

How to GAN

Tilman Plehn

Basics

Events

Subtraction

Unfolding

Superresolution

# How to GAN for LHC

Tilman Plehn

Universität Heidelberg

CERN 3/2020



# GAN basics

Basics

Events

Subtraction

Unfolding

Superresolution

## MC crucial for LHC physics

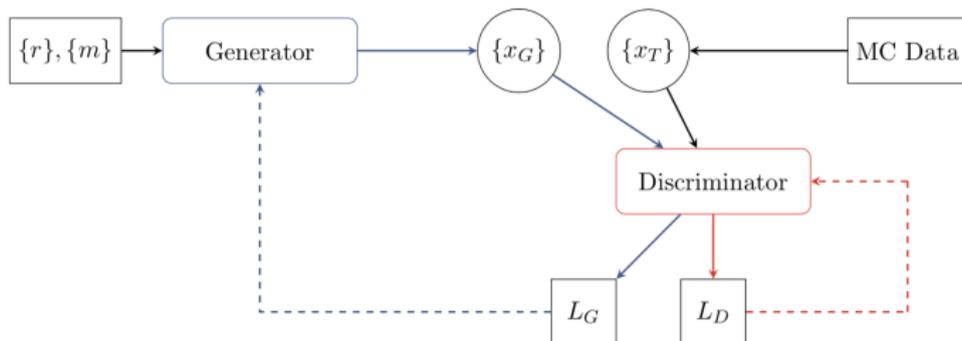
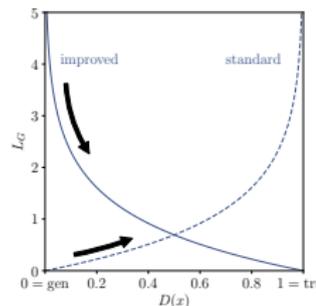
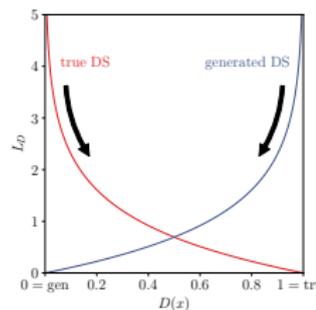
- goal: **data-to-data** with fundamental physics input only
- MC challenges
  - higher-order precision in bulk
  - coverage of tails
  - inversion/unfolding to access fundamental QCD
- neural network benefits
  - best available interpolation**
  - structured latent space**
  - lightning speed, once trained
  - inversion solved
  - training on MC and/or data, anything goes
- GANs the coolest kid on the block
  - generator** trying to produce best events
  - discriminator** trying to catch generator
  - competing towards (Nash) equilibrium



# GAN algorithm

## GANning LHC events

- training: true events  $\{x_T\}$  following  $p_T(x)$
  - output: generated events  $\{r\} \rightarrow \{x_G\}$  following  $p_G(x)$
  - discriminator constructing  $D(x)$  [ $D(x) = 1, 0$  true/generator]
- $$L_D = \langle -\log D(x) \rangle_{x \sim P_T} + \langle -\log(1 - D(x)) \rangle_{x \sim P_G} \rightarrow 2 \log 0.5$$
- generator giving events [ $D$  needed]
- $$L_G = \langle -\log D(x) \rangle_{x \sim P_G} \rightarrow -\log 0.5$$
- loss function evaluated over batch
  - noise reduction/stabilization: gradient penalty
- $\Rightarrow$  statistically independent copy of training events



# GANs at LHC

## Phase space networks

- MC integration [Bendavit (2017)]
- NN Vegas [Klimek (2018)]

## Existing GAN studies

- Jet Images [de Oliveira (2017), Carazza (2019)]
- Particle shower in Calorimeters [Paganini (2017), Musella (2018), Erdmann (2018), Ghosh (2018)]
- Event generation [Otten(2019), Hashemi (2019), Di Sipio (2019), [Butter \(2019\)](#), Martinez (2019), Alanazi (2020)]
- Unfolding [Datta (2018), [Bellagente \(2019\)](#)]
- Templates for QCD factorization [Lin (2019)]
- EFT models [Erbin (2018)]
- Event subtraction [[Butter \(2019\)](#)]

## MC generators

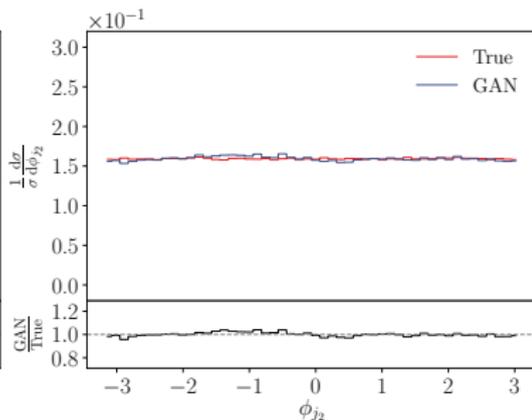
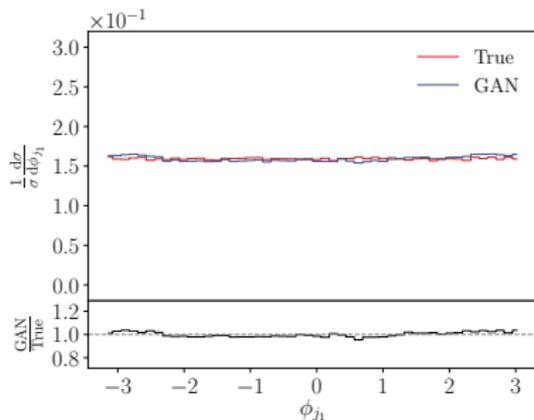
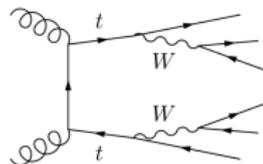
- neural importance sampling [Bothmann (2020)]
- i-flow in SHERPA [Gao (2020)]



# 1– How to GAN LHC Events

Idea: replace ME for hard process [Butter, TP, Winterhalder]

