

# Functional renormalization group for the effective average action

# physics at different length scales

- microscopic theories : where the laws are formulated
- effective theories : where observations are made
- effective theory may involve different degrees of freedom as compared to microscopic theory
- example: the motion of the earth around the sun does not need an understanding of nuclear burning in the sun

QCD :

Short and long distance  
degrees of freedom are different !

Short distances : quarks and gluons

Long distances : baryons and mesons

How to make the transition?

confinement/chiral symmetry breaking

**collective  
degrees of freedom**

# Hubbard model

- Electrons on a cubic lattice  
here : on planes (  $d = 2$  )
- Repulsive local interaction if two electrons are on the same site
- Hopping interaction between two neighboring sites

# Hubbard model

## Functional integral formulation

$$\begin{aligned} Z[\eta] = & \int_{\hat{\psi}(\beta)=-\hat{\psi}(0), \hat{\psi}^*(\beta)=-\hat{\psi}^*(0)} \mathcal{D}(\hat{\psi}^*(\tau), \hat{\psi}(\tau)) \\ & \exp \left( - \int_0^\beta d\tau \left( \sum_{\mathbf{x}} \hat{\psi}_{\mathbf{x}}^\dagger(\tau) \left( \frac{\partial}{\partial \tau} - \mu \right) \hat{\psi}_{\mathbf{x}}(\tau) \right. \right. \\ & \quad + \sum_{\mathbf{xy}} \hat{\psi}_{\mathbf{x}}^\dagger(\tau) \mathcal{T}_{\mathbf{xy}} \hat{\psi}_{\mathbf{y}}(\tau) \\ & \quad + \frac{1}{2} U \sum_{\mathbf{x}} (\hat{\psi}_{\mathbf{x}}^\dagger(\tau) \hat{\psi}_{\mathbf{x}}(\tau))^2 \\ & \quad \left. \left. - \sum_{\mathbf{x}} (\eta_{\mathbf{x}}^\dagger(\tau) \hat{\psi}_{\mathbf{x}}(\tau) + \eta_{\mathbf{x}}^T(\tau) \hat{\psi}_{\mathbf{x}}^*(\tau)) \right) \right) \end{aligned}$$

$U > 0$  :  
repulsive local interaction

next neighbor interaction

$$\mathcal{T}_{\mathbf{xy}} = \begin{cases} -t & , \text{ if } \mathbf{x} \text{ and } \mathbf{y} \text{ are nearest neighbors} \\ 0 & , \text{ else} \end{cases}$$

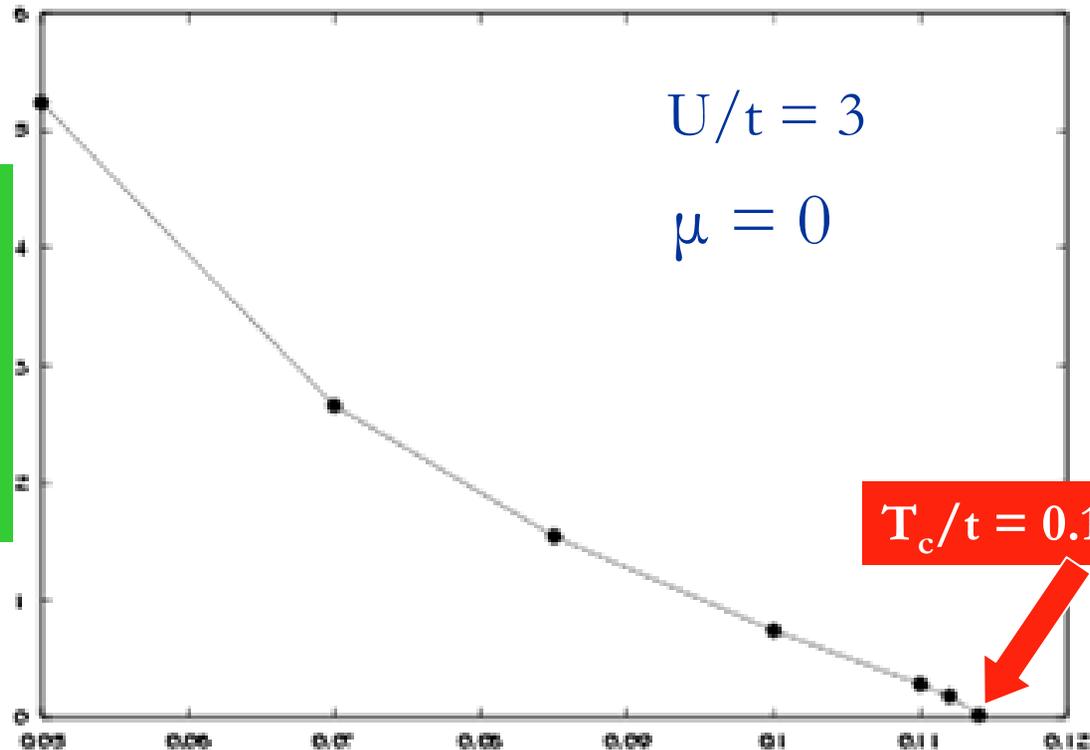
External parameters  
 $T$  : temperature  
 $\mu$  : chemical potential  
(doping)

# In solid state physics : “ model for everything “

- Antiferromagnetism
- High  $T_c$  superconductivity
- Metal-insulator transition
- Ferromagnetism

# Antiferromagnetism in $d=2$ Hubbard model

antiferro-  
magnetic  
order  
parameter



temperature in units of  $t$

T.Baier,  
E.Bick,...

# antiferromagnetic order is finite size effect

- here size of experimental probe 1 cm
- vanishing order for infinite volume
- consistency with Mermin-Wagner theorem
- dependence on probe size very weak

# Collective degrees of freedom are crucial !

for  $T < T_c$

- nonvanishing order parameter

$$\vec{m}(X) = \hat{\psi}^\dagger(X) \vec{\sigma} \hat{\psi}(X)$$

$$\hat{m}(Q) \rightarrow \vec{a} \delta(Q - \Pi)$$

- gap for fermions
- low energy excitations:  
antiferromagnetic spin waves

# effective theory / microscopic theory

- sometimes only distinguished by different values of couplings
- sometimes different degrees of freedom
- need for methods that can cope with such situations

# Functional Renormalization Group

describes flow of effective action from small to large length scales

perturbative renormalization : case where only couplings change , and couplings are small

How to come from quarks and gluons to  
baryons and mesons ?

How to come from electrons to spin waves ?

Find effective description where relevant degrees  
of freedom depend on momentum scale or  
resolution in space.

Microscope with variable resolution:

- High resolution , small piece of volume:  
quarks and gluons
- Low resolution, large volume : hadrons

From

**Microscopic Laws**  
(Interactions, classical action)

to

Fluctuations!

**Macroscopic Observation**  
(Free energy functional,  
effective action)

- block spins

Kadanoff, Wilson

- exact renormalization group equations

Wilson, Kogut

Wegner, Houghton

Weinberg

Polchinski

Hasenfratz<sup>2</sup>

- Lattice finite size scaling

Lüscher,...

- coarse grained free energy/average action

**effective average action**

Unified picture for scalar field theories  
with symmetry  $O(N)$   
in arbitrary dimension  $d$  and arbitrary  $N$

linear or nonlinear sigma-model for  
chiral symmetry breaking in QCD

or:

scalar model for antiferromagnetic spin waves  
(linear  $O(3)$  – model )

fermions will be added later

# Effective potential includes **all** fluctuations

Average potential  $U_k$

≡ scale dependent effective potential

≡ coarse grained free energy

Only fluctuations with momenta  $q^2 > k^2$  included

$k$ : infrared cutoff for fluctuations, "average scale"

$\Lambda$ : characteristic scale for microphysics

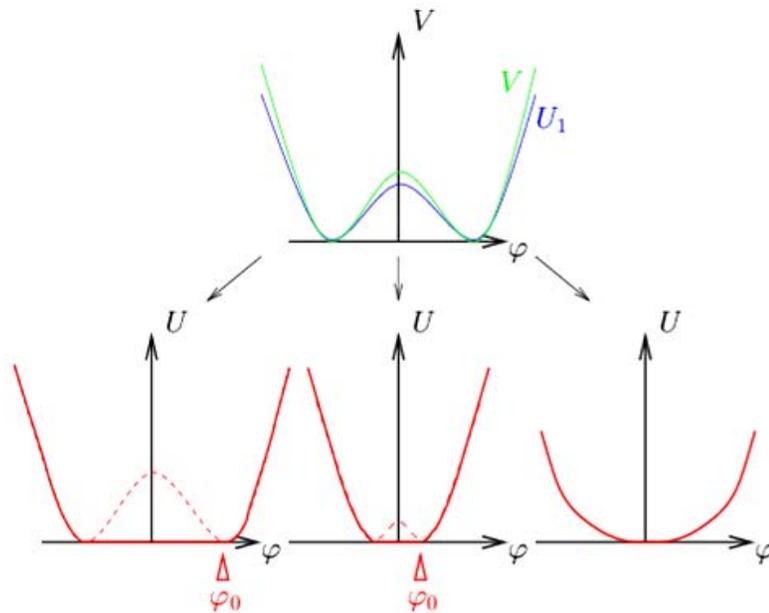
$$U_\Lambda \approx S \rightarrow U_0 \equiv U$$

# Scalar field theory

$\varphi_a(x)$ : magnetization, density, chemical concentration, Higgs field, meson field, inflaton, cosmon

$O(N)$ -symmetry:

$$S = \int d^d x \left\{ \frac{1}{2} \partial_\mu \varphi_a \partial_\mu \varphi_a + V(\rho) \right\}; \quad \rho = \frac{1}{2} \varphi_a \varphi_a$$



# Flow equation for average potential

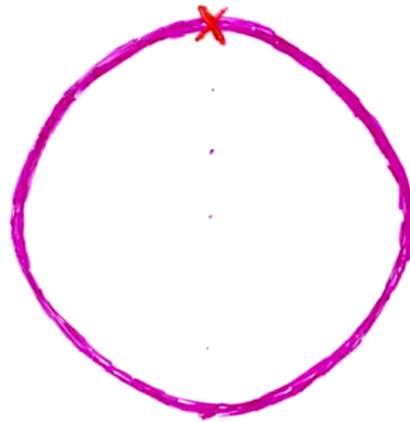
$$\partial_k U_k(\varphi) = \frac{1}{2} \sum_i \int \frac{d^d q}{(2\pi)^d} \frac{\partial_k R_k(q^2)}{Z_k q^2 + R_k(q^2) + \bar{M}_{k,i}^2(\varphi)}$$

$$\bar{M}_{k,ab}^2 = \frac{\partial^2 U_k}{\partial \varphi_a \partial \varphi_b} \quad : \quad \text{Mass matrix}$$

$$\bar{M}_{k,i}^2 \quad : \quad \text{Eigenvalues of mass matrix}$$

Simple one loop structure –  
nevertheless (almost) exact

$$\partial_k U_k = \frac{1}{2}$$



$$\partial_k R_k(q^2)$$

$$(Z_k q^2 + M_k^2 + R_k(q^2))^{-1}$$

# Infrared cutoff

$R_k$  : IR-cutoff

e.g 
$$R_k = \frac{Z_k q^2}{e^{q^2/k^2} - 1}$$

or 
$$R_k = Z_k (k^2 - q^2) \Theta(k^2 - q^2) \quad (\text{Litim})$$

$$\lim_{k \rightarrow 0} R_k = 0$$

$$\lim_{k \rightarrow \infty} R_k \rightarrow \infty$$

Flow equation for  $U_k$

$$\partial_k U_k(\varphi) = \frac{1}{2} \sum_i \int \frac{d^d q}{(2\pi)^d} \frac{\partial_k R_k(q^2)}{Z_k q^2 + R_k(q^2) + \bar{M}_{k,i}^2(\varphi)}$$

'91

$$\bar{M}_{k,ab}^2 = \frac{\partial^2 U_k}{\partial \varphi_a \partial \varphi_b} \quad : \quad \text{Mass matrix}$$

$$\bar{M}_{k,i}^2 \quad : \quad \text{Eigenvalues of mass matrix}$$

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$$\lim_{k \rightarrow 0} R_k = 0$$

$$\lim_{k \rightarrow \infty} R_k \rightarrow \infty$$

Partial differential  
equation for function  
 $U(k, \varphi)$  depending on  
two ( or more )  
variables

$$Z_k = c k^{-\eta}$$

# Regularisation

For suitable  $R_k$  :

$$R_k = \frac{Z_k q^2}{e^{q^2/k^2} - 1}$$
$$R_k = Z_k (k^2 - q^2) \Theta(k^2 - q^2)$$

- Momentum integral is ultraviolet and infrared finite
- Numerical integration possible
- Flow equation defines a regularization scheme ( ERGE –regularization )

$$\partial_k U_k(\varphi) = \frac{1}{2} \sum_i \int \frac{d^d q}{(2\pi)^d} \frac{\partial_k R_k(q^2)}{Z_k q^2 + R_k(q^2) + \bar{M}_{k,i}^2(\varphi)}$$

# Integration by momentum shells

$$\partial_k U_k(\varphi) = \frac{1}{2} \sum_i \int \frac{d^d q}{(2\pi)^d} \frac{\partial_k R_k(q^2)}{Z_k q^2 + R_k(q^2) + \bar{M}_{k,i}^2(\varphi)}$$

Momentum integral  
is dominated by

$$q^2 \sim k^2.$$

Flow only sensitive to  
physics at scale  $k$

# Wave function renormalization and anomalous dimension

$Z_k$ : wave function renormalization

$$k\partial_k Z_k = -\eta_k Z_k$$

$\eta_k$ : anomalous dimension

$$t = \ln(k/\Lambda)$$

$$\partial_t \ln Z = -\eta$$

for  $Z_k(\varphi, q^2)$  : flow equation is **exact** !

# Scaling form of evolution equation

$$\begin{aligned}u &= \frac{U_k}{k^d} \\ \tilde{\rho} &= Z_k k^{2-d} \rho \\ u' &= \frac{\partial u}{\partial \tilde{\rho}} \quad \text{etc.}\end{aligned}$$

$$\begin{aligned}\partial_t u|_{\tilde{\rho}} &= -du + (d - 2 + \eta) \tilde{\rho} u' \\ &\quad + 2v_d \{l_0^d(u' + 2\tilde{\rho} u''; \eta) \\ &\quad + (N - 1) l_0^d(u'; \eta)\}\end{aligned}$$

$$v_d^{-1} = 2^{d+1} \pi^{d/2} \Gamma\left(\frac{d}{2}\right)$$

linear cutoff:

$$l_0^d(w; \eta) = \frac{2}{d} \left(1 - \frac{\eta}{d+2}\right) \frac{1}{1+w}$$

On r.h.s. :  
neither the scale  $k$   
nor the wave function  
renormalization  $Z$   
appear explicitly.

Scaling solution:  
no dependence on  $t$ ;  
corresponds  
to second order  
phase transition.

Tetradis ...

