

Matrix Model Techniques in JT-Gravity  
String Theory Journal Club

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# First Seminar Series Talk

- SYK-Motivation
- Mixture of Sections 2 and 3 of Saad-Shenker-Stanford's *JT-gravity as a Matrix Integral* paper
- Terminology and motivation for *2D-quantum gravity* and *Topological Recursion* talks (terminology)

# SYK-model [D. Stanford; Strings 2017]

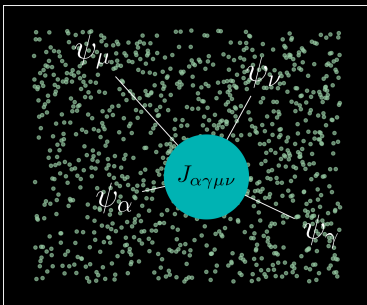
- ◆ model (quantum mechanics) for  $N$  Majorana fermions  $\{\psi_\alpha\}_{\alpha=1}^N$

$$\{\psi_\alpha, \psi_\beta\} = \delta_{\alpha\beta}$$

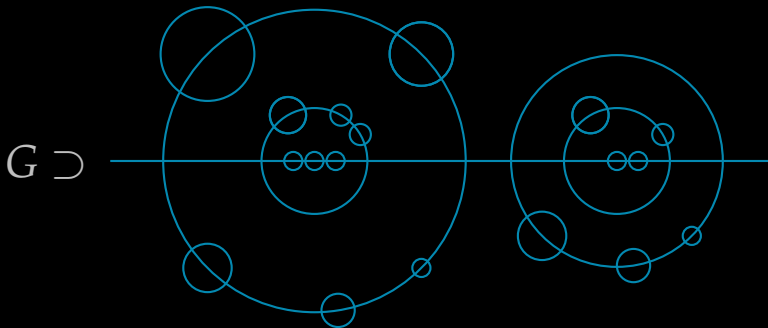
- ◆ action

$$S[\psi_\rho] = \int d\tau \left( \frac{1}{2} \psi_\alpha \frac{d\psi^\alpha}{d\tau} + \frac{i}{4!} J_{\alpha\gamma\mu\nu} \psi_\alpha \psi_\gamma \psi_\mu \psi_\nu \right)$$

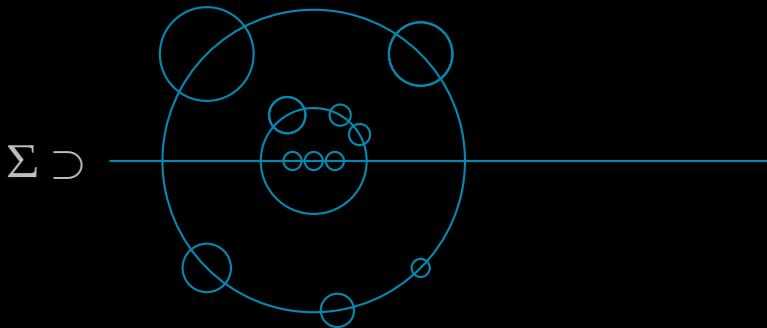
coupling whose coupling constants satisfy  $\langle J_{\alpha\gamma\mu\nu}^2 \rangle = J^2 / N^3$



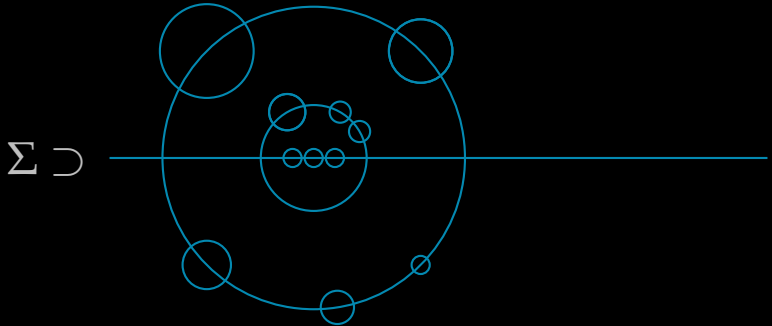
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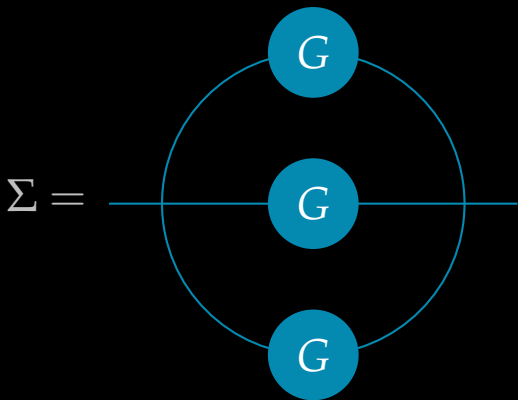