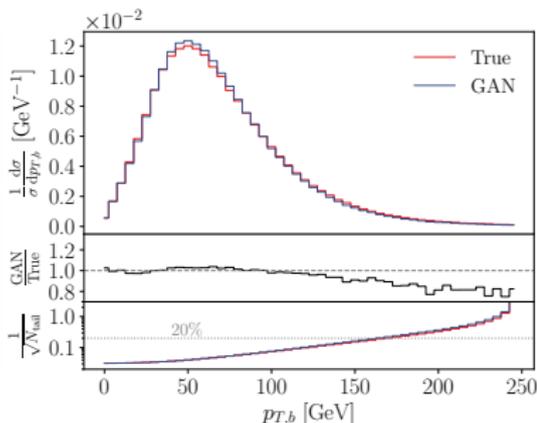
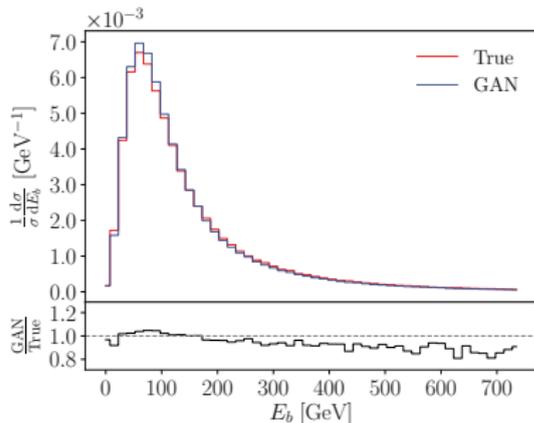
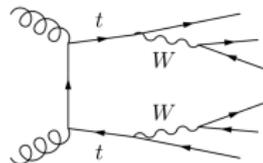
- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- flat observables flat [phase space coverage okay]



## 1- How to GAN LHC Events

Idea: replace ME for hard process [Butter, TP, Winterhalder]

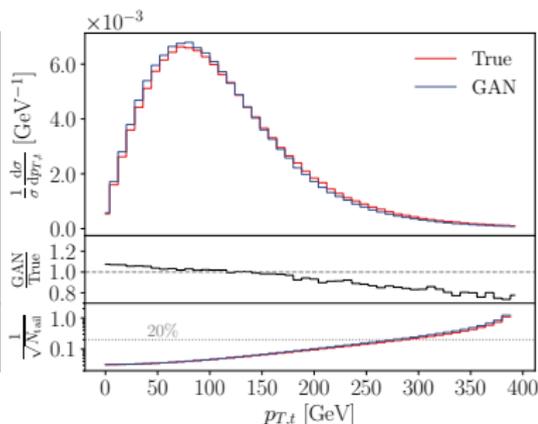
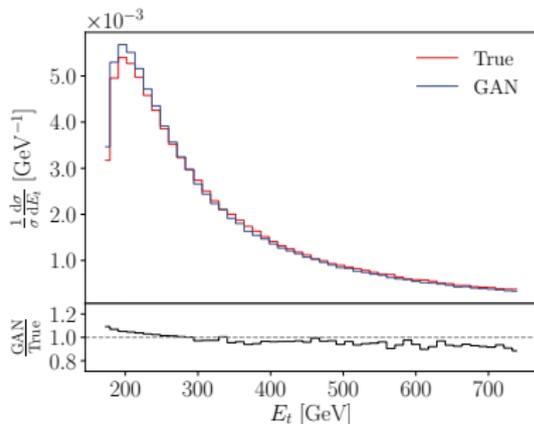
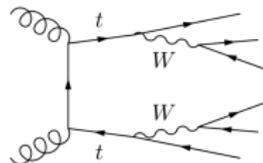
- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- flat observables flat [phase space coverage okay]
- direct observables with tails [statistical error indicated]



# 1– How to GAN LHC Events

Idea: replace ME for hard process [Butter, TP, Winterhalder]

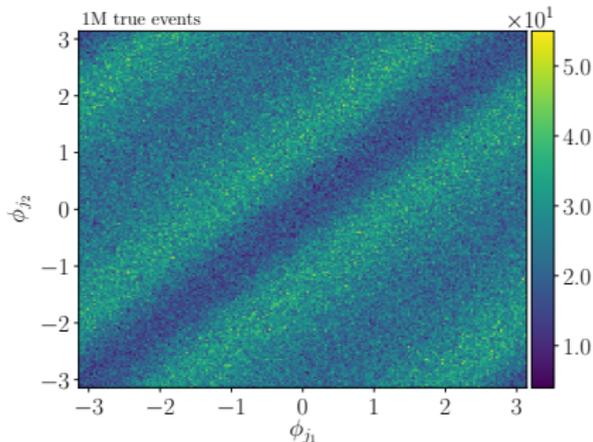
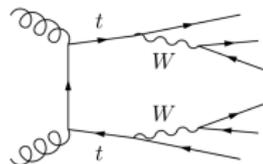
- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- flat observables flat [phase space coverage okay]
- direct observables with tails [statistical error indicated]
- constructed observables similar



# 1– How to GAN LHC Events

Idea: replace ME for hard process [Butter, TP, Winterhalder]

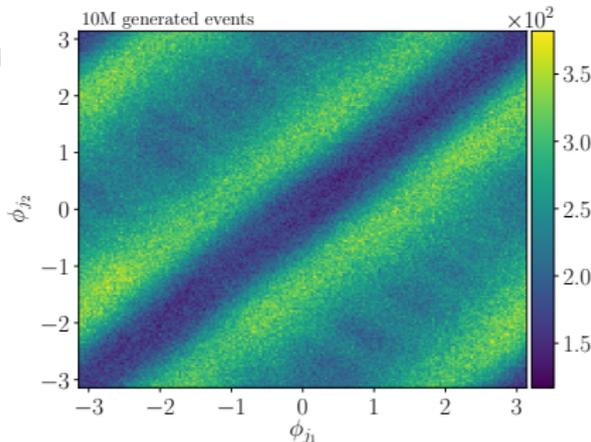
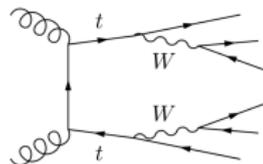
- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- flat observables flat [phase space coverage okay]
- direct observables with tails [statistical error indicated]
- constructed observables similar
- improved resolution [1M training events]



