Invertible Networks Tilman Plehn

Simulation Events

Unfolding

Inverting

Measurements

Invertible Networks for LHC Theory

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Measurements

Briefest introduction ever

Neural network just a function

- think $f_{\theta}(x)$ just as f(x)
- no parametrization, just very many values θ
- θ-space the cool space [latent space]

Construction through minimization

- define loss function L
- minimize through task
- evaluate $x \to f(x)$ in test/use case

LHC applications

- regression: parton momentum from jet constituents matrix element over phase space
- classification: gluon/quark/bottom/top inside jet
- generation: sample $r \to f(r)$



- Unfoldin
- Inverting

Challenges towards HL-LHC

Paradigm shift: model searches \longrightarrow fundamental understanding of data

- precision QCD
- precision simulations
- precision measurements
- ⇒ Nothing fundamental without simulations [not even unsupervised...]





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10-year HL-LHC requirements

- simulated event numbers $\sim expected events ~ {\rm [factor 25 for HL-LHC]}$
- general move to NLO/NNLO [1%-2% error]
- higher relevant multiplicities [jet recoil, extra jets, WBF, etc.]
- new low-rate high-multiplicity backgrounds
- cutting-edge predictions not through generators [N³LO in Pythia?]



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Three ways to use ML

- improve current tools: iSherpa, ML-MadGraph, etc
- new tools: ML-generator-networks
- conceptual ideas in theory simulations and analyses



Events

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Generative networks

GANGogh [Bonafilia, Jones, Danyluk (2017)]

- neural network: learned function f(x) [regression, classification]
- can networks create new pieces of art? map random numbers to image pixels?
- train on 80,000 pictures [organized by style and genre]
- generate flowers





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- trained on 15,000 portraits
- sold for \$432.500
- \Rightarrow ML all marketing and sales





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Jet portraits [de Oliveira, Paganini, Nachman (2017)]

- calorimeter or jet images sparsity the technical challenge
- 1- reproduce valid jet images from training data
- 2- organize them by QCD vs W-decay jets
- high-level observables m, τ_{21} as check
- \Rightarrow GANs generating QCD jets





Unfolding

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GAN algorithm

Generating events [phase space positions, possibly with weights]

- training: true events $\{x_T\}$ output: generated events $\{r\} \rightarrow \{x_G\}$
- discriminator constructing D(x) by minimizing [classifier D(x) = 1, 0 true/generator]

$$L_D = \left\langle -\log D(x) \right\rangle_{x_T} + \left\langle -\log(1 - D(x)) \right\rangle_{x_U}$$

- generator constructing $r \rightarrow x_G$ by minimizing [D needed]

$$L_G = \langle -\log D(x) \rangle_{x_G}$$

- equilibrium D = 0.5 \Rightarrow L_D/2 = L_G = log 0.5
- ⇒ statistically independent copy of training events





Networks

GAN algorithm

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- generator constructing $r \rightarrow x_G$ by minimizing [D needed]
- \Rightarrow statistically independent copy of training events

Generative network studies

- Jets [de Oliveira (2017), Carrazza-Drever (2019)]
- Detector simulations [Paganini (2017), Musella (2018), Erdmann (2018), Ghosh (2018), Buhmann (2020,2021)]
- Events [Otten (2019), Hashemi, DiSipio, Butter (2019), Martinez (2019), Alanazi (2020), Chen (2020), Kansal (2020)]
- Unfolding [Datta (2018), Omnifold (2019), Bellagente (2019), Bellagente (2020), Vandegar (2020), Howard (2020)]
- Templates for QCD factorization [Lin (2019)]
- EFT models [Erbin (2018)]
- Event subtraction [Butter (2019)]
- Phase space [Bothmann (2020), Gao (2020), Klimek (2020)] _
- Basics [GANplification (2020), DCTR (2020)]
- Unweighting [Verheven (2020), Backes (2020)]
- Superresolution [DiBello (2020), Baldi (2020)]
- Parton densities [Carrazza (2021)]
- Uncertainties [Bellagente (2021)]



