

# ML for Particle Physics

Tilman Plehn

Universität Heidelberg

Freiburg RTG, October 2023



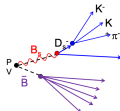
# Modern LHC physics

## Classic motivation

- dark matter?
- baryogenesis?
- origin of Higgs field?

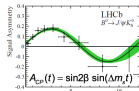
### Flavor Tagging und CP

Dortmunder „Steckenpferd“

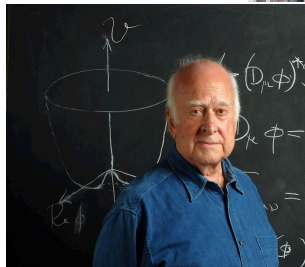


$$\sin 2\beta = 0.73 \pm 0.08$$

Julian Tarek Wishah, Doktorarbeit TU DO 2013



Kevin Heinicke, Masterarbeit 2016



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## LHC physics

- fundamental questions
- huge data set
- first-principle, precision simulations
- complete uncertainty control



## Classic motivation

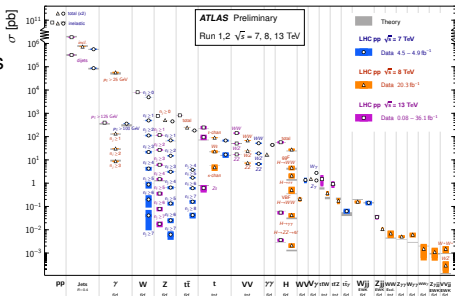
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## Successful past

- measurements of event counts
- model-driven analyses
- Higgs discovery





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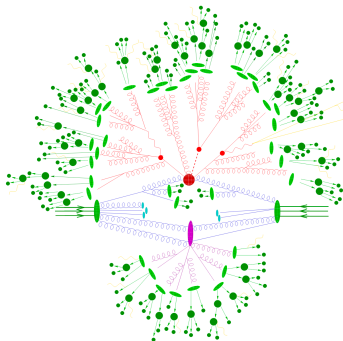
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## First-principle, precision simulations

- start with Lagrangian
- calculate scattering using QFT
- simulate collisions
- simulate detectors

→ LHC collisions in virtual worlds



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## First-principle, precision simulations

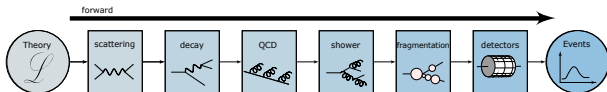
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→ LHC collisions in virtual worlds

## BSM searches

- compare simulations and data
- understand LHC dataset systematically
- infer underlying theory [SM or BSM]
- publish useable results

→ Lots of data science...



# Role of theory

## First-principle simulations

- start with Lagrangian  
generate Feynman diagrams
  - compute hard scattering amplitudes  
for on-shell, include decays  
add QCD jet radiation [ISR/FSR]
  - add parton shower [still QCD]  
push fragmentation towards QCD
  - all theory, except for detectors
- Simulations, not modeling!



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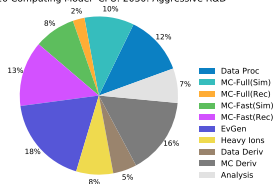
## Pythia/Madgraph/Sherpa... for HL-LHC

- factor 10 more expected (= simulated) data
- more complex final states  
higher-orders precision
- parameter coverage for signals
- enable analysis reinterpretation?  
enable global LHC analyses?

→ Theory challenge



ATLAS Preliminary  
2020 Computing Model -CPU: 2030: Aggressive R&D



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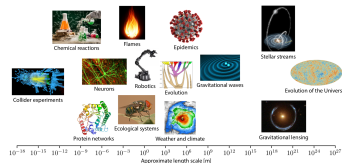
## LHC-specific explainable AI

- SBI conditional on theory simulations
- understanding LHC data is QFT
- computing speed means precision
- control critical
- uncertainties crucial
- phase space interpretable

→ Well-defined, but non-standard AI/ML



## Scientific simulators



# LHC physicist vs data scientist

## LHC questions

- How to trigger from 3 PB/s to 300 MB/s?



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Data compression [Netflix]



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- How to analyze events with 4-vectors?





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Autoencoders [SAP]



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Autoencoders [SAP]

- How to analyse LHC data?



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Simulation-based inference



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Autoencoders [SAP]

- How to analyse LHC data?

Simulation-based inference

- How to treat uncertainties??



# Shortest ML-intro ever

## Fit-like approximation

- approximate known  $f(x)$  using  $f_\theta(x)$
- no parametrization, just very many values  $\theta$
- new representation/latent space  $\theta$

## Construction and control

- define loss function
- minimize loss to find best  $\theta$
- compare  $x \rightarrow f_\theta(x)$  for training/test data

## LHC applications

- regression  $x \rightarrow f_\theta(x)$
- classification  $x \rightarrow f_\theta(x) \in [0, 1]$
- generation  $r \sim \mathcal{N} \rightarrow f_\theta(r)$
- conditional generation  $r \sim \mathcal{N} \rightarrow f_\theta(r|x)$
- ...

→ Transforming numerical science

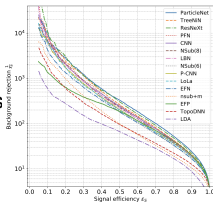


# ML-applications in experiment

## Top tagging [supervised classification]

- 'hello world' of LHC-ML
- end of QCD-taggers
- different NN-architectures

→ Non-NN left in the dust...