# 1– How to GAN LHC Events

Idea: replace ME for hard process [Butter, TP, Winterhalder]

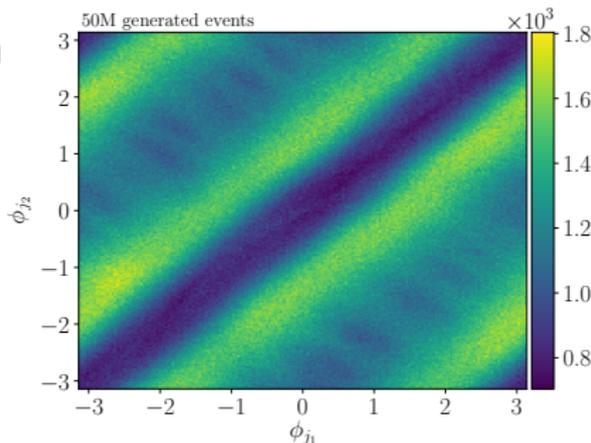
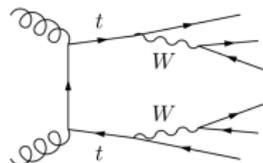
- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- flat observables flat [phase space coverage okay]
- direct observables with tails [statistical error indicated]
- constructed observables similar
- improved resolution [10M generated events]



# 1– How to GAN LHC Events

Idea: replace ME for hard process [Butter, TP, Winterhalder]

- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- flat observables flat [phase space coverage okay]
- direct observables with tails [statistical error indicated]
- constructed observables similar
- improved resolution [50M generated events]
- **GAN concept working**



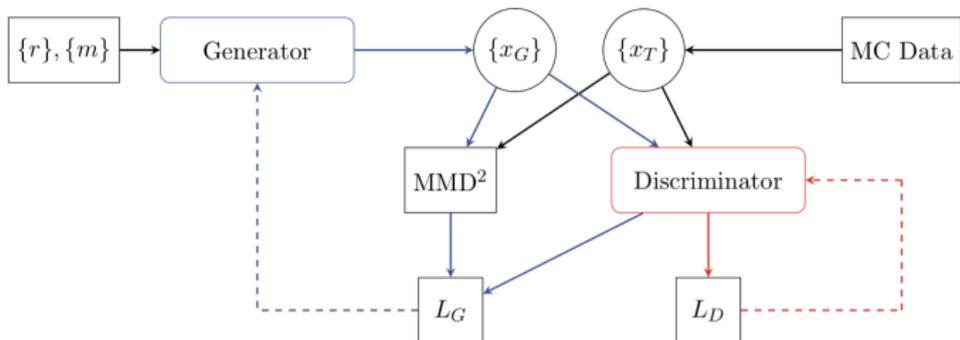
## Intermediate resonances

## Narrow phase space structures

- VEGAS: adaptive sampling
- MC: phase space mapping [BW  $\rightarrow$  flat, multi-channel]
- generally 1D features
  - phase space boundaries
  - kinematic cuts
  - invariant masses [top, W]
- batch-wise comparison of distributions, MMD loss with kernel  $k$

$$\text{MMD}^2 = \langle k(x, x') \rangle_{x, x' \sim P_T} + \langle k(y, y') \rangle_{y, y' \sim P_G} - 2 \langle k(x, y) \rangle_{x \sim P_T, y \sim P_G}$$

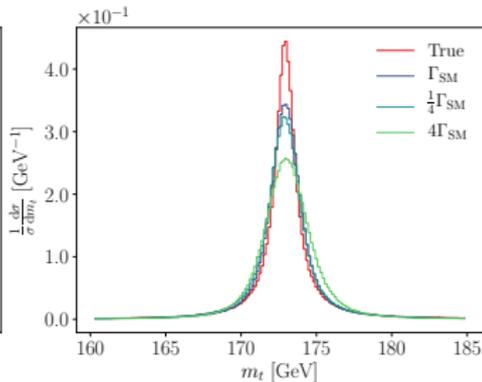
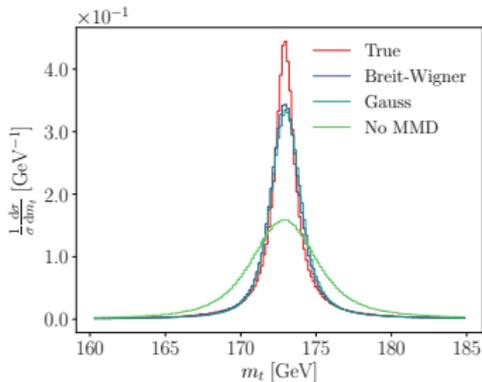
$$L_G \rightarrow L_G + \lambda_G \text{MMD}^2,$$



# Event quality

## Study of MMD loss [coming again, later]

- input: function of 4-momenta, rough resolution
  - not: value of intermediate mass
  - not: value of width [dynamic feature]
- minor impact of kernel function and width



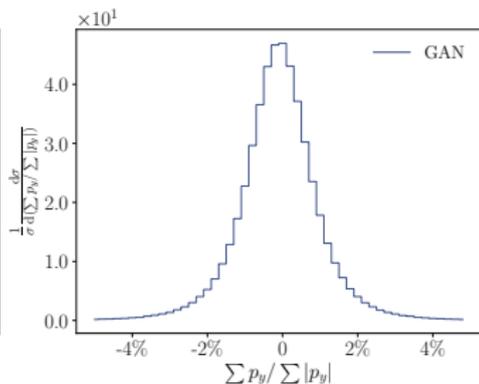
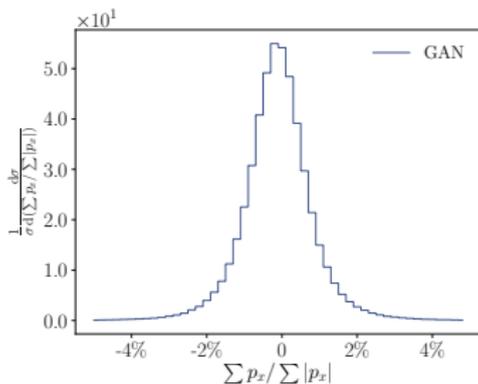
# Event quality

