

↳ How to fix  $\pi_{\mu\nu}$ ?

1) gauge condition  $\partial^\mu \bar{h}_{\mu\nu} = 0$

in Fourier space, this entails

$$p^\mu \bar{\pi}_{\mu\nu} = 0$$

← same "bar" as for  $\bar{h}_{\mu\nu}$

these are 4 conditions

2) residual gauge dependence  $\partial^2 \varepsilon_\nu = 0$

↪ superposition of plane waves

focus on mode with same momentum  $p$ ,

$$\epsilon_\mu(x) = \epsilon_\mu e^{ip_\alpha x^\alpha} + \epsilon_\mu^* e^{-ip_\alpha x^\alpha}$$

under this gauge transformation,

$$\overline{\pi}'_{\mu\nu} = \overline{\pi}_{\mu\nu} + i(p_\mu \epsilon_\nu + p_\nu \epsilon_\mu - \eta_{\mu\nu} p \cdot \epsilon)$$

(check this)

we now can impose

$$u^\mu \overline{\pi}'_{\mu\nu} = 0 \quad \text{for a constant vector } u^\mu$$

$$= u^\mu \overline{\pi}_{\mu\nu} + i(p \cdot u \epsilon_\nu + p_\nu u \cdot \epsilon - u_\nu p \cdot \epsilon)$$

3 eqs. for 4 components of  $\epsilon$

→ always has (complex) sol.

→ this fixes 3 more components of  $\bar{\pi}_{\mu\nu}$

not 4 because  $\underbrace{\varphi^\mu \bar{\pi}_{\mu\nu} u^\nu = 0}$

linear combination of  
 $\bar{\pi}_{\mu\nu} u^\nu$

3) residual gauge part II

we can take the trace of the transformed  $\bar{\pi}_{\mu\nu}$ :

$$\begin{aligned}\bar{\pi}^\mu{}_\mu' &= \bar{\pi}^\mu{}_\mu + i(2p \cdot \epsilon - 4p \cdot \epsilon) \\ &= \bar{\pi}^\mu{}_\mu - 2i p \cdot \epsilon\end{aligned}$$

→ we can always make  $\overline{\pi}_\mu$  traceless

remember: we had one free component  
of  $\epsilon$  left above!

→ fixes 1 component of  $\overline{\pi}_{\mu\nu}$

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In summary, the conditions on  $\pi_{\mu\nu}$  are:

$$\pi^\mu{}_\mu = 0, \quad p^\mu \pi_{\mu\nu} = 0, \quad u^\mu \pi_{\mu\nu} = 0$$

traceless

transverse

(to direction of propagation,  
like for photons)

check that  
1), 2), 3) carry  
over from  
 $\overline{\pi}$  to  $\pi$ !

$\Rightarrow$  in  $d=4$ , the graviton has two physically distinct polarisations

Excursion: general dimension  $d$  ( $d-1$  spatial + 1 time)

- $\pi_{\mu\nu}$  is symmetric  $\rightarrow \frac{d(d+1)}{2}$  components
  - $p^\mu \pi_{\mu\nu} = 0 \rightarrow d$  conditions
  - $u^\mu \pi_{\mu\nu} = 0 \rightarrow d-1$  conditions
  - $\pi^\mu{}_\mu = 0 \rightarrow 1$  condition
- $\Rightarrow \frac{d(d-3)}{2}$  independent polarisations

General wisdom: "gauge symmetry always hits twice"

above:  $d$  conditions from gauge fixing  
but eom has non-trivial kernel

↳ common feature in gauge theories, related  
to secondary constraints ↗ sheet 3

↳ in  $d \leq 3$ : no propagating gravitational waves  
→ no two orthogonal directions  
in which to oscillate

⇒  $d=4$  is the minimal spacetime dimensionality  
for GWs to propagate ↗ (why) is our universe 4d?

How do the polarisations look like?