SciPost Physics

Submission

### The Machine Learning Landscape of Top Taggers

G. Kasieczko<sup>1(d)</sup>, T. Plehn<sup>2(f)</sup>, A. Butter<sup>3</sup>, K. Craner<sup>3</sup>, D. DeLauter<sup>4</sup>, B. M. Ertel<sup>5</sup>, M. Fairhead<sup>6</sup>, D. A. Farrelly<sup>7</sup>, W. Fickel<sup>8</sup>, C. Gay<sup>1</sup>, L. Goushe<sup>9</sup>, J. F. Kerner<sup>10,11</sup>, P. T. Komodo<sup>12</sup>, S. Lelke<sup>1</sup>, A. Lister<sup>1</sup>, S. Maciunas<sup>13</sup>, E. M. Metodiev<sup>14</sup>, L. Moore<sup>15</sup>, B. Nusslein<sup>1,11</sup>, K. Nusslein<sup>1,11</sup>, J. Puck<sup>16</sup>, H. Qiu<sup>1</sup>, R. Rahn<sup>16</sup>, M. Rieger<sup>16</sup>, D. Shtyl<sup>1</sup>, J. M. Thompson<sup>17</sup>, and S. Varrat<sup>18</sup>

- 1 Institut für Experimentelle Physik, Universität Hamburg, Germany
- 2 Institut für Theoretische Physik, Universität Hamburg, Germany
- 3 Center for Cosmology and Particle Physics and Center for Data Science, NYU, USA
- 4 NHETC, Dept. of Physics and Astronomy, Rutgers, The State University of NJ, USA
- 5 Joint Institute for Nuclear Research, Dubna, Russia
- 6 Theoretical Particle Physics and Cosmology, King's College London, United Kingdom
- 7 Department of Physics and Astronomy, The University of British Columbia, Canada
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- 10 Center for Theoretical Physics, MIT, Cambridge, USA
- 11 CPJ, Universitat Catòlica de Leuven, Leuven-la-Neuve, Belgium
- 12 Physics Division, Lawrence Berkeley National Laboratory, Berkeley, USA
- 13 Simons Inst. for the Theory of Computing, University of California, Berkeley, USA
- 14 National Institute for Subatomic Physics (Nikhef), Amsterdam, Netherlands
- 15 LPTHE, CNRS & Sorbonne Université, Paris, France
- 16 III. Physikalisches Institut A, RWTH Aachen University, Germany
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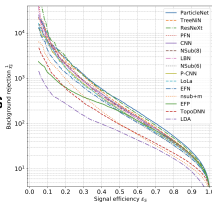


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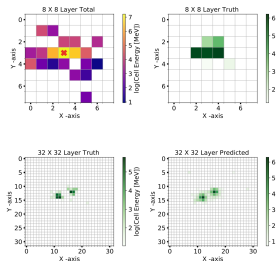
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- <sup>1</sup> Institut für Experimentelle Physik, Universität Bayreuth, Germany
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## Particle flow [classification, super-resolution]

- mother of jet tools
- combined detector channels
- similar studies in CMS

→ Beyond just concepts



### Towards a Computer Vision Particle Flow \*

Francesco Armando Di Belle<sup>[a]</sup>, Sammay Ganguly<sup>[b]</sup>, Eliam Gross<sup>[c]</sup>, Marumi Kado<sup>[d,e]</sup>, Michael Pitt<sup>[f]</sup>, Lorenzo Santi<sup>[g]</sup>, Jonathan Shlomi<sup>[h]</sup>

<sup>[a]</sup>Weizmann Institute of Science, Rehovot 76100, Israel

<sup>[b]</sup>CERN, CH 1211, Geneva 23, Switzerland

<sup>[c]</sup>Università di Roma Sapienza, Piazza Aldo Moro, 2, 00185 Roma, Italy & INFN, Italy

<sup>[d]</sup>Université Paris-Saclay, CNRS/IN2P3, DCLab, 91195, Orsay, France

Fig. 7: An event display of total energy shower (within topocluster), as captured by a calorimeter layer of  $8 \times 8$  granularity, along with the location of the track, denoted by a red cross (left) and the same shower is captured by a calorimeter layer of  $32 \times 32$  granularity (middle). The bottom right panel shows the corresponding event predicted by the NN. The figure shows that the shower originating from a  $g\bar{g} \rightarrow \gamma\gamma$  is resolved by a  $32 \times 32$  granularity layer.



## Anomaly searches [unsupervised training]

- train on QCD-jets, SM-events
- look for non-QCD jets, non-SM events

→ Autoencoders

arXiv:2004.04696 [hep-ph]

### Better Latent Spaces for Better Autoencoders

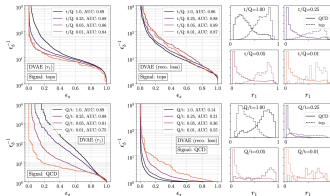
Harry M. Dickinson<sup>1</sup>, Tilman Plehn<sup>2</sup>, Christian Bauer<sup>3</sup>, and Peter Schwenn<sup>4</sup>

<sup>1</sup> Institut für Theoretische Physik, Universität Heidelberg, Germany  
<sup>2</sup> Physikalisches Institut, Universität Heidelberg, Germany  
<sup>3</sup> Heidelberg Collaboratory for Large Accelerators, Universität Heidelberg, Germany

April 20, 2020

### Abstract

Autoencoders as tools to find unusual anomalies at the LHC have the structural problem that they only work in one direction, extracting jets with higher complexity but not the other way around. To address this, we derive classifiers from the latent space of (variational) autoencoders, specifically in Gaussian mixtures and Dirichlet latent spaces. In particular, the Dirichlet setup solves the problem and improves both the performance and the interpretability of the networks.





# Jets and parton densities

## Anomaly searches [unsupervised training]

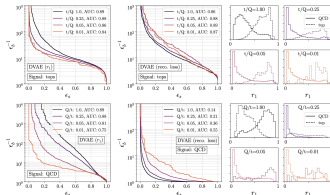
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## NNPDF/N3PDF parton densities [full blast]

- starting point: pdfs without functional ansatz
- moving on: cutting-edge ML everywhere

→ Leaders in ML-theory

**N3PDF**  
Machine Learning - PDFs - QCD

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### A data-based parametrization of parton distribution functions

Stefano Caronni<sup>1,2\*</sup>, Juan Cruz-Martinez<sup>3</sup>, and Ryo Suganaga<sup>4</sup>

<sup>1</sup> INFN, Dipartimento di Fisica, Università degli Studi di Milano and INFN Sezione di Milano.

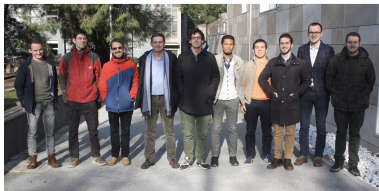
<sup>2</sup> INFN, Teorietica Fisica Department, CNAI-11, Genova, Italy.

<sup>3</sup> Quantum Research Center, Technology Innovation Institute, Abu Dhabi, U.A.E.