## Study of MMD loss [coming again, later]

- input: function of 4-momenta, rough resolution
  - not:** value of intermediate mass
  - not:** value of width [dynamic feature]
- minor impact of kernel function and width

## Challenges

- momentum conservation to per-cent



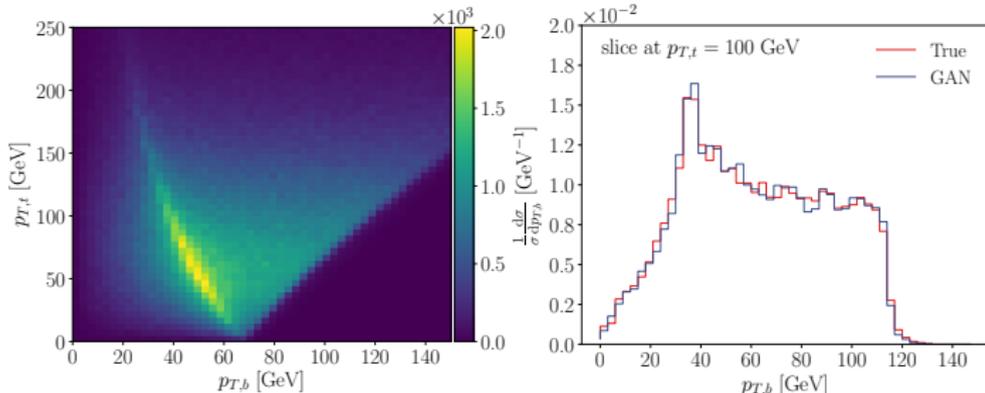
# Event quality

## Study of MMD loss [coming again, later]

- input: function of 4-momenta, rough resolution
- **not:** value of intermediate mass
- **not:** value of width [dynamic feature]
- minor impact of kernel function and width

## Challenges

- momentum conservation to per-cent
- 2D correlations
- **Case convincing?**



## 2– How to GAN event subtraction

Idea: subtract event samples without bins [Butter, TP, Winterhalder]

- statistical uncertainty

$$\Delta_{B-S} = \Delta_{n_B N_B - n_S N_S} = \sqrt{\Delta_{n_B N_B}^2 + \Delta_{n_S N_S}^2} = \sqrt{n_B^2 N_B + n_S^2 N_S} > \max(B, S)$$

- applications in LHC physics

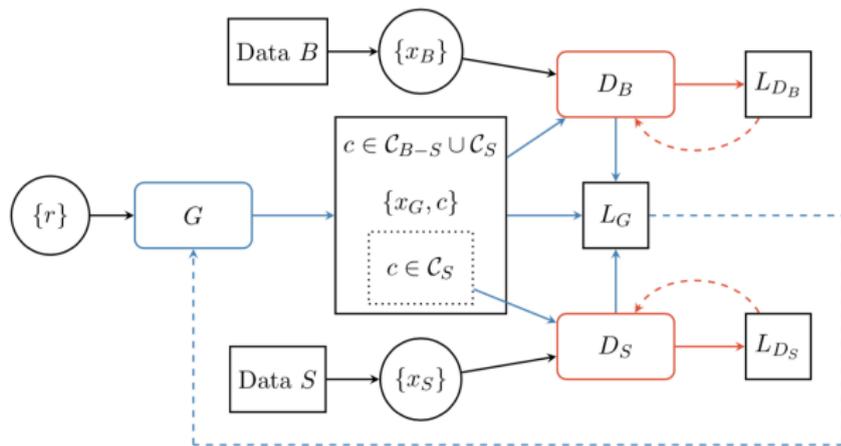
soft-collinear subtraction, multi-jet merging

on-shell subtraction

background/signal subtraction

- GAN setup

1. differential, steep class label
2. sample normalization



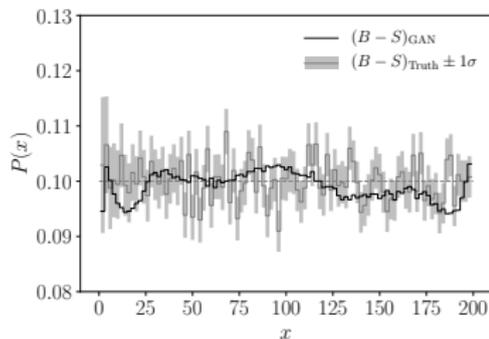
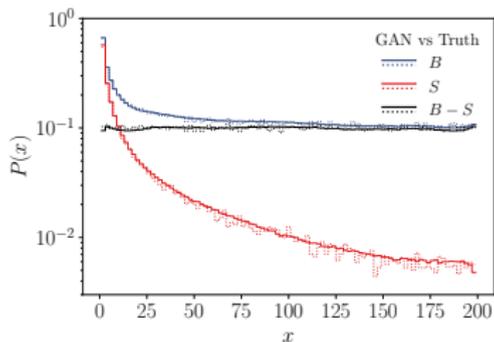
## Subtracted events

## How to beat statistics by subtracting

## 1- 1D toy example

$$P_B(x) = \frac{1}{x} + 0.1 \quad P_S(x) = \frac{1}{x} \Rightarrow P_{B-S} = 0.1$$

- statistical fluctuations reduced (sic!)