Example: GW that propagates in z-direction

$$\rightarrow p^\mu = (p, 0, 0, p)$$

can make different choices, this is a specific one

we also choose  $u^\mu = (1, 0, 0, 0)$

$$\Rightarrow \pi_{\mu\nu} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & e_+ & e_x & 0 \\ 0 & e_x - e_+ & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

check this!

$e_+$  and  $e_x$  are the amplitudes of the two polarisations

↪ metric :

$$ds^2 = dx^\mu dx^\nu (\eta_{\mu\nu} + h_{\mu\nu})$$

$$= -dt^2 + dx^2 \left[ 1 + e_+ \left( e^{ip(z-t)} + e^{-ip(z-t)} \right) \right]$$

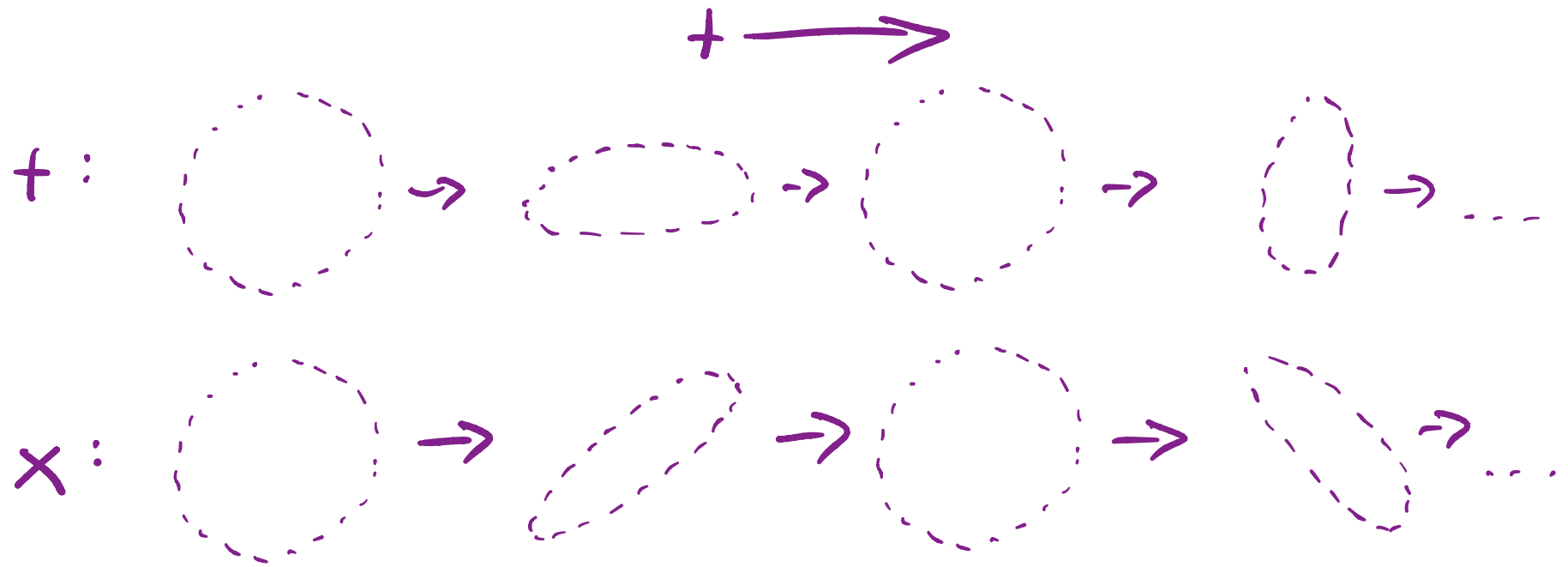
$$+ dy^2 \left[ 1 - e_+ \left( e^{ip(z-t)} + e^{-ip(z-t)} \right) \right]$$

$$+ 2dx dy e_x \left( e^{ip(z-t)} + e^{-ip(z-t)} \right)$$

$$+ dz^2$$

$$2 \cos[p(z-t)]$$

↳ two independent oscillation modes in x-y-plane



what are the dots?