# decoupling of heavy modes

$$l_0^d(w; \eta) = \frac{2}{d} \left( 1 - \frac{\eta}{d+2} \right) \frac{1}{1+w}$$

threshold functions  
vanish for large  $w$  :  
large mass  
as compared to  $k$

$$\begin{aligned} \partial_t u|_{\tilde{\rho}} = & -du + (d-2+\eta)\tilde{\rho}u' \\ & + 2v_d \{ l_0^d(u' + 2\tilde{\rho}u''; \eta) \\ & + (N-1)l_0^d(u'; \eta) \} \end{aligned}$$

Flow involves  
effectively only  
modes with mass  
smaller or equal  $k$

unnecessary heavy modes are eliminated automatically

→ effective theories

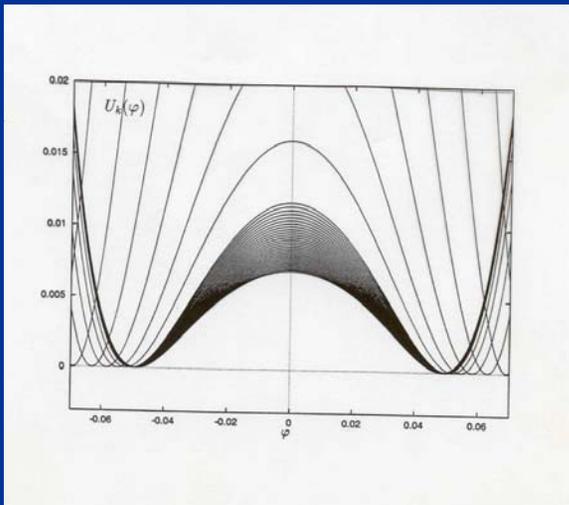
addition of new collective modes still needs to be done

# unified approach

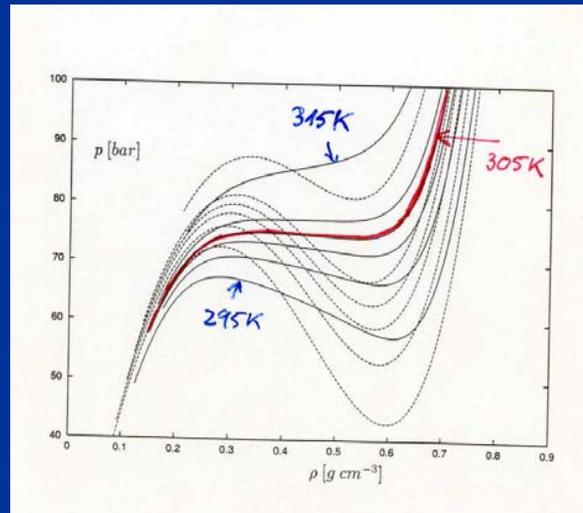
- choose  $N$
- choose  $d$
- choose initial form of potential
- run !

# Flow of effective potential

## Ising model



## CO<sub>2</sub>



## Critical exponents

$$d = 3$$

Critical exponents  $\nu$  and  $\eta$

$N$	$\nu$	$\eta$
0	0.590	0.0292
1	0.6307	0.0356
2	0.666	0.0385
3	0.704	0.0380
4	0.739	0.0363
10	0.881	0.025
100	0.990	0.003

“average” of other methods  
(typically  $\pm(0.0010 - 0.0020)$ )

Experiment :

$$T_* = 304.15 \text{ K}$$

$$p_* = 73.8 \text{ bar}$$

$$\rho_* = 0.442 \text{ g cm}^{-3}$$

S.Seide ...

# Critical exponents , $d=3$

$N$
0
1
2
3
4
10
100

$\nu$	
0.590	0.5878
0.6307	0.6308
0.666	0.6714
0.704	0.7102
0.739	0.7474
0.881	0.886
0.990	0.980

ERGE world

$\eta$	
0.039	0.0292
0.0467	0.0356
0.049	0.0385
0.049	0.0380
0.047	0.0363
0.028	0.025
0.0030	0.003

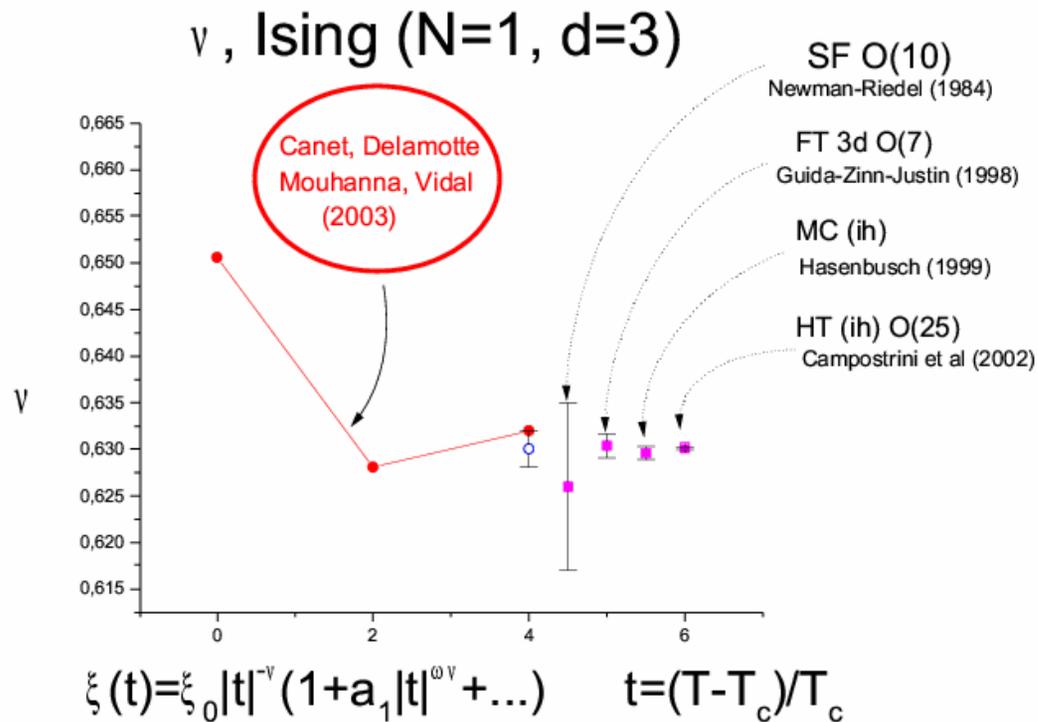
ERGE world

“average” of other methods  
(typically  $\pm(0.0010 - 0.0020)$ )

# derivative expansion

- good results already in lowest order in derivative expansion : one function  $u$  to be determined
- second order derivative expansion - include field dependence of wave function renormalization : three functions to be determined

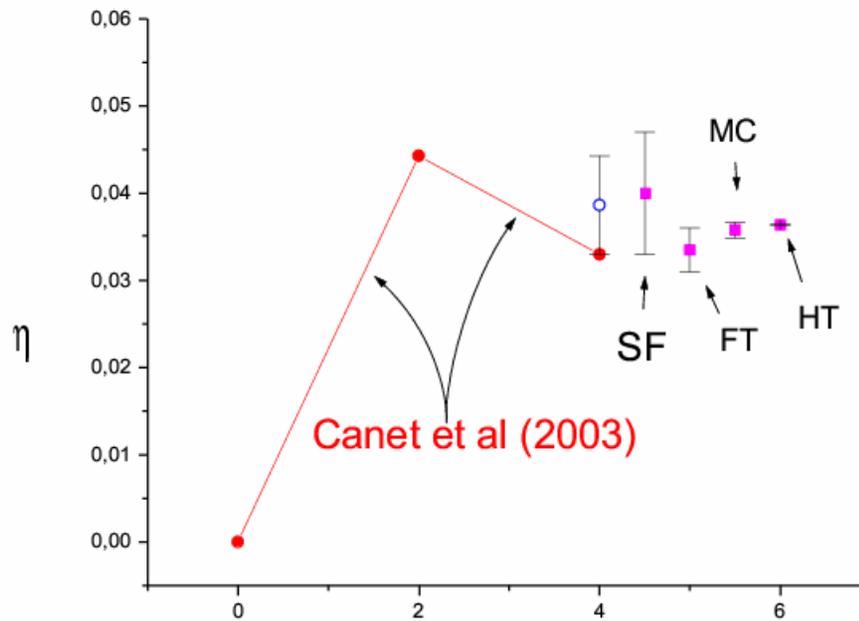
# apparent convergence of derivative expansion



from talk by Bervilliers

# anomalous dimension

$\eta$ , Ising (N=1, d=3)



$$\Gamma^{(2)}[q] \underset{q \rightarrow \infty}{\propto} q^{2-\eta} \quad \text{for } T = T_c$$

# Solution of partial differential equation :

yields highly nontrivial non-perturbative results despite the one loop structure !

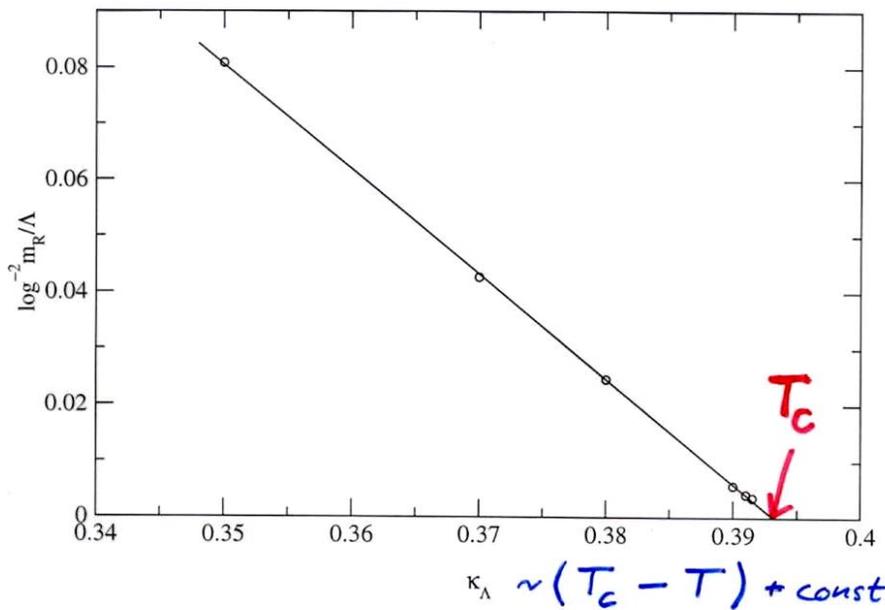
Example:

Kosterlitz-Thouless phase transition

# Essential scaling : $d=2, N=2$

- Flow equation contains correctly the non-perturbative information !
- (essential scaling usually described by vortices)

$$m_R \sim \exp\left\{-\frac{b}{(T-T_c)^{1/2}}\right\}, T > T_c$$

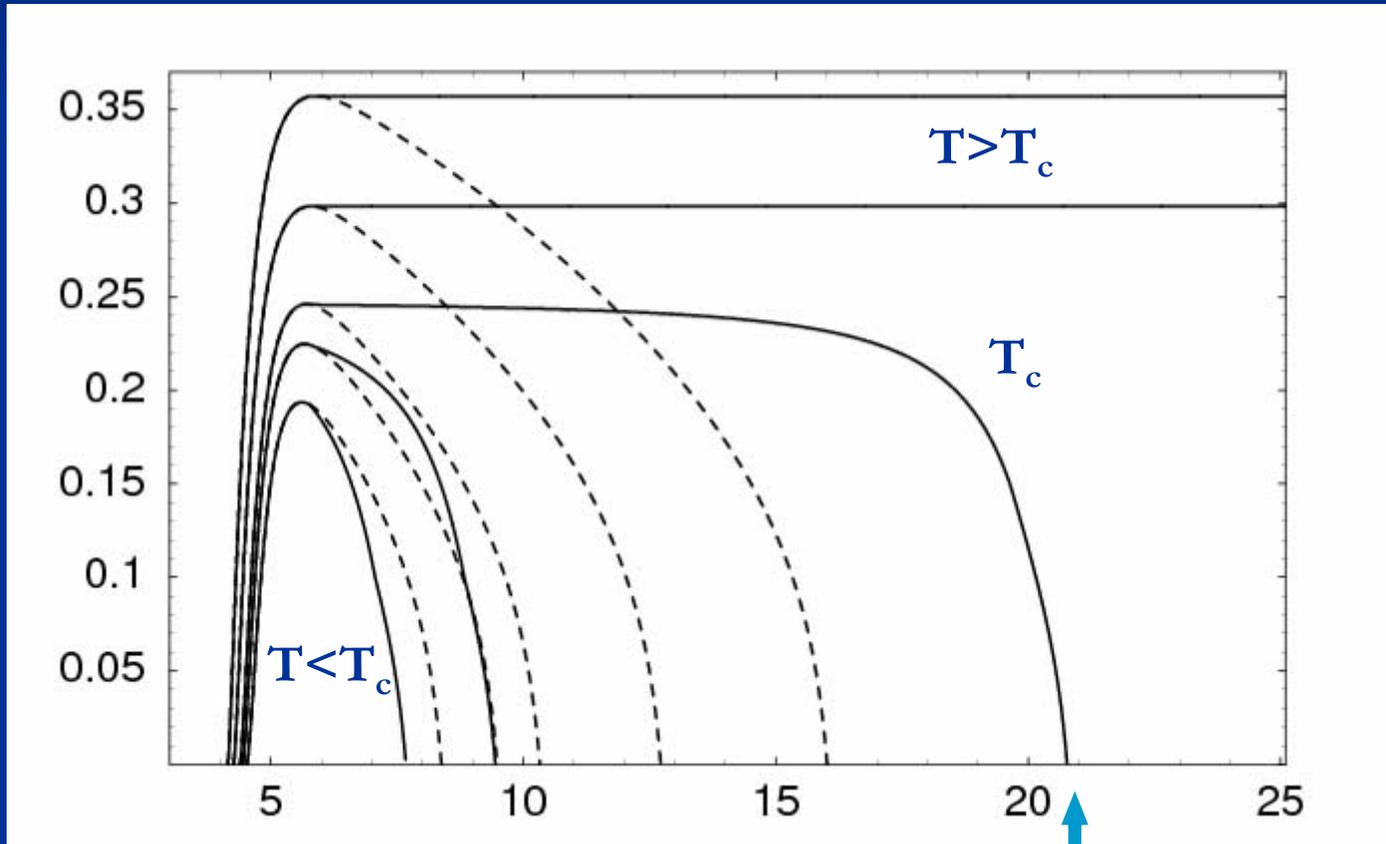


# Kosterlitz-Thouless phase transition ( $d=2, N=2$ )

Correct description of phase with  
Goldstone boson  
( infinite correlation length )  
for  $T < T_c$

