced at ILL)
- $\Delta E_{\text{FWHM}} < 5 \text{ eV}$
- $\tau_r < 1 \mu\text{s}$
- **multiplexed arrays** → **microwave SQUID multiplexing**
- 1 year measuring time → 10^{10} counts = Neutrino mass sensitivity $m_\nu < 10 \text{ eV}$

Supported by **DFG** through **Research Unit FOR 2202/1**

➤ **ECHo-1M** towards sub-eV sensitivity (2017 - 2021)

Sterile Neutrino and ^{163}Ho

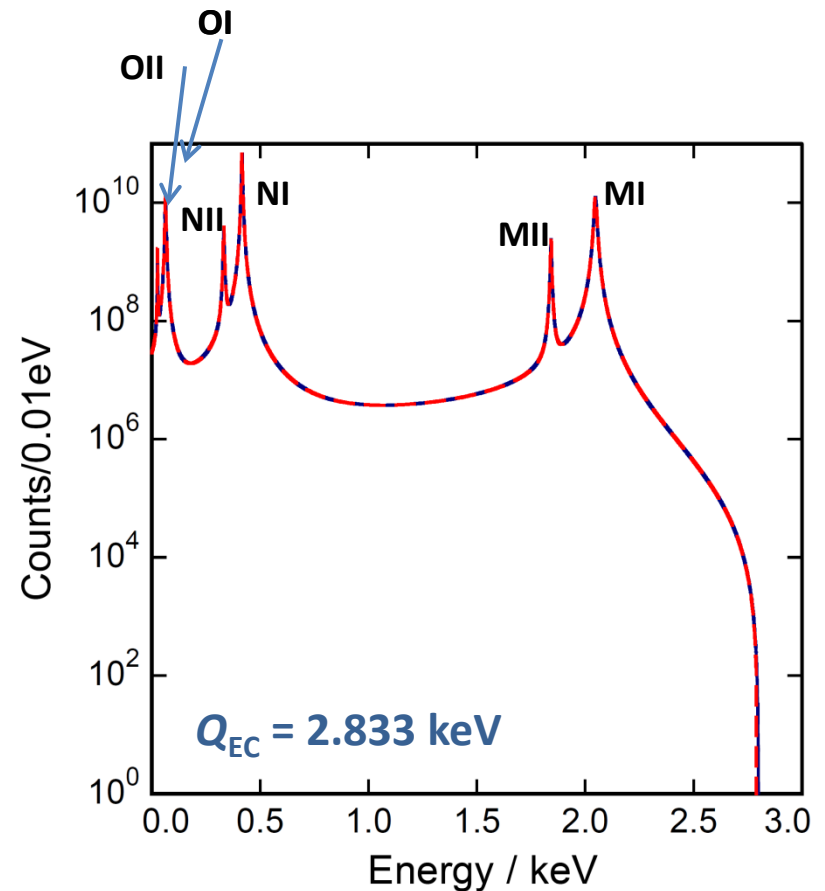
$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{\text{EC}} - E_C)^2}} \sum_H B_H \phi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$



Sterile Neutrino and ^{163}Ho

$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{\text{EC}} - E_C)^2}} \sum_H B_H \phi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$

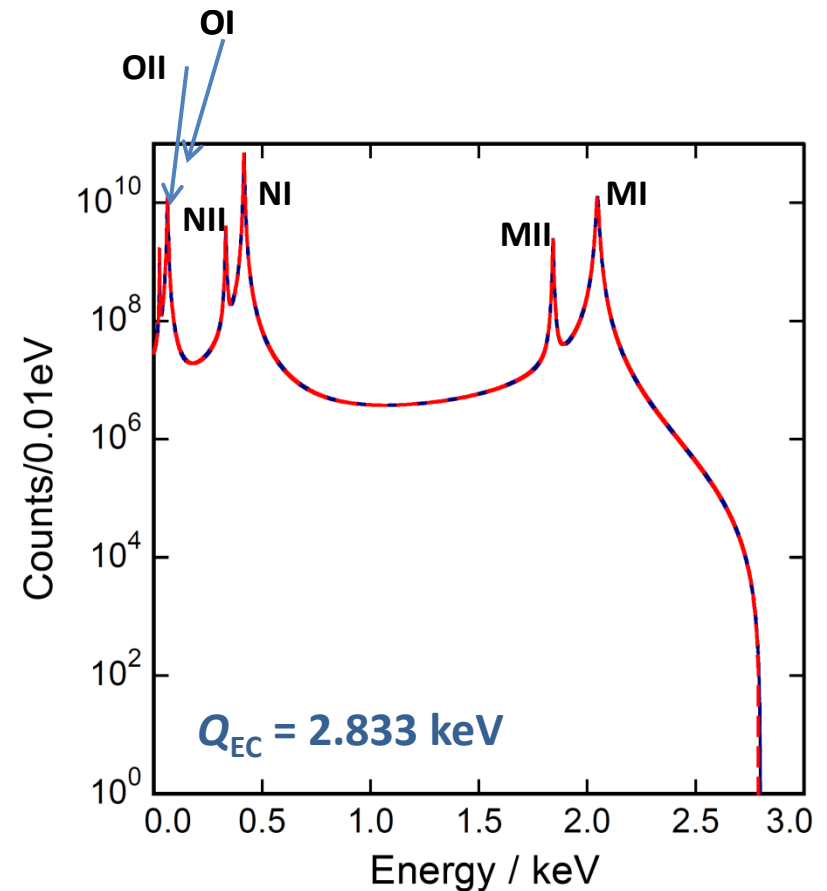
$$m_\nu^2 = \sum_i |U_{ei}|^2 m_i^2$$



Sterile Neutrino and ^{163}Ho

$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sum_i |U_{ei}|^2 \sqrt{1 - \frac{m_i^2}{(Q_{\text{EC}} - E_C)^2}} \sum_H B_H \varphi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$

$$m_\nu^2 = \sum_i |U_{ei}|^2 m_i^2$$



Sterile Neutrino and ^{163}Ho

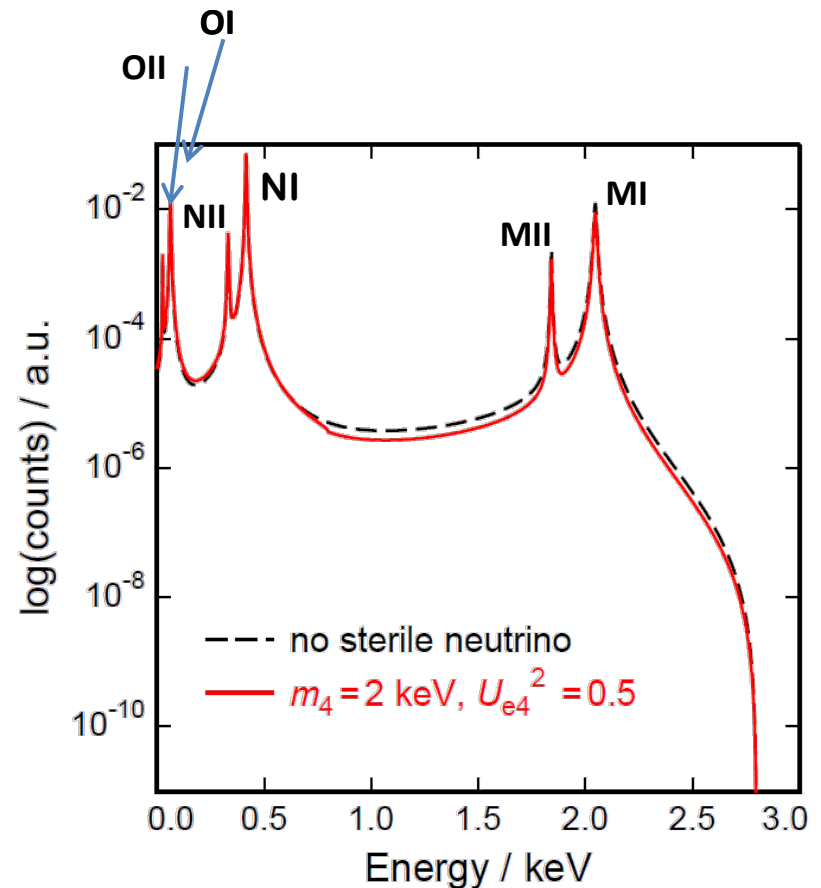
$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \left[(1 - |U_{e4}|^2) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{\text{EC}} - E_C)^2}} H(Q_{\text{EC}} - E_C - m_4) \right] \sum_H B_H \varphi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$

$$m_\nu^2 = \sum_i |U_{ei}|^2 m_i^2$$

$$m_{1,2,3} = 0$$

$$m_4 \neq 0$$

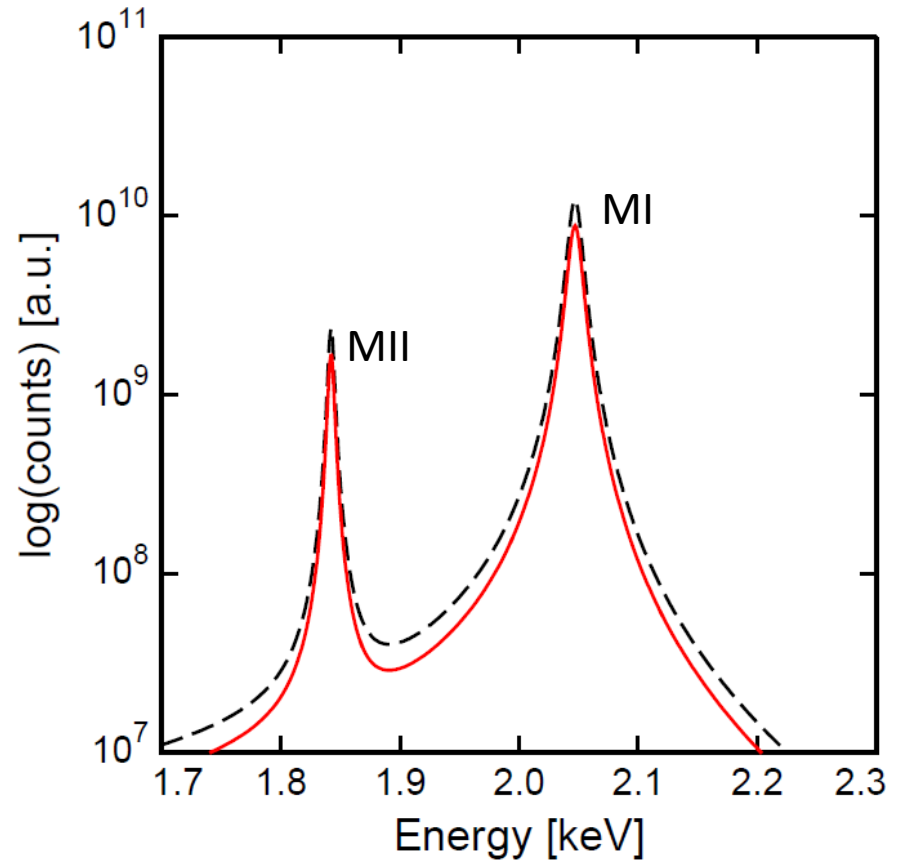
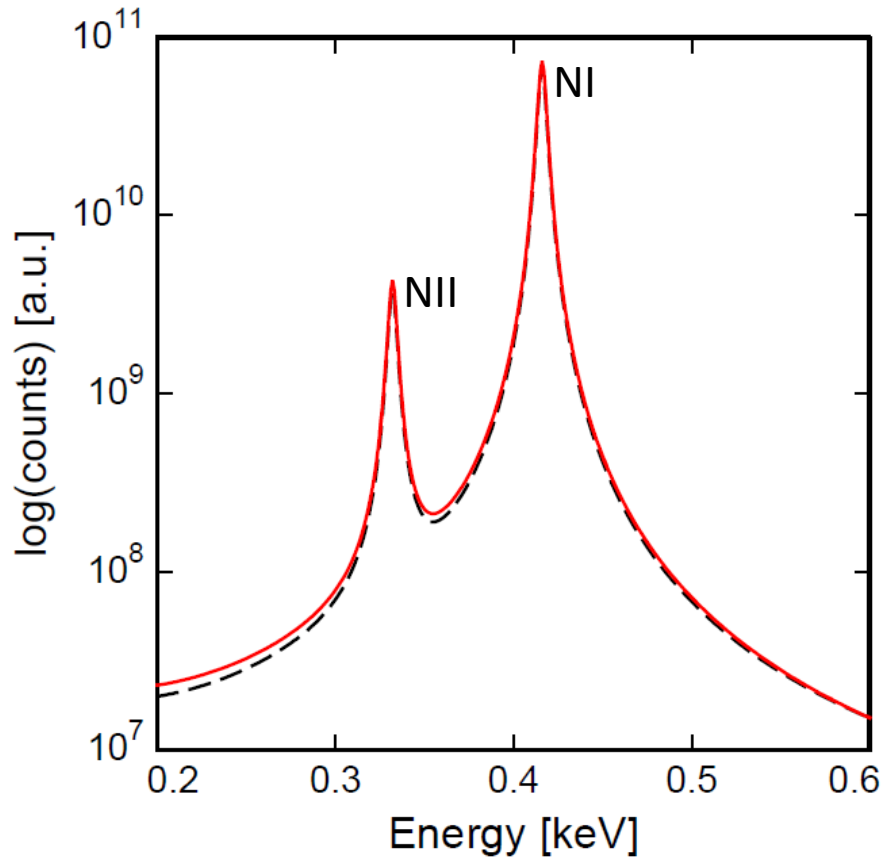
$$|\nu_e\rangle = \sum_{i=1}^3 U_{ei} |\nu_i\rangle + U_{e4} |\nu_4\rangle$$