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- ◆  $\Sigma$  generating 1PI (2-connected graphs)
- ◆  $G(\tau) = \langle \psi_\alpha(\tau) \psi_\alpha(0) \rangle$  in Fourier space:

$$G(\omega) = \frac{1}{-i\omega - \Sigma(\omega)}$$



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$$\Sigma(\tau) = J^2 [G(\tau)]^3$$

- ◆ strong coupling  $\beta J \gg 1$  (or infrared regime)

$$G(\tau)\Sigma(\tau) = -1,$$

- ◆ the solution in the termic circle  $\tau \in (0, \beta)$

$$G(x) \sim \frac{\text{sgn}(\tau)}{\text{sen}^{1/2}(x)} \quad (x \equiv \tan(\pi\tau/\beta))$$

presents  $\text{SL}(2, \mathbb{R})$ -symmetry  $x \mapsto \frac{ax+b}{cx+d}$

- ◆ maximizes chaos ( $\leftrightarrow$  to black hole in  $\text{AdS}_2$  [Kitaev])



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- low energy dynamics of SYK  $\leftrightarrow$   $\partial$ -description of 2D JT-gravity

$$I_{JT} = - \frac{S_0}{2\pi} \left[ \frac{1}{2} \int_{\mathcal{M}} \sqrt{g} R + \int_{\partial\mathcal{M}} \sqrt{h} K \right] - \left[ \frac{1}{2} \int_{\mathcal{M}} \sqrt{g} \varphi(R+2) + \int_{\partial\mathcal{M}} \sqrt{h} \varphi(K-1) \right].$$

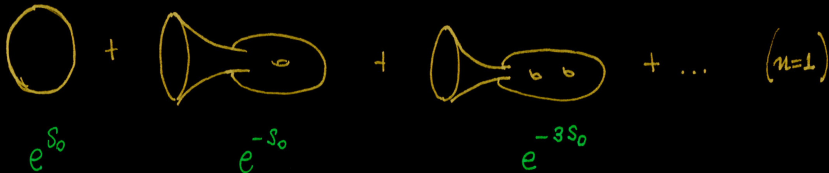
topological term =  $S_0 \chi(\mathcal{M})$

sets  $R = -2$ 
gives action for boundary

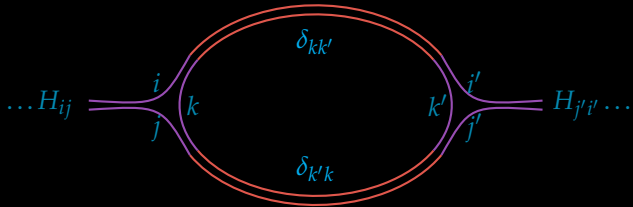
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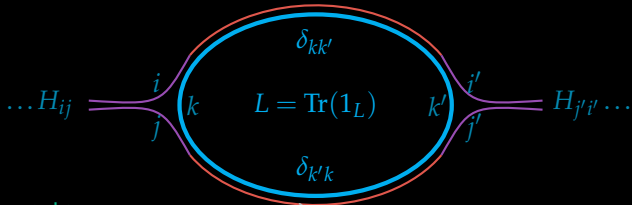
- ◆  $H =$  Hamiltonian at the boundary,  $Z(\beta) = \text{Tr}(e^{-\beta H})$
- ◆ **JT-gravity is a matrix model**,  $(e^{S_0})^\chi = e^{S_0(2-2g-n)}$  expansion exists; for  $n = 1$  boundaries, a trumpet-expansion:



$$L := e^N, V = \sum_n v_n H^n, \quad \mathcal{Z} = \int_{\text{formal}} dH e^{-L\text{Tr}V} \stackrel{e.g.}{=} \int_{\text{formal}} dH e^{-\frac{L}{2}\text{Tr}(H^2) + Lv_3\text{Tr}(H^3)}$$