Received date / Revised version date

**Abstract.** Since the first determination of a structure function many decades ago, all methodologies used to determine structure functions or parton distribution functions (PDFs) have employed a common procedure as part of the parametrization. The NNPDF collaboration pioneered the use of neural networks to overcome the inherent bias of constraining the space of solutions with a fixed functional form while still keeping the same common procedure as a preprocessing. Over the years various, increasingly sophisticated, techniques have been introduced to consider the effect of the prior on the PDF determination. In this paper we present a methodology to ensure the posterior stability, thereby significantly simplifying the methodology, without a loss of efficiency and finding good agreement with previous results.

**PACS.** 22.20.+g Quantum chromodynamics · 12.20.+g Phenomenological quark models · 81.20.+v Neural Networks

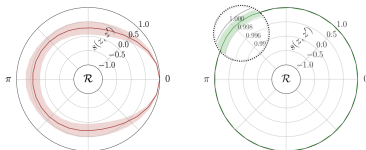


# Symmetries

## Symmetric networks [contrastive learning, transformer network]

- rotations, translations, permutations, soft splittings, collinear splittings
- learn symmetries/augmentations

→ Symmetric latent representation



SelfPost Physics

Schubert

### Symmetries, Safety, and Self-Supervision

Barry M. Dikae<sup>1</sup>, Grigor Kasieczko<sup>2</sup>, Hans Gieseler<sup>1</sup>, Tilman Plehn<sup>2</sup>,  
Peter Sorrensen<sup>3</sup>, and Lorenz Vogt<sup>1</sup>

<sup>1</sup> Institut für Theoretische Physik, Universität Heidelberg, Germany

<sup>2</sup> Institut für Experimentalphysik, Universität Hamburg, Germany

<sup>3</sup> Heidelberg Collaboratory for Image Processing, Universität Heidelberg, Germany

August 11, 2021

### Abstract

Collider searches face the challenge of defining a representation of high-dimensional data such that physical symmetries are manifest, the discriminating features are retained, and the choice of representation is non-polygenic agnostic. We introduce JetCLR to solve the mapping from low-level data to optimized observables through self-supervised contrastive learning. As an example, we construct a data representation for top and QCD jets using a permutation-invariant transformer-encoder network and validate its optimality properties. We compare the JetCLR representation with alternative representations using linear classifier tests and find it to work quite well.

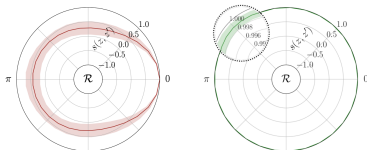


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### → Symmetric latent representation



Self-Past Physics

Symmetries

#### Symmetries, Safety, and Self-Supervision

Barry M. Dikar<sup>1</sup>, Grigor Kaslova<sup>2</sup>, Hans Gieseler<sup>1</sup>, Thomas Plehn<sup>2</sup>,  
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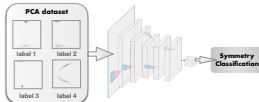
#### Abstract

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## Learning symmetries [representation, visualization]

- (particle) physics is all symmetries
- identify symmetries in 2D systems [paintings]

### → Networks representing structure



#### Symmetry invariance AI

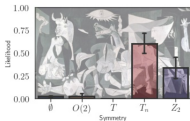
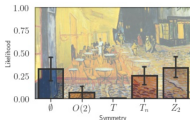
Galbaltá Hernández<sup>1</sup>, Johannes Hue<sup>2</sup>, and Verónica Ruiz<sup>3</sup>

<sup>1</sup> Departamento de Física Teórica and USC, Universidad de Valencia-CSIC, E-46100, Burjassot, Spain and

<sup>2</sup> Departamento de Física and Astronomy, University of Texas, Arlington 76019, TX

#### 1. INTRODUCTION

We explore whether Neural Networks (NN) can discover the presence of symmetries in their own input-output tasks. For this, we use handwritten digits as input and learned to well-learned features. Images, which are invariant to rotation, are presented. We use the output from the NN to identify the symmetries in the input-output tasks. We use the output from the NN to identify the symmetries in the input-output tasks. We use the output from the NN to identify the symmetries in the input-output tasks.



# Integrals and perturbative QFT

## Learning integrands and integrals [differentiable networks]

- learn integrand through differentiable network
- evaluate integrated NN-structures

→ **Novel ML-integrator**

In practice, analytically, we would compute the primitive  $F$ ,

$$\frac{\partial^2 F(x, s)}{\partial x_1 \partial x_2} = f(x, s), \quad (3.80)$$

and then the integral by evaluating the integration boundaries

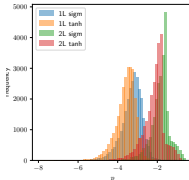
$$\begin{aligned} F(s) &= \int_{x_1}^{\infty} dx_1 \int_{x_2}^{\infty} dx_2 \frac{\partial^2 F(x, s)}{\partial x_1 \partial x_2} \\ &= \int_{x_1}^{\infty} dx_1 \int_{x_2}^{\infty} dx_2 \frac{\partial^2 F(x, s)}{\partial x_1 \partial x_2} \Big|_{x_1=x_2=0}^{x_1=x_2=\infty} \\ &= \sum_{i=1}^n \int_{x_1}^{\infty} dx_1 \int_{x_2}^{\infty} dx_2 \frac{\partial^2 F(x, s)}{\partial x_1 \partial x_2} \Big|_{x_1=x_2=0}^{x_1=x_2=\infty} \end{aligned} \quad (3.81)$$

In particle physics we really never know the primitive of a phase space integrand, but we can try to construct it and encode it in a neural network,

$$F_N(x, s) \approx F(x, s). \quad (3.82)$$

On the other hand, we do not have data to train a regression network for  $F$  directly. The idea is to instead train on integrated integrands, such that its 1D-th derivative matches  $f$ ,

$$\mathcal{L}_{\text{int}} \left( F_N(x, s) \frac{\partial F_N(x, s)}{\partial x_1} \right). \quad (3.83)$$



PUBLISHED FOR SISSA BY SPRINGER

RECEIVED: December 6, 2022

ACCEPTED: March 14, 2023

PUBLISHED: March 28, 2023

## Multi-variable integration with a neural network

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**ABSTRACT:** In this article we present a method for automatic integration of parametric integrals over the unit hypercube using a neural network. The method fits a neural network to the primitive of the integrand using a loss function designed to minimize the difference between multiple derivatives of the network and the function to be integrated. We apply the method to two example integrals resulting from the sector decomposition of a one-loop and two-loop scalar integrals. Our method can achieve per-mille and percent accuracy for these integrals over a range of invariant values. Once the neural network is fitted, the evaluation of the integral is between 40 and 125 times faster than the usual numerical integration method for our examples, and we expect the speed gain to increase with the complexity of the integrand.