⇒ ring of particles, GW passes through and displaces them in the above pattern

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## back to quantisation

→ quantise plane waves by promoting coefficients

↳ creation/annihilation operators:

$$h_{\mu\nu}(x) = \sum_{\sigma=\pm} \int d^3\vec{p} \left[ a_{\vec{p},\sigma} \pi_{\mu\nu}(\sigma) e^{i\vec{p}\cdot\vec{x}} + \text{c.c.} \right]$$

$$\hookrightarrow e_{\pm} = \frac{1}{\sqrt{2}} (e_+ \pm i e_x) \leftarrow \begin{array}{l} \text{these are helicity} \\ \text{eigenstates = eigenvectors} \\ \text{of rotation matrix} \\ \text{around z-axis} \end{array}$$

→  $a_{\vec{p},\sigma}$  and  $a_{\vec{p},\sigma}^*$  are quantum-mechanical operators  
with a standard set of commutation relations:

$$[a, a] = [a_i^+, a_i^+] = 0, \quad [a_{\vec{p},\sigma}, a_{\vec{p}',\sigma'}^+] = i \delta_{\sigma\sigma'} \delta^3(\vec{p} - \vec{p}')$$

→ standard procedure to build Fock space  
(vacuum, single graviton states, etc.)

↳ are we done? is this QG yet? of course not!

→ interaction

this is the point where we will  
need some QFT for the first time

to make a QFT out of this, we need to compute

loop corrections → need to know the Feynman rules

strategy: minimise pain and use de Donder gauge

→ expand Einstein-Hilbert Lagrangian to higher orders in  $h$

$$\mathcal{L} = \frac{\sqrt{-g}}{16\pi G_N} R$$

→ propagator

3-graviton vertex

$$\hookrightarrow \mathcal{L} \simeq \underbrace{-\frac{1}{2} \partial_\mu h_{\alpha\beta} \partial^\mu h^{\alpha\beta} + \frac{1}{4} (\partial_\mu h)^2}_{\text{propagator}} + \underbrace{\frac{1}{3} \eta^{\mu\nu} \eta^{\sigma\kappa} \eta^{\lambda\tau} h_{\mu\nu} h_{\sigma\tau} h_{\lambda\tau}}_{\text{3-graviton vertex}} + \dots$$



up to rescaling, effectively  $h_{\mu\nu} \rightarrow \sqrt{32\pi G_N} h_{\mu\nu}$

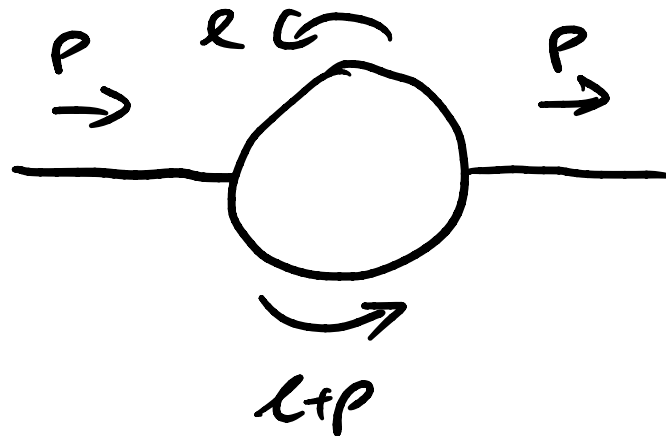
→ this is useful as it canonically normalises  $h_{\mu\nu}$  and makes it that the limit  $G_N \rightarrow 0$  gives the free theory

→ similar to gauge theory: can put coupling in front of  $F^2$  term, or into the gauge field

side note: in a proper treatment, we have to use the Faddeev-Popov method to implement the gauge fixing; for now we do not need it as it doesn't change the power counting, but you will meet it later

## ↳ Feynman rules for GR

We will discuss the loop correction to the propagator, i.e. the relevant diagram is



there is in principle also a tadpole diagram, but in dimensional regularisation it vanishes

→ we need the graviton propagator and the 3-graviton vertex

in the following we will neglect factors of " $\kappa$ " as they do not change the scaling with momentum