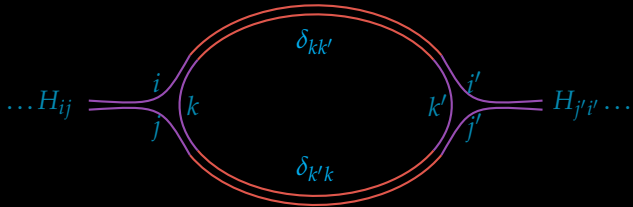


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- $L$  per vertex
  - $L^{-1}$  factor per propagator
  - $L$  per  $\sum_{a,b} \delta_{ab} \delta_{ba}$
- }  $\mathcal{A}(\mathcal{G}) \sim L^{V(\mathcal{G}) - E(\mathcal{G}) + F(\mathcal{G})} = L^{\chi(\mathcal{G})}$

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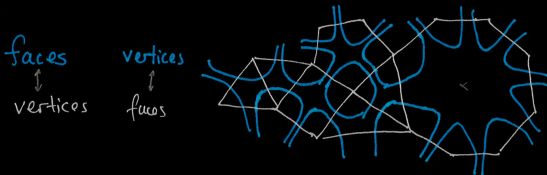
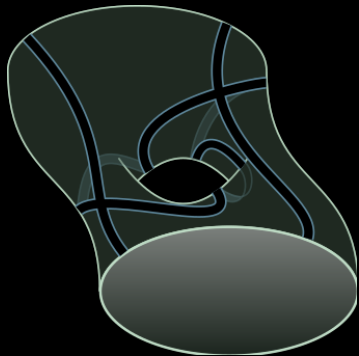


The (maps dual to) ribbon graphs might have boundary:

$$\mathcal{A}(\mathcal{G}) \sim L^{\chi(\mathcal{G})} = L^{2-2g-n} \quad \leftrightarrow$$

$n = \#$  (boundaries)

$g = \text{genus}$



Ribbon graph  
Map

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- ◆  $L \times L$  independent random variables  $h_{ij}$

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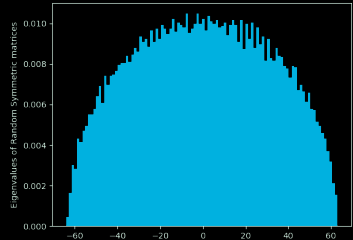
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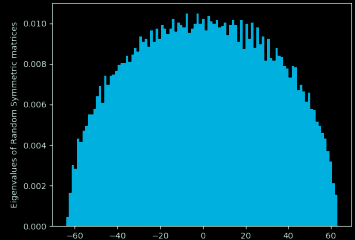
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**Assumption:** One-cut or connected support as in

$$\rho_0(E) = \frac{1}{2\pi} \sqrt{4 - E^2}$$

With  $\mathcal{Z} = \int_{H \in M_L(\mathbb{C}), H^* = H} dH e^{-L \text{Tr} V(H)}$  one determines:

$$\langle Z(\beta_1) \dots Z(\beta_n) \rangle = \frac{1}{\mathcal{Z}} \int dH e^{-L \text{Tr} V(H)} Z(\beta_1) \dots Z(\beta_n).$$

But it will turn out

$$R(E) = - \int_0^\infty d\beta e^{\beta E} Z(\beta),$$

$$R(E + i\epsilon) - R(E - i\epsilon) = -2\pi i \rho(E)$$

Convenient:

$$\langle R(E_1) \dots R(E_n) \rangle_{\text{conn.}} = \sum_{g=0}^{\infty} \frac{R_{g,n}(E_1, \dots, E_n)}{L^{2g+n-2}} \quad (L \rightarrow \infty)$$

Relation to Eynard's notation,

$$W_{g,n}(z_1, \dots, z_n) \sim R_{g,n}(-z_1^2, \dots, -z_n^2) \quad z_i = \sqrt{-E_i}$$