Invertible Networks

GANplification

Gain beyond training data [Butter, Diefenbacher, Kasieczka, Nachman, TP]

- true function known compare GAN vs sampling vs fit
- quantiles with χ^2 -values
- fit like 500-1000 sampled points GAN like 500 sampled points [amplifictation factor 5] requiring 10,000 GANned events
- interpolation and resolution the key [NNPDF]
- ⇒ GANs beyond training data







- Events Unfolding
- Measurements

How to GAN LHC events

- medium-complex final state $t\bar{t} \rightarrow 6$ jets t/\bar{t} and W^{\pm} on-shell with BW 6 × 4 = 18 dof on-shell external states \rightarrow 12 dof [constants hard to learn] parton level, because it is harder
- flat observables flat [phase space coverage okay]
- standard observables with tails [statistical error indicated]







- Unfolding
- inverting
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- improved resolution [1M training events]







Unfolding

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Unfolding

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- flat observables flat [phase space coverage okay]
- standard observables with tails [statistical error indicated]
- improved resolution [50M generated events]
- Forward simulation working







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Events

Bonus: unweighting & errors without binning

Gaining from unweighting [Butter, TP, Winterhalder]

- phase space sampling: weighted events [PS weight × | 𝔄 |²] events: constant weights
- unweighting the weak spot of standard MC
- learn phase space patterns [density estimation] generate unweighted events [through loss]







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Measurements

How to GAN away detector effects

Goal: invert Monte Carlo [Bellagente, Butter, Kasiczka, TP, Winterhalder]

- parton shower, detector simulation typical examples [drawing random numbers]
- inversion possible, in principle [entangled convolutions, model assumed]
- GAN task

partons $\stackrel{\text{DELPHES}}{\longrightarrow}$ detector $\stackrel{\text{GAN}}{\longrightarrow}$ partons

 \Rightarrow Full phase space unfolded

Conditional GAN

 random numbers → parton level hadron level as condition matched event pairs





- Simulation Events Unfolding
- Inverting
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Detector unfolding

Reference process $pp \rightarrow ZW \rightarrow (\ell \ell) (jj)$

- broad jj mass peak narrow $\ell\ell$ mass peak modified 2 \rightarrow 2 kinematics fun phase space boundaries
- GAN same as event generation [with MMD]



Model (in)dependence $\times 10^{-2}$





Lvents

- Uniolaing
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-Moscuromonte

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- detector-level cuts [14%, 39% events, no interpolation, MMD not conditional]







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Model (in)dependence

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 $p_{T,j_1} = 30 \dots 50 \text{ GeV}$ $p_{T,j_2} = 30 \dots 40 \text{ GeV}$ $p_{T,\ell^-} = 20 \dots 50 \text{ GeV}$ (12) $p_{T,j_1} > 60 \text{ GeV}$ (13)

- model dependence [Thank you to BenN]
- train: SM events test: 10% events with W' in s-channel
- \Rightarrow Working fine, but ill-defined







Invertible Networks Prop

Simulation:

- Unfolding
- Invertina

Measurements

Proper inverting

Invertible networks [Bellagente, Butter, Kasieczka, TP, Rousselot, Winterhalder, Ardizzone, Köthe]

- network as bijective transformation normalizing flow Jacobian tractable [specifically: coupling layer] evaluation in both directions — INN [Ardizzone, Rother, Köthe]
- standard setup, random-number-padded working like FCGAN
- conditional: parton-level events from $\{r\}$
- maximum likelihood loss





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Again $pp \rightarrow ZW \rightarrow (\ell \ell) (jj)$

- performance on distributions like FCGAN
- parton-level probability distribution for single detector event
- ⇒ Well-defined statistical inversion