Propagator: = inverse two-point function

$$[P^{-1}]^{\mu\nu\sigma\tau}(p) \propto p^2 \left[ \frac{1}{2} (\eta^{\mu\sigma} \eta^{\nu\tau} + \eta^{\mu\tau} \eta^{\nu\sigma}) - \frac{1}{2} \eta^{\mu\nu} \eta^{\sigma\tau} \right]$$

in a more general gauge, there will also be terms of the

form  $(p^\mu p^\nu \zeta^{\rho\sigma} + \zeta^{\mu\nu} p^\rho p^\sigma)$ ,  $(p^\mu \zeta^{\nu\rho} p^\sigma + p^\nu \zeta^{\mu\rho} p^\sigma)$  ④  
 ③  $+ p^\mu \zeta^{\nu\sigma} p^\rho + p^\nu \zeta^{\mu\sigma} p^\rho)$ , ⑤

and if higher curvature terms are there as well,  $p^\mu p^\nu p^\rho p^\sigma$

→ these ⑤ elements form a basis for two-point functions of a symmetric field

to compute the propagator, we have to find the inverse - but what is the identity?

$h_{\mu\nu}$  is a symmetric tensor

$$\Rightarrow \mathbb{1}_{\mu\nu}^{\rho\sigma} h_{\rho\sigma} = h_{\mu\nu}$$

maps symmetric tensors onto themselves

$$\Rightarrow \eta_{\mu\nu}^{\sigma\sigma} = \frac{1}{2} (\delta_{\mu}^{\sigma} \delta_{\nu}^{\sigma} + \delta_{\mu}^{\sigma} \delta_{\nu}^{\sigma})$$

$$\Rightarrow P_{\alpha\beta\mu\nu} [P^{-1}]^{\mu\nu\sigma\sigma} \stackrel{!}{=} \eta_{\alpha\beta}^{\sigma\sigma}$$

in general, you would now proceed by spanning

$P$  in the above basis ①-⑤

in our gauge, we only need ① and ②  
(why?)

$$\hookrightarrow \text{ansatz: } P_{\alpha\beta\mu\nu} = c_1 \underbrace{\frac{1}{2} (\eta_{\alpha\mu} \eta_{\beta\nu} + \eta_{\alpha\nu} \eta_{\beta\mu})}_{\eta_{\alpha\beta\mu\nu}} + c_2 \eta_{\alpha\beta} \eta_{\mu\nu}$$

compute the product:

$$(P \cdot P^{-1})_{\alpha\beta}^{\text{SO}} = C_1 \left[ P^2 \mathbb{1}_{\alpha\beta}^{\text{SO}} - \frac{P^2}{2} \eta_{\alpha\beta} \eta^{\text{SO}} \right] + C_2 \left[ P^2 \eta_{\alpha\beta} \eta^{\text{SO}} - \frac{P^2}{2} \eta_{\alpha\beta} \underbrace{\eta_{\mu\nu} \eta^{\mu\nu}}_{=4} \eta^{\text{SO}} \right]$$

$$\begin{aligned} &= c_1 p^2 \underline{1}_{\alpha\beta} \delta^\alpha \\ &+ p^2 \left[ -\frac{c_1}{2} + c_2 - 2c_2 \right] \eta_{\alpha\beta} \delta^\alpha \\ &\Rightarrow c_1 = \frac{1}{p^2} \end{aligned}$$

$$\Rightarrow C_2 = -\frac{C_1}{2} = -\frac{1}{2\rho^2}$$

$$\Rightarrow P_{\alpha\beta\mu\nu} = \frac{1}{p^2} \left[ \eta_{\alpha\beta\mu\nu} - \frac{1}{2} \eta_{\alpha\beta} \eta_{\mu\nu} \right]$$


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note! this is up to the  
proportionality factor that  
we dropped for the two-point fct.  
(essentially  $\sim i$ )

propagator in de Donder gauge

3-graviton vertex: this is where things start to be painful

→ tutorial 3 on Nov 3

→ general structure of  $V_3$ : combinations of two momenta (out of the three) and metrics, ↑ why two?  
each with 6 indices