## Speeding up Sherpa and MadNIS [INNs for sampling]

- precision simulations limiting factor for HL-LHC
- unweighting measure

→ Phase space sampling

	$gg \rightarrow H_{\text{eff}}$	$gg \rightarrow H_{\text{eff}}^*$	$gg \rightarrow H_{\text{eff}}^*$	$gg \rightarrow H_{\text{eff}}^*$
$\sigma_{\text{tot}}$	$1.1e-2$	$7.3e-3$	$6.6e-3$	$6.6e-4$
$\sigma_{\text{H}_{\text{eff}}^*}$	$8.7e-3$	$5.8e-3$	$4.7e-3$	$3.0e-4$
$(\sigma_{\text{H}_{\text{eff}}^*}/\sigma_{\text{tot}})$	30312	2417	189	64
$\sigma_{\text{H}_{\text{eff}}^*}^{\text{MC}}$	52.03	32.52	69.75	326.19
$\sigma_{\text{H}_{\text{eff}}^*}^{\text{MC,corr}}$	$2.4e-2$	$3.5e-2$	$2.1e-2$	$1.5e-2$
$\sigma_{\text{H}_{\text{eff}}^*}^{\text{MC,corr}}$	0.0669	0.9364	0.9954	0.9581
$\sigma_{\text{H}_{\text{eff}}^*}^{\text{MC,corr}}$	2.21	4.80	1.47	0.19
$\sigma_{\text{H}_{\text{eff}}^*}^{\text{MC,corr}}$	20.40	19.14	27.75	35.34
$\sigma_{\text{H}_{\text{eff}}^*}^{\text{MC,corr}}$	$4.3e-2$	$6.4e-2$	$5.1e-2$	$7.1e-2$
$\sigma_{\text{H}_{\text{eff}}^*}^{\text{MC,corr}}$	0.0683	0.9366	0.9953	0.9521
$\sigma_{\text{H}_{\text{eff}}^*}^{\text{MC,corr}}$	3.95	8.26	5.91	2.22

Table 6: Performance measures for partonic channels contributing to  $H \rightarrow 3$  jets production at the LHC.

SciPost Physics

Submitted

MCNET-21-13

### Accelerating Monte Carlo event generation – rejection sampling using neural network event-weight estimates

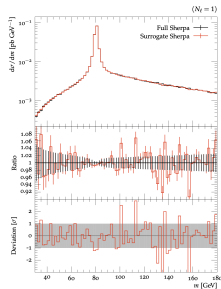
K. Danziger<sup>1</sup>, T. Jocher<sup>2</sup>, S. Schaefer<sup>2</sup>, F. Siegel<sup>1</sup>

<sup>1</sup> Institut für Kern- und Teilchenphysik, TU Dresden, Dresden, Germany  
<sup>2</sup> Institut für Theoretische Physik, Georg-August-Universität Göttingen, Göttingen, Germany

September 27, 2021

### Abstract

The generation of unit-weight events for complex scattering processes presents a severe challenge to modern Monte Carlo event generation. Even when using sophisticated phase-space sampling techniques adapted to the underlying transition matrix elements, the efficiency for generating unit-weight events from weighted samples can become a limiting factor in practical applications. Here we present a novel two-stage unweighting procedure that makes use of a neural-network surrogate for the full event weight. The algorithm can significantly accelerate the unweighting process, while it still guarantees unbiased sampling from the correct target distribution. We apply, validate and benchmark the new approach in high-multiplicity LHC production processes, including  $2W+4$  jets and  $2t+3$  jets, where we find speed-up factors up to ten.



# Event generation

## Speeding up Sherpa and MadNIS [INNs for sampling]

- precision simulations limiting factor for HL-LHC
  - unweighting measure
- Phase space sampling

	$gg \rightarrow H_{\text{eff}}$	$gg \rightarrow a_{\text{eff}}$	$gg \rightarrow H_{\text{eff}}$	$gg \rightarrow H_{\text{eff}}$
$r_{\text{had}}$	1.1e-2	7.3e-3	6.8e-3	6.6e-4
$r_{\text{had,eff}}$	8.7e-3	5.8e-3	4.7e-3	3.6e-4
$(r_{\text{had}}/r_{\text{had,eff}})$	38033	5017	149	66
$r_{\text{had,eff}}^{\text{MC}}$	52.03	32.52	69.75	206.19
$r_{\text{had,eff}}^{\text{MC,eff}}$	2.4e-2	3.8e-2	3.1e-2	5.6e-3
$r_{\text{had,eff}}^{\text{MC,eff}}$	0.0689	0.0884	0.0904	0.0961
$r_{\text{had,eff}}^{\text{MC,eff}}$	2.21	1.89	1.47	0.19
$r_{\text{had,eff}}^{\text{MC,eff}}$	30.01	19.14	27.78	35.34
$r_{\text{had,eff}}^{\text{MC,eff}}$	4.3e-2	6.4e-2	5.1e-2	7.1e-2
$r_{\text{had,eff}}^{\text{MC,eff}}$	0.0563	0.0900	0.0943	0.0921
$r_{\text{had,eff}}^{\text{MC,eff}}$	3.50	8.20	3.91	2.22

Table 6: Performance measures for partonic channels contributing to  $H$ - $h$  jet production at the LHC.

### RePost Physics

### Substitution

MCNET-21-13

Accelerating Monte Carlo event generation – rejection sampling using neural network event-weight estimates

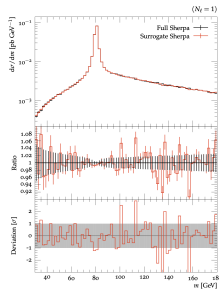
K. Dauterive<sup>1</sup>, T. Jäfer<sup>1</sup>, S. Schumann<sup>2</sup>, F. Singer<sup>1</sup>

<sup>1</sup> Institut für Kern- und Teilchenphysik, TU Dresden, Dresden, Germany  
<sup>2</sup> Institut für Theoretische Physik, Georg-August-Universität Göttingen, Göttingen, Germany

September 27, 2021

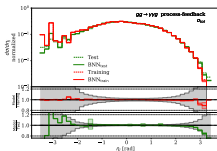
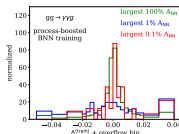
### Abstract

The generation of unit-weight events for complex scattering processes presents a severe challenge to modern Monte Carlo event generators. Even when using sophisticated phase-space sampling techniques adapted to the underlying transition matrix elements, the efficiency for generating unit-weight events from weighted samples can become a limiting factor in practical applications. Here we present a novel two-stage unweighting procedure that makes use of a neural-network surrogate for the full event weight. The algorithm can significantly accelerate the unweighting process, while it still guarantees unbiased sampling from the correct target distribution. We apply, validate and benchmark the new approach in high-multiplicity LHC production processes, including  $2W+4$  jets and  $2l+3$  jets, where we find speed-up factors up to ten.