## Subtracted events

## How to beat statistics by subtracting

## 1- 1D toy example

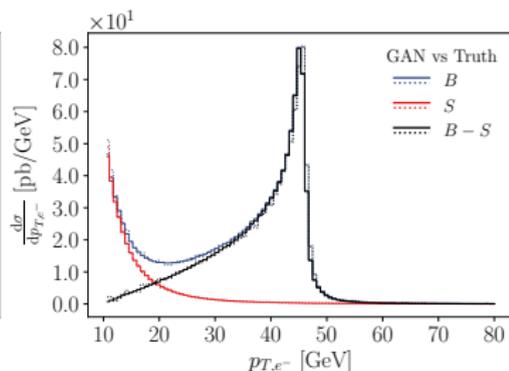
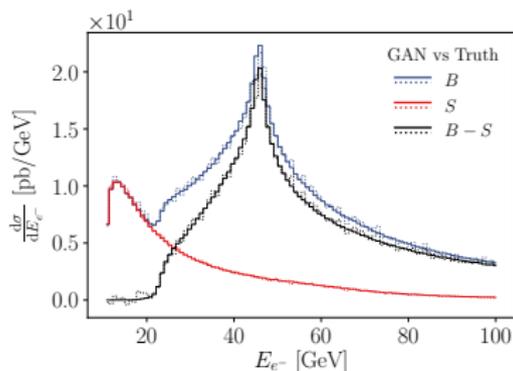
$$P_B(x) = \frac{1}{x} + 0.1 \quad P_S(x) = \frac{1}{x} \quad \Rightarrow \quad P_{B-S} = 0.1$$

- statistical fluctuations reduced (sic!)

## 2- event-based background subtraction [weird notation, sorry]

$$pp \rightarrow e^+e^- \quad (B) \quad pp \rightarrow \gamma \rightarrow e^+e^- \quad (S)$$

- Z-pole remaining



## Subtracted events

## How to beat statistics by subtracting

## 1- 1D toy example

$$P_B(x) = \frac{1}{x} + 0.1 \quad P_S(x) = \frac{1}{x} \quad \Rightarrow \quad P_{B-S} = 0.1$$

– statistical fluctuations reduced (sic!)

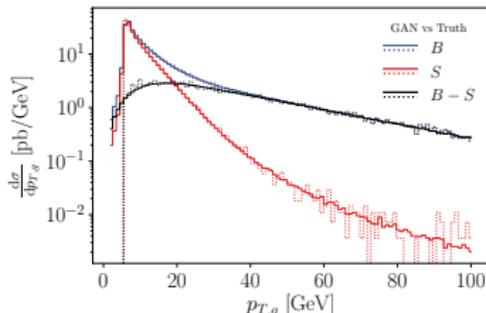
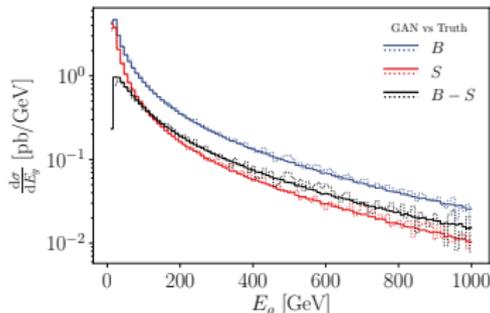
## 2- event-based background subtraction [weird notation, sorry]

$$pp \rightarrow e^+e^- \quad (\text{B}) \quad pp \rightarrow \gamma \rightarrow e^+e^- \quad (\text{S})$$

– Z-pole remaining

## 3- collinear subtraction [assumed non-local]

$$pp \rightarrow Zg \quad (\text{B: matrix element, S: collinear approximation})$$



– Proper new idea, any applications?



### 3– How to GAN away detector effects

Open problem of publishing kinematic information [e.g. global SMEFT analyses]

- total rates without necessary information  
STXS model-dependent  
unfolded distributions extremely convenient [t $\bar{t}$  results]
- challenges in unfolding  
non-invertible detector simulation  
model dependence  
flexibility/reliability [training on some event set]
- benefits from unfolding actual data  
access to hard matrix element/first-principles QCD  
matrix element method

General: how to invert Markov processes [Bellagente, Butter, Kasiczka, TP, Winterhalder]

- detector simulation typical Markov process
- inversion possible, in principle [entangled convolutions]
- GAN task

partons  $\xrightarrow{\text{DELPHES}}$  detector  $\xrightarrow{\text{GAN}}$  partons

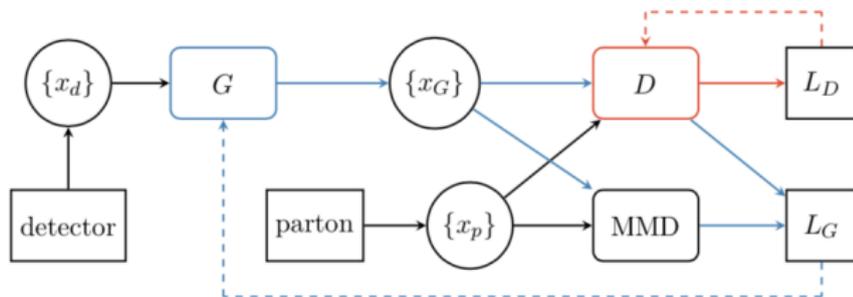
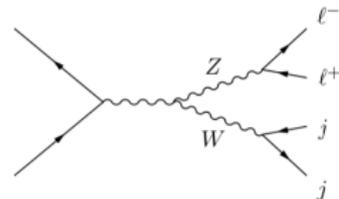
$\Rightarrow$  Full unfolded phase space