→ with momentum conservation ( $p_1 + p_2 + p_3 = 0$ ), there are 16 terms (not the most compact way of writing this)

relevant info:

$$V_3 \sim "p^2"$$

← meaning all possible combinations

↳ can evaluate  now learn in the tutorial how to not waste hours on this

↳ doing so and performing the loop integral, we encounter divergences of the form

$$[\text{div. prefactor}] \times \underbrace{[\partial^\mu \partial^\nu h_{\mu\nu} - \partial^2 h]^2}$$

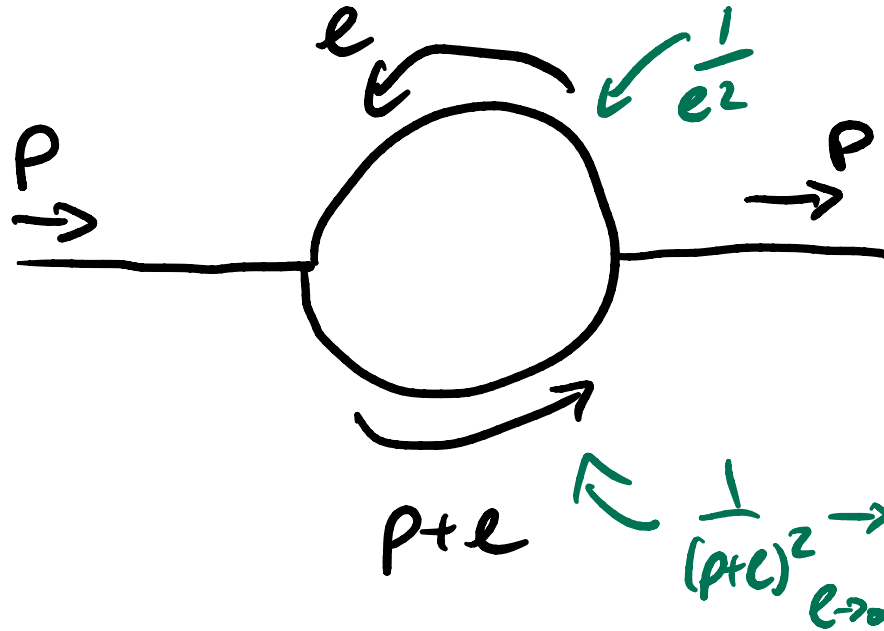
and other terms

↑ this is the expansion  
of  $R^2$  to second order  
in  $h$

→ how do these terms arise and what do they mean?

## ① the divergence

for this, we count powers of the loop momentum  $l$



vertices could  
either be  $p^2$ ,  
 $p \cdot l$  or  $l^2$

⇒ in the least divergent case,  $\sim \int d^4 l \left(\frac{1}{l^2}\right)^2 p^4$  at large  $l$

→ logarithmic divergence  $\times p^4$   
(there are others as well)

## ② the tensor structure

the resulting terms must be proportional to expansions of curvature invariants — otherwise, diffeomorphism invariance would be broken

at fourth order in derivatives —  $p^4$  above —

candidates are

$$R^2, R_{\mu\nu} R^{\mu\nu}, R_{\mu\nu\sigma\tau} R^{\mu\nu\sigma\tau}, D^2 R$$

↗ sheet 2

↑  
total derivative

→ can be neglected

in  $d=4$ , the combination

$$E = \sqrt{g} (R_{\mu\nu\sigma\rho} R^{\mu\nu\sigma\rho} - 4 R_{\mu\nu} R^{\mu\nu} + R^2)$$

is a total derivative - it is called the

Gauss-Bonnet invariant

$\Rightarrow$  its integral  $\int d^4x E$  is a topological invariant and measures the number of "handles" of spacetime

$\hookrightarrow$  only 2 terms are linearly independent and can contribute to the action (in the absence of boundary terms):  $R^2$  and  $R_{\mu\nu} R^{\mu\nu}$  this is a choice