## Fast amplitudes [precision regression]

- loop-amplitudes expensive
  - interpolation standard
- Precision NN-amplitudes



PREPARED FOR SUBMISSION TO JHEP

IFPP/20/138

Optimising simulations for diphoton production at hadron colliders using amplitude neural networks

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<sup>1</sup> Institute for Particle Physics Phenomenology, Department of Physics, Durham University, Durham, DH1 1TA, United Kingdom

<sup>2</sup> Institute for Data Science, Durham University, Durham, DH1 1TA, United Kingdom

<sup>3</sup> Dipartimento di Fisica and INFN Sezione di Torino, Università di Torino, and INFN, Sezione di Torino, Via P. Giuria 1, I-10125 Torino, Italy

E-mail: j.ayala@durham.ac.uk, gideon.badger@durham.ac.uk, ryan.moadhe@durham.ac.uk

**ABSTRACT:** Machine learning technology has the potential to dramatically optimise event generation and simulation. We continue to investigate the use of neural networks to approximate matrix elements for high-multiplicity scattering processes. We focus on the case of loop-induced diphoton production through gluon fusion, and develop a modular simulation method that can be applied to hadron collider observables. Neural networks are trained using the one-loop amplitudes implemented in the *Black* C++ library, and interfaced to the *Sherpa* Monte Carlo event generator, where we perform a detailed study for  $2 \rightarrow 3$  and  $2 \rightarrow 4$  scattering problems. We also consider how the trained networks perform when varying the kinematic cuts affecting the phase space and the reliability of the neural network simulations.



# Invertible event generation

## Precision NN-generators [Bayesian generative models]

- control through discriminator [GAN-like]
- uncertainties through Bayesian networks

→ Flow, diffusion, transformer

SciPost Physics

Innovation

### Generative Networks for Precision Enthusiasts

Alex Butter<sup>1</sup>, Theo Heine<sup>1</sup>, Sander Himmerich<sup>1</sup>, Tobias Kuhn<sup>1</sup>,  
Tizian Plehn<sup>1</sup>, Armand Roussel<sup>2</sup>, and Sophia Viret<sup>1</sup>

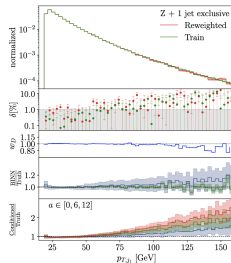
<sup>1</sup> Institut für Theoretische Physik, Universität Heidelberg, Germany

<sup>2</sup> Heidelberg Collaboratory for Image Processing, Universität Heidelberg, Germany

November 16, 2021

### Abstract

Generative networks are opening new avenues in fast event generation for the LHC. We show how generative flow networks can reach percent-level precision for kinematic distributions, how they can be trained jointly with a discriminator, and how this discriminator improves the generation. Our joint training relies on a novel coupling of the two networks which does not require a Nash equilibrium. We then estimate the generation uncertainties through a Bayesian network setup and through conditional data augmentation, while the discriminator ensures that there are no systematic inconsistencies compared to the training data.



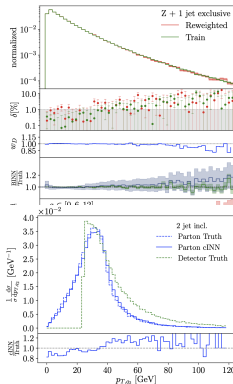
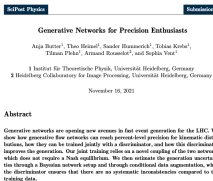


# Invertible event generation

## Precision NN-generators [Bayesian generative models]

- control through discriminator [GAN-like]
- uncertainties through Bayesian networks

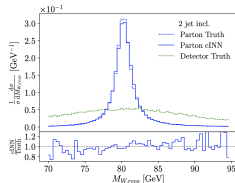
→ Flow, diffusion, transformer



## Unfolding and inversion [conditional normalizing flows]

- detector/decays/QCD unfolded
- calibrated inverse sampling

→ Publishing analysis results



# Proper theory

## Navigating string landscape [reinforcement learning]

- searching for viable vacua
- high dimensions, unknown global structure

→ Model space sampling

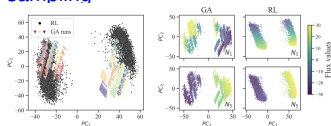


Figure 1: *Left:* Cluster structure in dimensionally reduced flux samples for RL and 25 GA runs (PCA) on all samples of GA and RL. The colors indicate individual GA runs. *Right:* Dependence on flux (input) values ( $N_3$  and  $N_5$  respectively) in relation to principal components for a PCA fit of the individual output of GA and RL.

## Probing the Structure of String Theory Vacua with Genetic Algorithms and Reinforcement Learning

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### Abstract

Identifying string theory vacua with desired physical properties at low energies requires searching through high-dimensional solution spaces – collectively referred to as the string landscape. We highlight that this search problem is amenable to reinforcement learning and genetic algorithms. In the context of flux vacua, we are able to reveal novel features (suggesting previously unidentified symmetries) in the string theory solutions required for properties such as the string coupling. In order to identify these features robustly, we combine results from both search methods, which we argue is imperative for reducing sampling bias.



# Proper theory

## Navigating string landscape [reinforcement learning]

- searching for viable vacua
- high dimensions, unknown global structure

→ **Model space sampling**

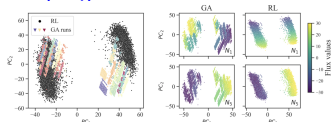


Figure 1: *Left:* Cluster structure in dimensionally reduced flux samples for RL and 25 GA runs (PCA on all samples of GA and RL). The colors indicate individual GA runs. *Right:* Dependence on flux (input) values ( $N_1$  and  $N_2$  respectively) in relation to principal components for a PCA fit of the individual output of GA and RL.