# Running renormalized d-wave superconducting order parameter $\kappa$ in doped Hubbard model

$\kappa$

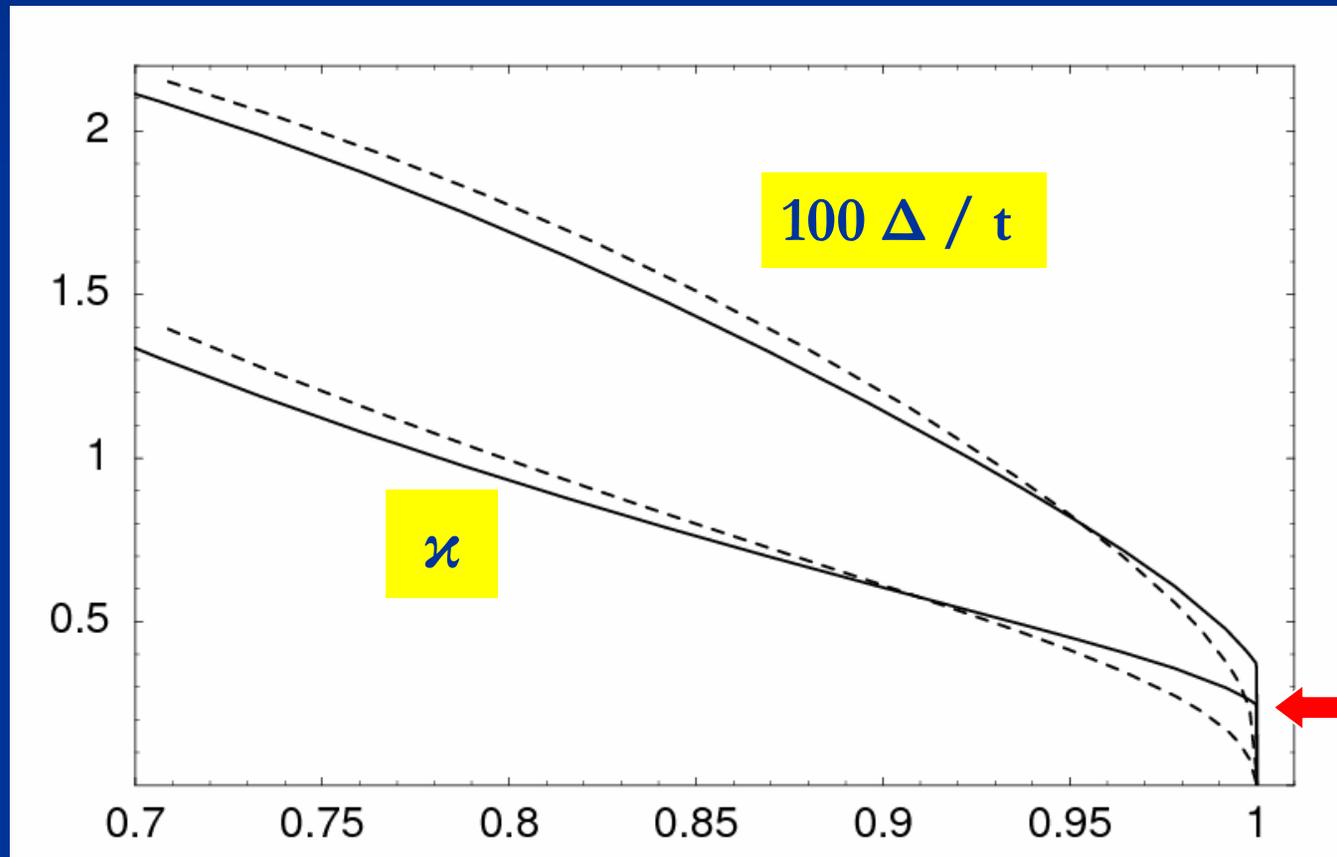


C.Krahl,...

$-\ln(k/\Lambda)$

macroscopic scale 1 cm

# Renormalized order parameter $\kappa$ and gap in electron propagator $\Delta$ in doped Hubbard model

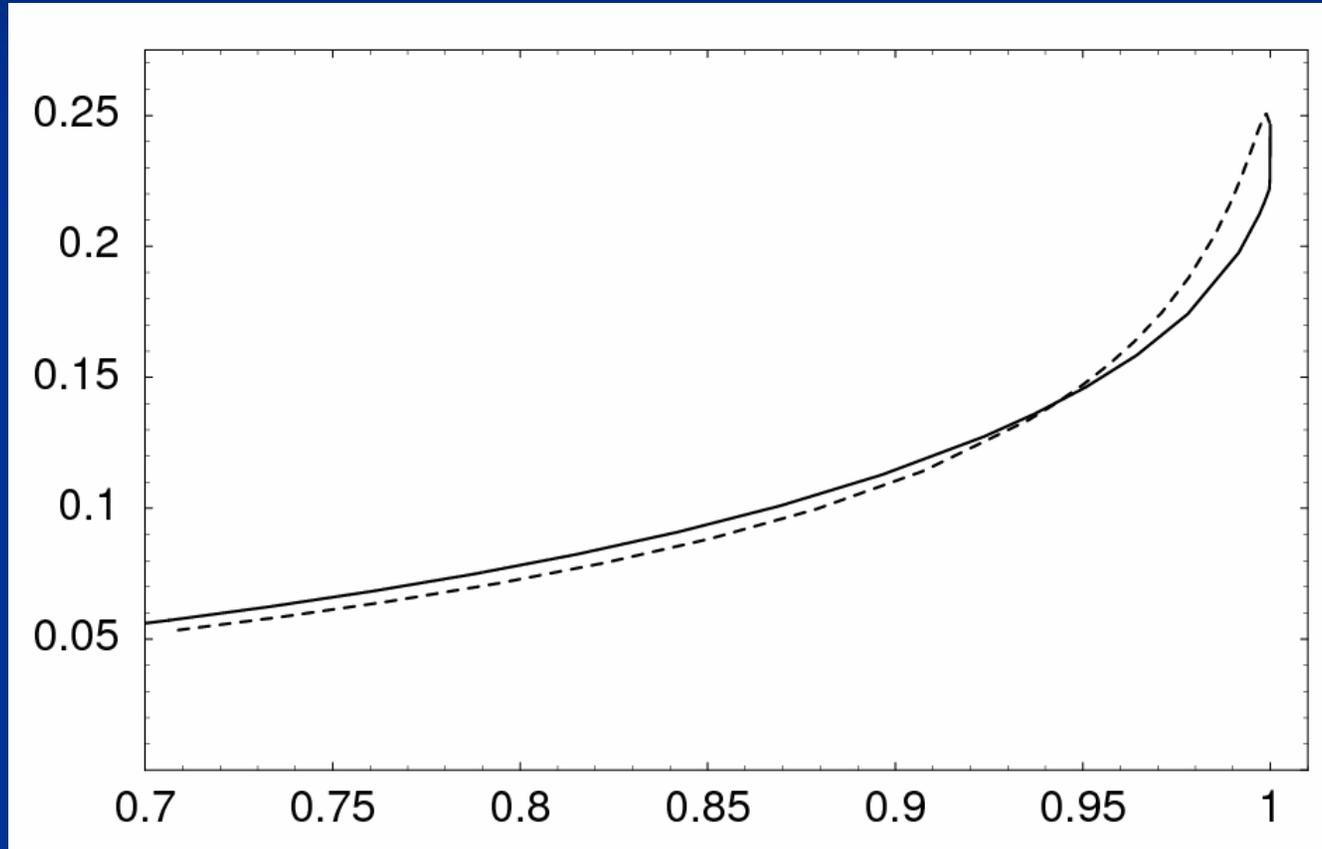


$T/T_c$

jump

# Temperature dependent anomalous dimension $\eta$

$\eta$



$T/T_c$

# convergence and errors

- for precise results: systematic derivative expansion in second order in derivatives
- includes field dependent wave function renormalization  $Z(q)$
- fourth order : similar results
- apparent fast convergence : no series resummation
- rough error estimate by different cutoffs and truncations

**Effective average action**

**and**

**exact renormalization group equation**

# Generating functional

generating functional for connected Green's functions in presence of quadratic infrared cutoff

$$W_k[j] = \ln \int \mathcal{D}\chi \exp \left( -S[\chi] - \Delta_k S[\chi] + \int d^d x j_a \chi_a \right)$$

$$\Delta_k S = \frac{1}{2} \int \frac{d^d q}{(2\pi)^d} R_k(q^2) \chi_a(-q) \chi_a(q)$$

$$\text{e.g. } R_k = \frac{Z_k q^2}{e^{q^2/k^2} - 1}$$

$$\lim_{k \rightarrow 0} R_k = 0$$

$$R_{k \rightarrow \infty} \rightarrow \infty$$

# Effective average action

$$\Gamma_k[\varphi] = -W_k[j] + \int d^d x j_a \varphi_a - \Delta_k S[\varphi]$$

$\Gamma_0[\varphi]$ : quantum effective action  
generates 1PI vertices  
free energy:  $F = \Gamma T + \mu n V$

$\Gamma_k$  includes all fluctuations (quantum, thermal)  
with  $q^2 > k^2$

$\Gamma_\Lambda$  specifies microphysics

$$\varphi_a = \langle \chi_a \rangle = \frac{\delta W_k}{\delta j_a}$$

Loop expansion :  
perturbation theory  
with  
infrared cutoff  
in propagator

# Quantum effective action

for  $k \rightarrow 0$

all fluctuations (quantum + thermal)  
are included

knowledge of  $\Gamma_{k \rightarrow 0} \hat{=}$  solution of model

# Exact renormalization group equation

Exact flow equation

for scale dependence of average action

$$\partial_k \Gamma_k[\varphi] = \frac{1}{2} \text{Tr} \left\{ \left( \Gamma_k^{(2)}[\varphi] + R_k \right)^{-1} \partial_k R_k \right\}$$

'92

$$\left( \Gamma_k^{(2)} \right)_{ab}(q, q') = \frac{\delta^2 \Gamma_k}{\delta \varphi_a(-q) \delta \varphi_b(q')}$$

$$\text{Tr} : \sum_a \int \frac{d^d q}{(2\pi)^d}$$

(fermions : STr)

# Proof of exact flow equation

$$\begin{aligned}\partial_k \Gamma|_\phi &= -\partial_k W|_j - \partial_k \Delta_k S[\varphi] \\ &= \frac{1}{2} \text{Tr} \{ \partial_k R_k (\langle \phi \phi \rangle - \langle \phi \rangle \langle \phi \rangle) \} \\ &= \frac{1}{2} \text{Tr} \left\{ \partial_k R_k W_k^{(2)} \right\}\end{aligned}$$

$$\begin{aligned}W_k^{(2)} (\Gamma_k^{(2)} + R_k) &= \mathbb{1} \\ (\Delta_k S^{(2)} &\equiv R_k)\end{aligned}$$

$\implies$

$$\partial_k \Gamma_k = \frac{1}{2} \text{Tr} \left\{ \partial_k R_k (\Gamma_k^{(2)} + R_k)^{-1} \right\}$$

# Truncations

Functional differential equation –  
cannot be solved exactly

Approximative solution by **truncation** of  
most general form of effective action

# non-perturbative systematic expansions

## derivative expansion

Tetradis,...; Morris

$O(N)$ -model:

$$\Gamma_k = \int d^d x \left\{ U_k(\rho) + \frac{1}{2} Z_k(\rho) \partial_\mu \varphi_a \partial_\mu \varphi_a \right. \\ \left. + \frac{1}{4} Y_k(\rho) \partial_\mu \rho \partial_\mu \rho + \dots \right\} \\ (N = 1 : Y_k \equiv 0)$$

## field expansion (flow eq. for 1PI vertices)

Weinberg; Ellwanger,...

$$\Gamma_k = \sum_{n=0}^{\infty} \frac{1}{n!} \int \prod_{j=0}^n d^d x_j \Gamma_k^{(n)}(x_1, x_2, \dots, x_n) \\ \prod_{j=0}^n (\phi(x_j) - \phi_0)$$

## Expansion in canonical dimension of couplings

Lowest order:

$$d = 4 : \rho_0, \bar{\lambda}, Z$$

$$d = 3 : \rho_0, \bar{\lambda}, \bar{\gamma}, Z$$

$$U = \frac{1}{2}\bar{\lambda}(\rho - \rho_0)^2 + \frac{1}{6}\bar{\gamma}(\rho - \rho_0)^3$$

works well for  $O(N)$  models

Tetradis,...; Tsypin

polynomial expansion of potential converges

if expanded around  $\rho_0$

Tetradis,...; Aoki et al.

# Exact flow equation for effective potential

- Evaluate exact flow equation for homogeneous field  $\varphi$ .
- R.h.s. involves exact propagator in homogeneous background field  $\varphi$ .

# many models have been studied along these lines ...

- several fields
- complicated phase structure ( e.g.  $^3\text{He}$  )
- replica trick  $N=0$
- shift in critical temperature for Bose-Einstein condensate with interaction ( needs resolution for momentum dependence of propagator )
- gauge theories

# disordered systems



Canet , Delamotte , Tissier , ...

including fermions :

no particular problem !

# Universality in ultra-cold fermionic atom gases

with

S. Diehl , H.Gies , J.Pawlowski

# BEC – BCS crossover

Bound molecules of two atoms  
on microscopic scale:

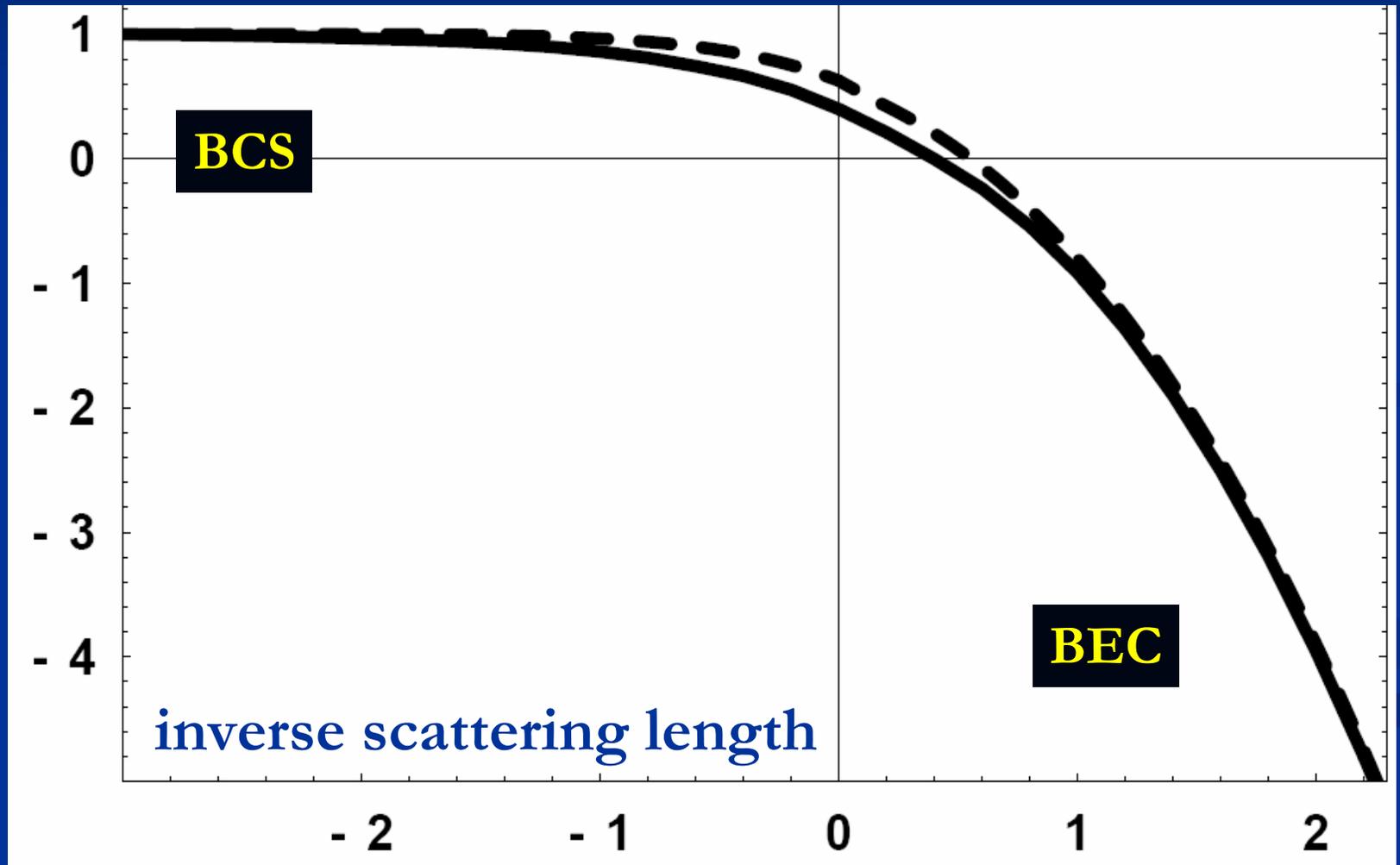
**Bose-Einstein condensate (BEC ) for low T**