Sterile Neutrino and ^{163}Ho

$m_4=2\text{ keV}$, $U_{e4}^2=0.5$

no sterile neutrino



Sterile Neutrino and ^{163}Ho

- Amplitude of the line H for only active neutrinos

$$W_{Ha} = A(Q_{EC} - E_H)^2 B_H \phi_H^2(0)$$

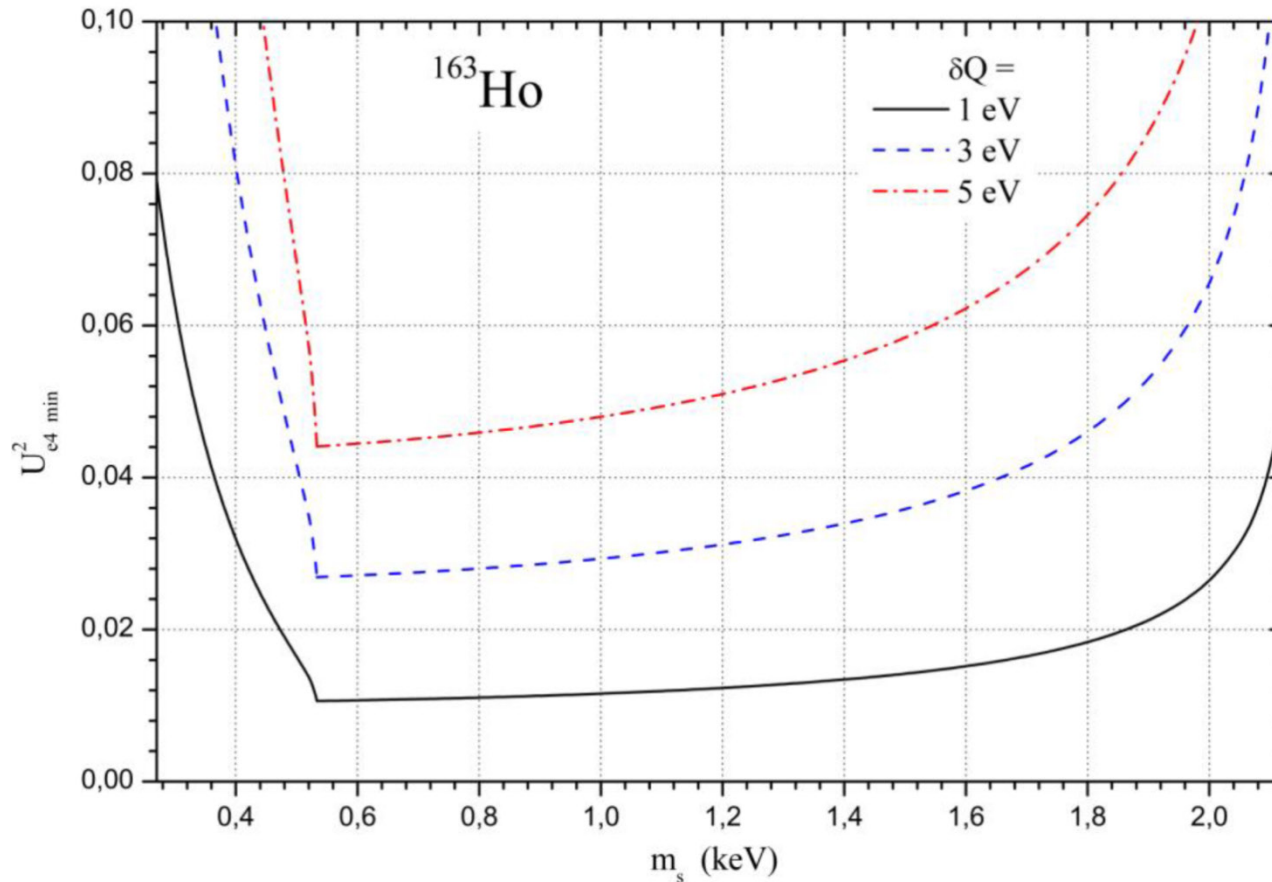
- Amplitude of the line H for 3+1 model in case of $m_a = 0$ eV

$$W_{Hs} = A(Q_{EC} - E_H)^2 \left[\left(1 - |U_{e4}|^2\right) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{EC} - E_C)^2}} H(Q_{EC} - E_c - m_4) \right] B_H \phi_H^2(0)$$

- Ratio between amplitudes of two lines in the spectrum for 3+1 model in case of $m_a = 0$ eV

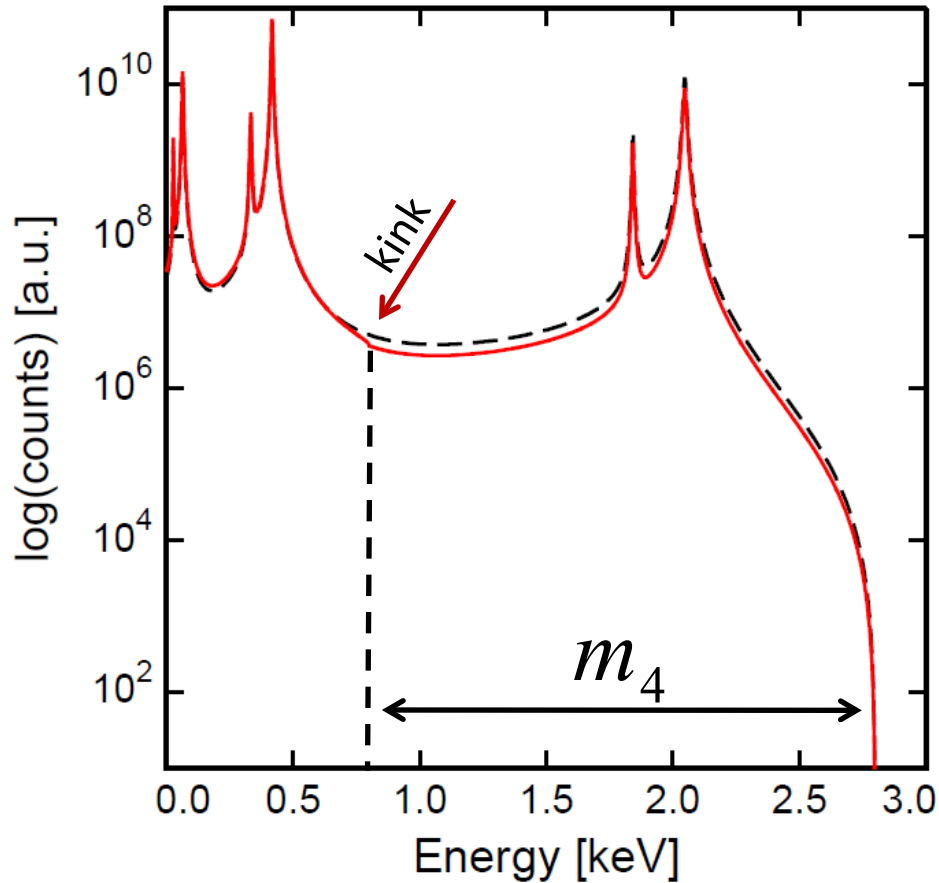
$$\left(\frac{W_{H1}}{W_{H2}} \right)_s = \left(\frac{W_{H1}}{W_{H2}} \right)_a \frac{|U_{e4}|^2 \left[H(Q_{EC} - E_1 - m_4) \sqrt{1 - \frac{m_4^2}{(Q_{EC} - E_1)^2}} - 1 \right] + 1}{|U_{e4}|^2 \left[H(Q_{EC} - E_2 - m_4) \sqrt{1 - \frac{m_4^2}{(Q_{EC} - E_2)^2}} - 1 \right] + 1}$$

Sterile Neutrino and ^{163}Ho

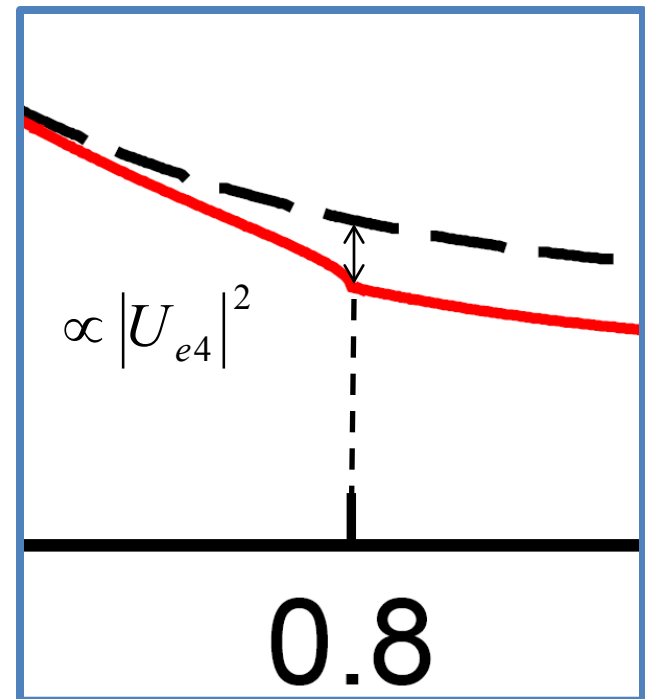


Sensitivity to the mixing matrix element at 90% CL as a function of the sterile neutrino mass achievable with about 10^{10} events in the full EC spectrum.