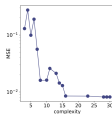
## Learning formulas [genetic algorithm, symbolic regression]

- approximate numerical function through formula
- example: score/optimal observables

→ **PySR**

comp	dx/function	MSE
3	1 $\alpha \Delta \phi$	$1.30 \cdot 10^{-1}$
4	1 $\sin(\alpha \Delta \phi)$	$2.75 \cdot 10^{-1}$
5	1 $\alpha \Delta \phi \mp_{1,1}$	$9.50 \cdot 10^{-2}$
6	1 $-x_{p,1} \sin(\Delta \phi + a)$	$1.90 \cdot 10^{-1}$
7	1 $(-x_{p,1} - a) \sin(\sin(\Delta \phi))$	$5.63 \cdot 10^{-2}$
8	1 $(a - x_{p,1}) \sin(\sin(\Delta \phi))$	$1.61 \cdot 10^{-2}$
14	2 $x_{p,1} (\alpha \Delta \phi - \sin(\sin(\Delta \phi))) (x_{p,2} + b)$	$1.44 \cdot 10^{-2}$
15	3 $(-x_{p,2} (\alpha \Delta \phi^2 + x_{p,1}) + b) \sin(\Delta \phi + c)$	$1.30 \cdot 10^{-2}$
16	4 $-x_{p,1} (a - b \Delta \phi) (x_{p,2} + c) \sin(\Delta \phi + d)$	$8.50 \cdot 10^{-3}$
28	7 $(x_{p,2} + a) ((b x_{p,1} (c - \Delta \phi) - x_{p,1} (\Delta \phi + x_{p,2} + f) \sin(\Delta \phi + g)))$	$8.18 \cdot 10^{-3}$

Table 8: Score hall of fame for simplified WBF Higgs production with  $f_{W\tilde{W}} = 0$ , including a optimization fit.



## Probing the Structure of String Theory Vacua with Genetic Algorithms and Reinforcement Learning

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### Abstract

Identifying string theory vacua with desired physical properties at low energies requires searching through high-dimensional solution spaces – collectively referred to as the string landscape. We highlight that this search problem is amenable to reinforcement learning and genetic algorithms. In the context of flux vacua, we are able to reveal novel features (suggesting previously unidentified symmetries) in the string theory solutions required for properties such as the string coupling. In order to identify these features robustly, we combine results from both search methods, which we argue is imperative for reducing sampling bias.

### SciPost Physics

### Submission

### Back to the Formula — LHC Edition

Anja Butter<sup>1</sup>, Tilman Plehn<sup>1</sup>, Nathalie Solybelman<sup>2</sup>, and Johann Boehmer<sup>2</sup>

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<sup>2</sup> Center for Data Science, New York University, New York, United States  
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November 16, 2021

### Abstract

While neural networks offer an attractive way to numerically encode functions, actual formulas remain the language of theoretical particle physics. We use symbolic regression trained on matrix-element information to extract, for instance, optimal LHC observables. This way we invert the usual simulation paradigm and extract easily interpretable formulas from complex simulated data. We introduce the method using the effect of a dimension-6 coefficient on associated ZH production. We then validate it for the known case of CP-violation in weak-boson-fusion Higgs production, including interference effects.



# Generative-network revolution

## Generative networks

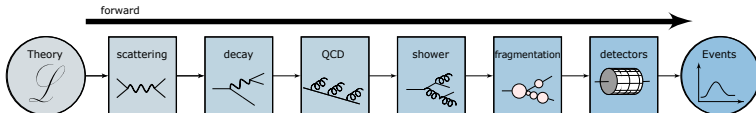
- generate **new** images, text blocks, LHC events
- encode density in target space  
sample from Gaussian into target space
- reproduce training data, statistically independently
- include uncertainty on estimated density [Bayesian NN]



# Generative-network revolution

## Generative networks

- generate **new** images, text blocks, LHC events
  - encode density in target space  
sample from Gaussian into target space
  - reproduce training data, statistically independently
  - include uncertainty on estimated density [Bayesian NN]
  - Variational Autoencoder  
→ low-dimensional physics, high-dimensional representation
  - Generative Adversarial Network  
→ generator trained by discriminator
  - Normalizing Flow/Diffusion Model  
→ stable (bijective) mapping
  - Generative Transformer  
→ learning correlations successively
- **Pick model for purpose**



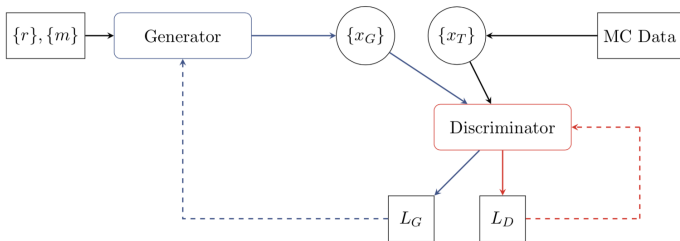
# GAN algorithm

## Generating events [phase space positions, possibly with weights]

- training: true events  $\{x_{\text{data}}\}$   
output: generated events  $r \rightarrow x_{\text{model}}$
  - **discriminator** constructing  $D(x)$  by minimizing [classifier  $D(x) = 1, 0$  true/generator]  

$$\mathcal{L}_D = \langle -\log D(x) \rangle_{x_{\text{data}}} + \langle -\log(1 - D(x)) \rangle_{x_{\text{model}}}$$
  - **generator** constructing  $r \rightarrow x_{\text{model}}$  by minimizing [ $D$  needed]  

$$\mathcal{L}_G = \langle -\log D(x) \rangle_{x_{\text{model}}}$$
  - Nash equilibrium  $D = 0.5$
- ⇒ **statistically independent copy of training events**



# How to GAN LHC events

## General task: encode ME over phase space

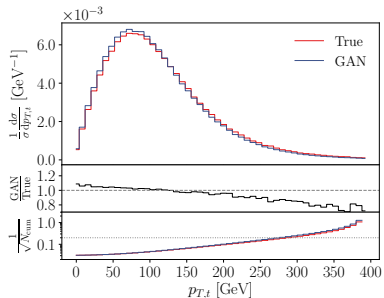
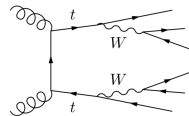
- medium-complex final state  $t\bar{t} \rightarrow 6$  jets