Fermions with attractive interactions  
(molecules play no role ) :

**BCS – superfluidity at low T**  
by condensation of Cooper pairs

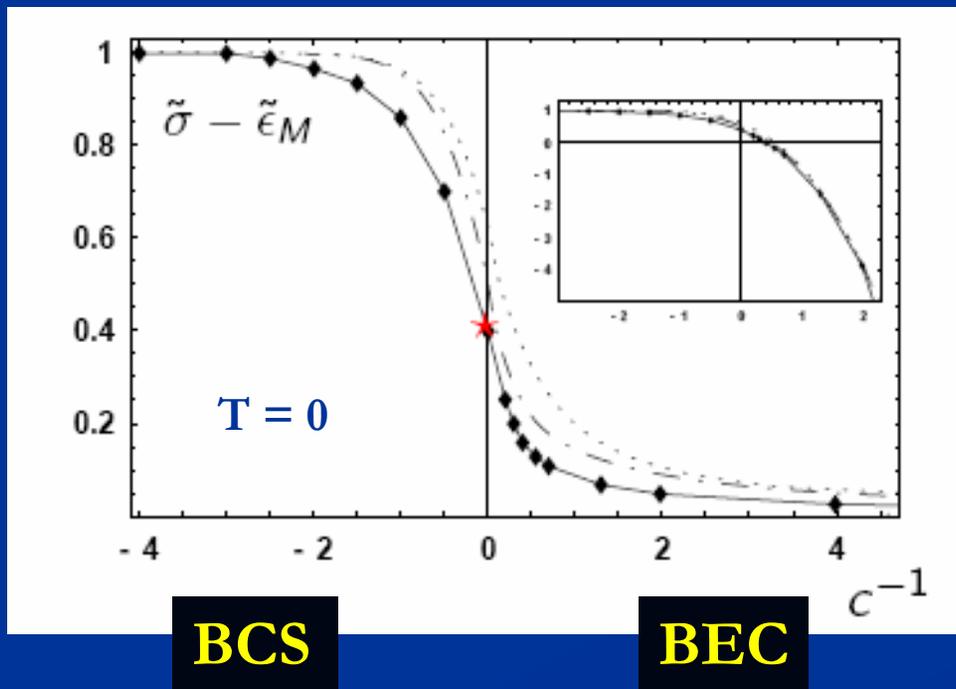
**Crossover** by Feshbach resonance  
as a transition in terms of external **magnetic field**

# chemical potential



# BEC – BCS crossover

- qualitative and partially quantitative theoretical understanding
- mean field theory (MFT) and first attempts beyond



concentration :  $\mathbf{c} = a \mathbf{k}_F$   
 reduced chemical  
 potential :  $\tilde{\sigma} = \mu / \epsilon_F$

Fermi momentum :  $\mathbf{k}_F$

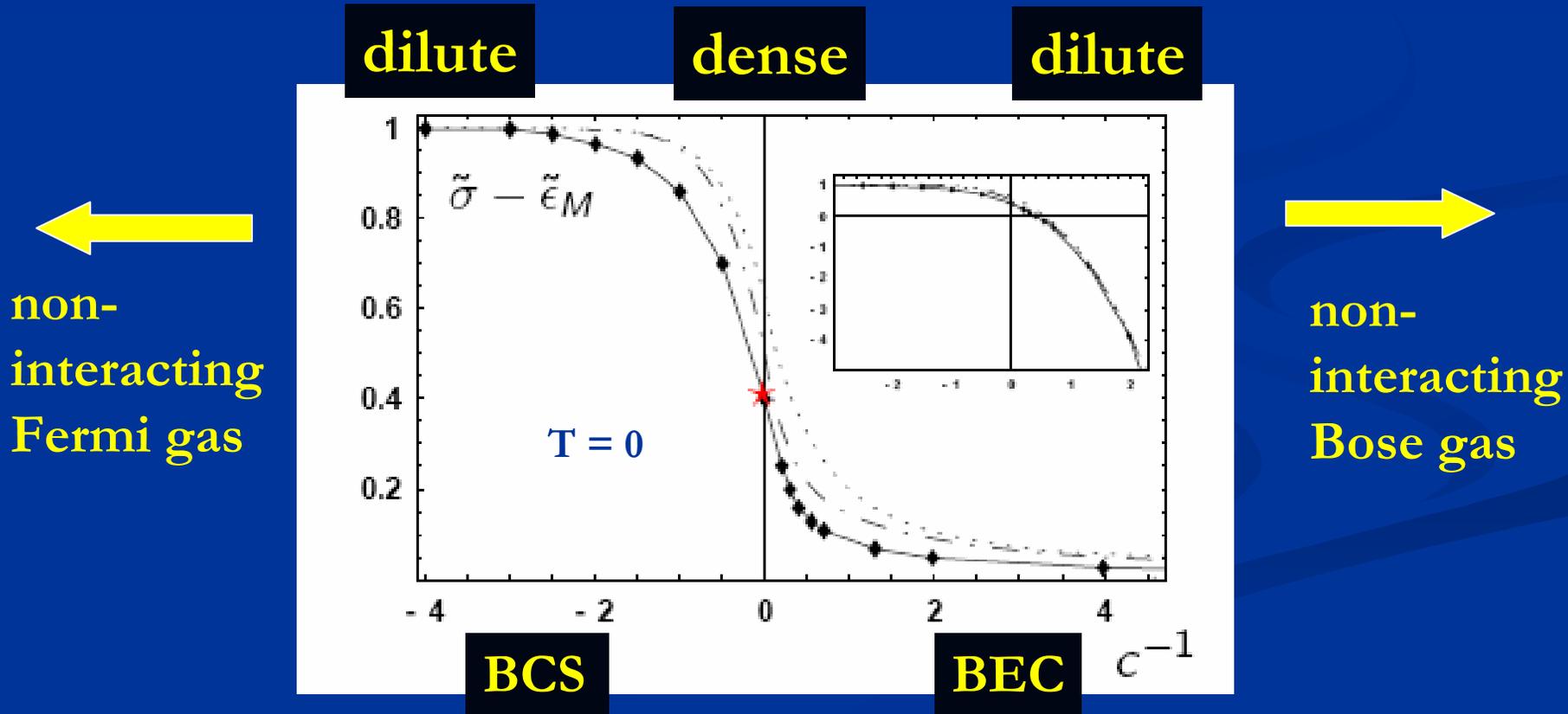
Fermi energy :  $\epsilon_F$

binding energy :

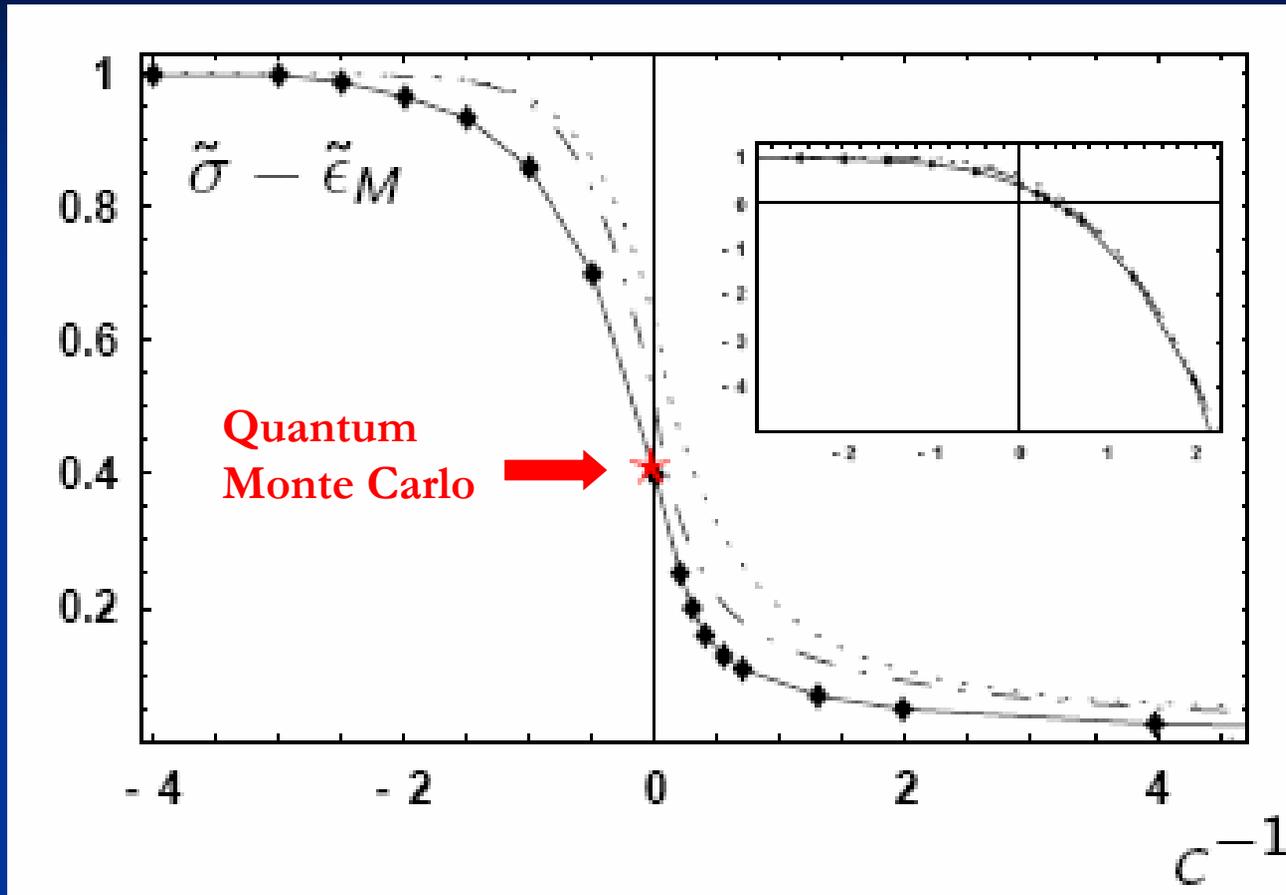
$$\tilde{\epsilon}_M = -\theta(c^{-1})c^{-2}$$

# concentration

- $c = a k_F$  ,  $a(B)$  : scattering length
- needs computation of density  $n = k_F^3 / (3\pi^2)$



# different methods



- ▶ Compare RGE (diamonds), SDE (dashed-dotted) and MFT (dashed) approximation schemes.

- ▶ Compare to QMC calculations at  $c^{-1} = 0$

	QMC	RGE	SDE	MFT
$\tilde{\sigma}$	0.44(2)*, 0.42(2)†	0.40	0.50	0.63

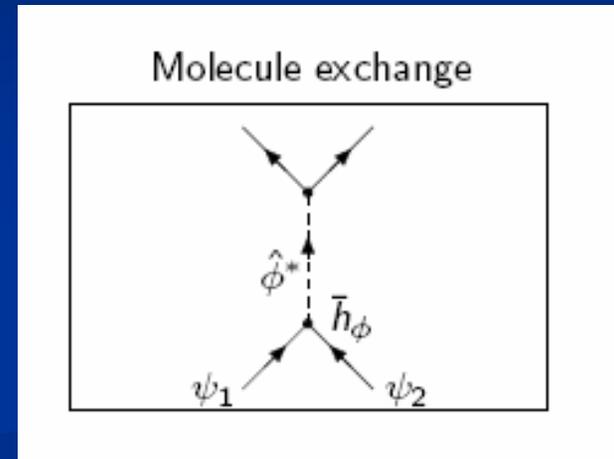
(\* Carlson *et al.*, PRL 91, 050401 (2003),

† Giorgini *et al.*, PRL 93, 200404 (2004)).