# Standard GAN

## Reconstructing the parton level

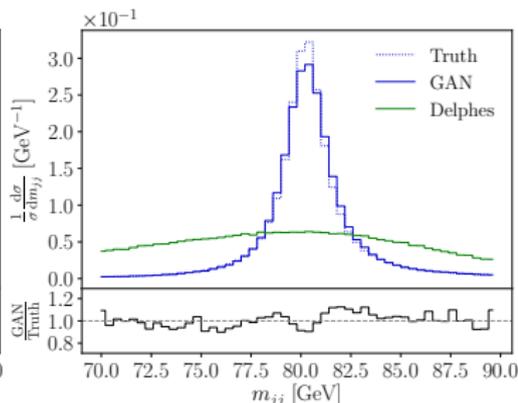
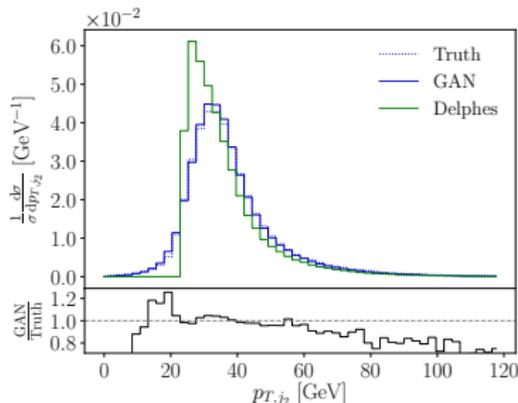
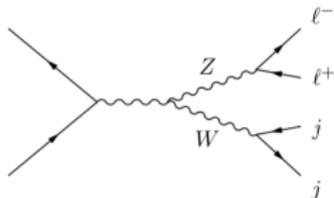
- $pp \rightarrow ZW \rightarrow (\ell\ell) (jj)$
- broad  $jj$  mass peak
- narrow  $\ell\ell$  mass peak
- modified  $2 \rightarrow 2$  kinematics
- fun phase space boundaries
- GAN same as event generation [with MMD]



## Standard GAN

## Reconstructing the parton level

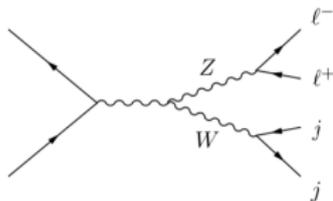
- $pp \rightarrow ZW \rightarrow (\ell\ell) (jj)$
- broad  $jj$  mass peak
- narrow  $\ell\ell$  mass peak
- modified  $2 \rightarrow 2$  kinematics
- fun phase space boundaries
- GAN same as event generation [with MMD]
- full inversion fine



## Standard GAN

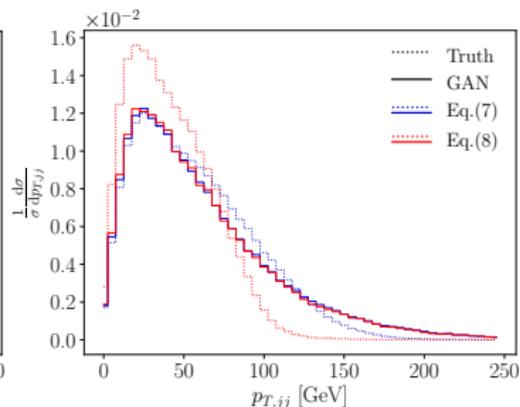
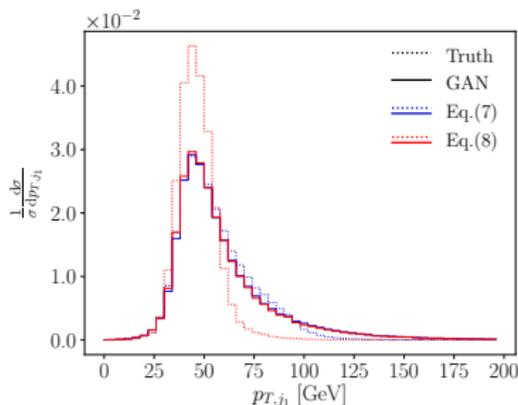
## Reconstructing the parton level

- $pp \rightarrow ZW \rightarrow (\ell\ell) (jj)$
- broad  $jj$  mass peak
- narrow  $\ell\ell$  mass peak
- modified  $2 \rightarrow 2$  kinematics
- fun phase space boundaries
- GAN same as event generation [with MMD]
- full inversion fine
- **serious problem:** kinematics cuts in test data [88%, 38% events]



$$p_{T,j_1} = 30 \dots 100 \text{ GeV} \quad (7)$$

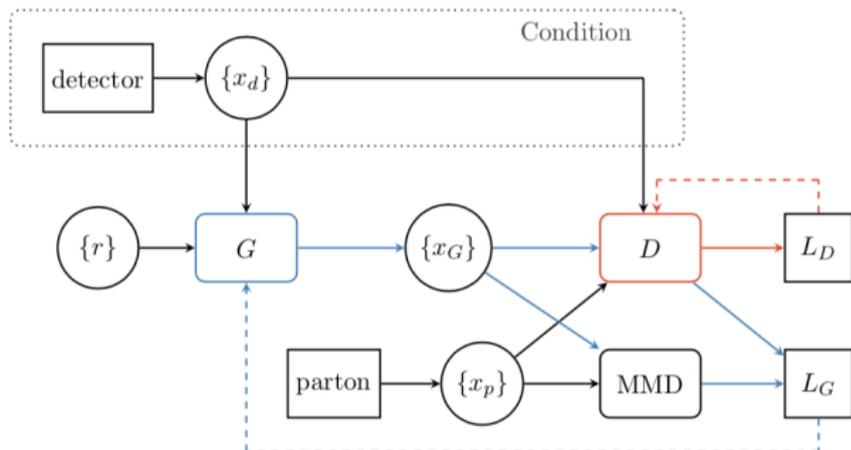
$$p_{T,j_1} = 30 \dots 60 \text{ GeV} \quad \text{and} \quad p_{T,j_2} = 30 \dots 50 \text{ GeV} \quad (8)$$