# Disc amplitude $R_{0,1}$ (with 1-cut assumption)

Diagonalization and integration

$$\mathcal{Z} = C_L \int \underbrace{\prod_{i<j} (\lambda_i - \lambda_j)^2}_{\text{repulsion}} \times \underbrace{\exp \left\{ -L \sum_{j=1}^L V(\lambda_j) \right\}}_{\text{confinement}} d^L \lambda$$

$\exp(-\sum_j V_{\text{eff}}(\lambda_j))$

- ◆  $V_{\text{eff}}$  is an interesting interaction, e.g. known cases but also:
  - ▶ birds on a wire [Šeba]
  - ▶ buses without schedule [Krbálek-Šeba 2000,..., Warchol 2018]

◆  $V'_{\text{eff}}(E) = 0 \Rightarrow V'(E) = 2 \int d\lambda \frac{\rho_0(\lambda)}{E-\lambda}$  ( $\rho_0$  is L.O. in  $L$  of  $\rho$ )

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- ◆ Migdal's "dispersion relations" trick:  $\sigma(x) = \sqrt{(x - a_+)(x - a_-)}$
- ◆ residue theorem for  $R_{0,1} / \sqrt{\sigma}$  yields

$$\begin{aligned}
 R_{0,1}(E) &= \oint_E \frac{d\lambda}{2\pi i} \frac{R_{0,1}(\lambda)}{\lambda - E} \sqrt{\frac{\sigma(E)}{\sigma(\lambda)}} \\
 &= - \int_C \frac{d\lambda}{2\pi i} \frac{R_{0,1}(\lambda)}{\lambda - E} \sqrt{\frac{\sigma(E)}{\sigma(\lambda)}} \quad (\text{contour deformed}) \\
 &= - \frac{1}{2} \int_C \frac{d\lambda}{2\pi i} \frac{V'(\lambda)}{\lambda - E} \sqrt{\frac{\sigma(E)}{\sigma(\lambda)}} \quad \text{by } R_{0,1}(E + i\epsilon) + R_{0,1}(E - i\epsilon) = V'(E)
 \end{aligned}$$

so expanding

$$\frac{1}{E} \underset{E \rightarrow \infty}{\sim} \int_C \frac{d\lambda}{2\pi i} \frac{V'(\lambda)}{\sqrt{\sigma(\lambda)}} + \frac{1}{2E} \int_C \frac{d\lambda}{2\pi i} \frac{\lambda V'(\lambda)}{\sqrt{\sigma(\lambda)}}$$

can be solved for  $a_{\pm}$  and determine the disk amplitude



## Loop equations $\rightarrow R_{1,1}$ ('lid')

Departing from the loop equations

$$0 = \int d^L \lambda \frac{\partial}{\partial \lambda_a} \left[ \mathcal{O}_a(\lambda) \prod_{i < j} (\lambda_i - \lambda_j)^2 e^{-L \sum_j V(\lambda_j)} \right]$$

for  $\mathcal{O}_a(\lambda) = \frac{1}{E - \lambda_a}$

$$0 = \sum_a \left\langle \frac{1}{(E - \lambda_a)^2} + \underbrace{\sum_{j \neq a} \frac{1}{E - \lambda_a} \frac{2}{\lambda_a - \lambda_j}}_{\sum_{a \neq j} \frac{1}{\lambda_a - \lambda_j} \left[ \frac{1}{E - \lambda_a} - \frac{1}{E - \lambda_j} \right]} - \frac{LV'(\lambda_a)}{E - \lambda_a} \right\rangle$$

Underbracket gives crossed terms,  $\sum_{j \neq a} \frac{1}{(E - \lambda_a)(E - \lambda_j)}$  so

$$\left\langle \left( \text{Tr} \frac{1}{E - H} \right)^2 - L \text{Tr} \frac{V'(H)}{E - H} \right\rangle = 0$$

$$\left\langle \left( \frac{1}{L} \text{Tr} \frac{1}{E-H} \right)^2 - \frac{1}{L} \text{Tr} \frac{V'(H)}{E-H} \right\rangle = 0$$

and use

$$\blacklozenge \frac{1}{L} \text{Tr} \frac{1}{E-H} = R_{0,1} + \frac{1}{L^2} R_{1,1} + \dots$$