# QFT for non-relativistic fermions

- functional integral, action

$$S = \int_x \left\{ \psi^\dagger \left( \partial_\tau - \frac{\Delta}{2M} - \sigma \right) \psi \right. \\ \left. + \varphi^* \left( \partial_\tau - \frac{\Delta}{4M} + \bar{\nu}_\Lambda - 2\sigma \right) \varphi \right. \\ \left. - \bar{h}_\varphi \left( \varphi^* \psi_1 \psi_2 - \varphi \psi_1^* \psi_2^* \right) \right\}$$



perturbation theory:  
Feynman rules

$\tau$  : euclidean time on torus with circumference  $1/T$   
 $\sigma$  : effective chemical potential

# parameters

- detuning  $\nu(B)$

$$\bar{\nu}_\Lambda = \bar{\nu}_{\Lambda,0} + \bar{\mu}_B(B - B_0)$$

$$\frac{\partial \bar{\nu}_\Lambda}{\partial B} = \bar{\mu}_B$$

$$S = \int_x \left\{ \psi^\dagger \left( \partial_\tau - \frac{\Delta}{2M} - \sigma \right) \psi \right. \\ \left. + \varphi^* \left( \partial_\tau - \frac{\Delta}{4M} + \bar{\nu}_\Lambda - 2\sigma \right) \varphi \right. \\ \left. - \bar{h}_\varphi (\varphi^* \psi_1 \psi_2 - \varphi \psi_1^* \psi_2^*) \right\}$$

- Yukawa or Feshbach coupling  $h_\varphi$

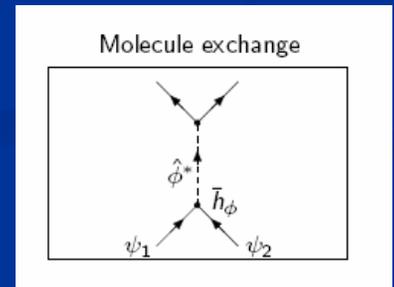
# fermionic action

equivalent fermionic action , in general not local

$$S_F = \int_x \psi^\dagger \left( \partial_\tau - \frac{\Delta}{2M} - \sigma \right) \psi + S_{\text{int}}$$

$$S_{\text{int}} = -\frac{1}{2} \int_{Q_1, Q_2, Q_3} (\psi^\dagger(-Q_1)\psi(Q_2)) (\psi^\dagger(Q_4)\psi(-Q_3))$$

$$\frac{\bar{h}_\phi^2}{\bar{v}_\Lambda - 2\sigma + (\vec{q}_1 - \vec{q}_4)^2/4M + 2\pi iT(n_1 - n_4)}$$



# scattering length $a$

$$\bar{\lambda} = -\frac{\bar{h}_\varphi^2}{\bar{\nu}_\Lambda}$$

$$a = M \lambda / 4\pi$$

- broad resonance : pointlike limit
- large Feshbach coupling

$$\bar{h}_\varphi^2 \rightarrow \infty, \bar{\nu}_\Lambda \rightarrow \infty, \bar{\lambda} \text{ fixed}$$

$$S_{\text{int}} = \frac{-\frac{1}{2} \int_{Q_1, Q_2, Q_3} (\psi^\dagger(-Q_1)\psi(Q_2))(\psi^\dagger(Q_4)\psi(-Q_3))}{\bar{\nu}_\Lambda - 2\sigma + (\vec{q}_1 - \vec{q}_4)^2/4M + 2\pi iT(n_1 - n_4)} \bar{h}_\varphi^2$$

# collective di-atom states

collective degrees of freedom

can be introduced by

**partial bosonisation**

( Hubbard - Stratonovich transformation )

# units and dimensions

- $c = 1 ; \hbar = 1 ; k_B = 1$
- momentum  $\sim \text{length}^{-1} \sim \text{mass} \sim \text{eV}$
- energies :  $2ME \sim (\text{momentum})^2$   
(  $M$  : atom mass )
- typical momentum unit : Fermi momentum
- typical energy and temperature unit : Fermi energy
- time  $\sim (\text{momentum})^{-2}$
- **canonical dimensions different from relativistic QFT !**

# rescaled action

$$S = \int_{\hat{x}} \left\{ \hat{\psi}^\dagger (\hat{\partial}_\tau - \hat{\Delta} - \hat{\sigma}) \hat{\psi} + \hat{\varphi}^* \left( \hat{\partial}_\tau - \frac{1}{2} \hat{\Delta} + \hat{\nu} - 2\hat{\sigma} \right) \hat{\varphi} - \hat{h}_\varphi (\hat{\varphi}^* \hat{\psi}_1 \hat{\psi}_2 - \hat{\varphi} \hat{\psi}_1^* \hat{\psi}_2^*) \right\}$$

$$\begin{aligned} \hat{\psi} &= \hat{k}^{-3/2} \psi, \quad \hat{\varphi} = \hat{k}^{-3/2} \varphi, \\ \hat{x} &= \hat{k} x, \quad \hat{\tau} = \frac{\hat{k}^2}{2M} \tau, \\ \hat{\sigma} &= \frac{2M\sigma}{\hat{k}^2}, \quad \hat{h}_\varphi = \frac{2M\bar{h}_\varphi}{\sqrt{\hat{k}}} \end{aligned}$$

- M drops out
- all quantities in units of  $k_F$  if

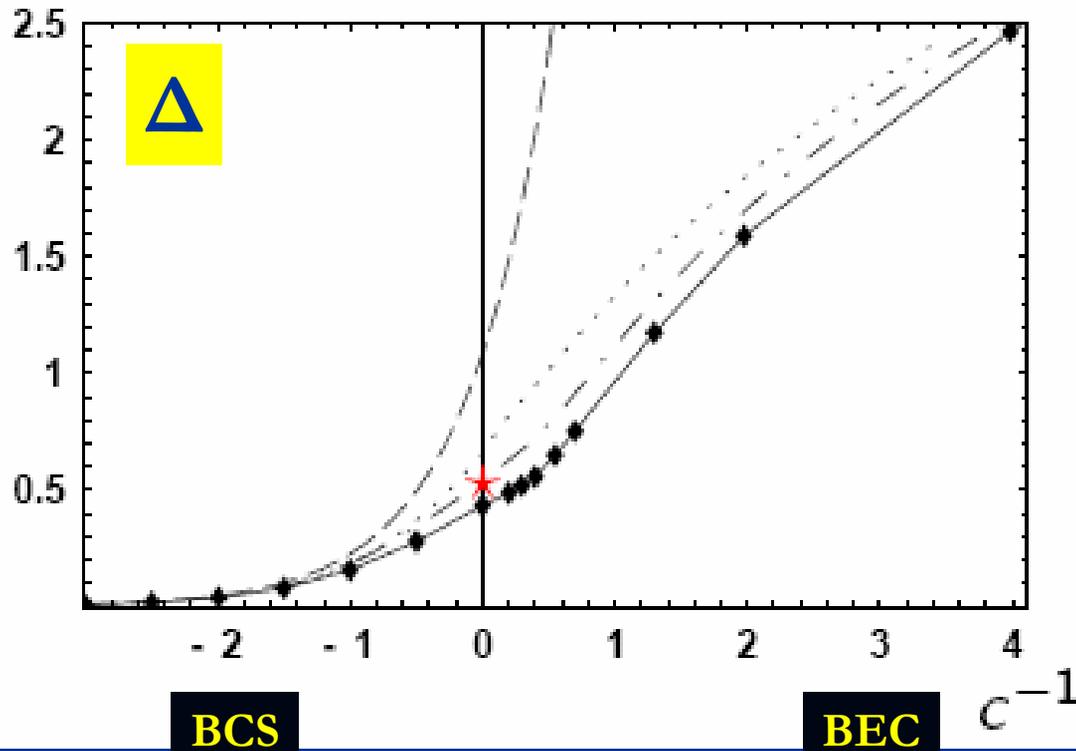
$$\hat{k} = k_F$$

# effective action

- integrate out all quantum and thermal fluctuations
- quantum effective action
- generates full propagators and vertices
- richer structure than classical action

$$\Gamma = \int_x \{ \psi^\dagger (\partial_\tau - A_\psi \Delta - \sigma) \psi + \varphi^* (\partial_\tau - A_\varphi \Delta) \varphi + u(\varphi) - h_\varphi (\varphi^* \psi_1 \psi_2 - \varphi \psi_1^* \psi_2^*) + \dots \}$$

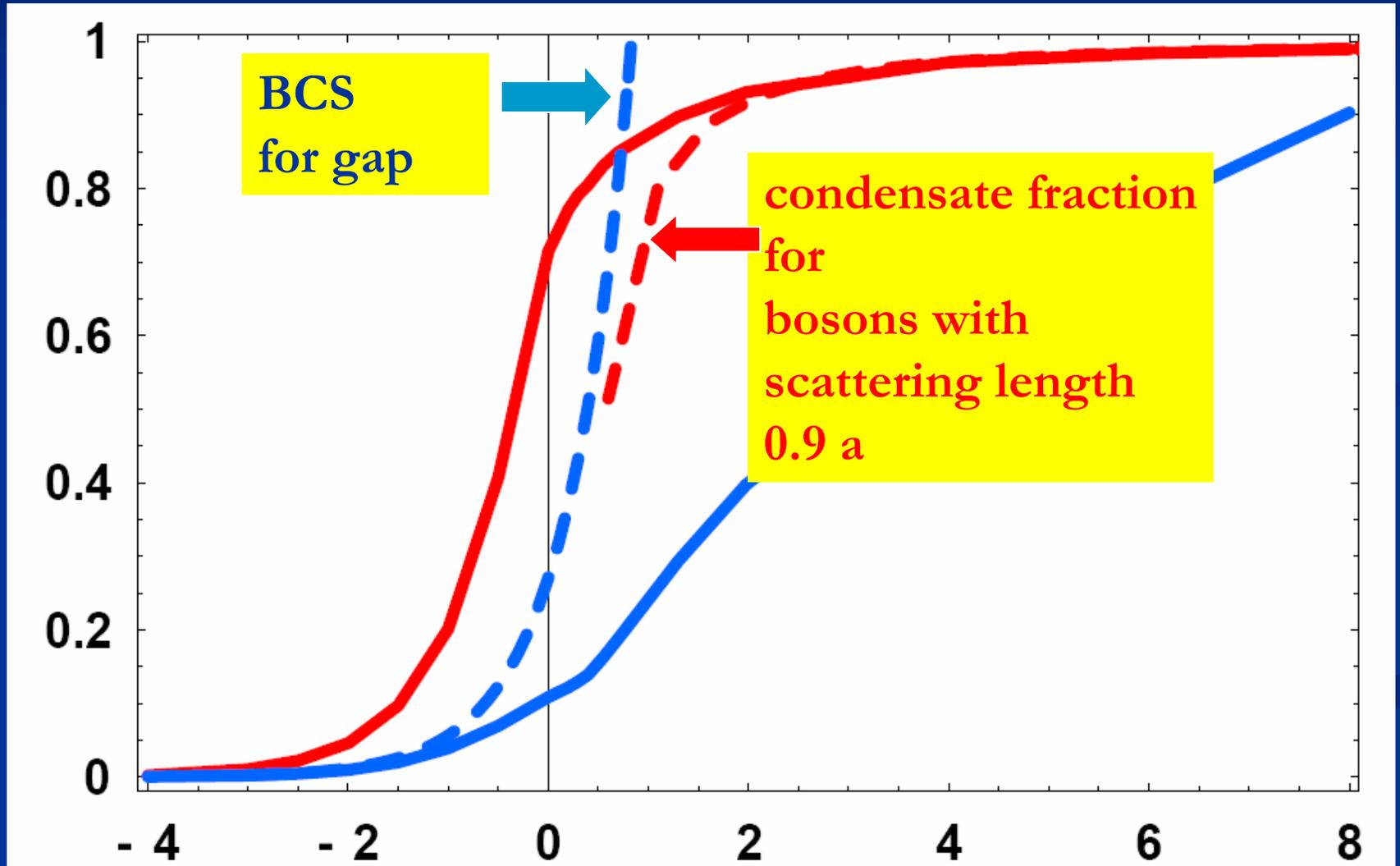
# gap parameter



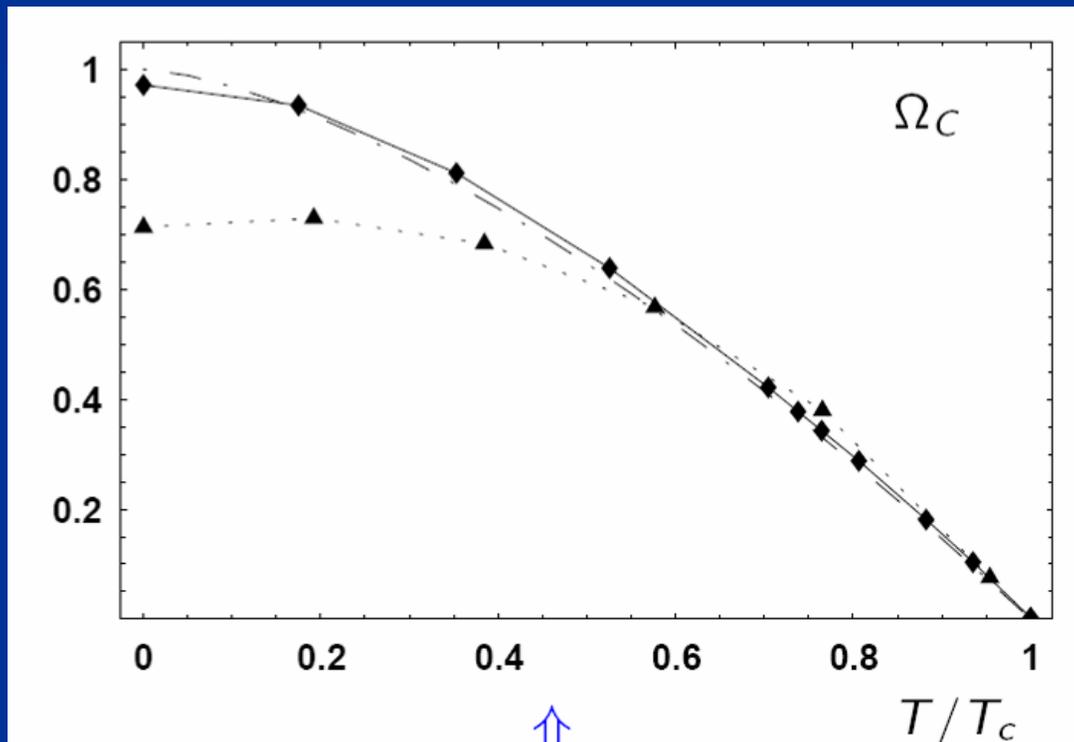
BCS regime: recover BCS gap result  $\Delta/\Delta^{BCS}(c^{-1}) \approx 0.9$  for  $c^{-1} < -2$ .

MFT (dashed): No boson interactions.  
SDE (dashed-dotted): Overestimates interactions,  $a_M = 2$ .

# limits



# temperature dependence of condensate



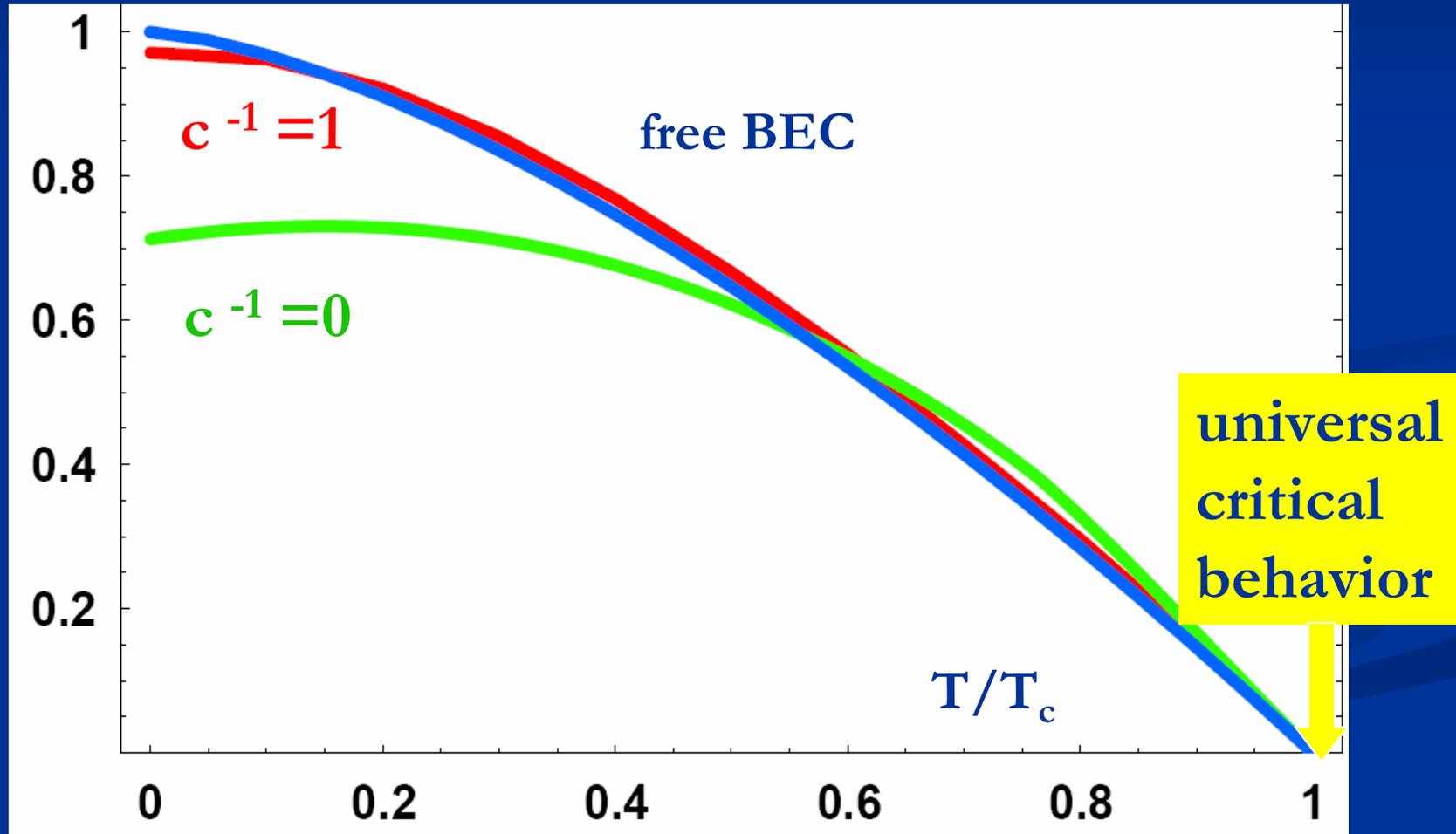
Compare free BE condensate fraction to result for  $c^{-1} = 0$  (resonance, triangles) and  $c^{-1} = 1$  (BEC regime, diamonds).