## Fully conditional GAN

## Conditional GAN

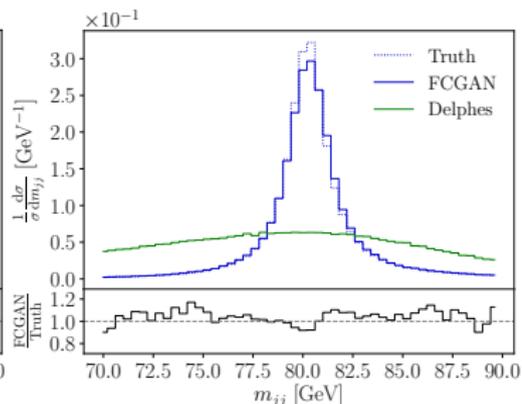
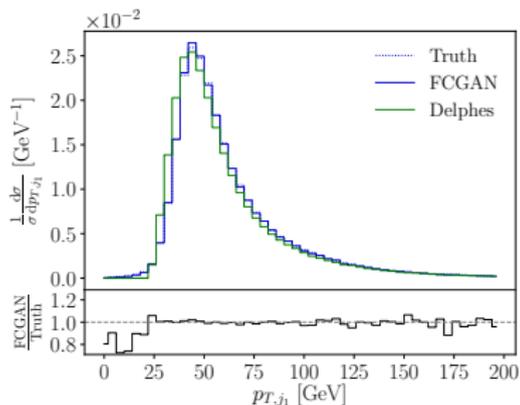
- map random numbers to parton level  
hadron level as condition [matched event pairs]



## Fully conditional GAN

## Conditional GAN

- map random numbers to parton level  
hadron level as condition [matched event pairs]
- full inversion also fine



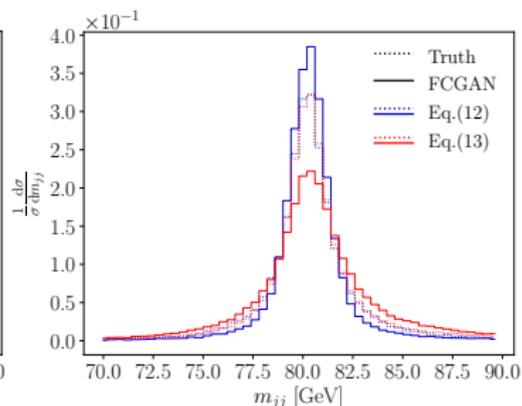
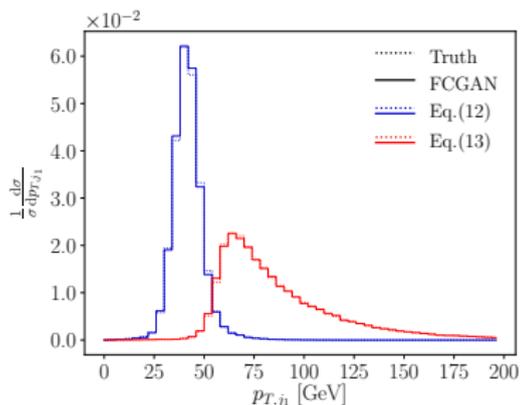
## Fully conditional GAN

## Conditional GAN

- map random numbers to parton level  
hadron level as condition [matched event pairs]
- full inversion also fine
- tougher cuts challenging  $m_{jj}$  [14%, 39% events, no interpolation, MMD not conditional]

$$p_{T,j_1} = 30 \dots 50 \text{ GeV} \quad p_{T,j_2} = 30 \dots 40 \text{ GeV} \quad p_{T,\ell^-} = 20 \dots 50 \text{ GeV} \quad (12)$$

$$p_{T,j_1} > 60 \text{ GeV} \quad (13)$$



## Fully conditional GAN

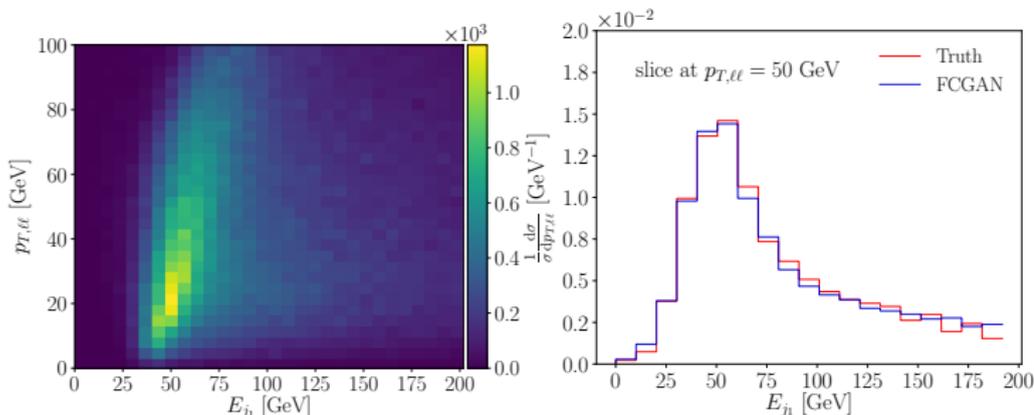
## Conditional GAN

- map random numbers to parton level  
hadron level as condition [matched event pairs]
- full inversion also fine
- tougher cuts challenging  $m_{jj}$  [14%, 39% events, no interpolation, MMD not conditional]

$$\hat{p}_{T,j_1} = 30 \dots 50 \text{ GeV} \quad \hat{p}_{T,j_2} = 30 \dots 40 \text{ GeV} \quad \hat{p}_{T,\ell^-} = 20 \dots 50 \text{ GeV} \quad (12)$$

$$\hat{p}_{T,j_1} > 60 \text{ GeV} \quad (13)$$

- pretty pictures in 2D



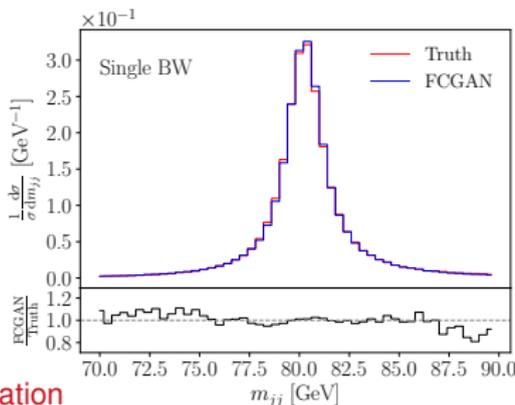
⇒ 1.FCGAN unfolding works!