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Events

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Inverting to hard process

What theorists want: undo ISR

- detector-level process $\rho p
 ightarrow ZW$ +jets [variable number of objects]
- ME vs PS jets decided by network
- training jet-inclusively or jet-exclusively parton-level hard process chosen 2 \rightarrow 2





Networks

Inverting to hard process

What theorists want: undo ISR

- detector-level process $pp \rightarrow ZW$ +jets [variable number of objects]
- ME vs PS jets decided by network
- training jet-inclusively or jet-exclusively parton-level hard process chosen $2 \rightarrow 2$

Towards systematic inversion

- detector unfolding known problem
- QCD parton from jet algorithm standard
- jet radiation possible
- \Rightarrow Invertible simulation in reach





Inverting to QCD

cINN for inference [Bieringer, Butter, Heimel, Höche, Köthe, TP, Radev]

- $\begin{array}{lll} \mbox{ condition } & \mbox{jets with QCD parameters} \\ & \mbox{train } & \mbox{model parameters} \longrightarrow \mbox{Gaussian latent space} \\ & \mbox{test } & \mbox{Gaussian sampling} \longrightarrow \mbox{QCD parameter measurement} \end{array}$
- going beyond C_A vs C_F [Kluth etal]

$$\begin{split} P_{qq} &= C_F \left[D_{qq} \frac{2z(1-y)}{1-z(1-y)} + F_{qq}(1-z) + C_{qq}yz(1-z) \right] \\ P_{gg} &= 2C_A \left[D_{gg} \left(\frac{z(1-y)}{1-z(1-y)} + \frac{(1-z)(1-y)}{1-(1-z)(1-y)} \right) + F_{gg}z(1-z) + C_{gg}yz(1-z) \right] \\ P_{gq} &= T_R \left[F_{qq} \left(z^2 + (1-z)^2 \right) + C_{gq}yz(1-z) \right] \end{split}$$

Training

Inference





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Measurement

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$$P_{gq} = T_B \left[F_{qq} \left(z^2 + (1-z)^2 \right) + C_{gq}yz(1-z) \right] \left[\frac{0.4}{0.3} \int_{-\frac{1}{2}}^{\frac{\sigma}{\sigma} = 0.9} \right]$$

- idealized shower [Sherpa]





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$$P_{gq} = T_R \left[F_{qq} \left(z^2 + (1-z)^2 \right) + C_{gq}yz(1-z) \right]^{\frac{\sigma}{6}} \prod_{q=0.06}^{\sigma=0.06} \prod_{q=0.06}^{\sigma=0.0$$

- idealized shower [Sherpa]
- reality hitting...
- More ML-opportunities...





Inverting

Measurements

Machine learning for LHC theory

Machine learning for the LHC

- Classification/regression standard learning vs smart pre-processing uncertainties?
 experimental realities?
- GANs the cool kid generator trying to produce best events discriminator trying to catch generator
- INNs my theory hope

flow networks for control condition for inversion Bayesian for errors

- Progress needs crazy ideas





Measurements

Bonus: subtraction

Subtract samples without binning [Butter, TP, Winterhalder]

- statistical uncertainty

$$\Delta_{B-S} = \sqrt{\Delta_B^2 + \Delta_S^2} > \max(\Delta B, \Delta S)$$

- GAN setup: differential class label, sample normalization
- toy example

$$P_B(x) = \frac{1}{x} + 0.1$$
 $P_S(x) = \frac{1}{x}$ \Rightarrow $P_{B-S} = 0.1$





Inverting

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- event-based background subtraction [weird notation, sorry]

$$pp \rightarrow e^+e^-$$
 (B) $pp \rightarrow \gamma \rightarrow e^+e^-$ (S) $\Rightarrow pp \rightarrow Z \rightarrow e^+e^-$ (B-S)





Inverting

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- collinear subtraction [assumed non-local]

 $pp \rightarrow Zg$ (B: matrix element, S: collinear approximation)