Low temperature: Condensate fraction strongly depends on  $c^{-1}$ .

Close to criticality:

- ▶ Second order phase transition.
- ▶ Similar approach to  $T_c$ : dominance of boson fluctuations, system attracted to universal critical point.

# condensate fraction : second order phase transition



changing degrees of freedom

# Antiferromagnetic order in the Hubbard model

A functional renormalization group study

T.Baier, E.Bick, ...

# Hubbard model

## Functional integral formulation

$$\begin{aligned} Z[\eta] = & \int_{\hat{\psi}(\beta)=-\hat{\psi}(0), \hat{\psi}^*(\beta)=-\hat{\psi}^*(0)} \mathcal{D}(\hat{\psi}^*(\tau), \hat{\psi}(\tau)) \\ & \exp \left( - \int_0^\beta d\tau \left( \sum_{\mathbf{x}} \hat{\psi}_{\mathbf{x}}^\dagger(\tau) \left( \frac{\partial}{\partial \tau} - \mu \right) \hat{\psi}_{\mathbf{x}}(\tau) \right. \right. \\ & \quad \left. \left. + \sum_{\mathbf{xy}} \hat{\psi}_{\mathbf{x}}^\dagger(\tau) \mathcal{T}_{\mathbf{xy}} \hat{\psi}_{\mathbf{y}}(\tau) \right. \right. \\ & \quad \left. \left. + \frac{1}{2} U \sum_{\mathbf{x}} (\hat{\psi}_{\mathbf{x}}^\dagger(\tau) \hat{\psi}_{\mathbf{x}}(\tau))^2 \right. \right. \\ & \quad \left. \left. - \sum_{\mathbf{x}} (\eta_{\mathbf{x}}^\dagger(\tau) \hat{\psi}_{\mathbf{x}}(\tau) + \eta_{\mathbf{x}}^T(\tau) \hat{\psi}_{\mathbf{x}}^*(\tau)) \right) \right) \end{aligned}$$

$U > 0$  :  
repulsive local interaction

next neighbor interaction

$$\mathcal{T}_{\mathbf{xy}} = \begin{cases} -t & , \text{ if } \mathbf{x} \text{ and } \mathbf{y} \text{ are nearest neighbors} \\ 0 & , \text{ else} \end{cases}$$

External parameters  
 $T$  : temperature  
 $\mu$  : chemical potential  
(doping)

# lattice propagator

$$S_{F,\text{kin}} = \sum_Q \hat{\psi}^\dagger(Q) (i\omega_F - \mu - 2t(\cos q_1 + \cos q_2)) \hat{\psi}(Q),$$

# Fermion bilinears

$$\begin{aligned}\tilde{\rho}(X) &= \hat{\psi}^\dagger(X)\hat{\psi}(X), \\ \tilde{\vec{m}}(X) &= \hat{\psi}^\dagger(X)\vec{\sigma}\hat{\psi}(X)\end{aligned}$$

Introduce sources for bilinears

$$S_F = S_{F,\text{kin}} + \frac{1}{2}U(\hat{\psi}^\dagger\hat{\psi})^2 - J_\rho\tilde{\rho} - \vec{J}_m\tilde{\vec{m}}$$

Functional variation with respect to sources  $J$  yields expectation values and correlation functions

$$\begin{aligned}Z &= \int \mathcal{D}(\psi^*, \psi) \exp(- (S_F + S_\eta)) \\ S_\eta &= -\eta^\dagger\psi - \eta^T\psi^*\end{aligned}$$

# Partial Bosonisation

- collective bosonic variables for fermion bilinears
- insert identity in functional integral  
( Hubbard-Stratonovich transformation )
- replace four fermion interaction by equivalent bosonic interaction ( e.g. mass and Yukawa terms)
- problem : decomposition of fermion interaction into bilinears not unique ( Grassmann variables)

$$(\hat{\psi}^\dagger(X)\hat{\psi}(X))^2 = \tilde{\rho}(X)^2 = -\frac{1}{3}\tilde{m}(X)^2$$

# Partially bosonised functional integral

$$Z[\eta, \eta^*, J_\rho, \vec{J}_m] = \int \mathcal{D}(\psi^*, \psi, \hat{\rho}, \hat{m}) \exp(- (S + S_\eta + S_J))$$

$$S = S_{F,\text{kin}} + \frac{1}{2}U_\rho \hat{\rho}^2 + \frac{1}{2}U_m \hat{m}^2 - U_\rho \hat{\rho} \tilde{\rho} - U_m \hat{m} \tilde{m},$$
$$S_J = - J_\rho \hat{\rho} - \vec{J}_m \hat{m}$$

**equivalent** to  
fermionic functional integral

**if**

$$U = -U_\rho + 3U_m$$

**Bosonic integration  
is Gaussian**

**or:**

**solve bosonic field  
equation as functional  
of fermion fields and  
reinsert into action**

$$\hat{\rho} = \tilde{\rho} + \frac{J_\rho}{U_\rho}, \quad \hat{m} = \tilde{m} + \frac{\vec{J}_m}{U_m}$$

# fermion – boson action

$$S = S_{F,\text{kin}} + S_B + S_Y + S_J,$$

fermion kinetic term

$$S_{F,\text{kin}} = \sum_Q \hat{\psi}^\dagger(Q) (i\omega_F - \mu - 2t(\cos q_1 + \cos q_2)) \hat{\psi}(Q),$$

boson quadratic term (“classical propagator”)

$$S_B = \frac{1}{2} \sum_Q \left( U_\rho \hat{\rho}(Q) \hat{\rho}(-Q) + U_m \hat{m}(Q) \hat{m}(-Q) \right),$$

Yukawa coupling

$$S_Y = - \sum_{QQ'Q''} \delta(Q - Q' + Q'') \times \\ (U_\rho \hat{\rho}(Q) \hat{\psi}^\dagger(Q') \hat{\psi}(Q'') + U_m \hat{m}(Q) \hat{\psi}^\dagger(Q') \vec{\sigma} \hat{\psi}(Q'')),$$

## source term

$$S_J = - \sum_Q \left( J_\rho(-Q) \hat{\rho}(Q) + \vec{J}_m(-Q) \hat{m}(Q) \right)$$

is now linear in the bosonic fields

# Mean Field Theory (MFT)

Evaluate Gaussian fermionic integral in background of bosonic field , e.g.

$$\begin{aligned}\hat{\rho}(Q) &\rightarrow \rho\delta(Q) \\ \hat{m}(Q) &\rightarrow \vec{a}\delta(Q - \Pi)\end{aligned}$$

$$\begin{aligned}Z_{\text{MF}} &= \int \mathcal{D}(\hat{\psi}^*, \hat{\psi}) \exp(-S_{\text{MF}}), \\ S_{\text{MF}} &= \sum_Q \hat{\psi}^\dagger(Q)(i\omega_F - \mu - 2t(\cos q_1 + \cos q_2))\hat{\psi}(Q) \\ &\quad - \sum_Q (U_\rho \rho \hat{\psi}^\dagger(Q)\hat{\psi}(Q) + U_m \vec{a} \hat{\psi}^\dagger(Q + \Pi)\vec{\sigma}\hat{\psi}(Q)) \\ &\quad + \frac{V_2}{2T}(U_\rho \rho^2 + U_m \vec{a}^2) - J_\rho(0)\rho - \vec{J}_m(-\Pi)\vec{a}\end{aligned}$$

$$\Gamma_{\text{MF}} = -\ln Z_{\text{MF}} + J_\rho(0)\rho + \vec{J}_m(-\Pi)\vec{a}$$

# Effective potential in mean field theory

$$U(\rho, \vec{a}) = \frac{T\Gamma}{V_2} = \frac{1}{2}(U_\rho \rho^2 + U_m \vec{a}^2) + \Delta U(\rho, \vec{a})$$

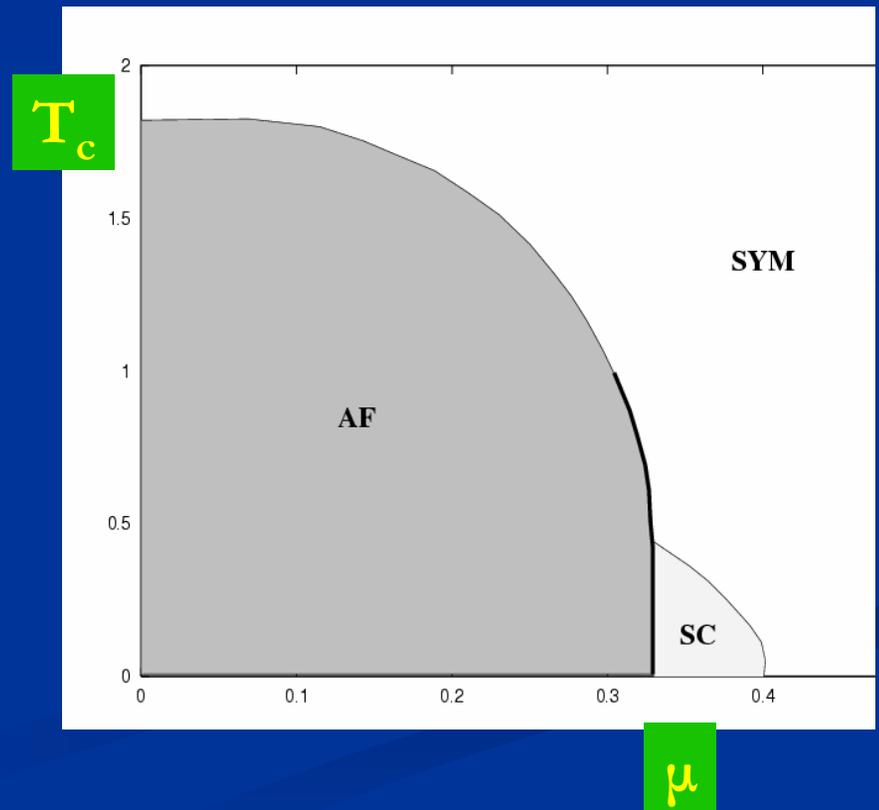
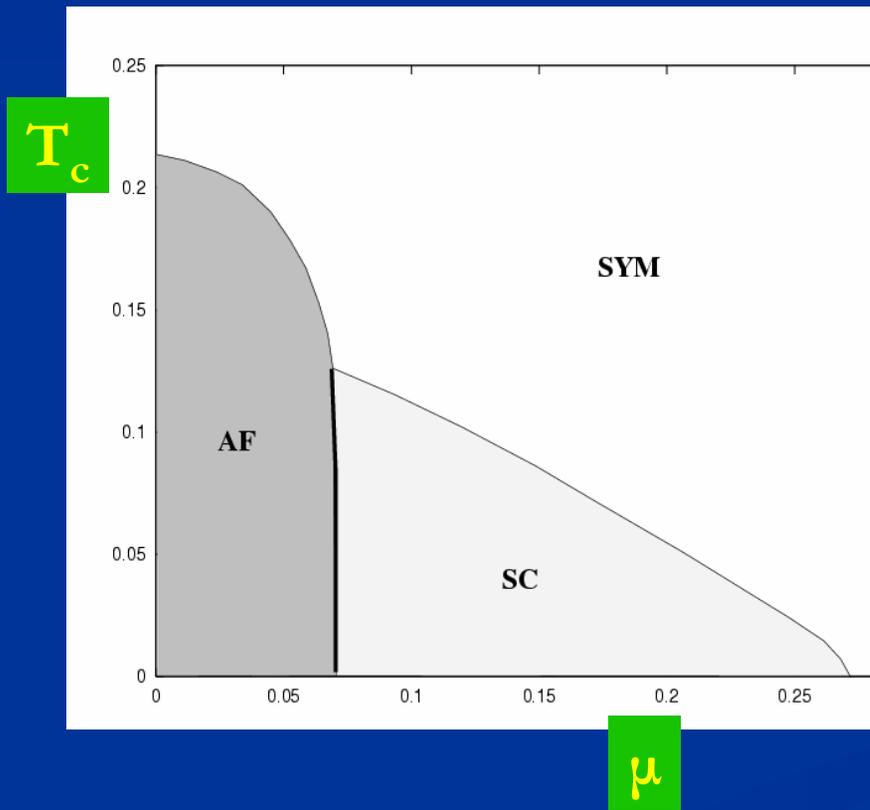
$$\Delta U(\rho, \vec{a}) = -\frac{T}{V_2} \ln \int \mathcal{D}(\hat{\psi}^*, \hat{\psi}) \exp(-S_\Delta),$$

$$S_\Delta = \sum_Q \left( \hat{\psi}^\dagger(Q) P(Q) \hat{\psi}(Q) - U_m \vec{a} \hat{\psi}^\dagger(Q + \Pi) \vec{\sigma} \hat{\psi}(Q) \right)$$

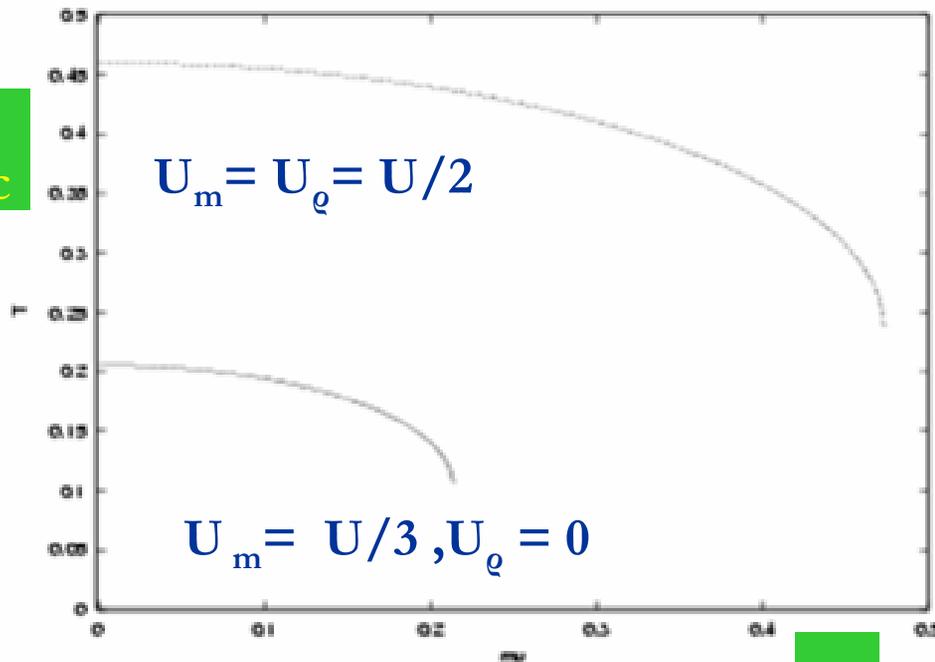
$$P(Q) = i\omega_F - \mu_{\text{eff}} - 2t(\cos q_1 + \cos q_2),$$
$$\mu_{\text{eff}} = \mu + U_\rho \rho.$$

# Mean field phase diagram

for two different choices of couplings – same  $U$  !