## Dynamic MMD

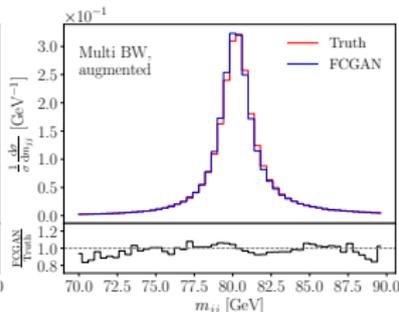
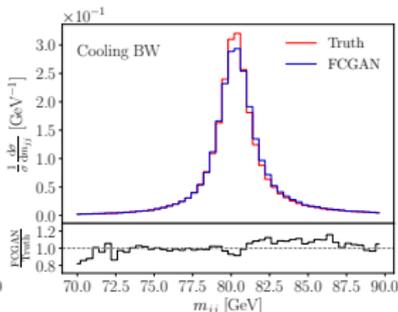
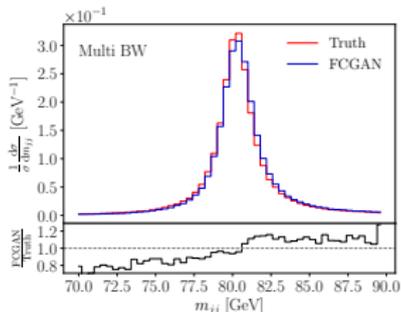
## Technical side-remark: dynamic MMD

- minimal input
- functional form of correlation  $m_{ij}$
- kernel shape (irrelevant) and resolution
- Adaptive resolution?



## Technical side-remark: dynamic MMD implementation

- multiple fixed-width kernels
  - multiple kernels for conditional input
  - cooling kernel [from SD of generator  $m_{ij}$ ]
- ⇒ Technical implementation still open...



# BSM Injection

Different training (MC) and actual data... [not in v1, thank you to Ben Nachman]

...or model dependence of unfolding

...or localization in latent space

– train: SM events

test: 10% events with  $W'$  in s-channel  $\Rightarrow$  Any guesses?



## BSM Injection

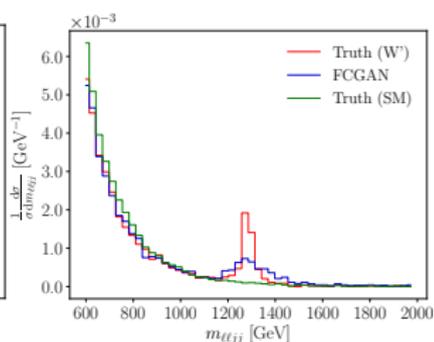
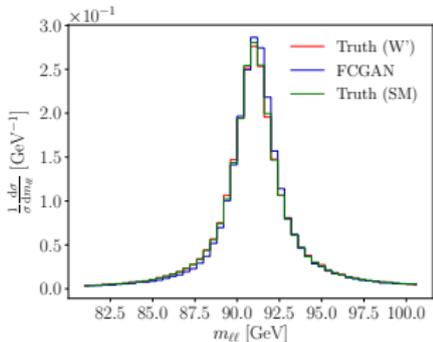
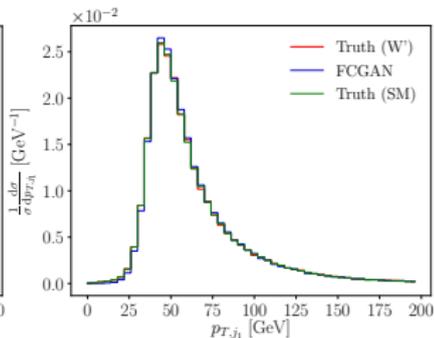
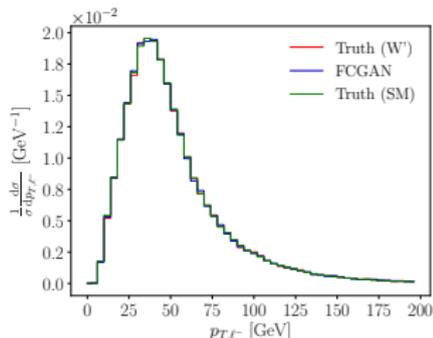
Different training (MC) and actual data... [not in v1, thank you to Ben Nachman]

...or model dependence of unfolding

...or localization in latent space

– train: SM events

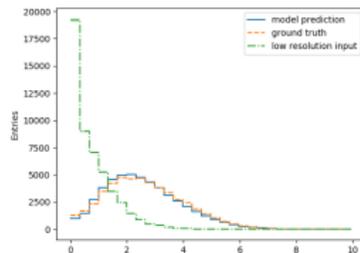
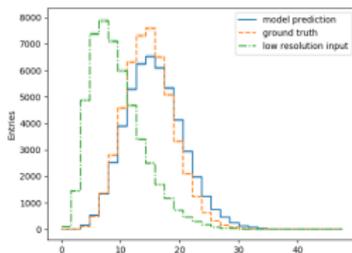
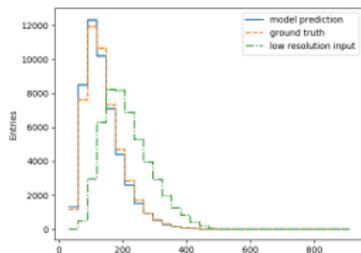
test: 10% events with  $W'$  in  $s$ -channel  $\Rightarrow$  Any guesses?



## 4– Superresolution GANs (preview)

Getting inspired [Blecher, Butter, Keilbach, TP + Irvine]

- take high-resolution calorimeter images  
down-sample to 1/8th 1D resolution  
GAN inversion
- works because the GAN learn structure [showers are QCD]
- start from low-resolution calorimeter images  
GAN high-resolution images
- energy of constituents no.1,10,30



⇒ GANs are kind of magic



# Outlook

## Machine learning a great tool box

LHC physics really is big data

imagine classification was a starting point

jet classification largely established

generative networks good for theory

advantage 1: NN interpolation

advantage 2: latent space structures

advantage 3: training on MC and/or data

Any ideas?