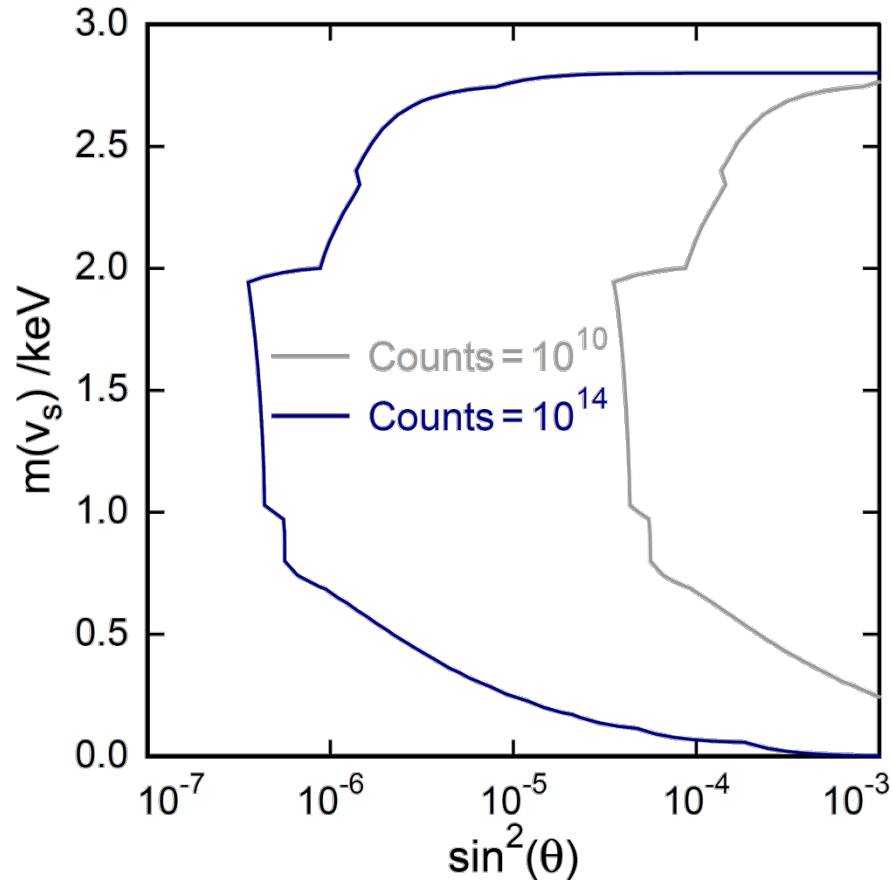
Sterile Neutrino and ^{163}Ho



- position of kink $\Rightarrow m_4$
- depth of kink $\Rightarrow |U_{e4}|^2$

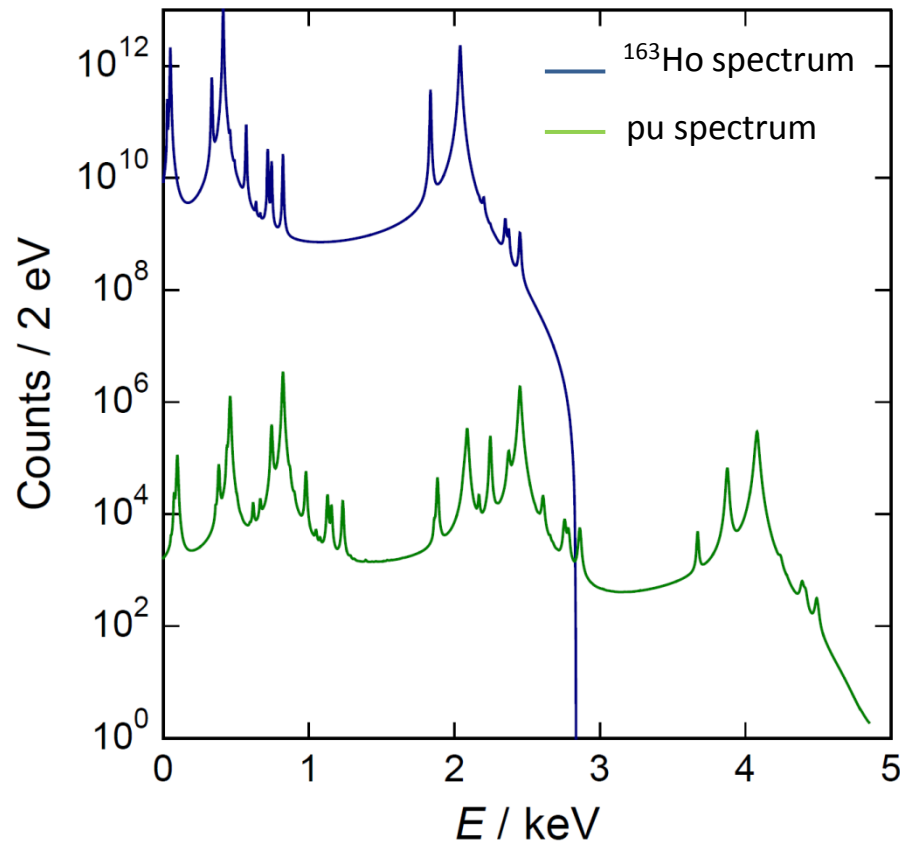


Sterile Neutrino in ECHO



- **Statistical Fluctuation**
- **No Pile Up**
- **Theoretical Spectrum supposed to be perfectly known**

Other small structures on the ^{163}Ho spectrum



Many peaks due to higher order excited states in ^{163}Dy and the corresponding structures in the pile up spectrum

Identification of sterile neutrinos signatures could be limited by the complex structure of the ^{163}Ho spectrum

^{163}Ho -based experiments



NuMECS

Calorimetric measurement of the ^{163}Ho spectrum

Common challenges to reach sub eV sensitivity :

- Detector performance
- High purity ^{163}Ho source
- Background reduction
- Description of the ^{163}Ho EC spectrum

For sterile neutrino signature

- The complete spectrum should be analysed

Sterile Neutrino (keV) and Electron Capture

Other candidates in the EC branch:

- $Q_{\text{EC}} < 100 \text{ keV}$
- Reasonable halflife

Nuclide	$T_{1/2}$	EC-transition	Q (keV) [22]	B_i (keV) [23]	B_j (keV) [23]	$ \psi_i ^2/ \psi_j ^2$	$Q-B_i$ (keV)
^{123}Te	$>2 \cdot 10^{15} \text{ y}$	?	52.7(16)	K: 30.4912(3)	L _I : 4.9392(3)	7.833	22.2
^{157}Tb	71 y	$3/2^+ \rightarrow 3/2^-$	60.04(30)	K: 50.2391(5)	L _I : 8.3756(5)	7.124	9.76
^{163}Ho	4570 y	$7/2^- \rightarrow 5/2^-$	2.555(16)	M _I : 2.0468(5)	N _I : 0.4163(5)	4.151	0.51
^{179}Ta	1.82 y	$7/2^+ \rightarrow 9/2^+$	105.6(4)	K: 65.3508(6)	L _I : 11.2707(4)	6.711	40.2
^{193}Pt	50 y	$1/2^- \rightarrow 3/2^+$	56.63(30)	L _I : 13.4185(3)	M _I : 3.1737(17)	4.077	43.2
^{202}Pb	52 ky	$0^+ \rightarrow 2^-$	46(14)	L _I : 15.3467(4)	M _I : 3.7041(4)	4.036	30.7
^{205}Pb	13 My	$5/2^- \rightarrow 1/2^+$	50.6(5)	L _I : 15.3467(4)	M _I : 3.7041(4)	4.036	35.3
^{235}Np	396 d	$5/2^+ \rightarrow 7/2^-$	124.2(9)	K: 115.6061(16)	L _I : 21.7574(3)	5.587	8.6

Sterile Neutrino (keV) and Electron Capture

Other candidates in the EC branch:

- $Q_{\text{EC}} < 100 \text{ keV}$
- Reasonable halflife

Nuclide	$T_{1/2}$	EC-transition	Q (keV) [22]	B_i (keV) [23]	B_j (keV) [23]	$ \psi_i ^2/ \psi_j ^2$	$Q-B_i$ (keV)
^{123}Te	$>2 \cdot 10^{15} \text{ y}$	?	52.7(16)	K: 30.4912(3)	L _I : 4.9392(3)	7.833	22.2
^{157}Tb	71 y	$3/2^+ \rightarrow 3/2^-$	60.04(30)	K: 50.2391(5)	L _I : 8.3756(5)	7.124	9.76
^{163}Ho	4570 y	$7/2^- \rightarrow 5/2^-$	2.555(16)	M _I : 2.0468(5)	N _I : 0.4163(5)	4.151	0.51
^{179}Ta	1.82 y	$7/2^+ \rightarrow 9/2^+$	105.6(4)	K: 65.3508(6)	L _I : 11.2707(4)	6.711	40.2
^{193}Pt	50 y	$1/2^- \rightarrow 3/2^+$	56.63(30)	L _I : 13.4185(3)	M _I : 3.1737(17)	4.077	43.2
^{202}Pb	52 ky	$0^+ \rightarrow 2^-$	46(14)	L _I : 15.3467(4)	M _I : 3.7041(4)	4.036	30.7
^{205}Pb	13 My	$5/2^- \rightarrow 1/2^+$	50.6(5)	L _I : 15.3467(4)	M _I : 3.7041(4)	4.036	35.3
^{235}Np	396 d	$5/2^+ \rightarrow 7/2^-$	124.2(9)	K: 115.6061(16)	L _I : 21.7574(3)	5.587	8.6

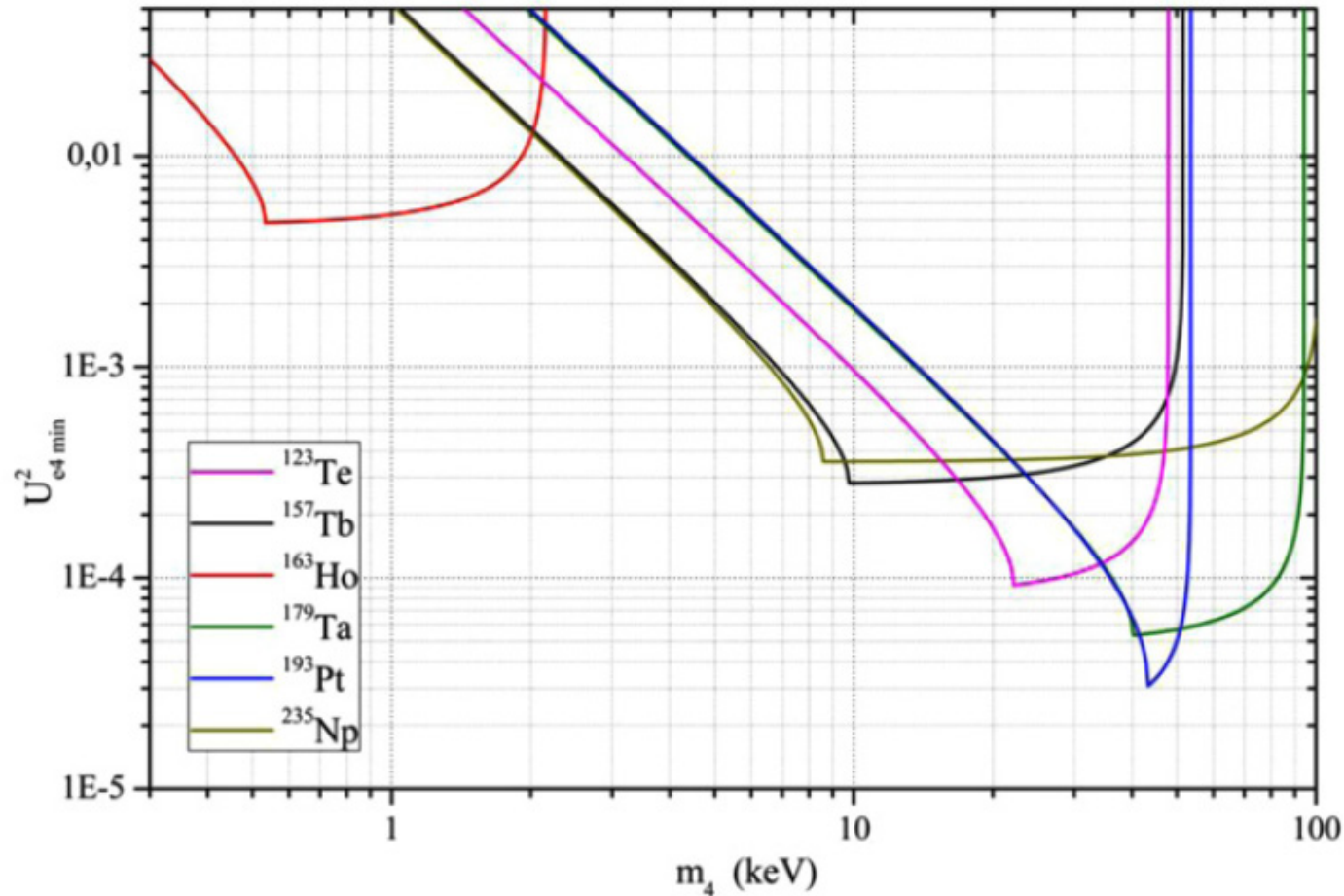
Sterile Neutrino (keV) and Electron Capture

Other candidates in the EC branch:

- $Q_{\text{EC}} < 100 \text{ keV}$
- Reasonable halflife

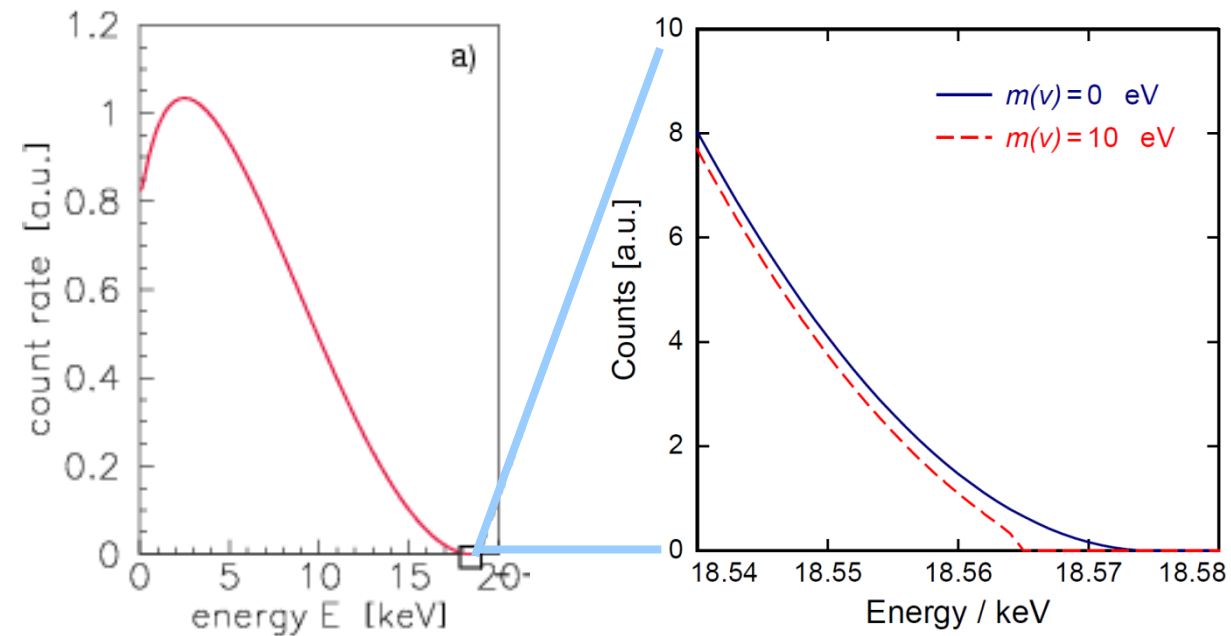
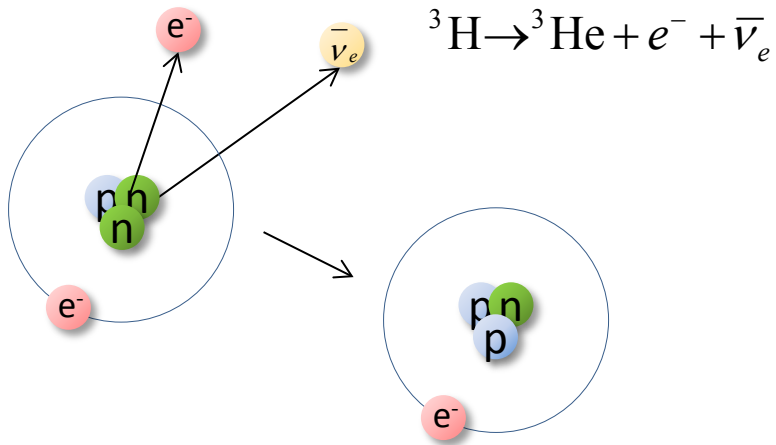
Nuclide	$T_{1/2}$	EC-transition	Q (keV) [22]	B_i (keV) [23]	B_j (keV) [23]	$ \psi_i ^2/ \psi_j ^2$	$Q-B_i$ (keV)
^{123}Te	$>2 \cdot 10^{15} \text{ y}$	?	52.7(16)	K: 30.4912(3)	L _I : 4.9392(3)	7.833	22.2
^{157}Tb	71 y	$3/2^+ \rightarrow 3/2^-$	60.04(30)	K: 50.2391(5)	L _I : 8.3756(5)	7.124	9.76
^{163}Ho	4570 y	$7/2^- \rightarrow 5/2^-$	2.555(16)	M _I : 2.0468(5)	N _I : 0.4163(5)	4.151	0.51
^{179}Ta	1.82 y	$7/2^+ \rightarrow 9/2^+$	105.6(4)	K: 65.3508(6)	L _I : 11.2707(4)	6.711	40.2
^{193}Pt	50 y	$1/2^- \rightarrow 3/2^+$	56.63(30)	L _I : 13.4185(3)	M _I : 3.1737(17)	4.077	43.2
^{202}Pb	52 ky	$0^+ \rightarrow 2^-$	46(14)	L _I : 15.3467(4)	M _I : 3.7041(4)	4.036	30.7
^{205}Pb	13 My	$5/2^- \rightarrow 1/2^+$	50.6(5)	L _I : 15.3467(4)	M _I : 3.7041(4)	4.036	35.3
^{235}Np	396 d	$5/2^+ \rightarrow 7/2^-$	124.2(9)	K: 115.6061(16)	L _I : 21.7574(3)	5.587	8.6

Sterile Neutrino (keV) and Electron Capture

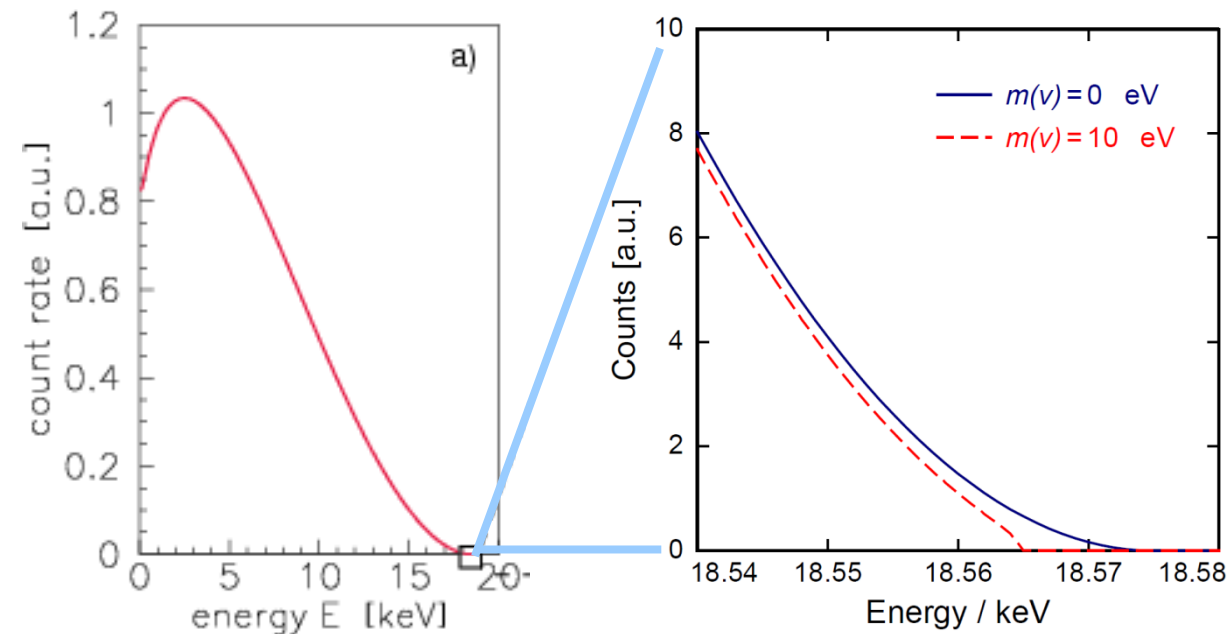
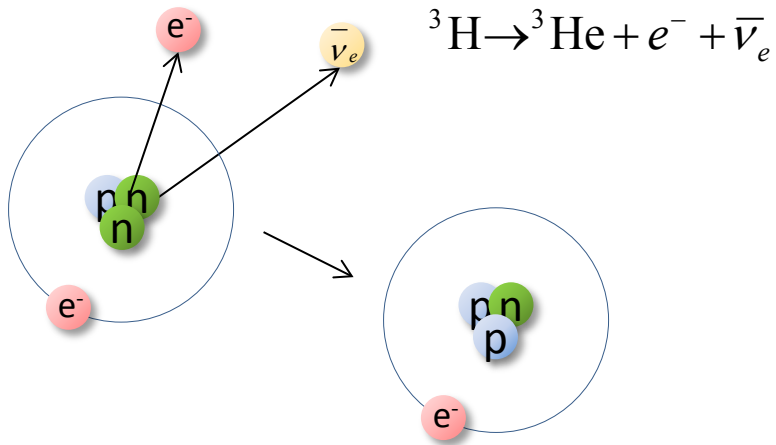


Same statistics + including errors : $(\delta \psi_{ij} = 0)$ $\delta Q_{\text{EC}} = 1 \text{ eV}$ $\delta E_{i,j} = 0.1 \text{ eV}$.

Beta decay of ^3H



Beta decay of ^3H

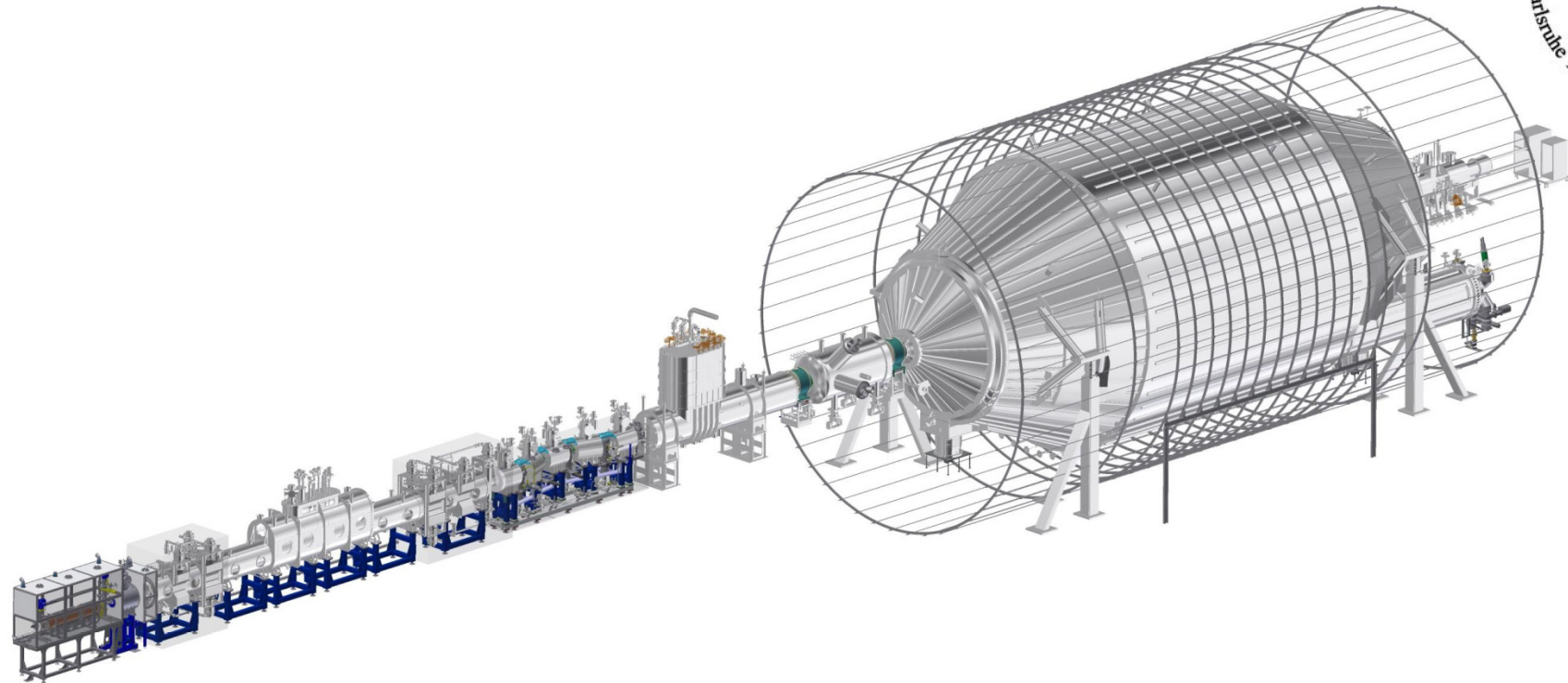


Only a small fraction of events
in the last eV below the endpoint:
 $2 \cdot 10^{-13}$

Triutium is present as
bi-atomic molecules

^3H based experiments

❖ KATRIN - Karlsruhe Tritium Neutrino Experiment

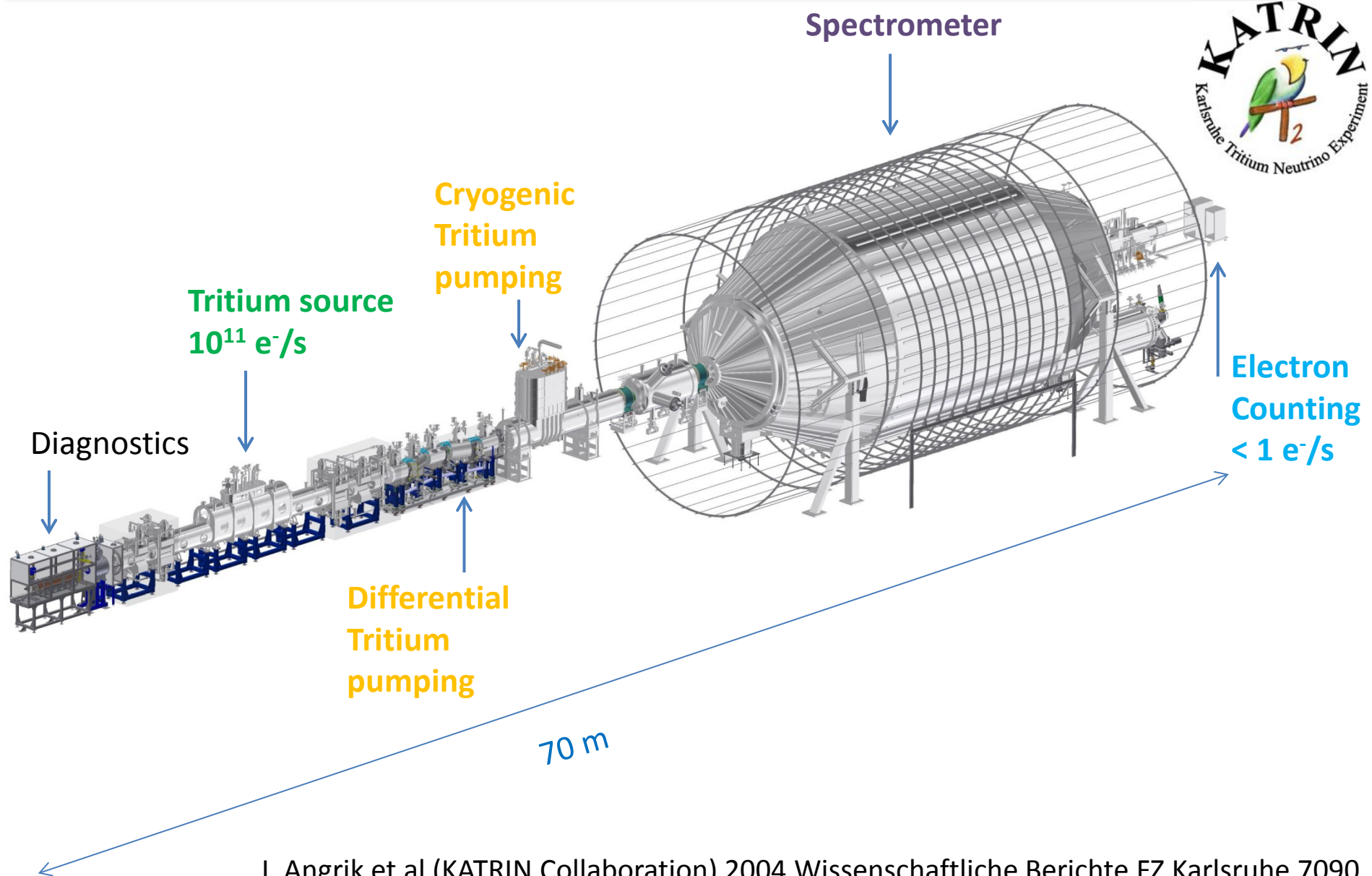


Main ideas:

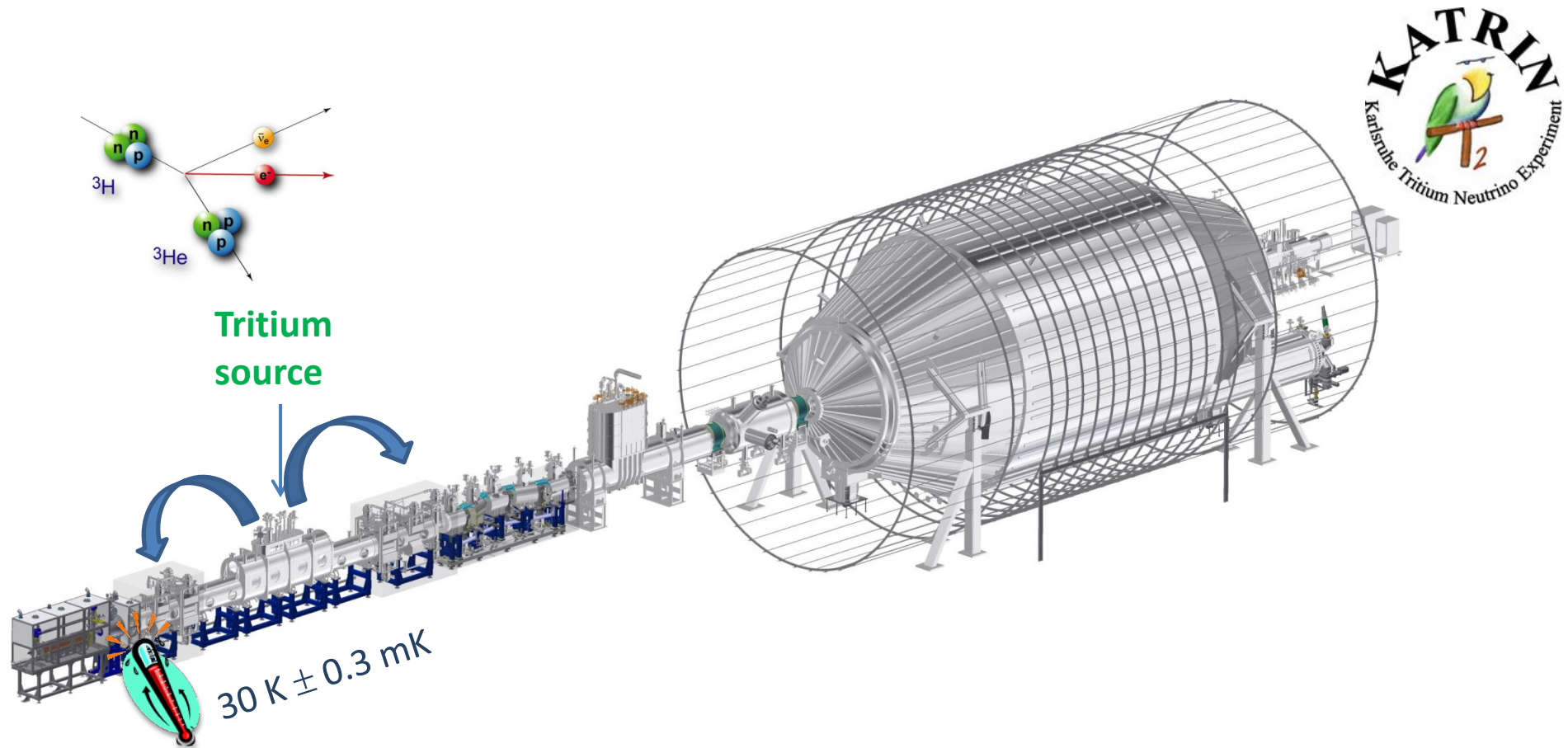
- high activity source $10^{11} \text{ e}^-/\text{s}$
- high resolution MAC-E* filter to select electrons close to the end point
- count electrons as function of retarding potential
→ integral spectrum