# Mean field ambiguity



Artefact of approximation ...

cured by inclusion of bosonic fluctuations

J.Jaeckel, ...

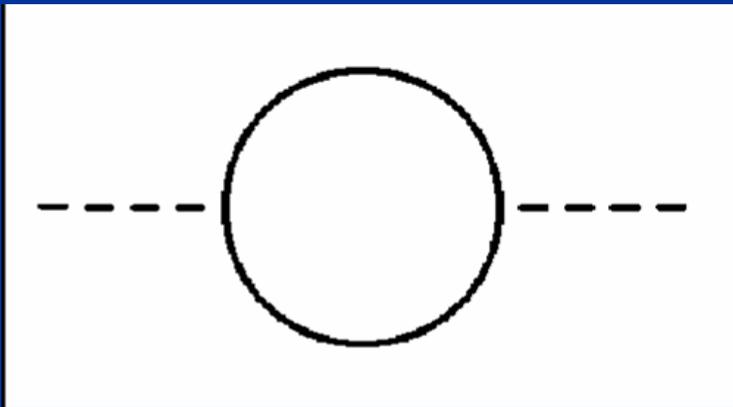
mean field phase diagram

$$U = -U_\rho + 3U_m$$

# Rebosonization and the mean field ambiguity

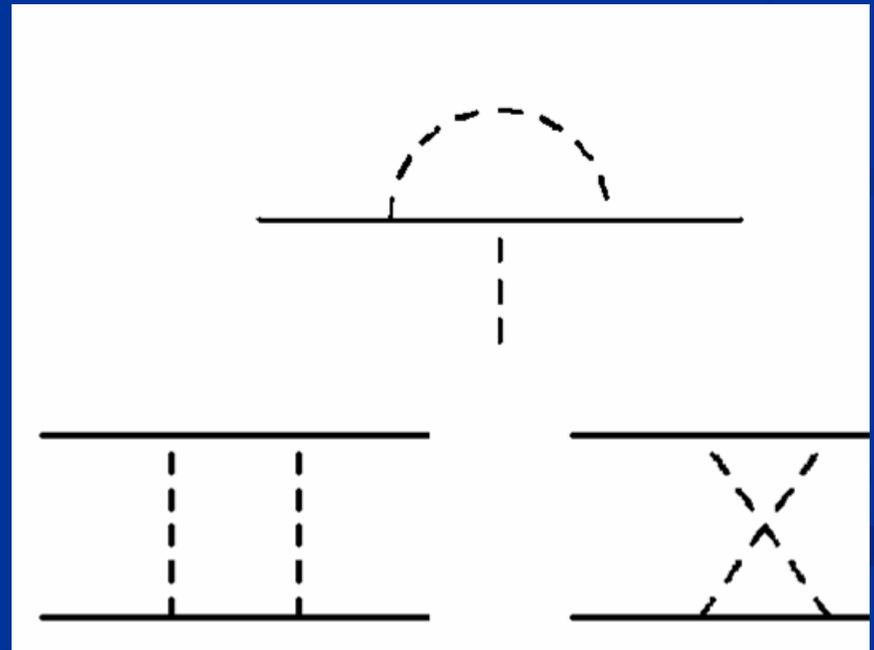
# Bosonic fluctuations

fermion loops



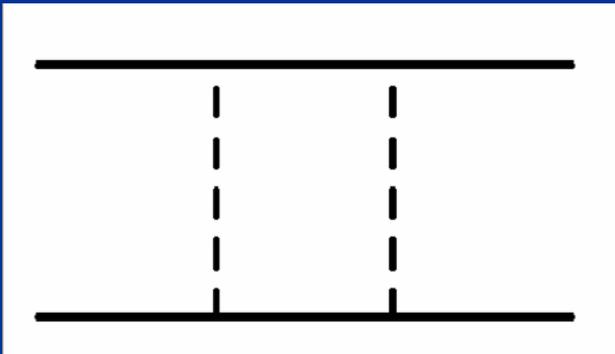
mean field theory

boson loops



# Rebosonization

- adapt bosonization to every scale  $k$  such that



is translated to bosonic interaction

H.Gies , ...

$$\begin{aligned} \Gamma_k[\psi, \psi^*, \phi] = & \sum_Q \psi^*(Q) P_{\psi,k} \psi(Q) \\ & + \frac{1}{2} \sum_Q \phi(-Q) P_{\phi,k}(Q) \phi(Q) \\ & - \sum_Q h_k(Q) \phi(Q) \tilde{\phi}(-Q) \\ & + \sum_Q \lambda_{\psi,k}(Q) \tilde{\phi}(Q) \tilde{\phi}(-Q) \end{aligned}$$

**k-dependent field redefinition**

$$\phi_k(Q) = \phi_{\bar{k}}(Q) + \Delta\alpha_k(Q) \tilde{\phi}(Q)$$

$$\partial_k \phi_k(Q) = -\partial_k \alpha_k(Q) \tilde{\phi}(Q)$$

**absorbs four-fermion coupling**

# Modification of evolution of couplings ...

## Evolution with k-dependent field variables

$$\begin{aligned}\partial_k \Gamma_k[\psi, \psi^*, \phi_k] &= \partial_k \Gamma_k[\psi, \psi^*, \phi_k]|_{\phi_k} \\ &\quad + \sum_Q \left( \frac{\delta}{\delta \phi_k} \Gamma[\psi, \psi^*, \phi_k] \right) \partial_k \phi_k \\ &= \partial_k \Gamma_k[\psi, \psi^*, \phi_k]|_{\phi_k} \\ &\quad + \sum_Q \left( -\partial_k \alpha_k(Q) P_{\phi, k}(Q) \phi_k(Q) \tilde{\phi}(-Q) \right. \\ &\quad \left. + h_k(Q) \partial_k \alpha_k(Q) \tilde{\phi}(Q) \tilde{\phi}(-Q) \right)\end{aligned}$$

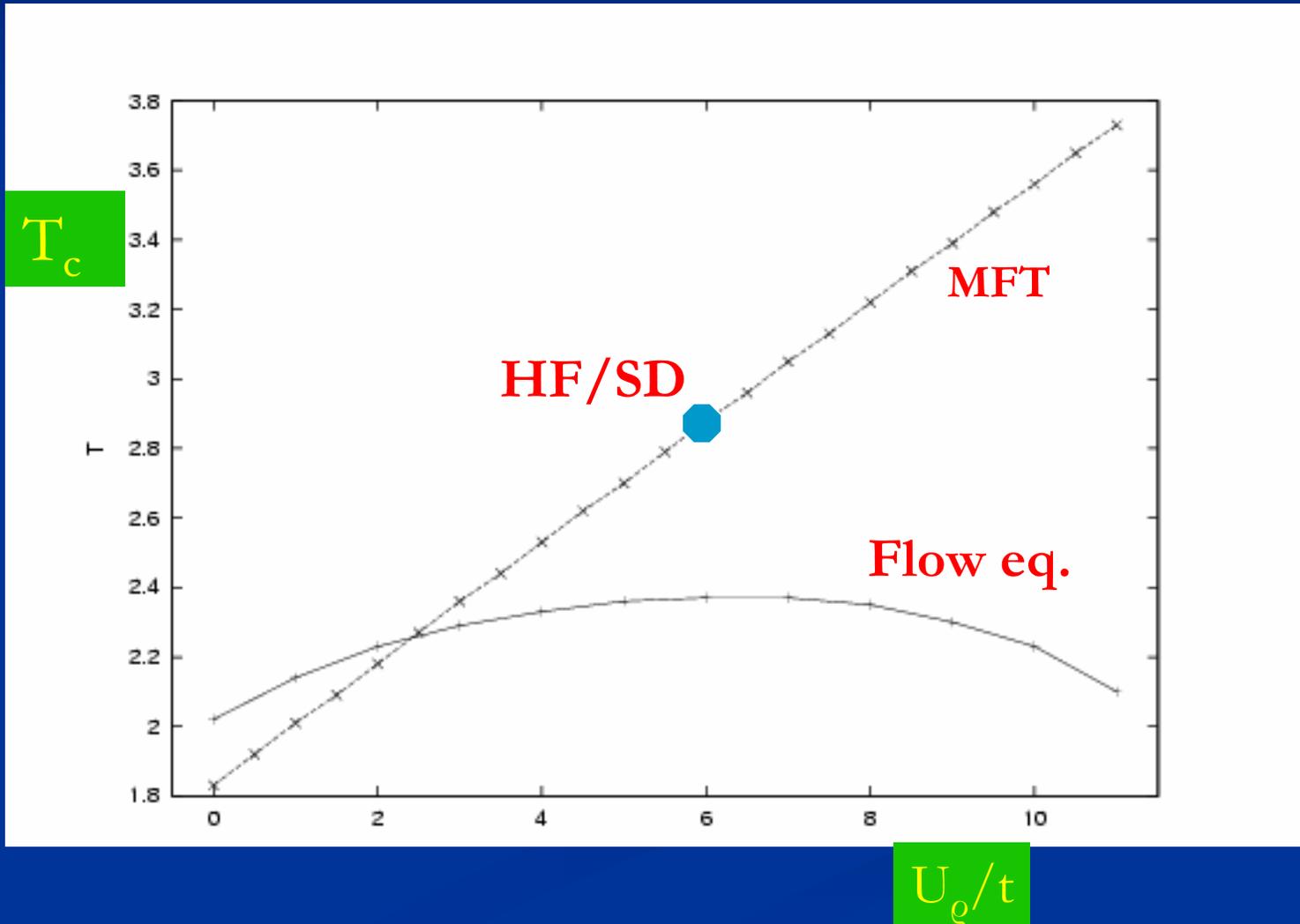
## Rebosonisation

$$\begin{aligned}\partial_k h_k(Q) &= \partial_k h_k(Q)|_{\phi_k} + \partial_k \alpha_k(Q) P_{\phi, k}(Q), \\ \partial_k \lambda_{\psi, k}(Q) &= \partial_k \lambda_{\psi, k}(Q)|_{\phi_k} + h_k(Q) \partial_k \alpha_k(Q).\end{aligned}$$

Choose  $\alpha_k$  such that no  
four fermion coupling  
is generated  $\longrightarrow$

$$\partial_k h_k(Q) = \partial_k h_k(Q)|_{\phi_k} - \frac{P_{\phi, k}(Q)}{h_k(Q)} \partial_k \lambda_{\psi, k}(Q)|_{\phi_k}$$

# ...cures mean field ambiguity



# conclusions

Flow equation for effective average action:

- Does it work?
- Why does it work?
- When does it work?
- How accurately does it work?



# Flow equation for the Hubbard model

T.Baier , E.Bick , ...

# Truncation

Concentrate on antiferromagnetism

$$\vec{a}(Q) = \vec{m}(Q + \Pi)$$

Potential U depends only on  $\alpha = a^2$

$$\Gamma_{\psi,k}[\psi, \psi^*] = \sum_Q \psi^\dagger(Q) P_F(Q) \psi(Q),$$

$$P_F(Q) = i\omega_F + \epsilon - \mu, \quad \epsilon(\mathbf{q}) = -2t(\cos q_x + \cos q_y),$$

$$\Gamma_{Y,k}[\psi, \psi^*, \vec{a}] = -\bar{h}_{a,k} \sum_{KQQ'} \vec{a}(K) \psi^*(Q) \vec{\sigma} \psi(Q')$$

$$\times \delta(K - Q + Q' + \Pi)$$

$$\Gamma_{a,k}[\vec{a}] = \frac{1}{2} \sum_Q \vec{a}(-Q) P_a(Q) \vec{a}(Q) + \sum_X U[\vec{a}(X)]$$

$$\text{SYM} : \sum_X U[\vec{a}] = \sum_K \bar{m}_a^2 \alpha(-K, K) +$$

$$+ \frac{1}{2} \sum_{K_1 \dots K_4} \bar{\lambda}_a \delta(K_1 + K_2 + K_3 + K_4)$$

$$\times \alpha(K_1, K_2) \alpha(K_3, K_4),$$

$$\text{SSB} : \sum_X U[\vec{a}] = \frac{1}{2} \sum_{K_1 \dots K_4} \bar{\lambda}_a \delta(K_1 + K_2 + K_3 + K_4)$$

$$\times (\alpha(K_1, K_2) - \alpha_0 \delta(K_1) \delta(K_2))$$

$$\times (\alpha(K_3, K_4) - \alpha_0 \delta(K_3) \delta(K_4))$$

$$\alpha(K, K') = \frac{1}{2} \vec{a}(K) \vec{a}(K')$$

# scale evolution of effective potential for antiferromagnetic order parameter

$$\begin{aligned}\partial_k U(\alpha) &= \partial_k U^B(\alpha) + \partial_k U^F(\alpha) \\ &= \frac{1}{2} \sum_{Q,i} \tilde{\partial}_k \ln [P_a(Q) + \hat{M}_i^2(\alpha) + R_k^a(Q)] \\ &\quad - 2T \int_{-\pi}^{\pi} \frac{d^2 q}{(2\pi)^2} \tilde{\partial}_k \ln \cosh y(\alpha).\end{aligned}$$

boson contribution

fermion contribution

$$\begin{aligned}\hat{M}_{1,2,3}^2(\alpha) &= \\ &= \begin{cases} (\bar{m}_a^2 + 3\bar{\lambda}_a\alpha, \bar{m}_a^2 + \bar{\lambda}_a\alpha, \bar{m}_a^2 + \bar{\lambda}_a\alpha) & \text{SYM} \\ (\bar{\lambda}_a(3\alpha - \alpha_0), \bar{\lambda}_a(\alpha - \alpha_0), \bar{\lambda}_a(\alpha - \alpha_0)) & \text{SSB} \end{cases}\end{aligned}$$

$$y(\alpha) = \frac{1}{2T_k} \sqrt{\epsilon^2(\mathbf{q}) + 2\bar{h}_a^2\alpha}.$$

effective masses  
depend on  $\alpha$  !