Consequence: to absorb these divergences,  $\sim \int d^4x \chi$   
↓  
counterterms have to be added to the action

$$\leadsto \int d^4x \sqrt{g} [a R^2 + b R_{\mu\nu} R^{\mu\nu}]$$

these are **not** of the form of the original  
Einstein-Hilbert action

$\Rightarrow$  two new couplings appear in the action

Fun fact: in the absence of matter, one can perform a field redefinition

$$g_{\mu\nu} \rightarrow g_{\mu\nu} + c_1 R_{\mu\nu} + c_2 g_{\mu\nu} R \quad \text{so that for suitable } c_1, c_2,$$

the counterterms vanish

to see this, we plug in this shift into the action and expand to linear order in  $c_1, c_2$  [this is enough because we look at the one-loop terms]

$$\begin{aligned}
 &\leadsto S[g_{\mu\nu} + c_1 R_{\mu\nu} + c_2 R g_{\mu\nu}] \\
 &\quad \simeq S[g_{\mu\nu}] + \int d^4x \underbrace{\frac{\delta S[g]}{\delta g_{\mu\nu}}}_{-\sqrt{-g} G_{\mu\nu}} (c_1 R_{\mu\nu} + c_2 R g_{\mu\nu}) \\
 &\quad \simeq S[g] + \int d^4x \sqrt{-g} \left[ c_1 R_{\mu\nu} R^{\mu\nu} + \left( \frac{c_1}{2} + c_2 \right) R^2 \right] \\
 &\hspace{15em} \text{(up to boundary terms)}
 \end{aligned}$$

this pattern of new divergences persists at every loop order: at  $n$  loops, we need terms with  $2n+2$  derivatives

note: at  $n=2$ , this includes both  
cubic curvature terms as well as  
quadratic curvature terms with two derivatives  
 $\rightarrow$  the latter are not all total derivatives  
e.g.  $R D^2 R$

$\Gamma_{\text{sad}}$  fact: starting at  $n=2$ , you cannot absorb  
the divergences with a (local) field redefinition  
for  $n=2$  the offending term in  $d=4$  is

$$R_{\mu\nu}{}^{\sigma\tau} R_{\sigma\tau}{}^{\kappa\lambda} R_{\kappa\lambda}{}^{\mu\nu}$$

this two-loop counterterm was first computed  
by Goroff & Sagnotti in '85/'86

Phys. Lett. B 160 (1985) 81-86

Nucl. Phys. B 266 (1986) 709-736

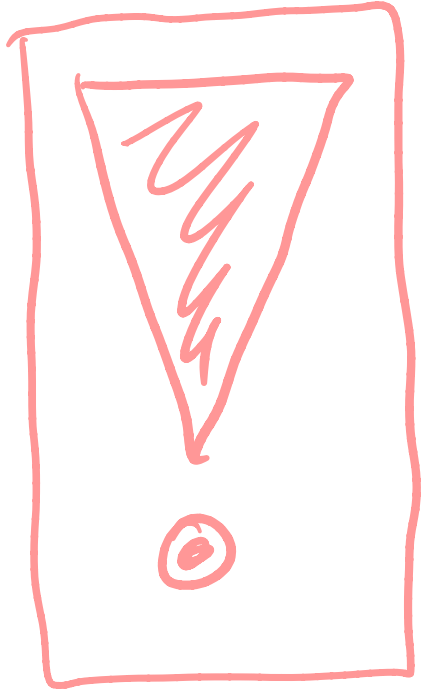
→ think about this, given the argumentation we used at one-loop order — is it clear that the above term cannot be absorbed?

→ the problem (likely) repeats at higher loop orders — why? ↑

likely, because the prefactor of a divergence could be zero; the point of the Goroff-Sagnotti computation was to show it is not



Conclusion: the perturbative quantisation of the  
Einstein-Hilbert action leads to a  
non-predictive theory which has  
infinitely many free couplings



↑ the finite prefactors of the  
new terms, once divergences have  
been absorbed

⇒ perturbative non-renormalisability  
= breakdown of predictivity