*MAC-E: Magnetic Adiabatic Collimation with Electrostatic Filter

The KATRIN experiment



The KATRIN experiment



Windowless Gaseous Molecular Tritium Source

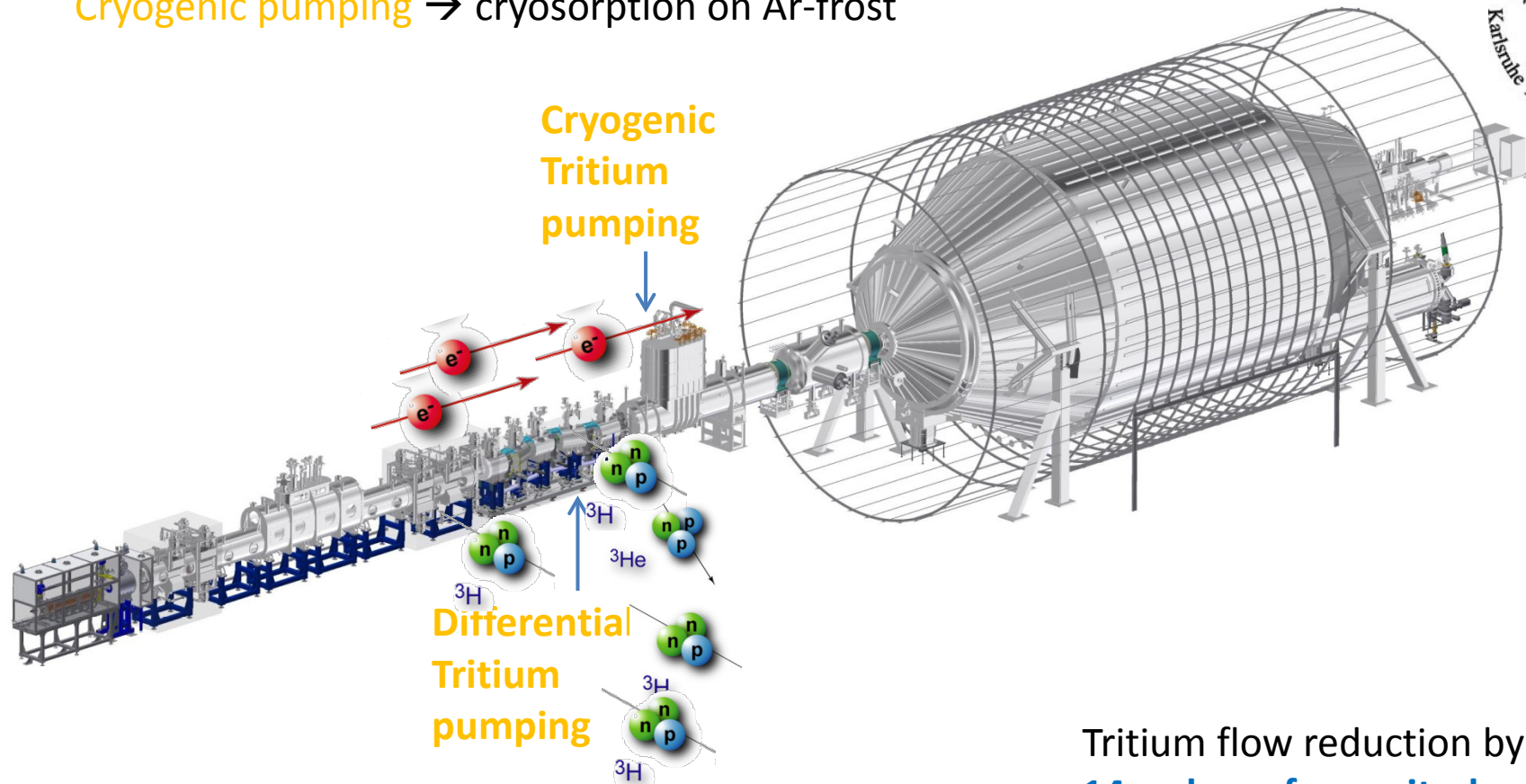
High luminosity: 10^{11} β -decay /s

High stability : 10^{-3} level

The KATRIN experiment

Differential pumping → active pumping by Turbo Molecular Pumps

Cryogenic pumping → cryosorption on Ar-frost

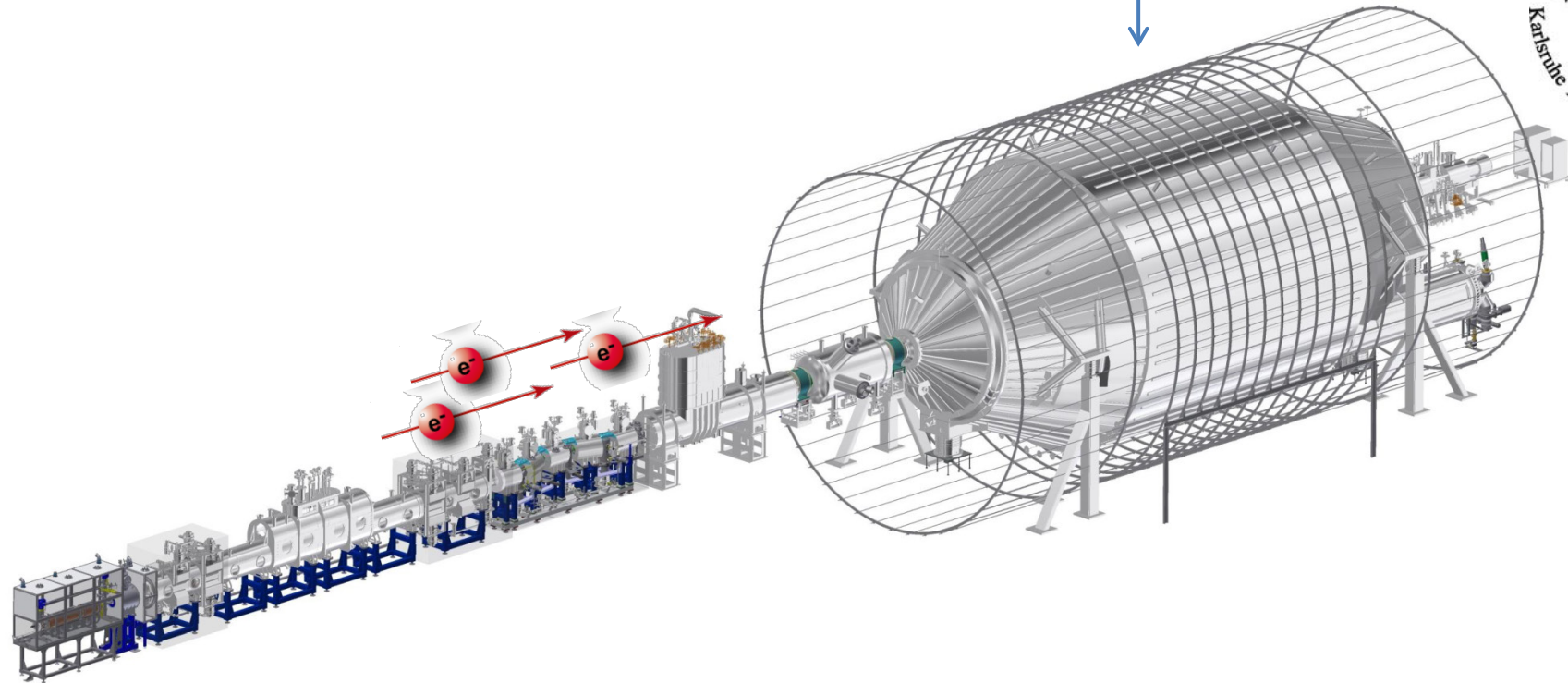


Tritium flow reduction by
14 orders of magnitudes

The KATRIN experiment

Selecting only the electrons in the endpoint region

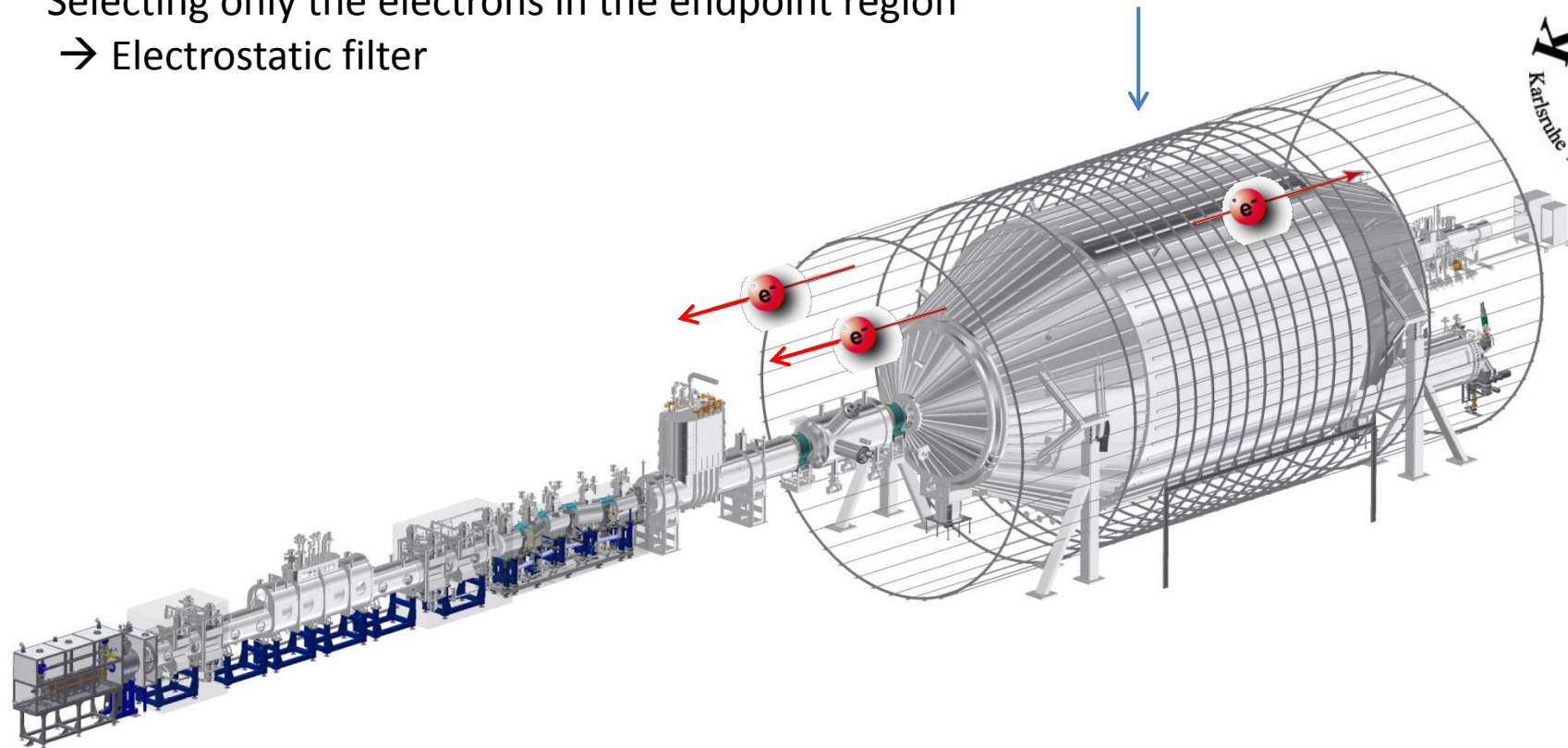
Spectrometer



The KATRIN experiment

Selecting only the electrons in the endpoint region
→ Electrostatic filter

Spectrometer

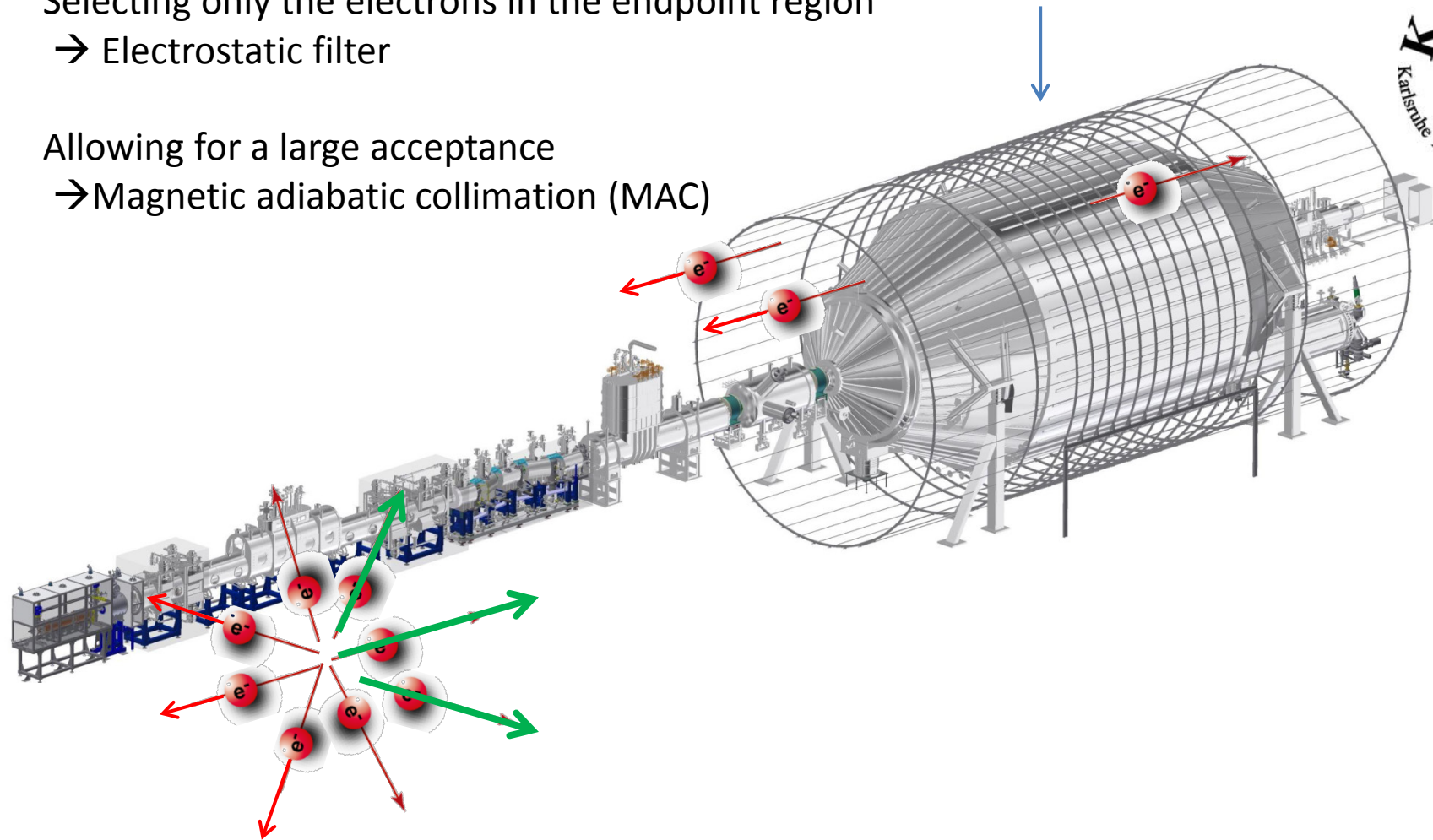


The KATRIN experiment

Selecting only the electrons in the endpoint region
→ Electrostatic filter

Allowing for a large acceptance
→ Magnetic adiabatic collimation (MAC)

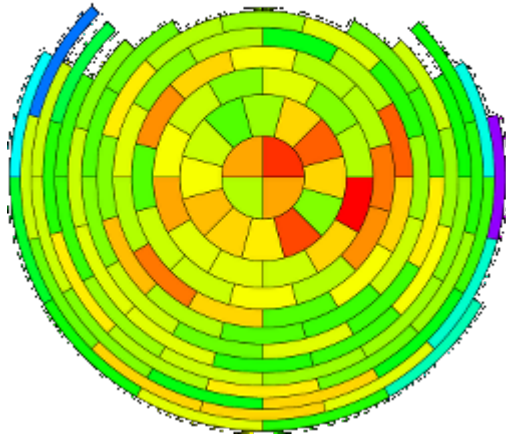
Spectrometer



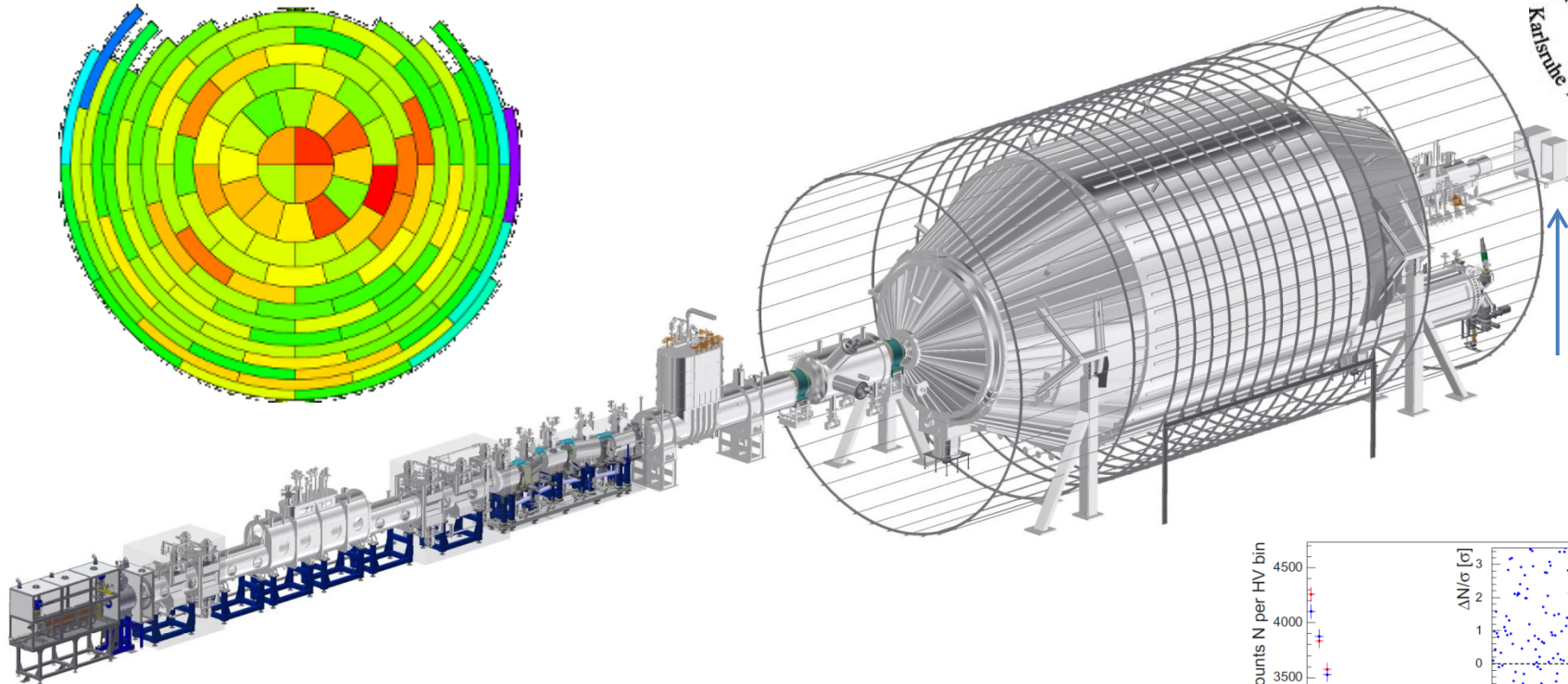
MAC-E filter principle allows for
< 1 eV energy cut off