$t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof

on-shell external states  $\rightarrow 12$  dof [constants hard to learn]

parton level, because it is harder

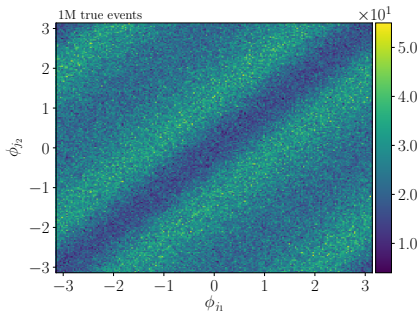
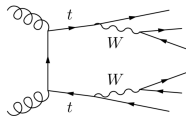
- flat observables flat [phase space coverage okay]
- standard observables with tails [statistical error indicated]



# How to GAN LHC events

## General task: encode ME over phase space

- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- parton level, because it is harder
- flat observables flat [phase space coverage okay]
- standard observables with tails [statistical error indicated]
- improved resolution [1M training events]

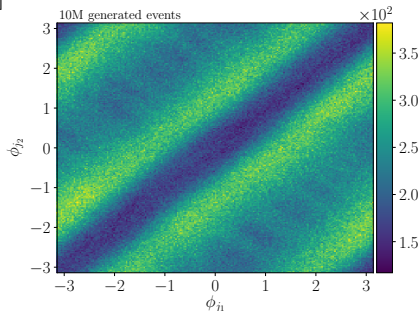
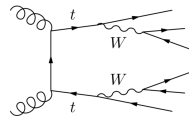




# How to GAN LHC events

## General task: encode ME over phase space

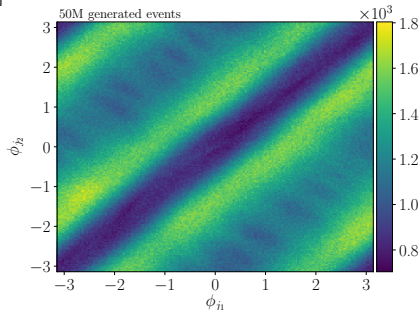
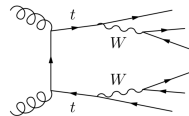
- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- parton level, because it is harder
- flat observables flat [phase space coverage okay]
- standard observables with tails [statistical error indicated]
- improved resolution [10M generated events]



# How to GAN LHC events

## General task: encode ME over phase space

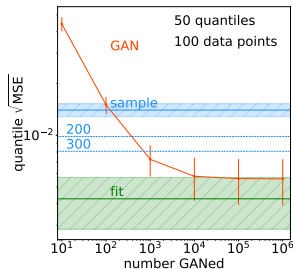
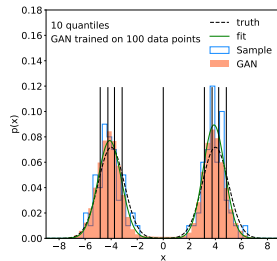
- medium-complex final state  $t\bar{t} \rightarrow 6$  jets
- $t/\bar{t}$  and  $W^\pm$  on-shell with BW  $6 \times 4 = 18$  dof
- on-shell external states  $\rightarrow 12$  dof [constants hard to learn]
- parton level, because it is harder
- flat observables flat [phase space coverage okay]
- standard observables with tails [statistical error indicated]
- improved resolution [50M generated events]
- Looks like GANplification



# GANplification

## Gain beyond training data [Butter, Diefenbacher, Kasieczka, Nachman, TP]

- true function known  
compare **GAN** vs **sampling** vs **fit**
  - quantiles with  $\chi^2$ -values
  - fit like 500-1000 sampled points  
GAN like 500 sampled points [amplification factor 5]  
requiring 10,000 GANned events
  - interpolation and resolution the key [NNPDF]
- ⇒ **GANs beyond training data**



# Precision generator

## Phase-space generators [typical LHC task]

- training from event samples  
no energy-momentum conservation
- every correlation counts
- $Z_{\mu\mu} + \{1, 2, 3\}$  jets [Z-peak, variable jet number, jet-jet topology]



# Precision generator

## Phase-space generators [typical LHC task]

- training from event samples  
no energy-momentum conservation
- every correlation counts
- $Z_{\mu\mu} + \{1, 2, 3\}$  jets [Z-peak, variable jet number, jet-jet topology]

## INN-generator

- stable bijective mapping

$$\text{latent } r \sim p_{\text{latent}} \xleftrightarrow[\leftarrow \bar{G}_{\theta}(x)]{G_{\theta}(r) \rightarrow} \text{phase space } x \sim p_{\text{data}}$$

- tractable Jacobian

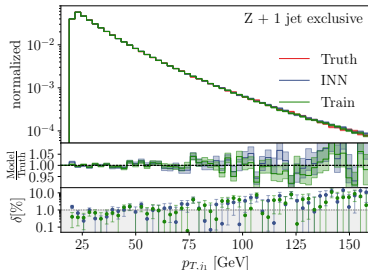
$$dx \, p_{\text{model}}(x) = dr \, p_{\text{latent}}(r)$$

$$p_{\text{model}}(x) = p_{\text{latent}}(\bar{G}_{\theta}(x)) \left| \frac{\partial \bar{G}_{\theta}(x)}{\partial x} \right|$$

- likelihood loss

$$\mathcal{L}_{\text{INN}} = - \left\langle \log p_{\text{model}}(x) \right\rangle_{p_{\text{data}}}$$

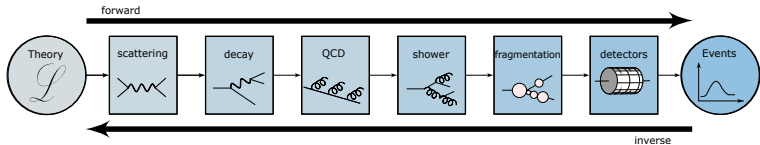
⇒ Per-cent precision possible



# Inverse simulation

## Invertible ML-simulation

- forward:  $r \rightarrow \text{events}$
- inverse:  $r \rightarrow \text{anything, conditioned on event}$