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- ◆  $\langle R(E)^2 \rangle = \langle \cancel{R(E)^2}_{\text{conn.}} \rangle + \langle R(E) \rangle_{\text{conn.}}^2$  (at LO)

$$\left\langle \left( \frac{1}{L} \text{Tr} \frac{1}{E-H} \right)^2 - \frac{1}{L} \text{Tr} \frac{V'(H)}{E-H} \right\rangle = 0$$

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completing the square:

$$\left( R_{0,1}(E) - \frac{V'(E)}{2} \right)^2 = \frac{V'(E)^2}{4} - \left\langle \frac{1}{L} \text{Tr} \frac{V'(E) - V'(H)}{E-H} \right\rangle_0$$

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yields for  $y = R_{0,1}(E) - \frac{V'(E)}{2}$  the *spectral curve*

$$y^2 = f(E)$$

$$\left\langle \left( \frac{1}{L} \text{Tr} \frac{1}{E-H} \right)^2 - \frac{1}{L} \text{Tr} \frac{V'(H)}{E-H} \right\rangle = 0$$

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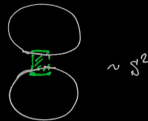
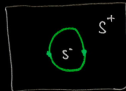
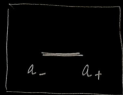
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Next to LO in  $L$  of  $\left\langle \left( \frac{1}{L} \text{Tr} \frac{1}{E-H} \right)^2 - \frac{1}{L} \text{Tr} \frac{V'(H)}{E-H} \right\rangle = 0$  yields similarly [Exercise]

$$2y(E)R_{1,1}(E) = -R_{0,2}(E, E) - \left\langle \frac{1}{L} \text{Tr} \frac{V'(E) - V'(H)}{E - H} \right\rangle_{L^{-2} \text{ terms}}$$

- ◆ Combinatorial derivative  $\text{Tr} \frac{V'(E) - V'(H)}{E - H}$  is not singular at endpoints: so dispersion relations (again) yield

$$R_{1,1}(E) \sqrt{\sigma(E)} = \sum_{\pm} \oint_{a_{\pm}} \frac{d\lambda}{2\pi i} \frac{R_{0,2}(\lambda, \lambda)}{\lambda - E} \frac{\sqrt{\sigma(\lambda)}}{2y(\lambda)}.$$

- ◆ to find  $R_{0,2}$  one uses the loop insertion operators

$$\frac{d}{dV(E)} = - \sum_{n=0}^{\infty} \frac{1}{E^{n+1}} \frac{\partial}{\partial v_n}$$

acted on the free energy  $F_L$ :

$$R_{*,s}(E_1, \dots, E_s) = \frac{d}{dV(E_s)} \cdots \frac{d}{dV(E_1)} F_L$$

$$\text{so } R_{0,2}(E_1, E_2) = \frac{d}{dV(E_2)} \frac{d}{dV(E_1)} F_L = - \sum_{n=0}^{\infty} \frac{1}{E_2^{n+1}} \partial_{v_n} R_{0,1}(E_1)$$

This method works for all higher  $R_{g,n}$ 's!

# Higher topologies and Topological Recursion



- ◆ new coordinate  $z^2 = x = -E$ , the locus  $(x(z), y(z)) \subset \mathbb{C}^2$  is the spectral curve, which is a hyperelliptic curve ( $y = R_{0,1}(E) - \frac{V'(E)}{2}$  is polynomial)
- ◆ Saad-Shenker-Stanford  $\leftrightarrow$  Eynard's notation:

$$W_{g,n}(z_1, \dots, z_n) = (-1)^n 2^n z_1 \dots z_n R_{g,n}(-z_1^2, \dots, -z_n^2) \quad z_i = \sqrt{-E_i}$$