The KATRIN experiment

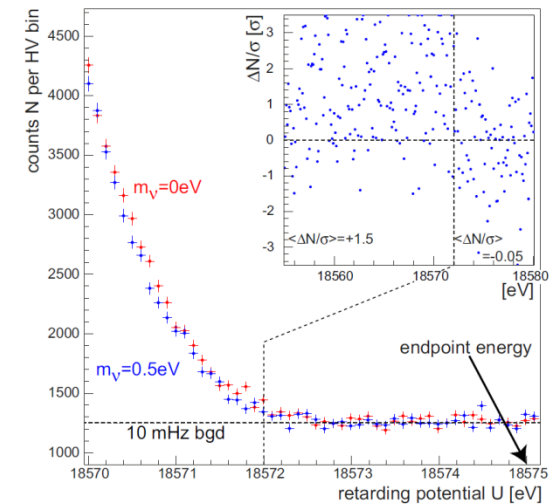
148 Pixel Si-pin diode detector system



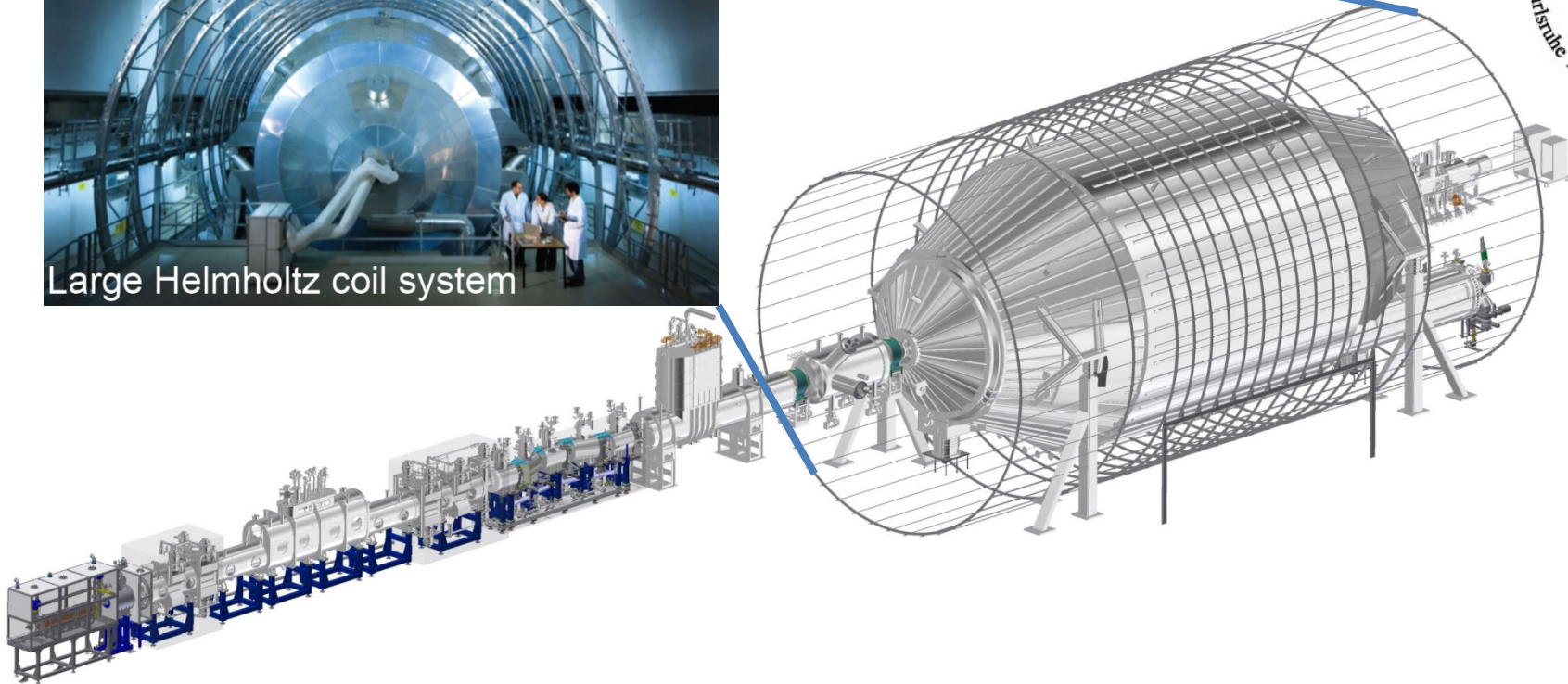
Electron counting



Integral measurement down to 30 eV below the endpoint



The KATRIN experiment: present status



The KATRIN experiment: present status

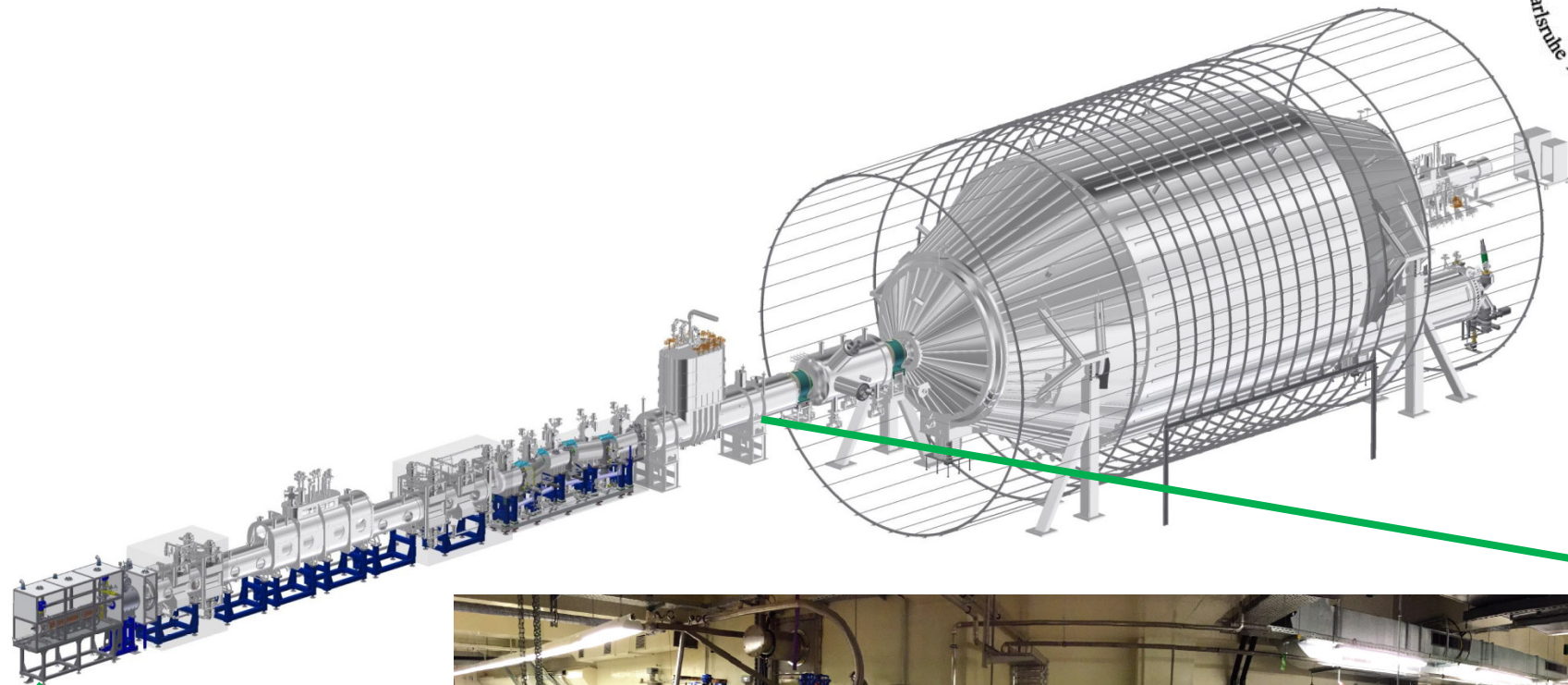


Photo K. Valerius

The KATRIN experiment: present status



First light ...last Friday !!!

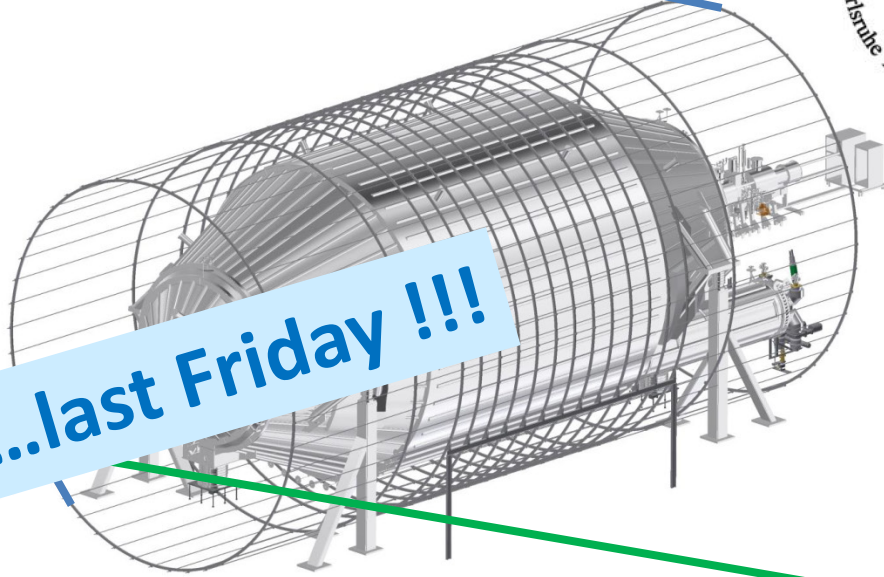


Photo K. Valerius

The KATRIN experiment: present status



Large Helmholtz coil system

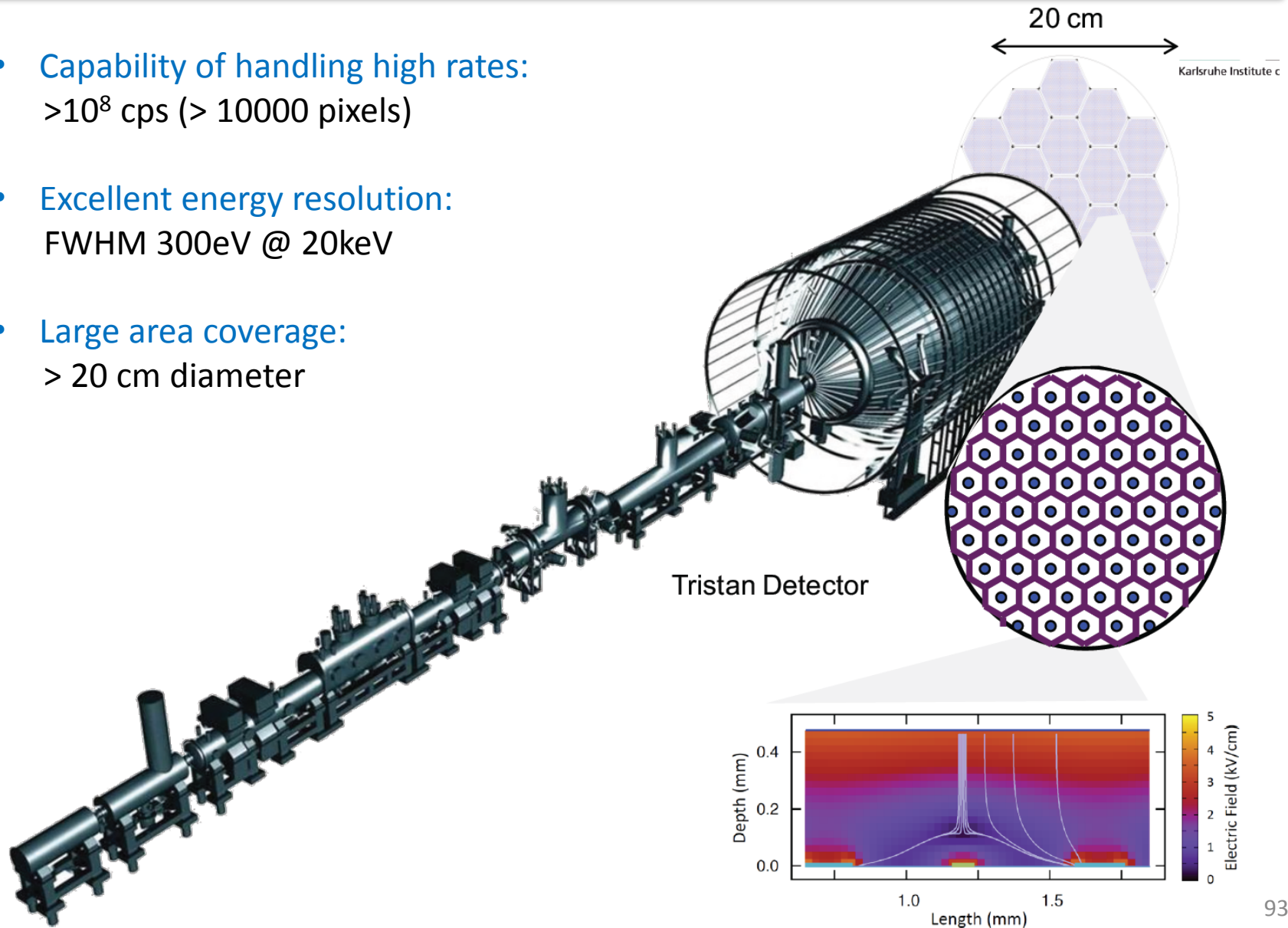


Is this enough for sterile neutrino search?