# Inverse simulation

## Invertible ML-simulation

- forward:  $r \rightarrow \text{events}$
- inverse:  $r \rightarrow \text{anything, conditioned on event}$
- individual steps known problems

detector unfolding

unfolding to QCD parton means jet algorithm

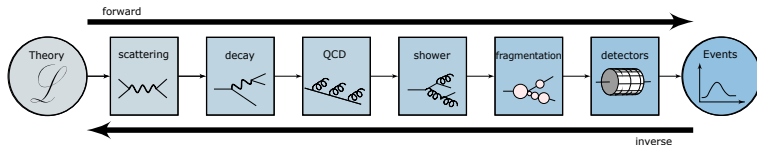
unfolding jet radiation known combinatorics problem

unfolding to hard process standard in top groups [needed for global analyses]

matrix element method an old dream

- improved through coherent ML-method
- free choice of data-theory inference point

→ Transformative progress for HL-LHC



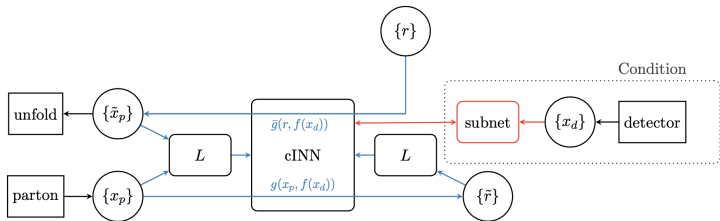
# Inverting to hard process

## Conditional INN

- generate partonic events  $x_{\text{parton}}$  from  $\{r\}$ , given reco-event  $x_{\text{reco}}$
- train on paired events
- loss based on likelihood

$$\begin{aligned}
 \mathcal{L} &= - \langle \log p(\theta | x_{\text{parton}}, x_{\text{reco}}) \rangle_{x_{\text{parton}}, x_{\text{reco}}} \\
 &= - \langle \log p(x_{\text{parton}} | x_{\text{reco}}, \theta) + \log p(\theta | x_{\text{reco}}) - \log p(x_{\text{parton}} | x_{\text{reco}}) \rangle_{x_{\text{parton}}, x_{\text{reco}}} \\
 &= - \langle \log p(x_{\text{parton}} | x_{\text{reco}}, \theta) \rangle_{x_{\text{parton}}, x_{\text{reco}}} - \log p(\theta) + \text{const.} \\
 &= - \left\langle \log p(g(x_{\text{parton}} | x_{\text{reco}})) + \log \left| \frac{\partial g(x_{\text{parton}} | x_{\text{reco}})}{\partial x_{\text{parton}}} \right| \right\rangle_{x_{\text{parton}}, x_{\text{reco}}} - \log p(\theta) + \text{const}
 \end{aligned}$$

→ Stable and statistically calibrated





# Inverting to hard process

## Conditional INN

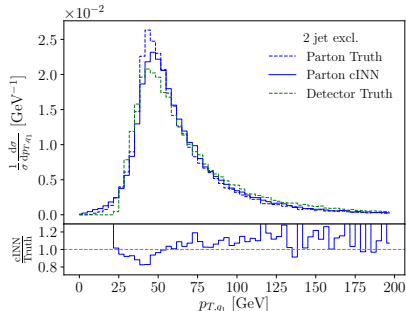
- generate partonic events  $x_{\text{parton}}$  from  $\{r\}$ , given reco-event  $x_{\text{reco}}$
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- loss based on likelihood

$$L = - \langle \log p(\theta | x_{\text{parton}}, x_{\text{reco}}) \rangle_{x_{\text{parton}}, x_{\text{reco}}} \\ = - \left\langle \log p(g(x_{\text{parton}} | x_{\text{reco}})) + \log \left| \frac{\partial g(x_{\text{parton}} | x_{\text{reco}})}{\partial x_{\text{parton}}} \right| \right\rangle_{x_{\text{parton}}, x_{\text{reco}}} - \log p(\theta) + \text{const}$$

→ Stable and statistically calibrated

## Undo detector and QCD jet radiation in $pp \rightarrow ZW + \text{jets}$

- hard process given
  - detector and reconstruction universal
  - jet radiation (approximately) universal
  - model-independence: Butter-Malaescu
- Stable and statistically calibrated



# Inverting to hard process

## Conditional INN

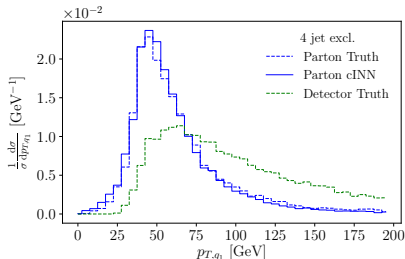
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→ Stable and statistically calibrated

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# Inverting to hard process

## Conditional INN

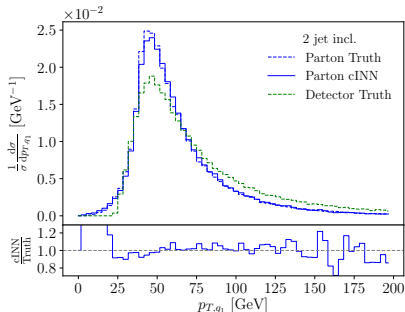
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→ Stable and statistically calibrated

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# ML for particle physics

## ML-applications

- just another numerical tool for a numerical field
- driven by money from data science and medical research
- goals are...
  - ...improve established tasks
  - ...develop new tools for established tasks
  - ...transform through new ideas
- xAI through...
  - ...precision control
  - ...uncertainties
  - ...symmetries
  - ...formulas

→ Lots of fun with hard LHC problems

## Modern Machine Learning for LHC Physicists

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July 21, 2023

### Abstract

Modern machine learning is transforming particle physics, faster than we can follow, and bullying its way into our numerical tool box. For young researchers it is crucial to stay on top of this development, which means applying cutting-edge methods and tools to the full range of LHC physics problems. These lecture notes are meant to lead students with basic knowledge of particle physics and significant enthusiasm for machine learning to relevant applications as fast as possible. They start with an LHC-specific motivation and a non-standard introduction to neural networks and then cover classification, unsupervised classification, generative networks, and inverse problems. Two themes defining much of the discussion are well-defined loss functions reflecting the problem at hand and uncertainty-aware networks. As part of the applications, the notes include some aspects of theoretical LHC physics. All examples are chosen from particle physics publications of the last few years. Given that these notes will be outdated already at the time of submission, the week of ML4lets 2022, they will be updated frequently.