$$W_{0,1}(z) = 2zy(z), \quad W_{0,2}(z_1, z_2) = \frac{1}{(z_1 - z_2)^2}$$

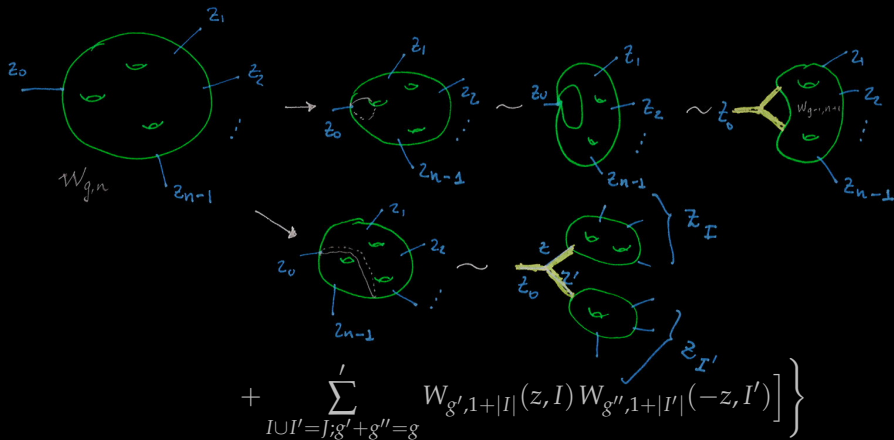
- ◆ excluding  $(I = J, h = g)$  and  $(I' = J, h' = g)$  in  $\Sigma'$ ,

$$W_{g,n}(z_1, \overbrace{z_2, \dots, z_n}^J) = \text{Res}_{z \rightarrow 0} \left\{ \frac{1}{(z_1^2 - z^2)} \frac{1}{4y(z)} \left[ W_{g-1, n+1}(z, -z, J) \right. \right. \\ \left. \left. + \sum_{I \cup I' = J; h+h'=g} W_{h, 1+|I|}(z, I) W_{h', 1+|I'|}(-z, I') \right] \right\}$$



... but rather, it's two different ways to choose a cycle and pinch it:

$$W_{g,n}(z_0, \overbrace{z_1, \dots, z_{n-1}}^I) = \text{Res}_{z \rightarrow 0} \left\{ \frac{1}{(z_0^2 - z^2)} \frac{1}{4y(z)} \left[ W_{g-1, n+1}(z, -z, I) \right. \right.$$



## The TR machinery

- ◆ input, the density of ev./spectral curve: e.g.  
$$\rho_0^{\text{total}}(E) = \frac{e^{S_0}}{\pi} \sqrt{E}, E > 0$$
or  $y = z$

- ◆ output, the  $W_{g,n}$ 's:

$$W_{0,1} = 2z_1^2,$$

$$W_{0,2} = \frac{1}{(z_1 - z_2)^2},$$

$$W_{0,3} = \frac{1}{2z_1^2 z_2^2 z_3^2}$$

$$W_{1,1} = \frac{1}{16z_1^4},$$

$$W_{1,2} = \frac{5z_1^4 + 3z_1^2 z_2^2 + 5z_2^4}{32z_1^6 z_2^6}$$

$$W_{2,1} = \frac{105}{1024z_1^{10}}.$$

◆ input, the density of ev./spectral curve: e.g.  
 $y = \frac{\sin(2\pi z)}{4\pi}$

◆ output, the  $W_{g,n}$ 's:

$$W_{0,1} = 2z_1 \frac{\sin(2\pi z_1)}{4\pi},$$

$$W_{0,2} = \frac{1}{(z_1 - z_2)^2},$$

$$W_{0,3} = \frac{1}{z_1^2 z_2^2 z_3^2}$$

$$W_{1,1} = \frac{3 + 2\pi^2 z_1^2}{24z_1^4},$$

$$W_{1,2} = \frac{5(z_1^4 + z_2^4) + 3z_1^2 z_2^2 + 4\pi^2(z_1^4 z_2^2 + z_2^4 z_1^2) + 2\pi^4 z_1^4 z_2^4}{8z_1^6 z_2^6}$$

$$W_{2,1} = \left( \frac{105}{128z_1^{10}} + \frac{203\pi^2}{192z_1^8} + \frac{139\pi^4}{192z_1^6} + \frac{169\pi^6}{480z_1^4} + \frac{29\pi^8}{192z_1^2} \right).$$

Relation to volumes  $V_{g,n}$  of the moduli of genus  $g$  bordered Riemann surfaces with geodesic  $n$  boundaries of lengths  $b_i$ ,

$$W_{g,n}(z_1, \dots, z_n) = \int_0^\infty b_1 db_1 e^{-b_1 z_1} \dots \int_0^\infty b_n db_n e^{-b_n z_n} \underbrace{V_{g,n}(b_1, \dots, b_n)}_{\text{Mirzakhani}}.$$