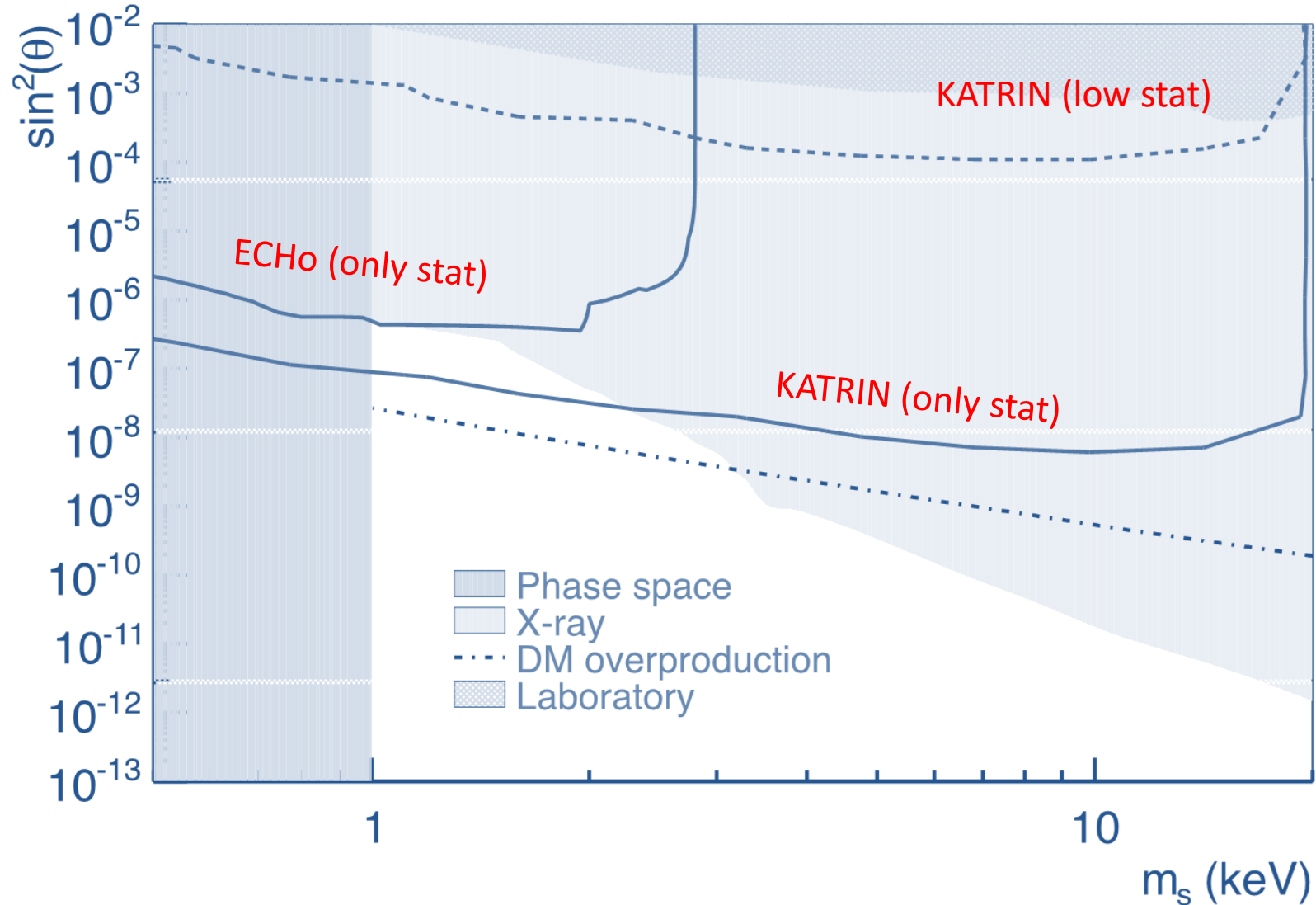


The KATRIN experiment: differential spectrum

- Capability of handling high rates:
 $>10^8$ cps (> 10000 pixels)
- Excellent energy resolution:
FWHM 300eV @ 20keV
- Large area coverage:
 > 20 cm diameter



keV-scale sterile neutrinos



^3H based experiments

❖ KATRIN - Karlsruhe Tritium Neutrino Experiment

- Main ideas:
- high activity source: $10^{11} \text{ e}^-/\text{s}$
 - high resolution MAC-E filter to select electrons close to the end point
 - count electrons as function of retarding potential
→ integral spectrum



❖ Project8

- Main ideas:
- Source = detector: $10^{11} - 10^{13} \text{ } ^3\text{H}_2 \text{ molecules /cm}^3$
 - Use cyclotron frequency to extract electron energy
 - Differential spectrum

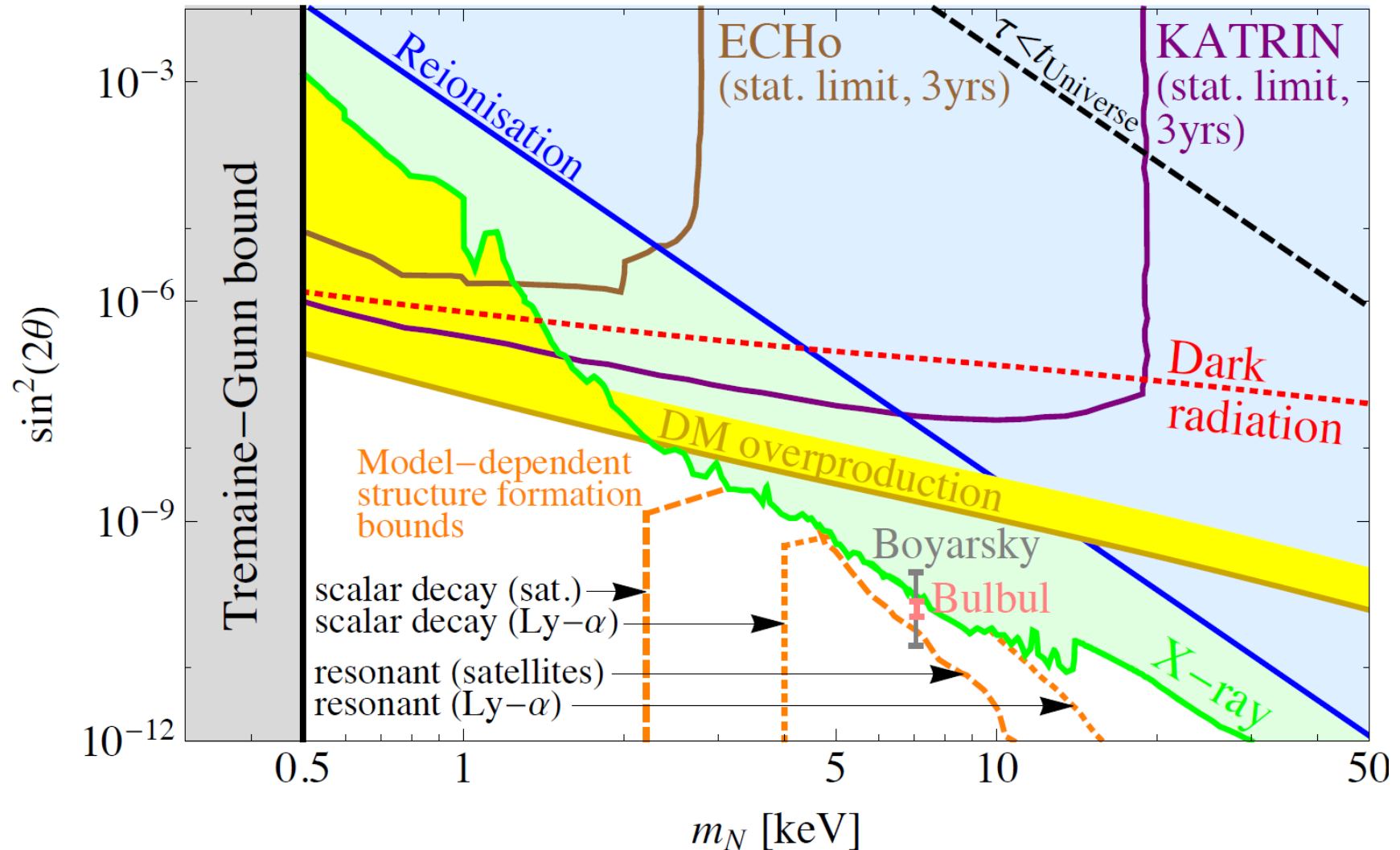


❖ PTOLEMY - Princeton Tritium Observatory for Light, Early-Universe, Massive-Neutrino Yield

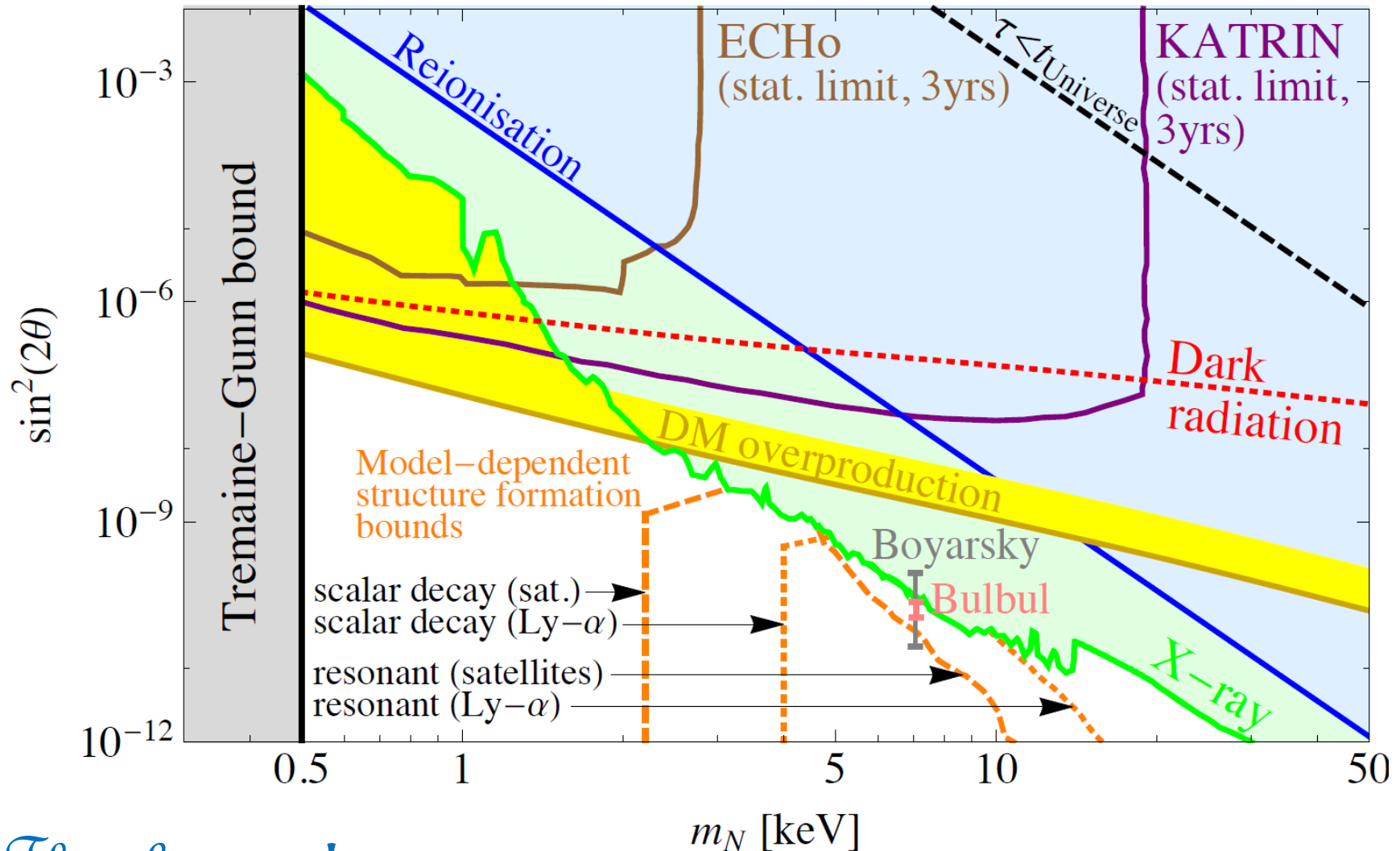
- Main ideas:
- large area tritium source: 100 g atomic ^3H
 - MAC-E filter to select electrons close to the end point
 - RF tracking and time-of-flight systems
 - cryogenic calorimetry → differential spectrum



Conclusions and outlook



Conclusions and outlook



Thank you !