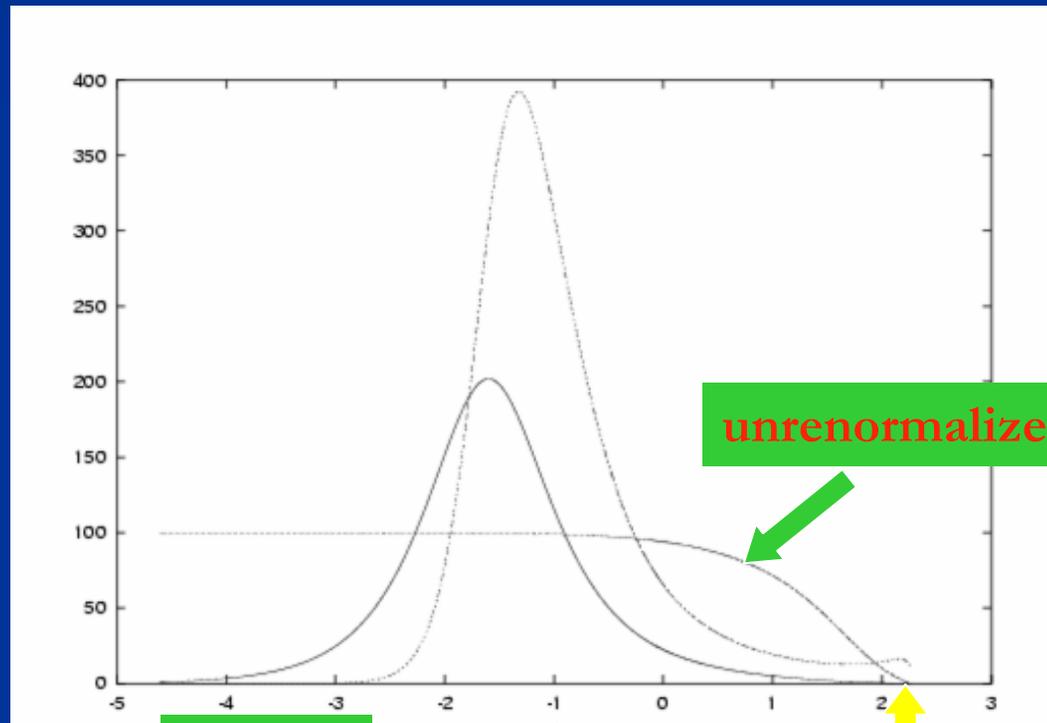
gap for fermions  $\sim \alpha$

# running couplings

$$\begin{aligned}\text{SYM:} \quad \partial_k \bar{m}_a^2 &= \frac{\partial}{\partial \alpha} (\partial_k U(\alpha))|_{\alpha=0}, \\ \partial_k \bar{\lambda}_a &= \frac{\partial^2}{\partial \alpha^2} (\partial_k U(\alpha))|_{\alpha=0},\end{aligned}$$

$$\begin{aligned}\text{SSB:} \quad \partial_k \alpha_0 &= -\frac{1}{\bar{\lambda}_a} \frac{\partial}{\partial \alpha} (\partial_k U(\alpha))|_{\alpha=\alpha_0}, \\ \partial_k \bar{\lambda}_a &= \frac{\partial^2}{\partial \alpha^2} (\partial_k U(\alpha))|_{\alpha=\alpha_0}.\end{aligned}$$

# Running mass term



unrenormalized mass term

$-\ln(k/t)$

four-fermion interaction  $\sim m^{-2}$  diverges

# dimensionless quantities

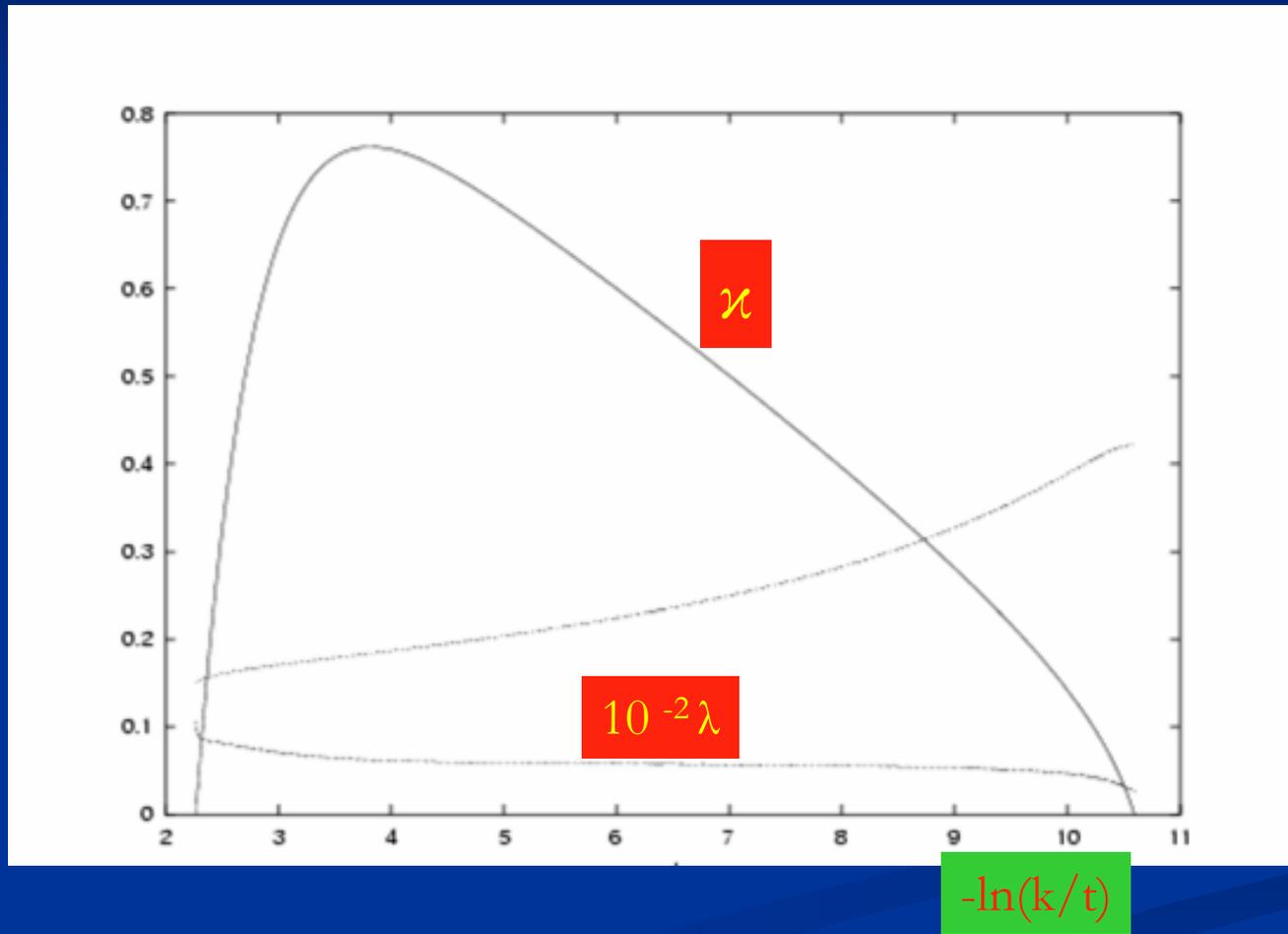
$$u = \frac{Ut^2}{Tk^2}, \quad \tilde{\alpha} = \frac{Z_a t^2 \alpha}{T}$$

$$m_a^2 = \frac{\bar{m}_a^2}{Z_a k^2} = \frac{\partial u}{\partial \tilde{\alpha}}, \quad \kappa_a = \frac{Z_a t^2}{T} \alpha_0,$$

$$\lambda_a = \frac{T}{Z_a^2 t^2 k^2} \bar{\lambda}_a = \frac{\partial^2 u}{\partial \tilde{\alpha}^2}, \quad h_a^2 = \frac{T}{Z_a t^4} \bar{h}_a^2$$

renormalized antiferromagnetic order parameter  $\kappa$

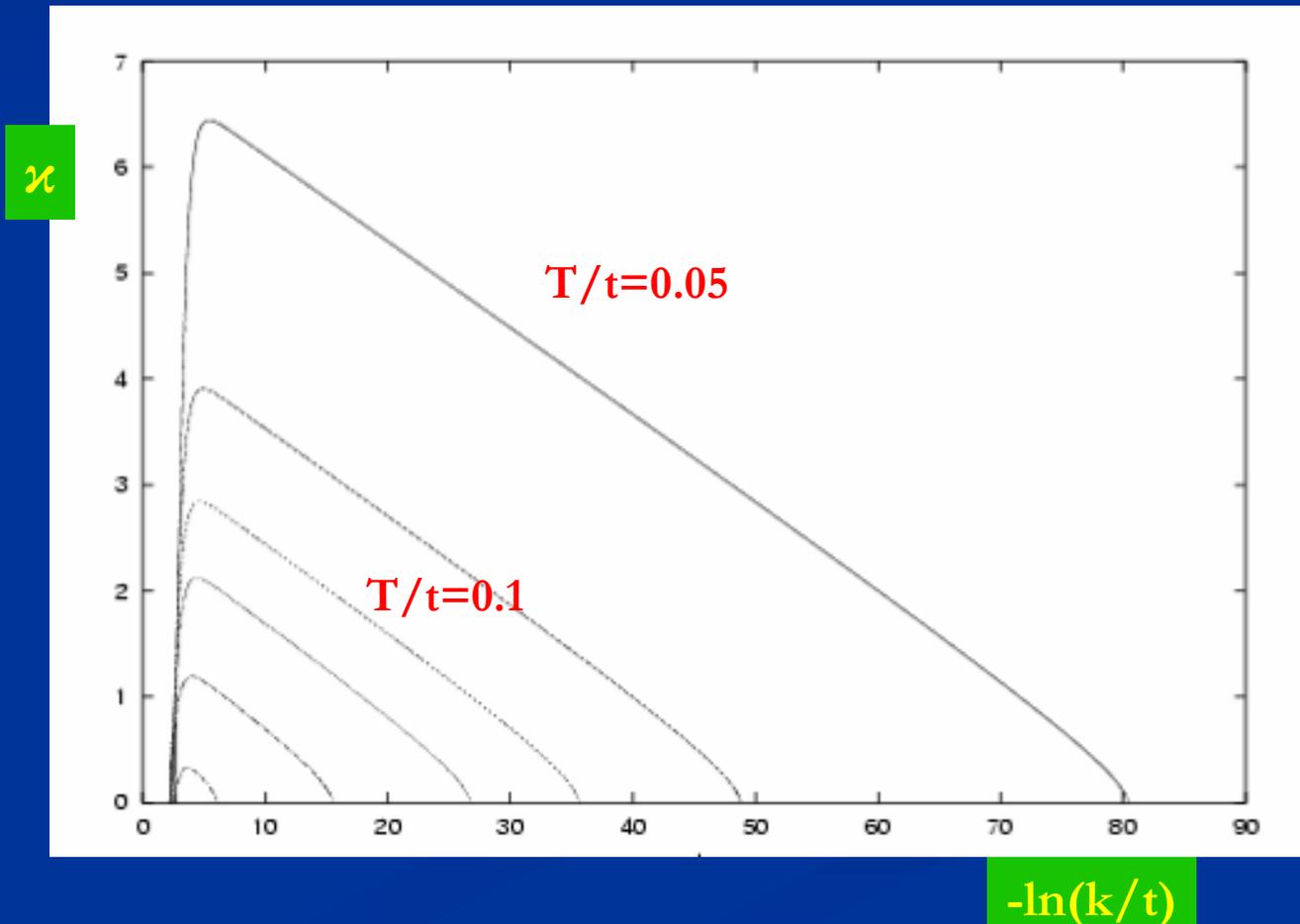
# evolution of potential minimum



$$U/t = 3, T/t = 0.15$$

# Critical temperature

For  $T < T_c$ :  $\kappa$  remains positive for  $k/t > 10^{-9}$   
size of probe  $> 1$  cm

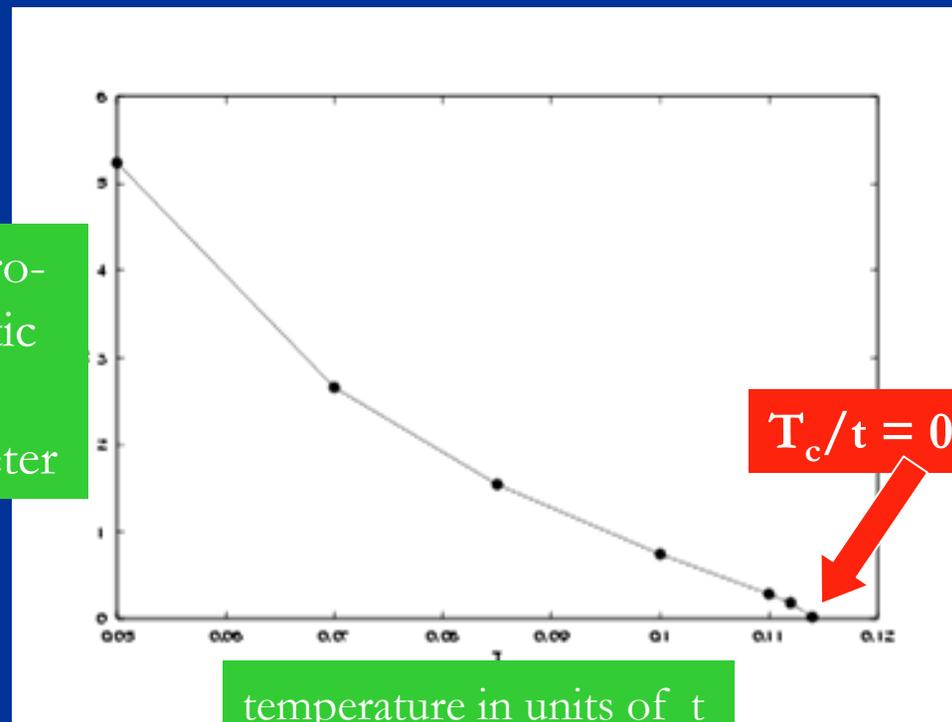


# Below the critical temperature :

Infinite-volume-correlation-length becomes larger than sample size

finite sample  $\approx$  finite  $k$  : order remains effectively

antiferro-  
magnetic  
order  
parameter



temperature in units of  $t$

$T_c/t = 0.115$

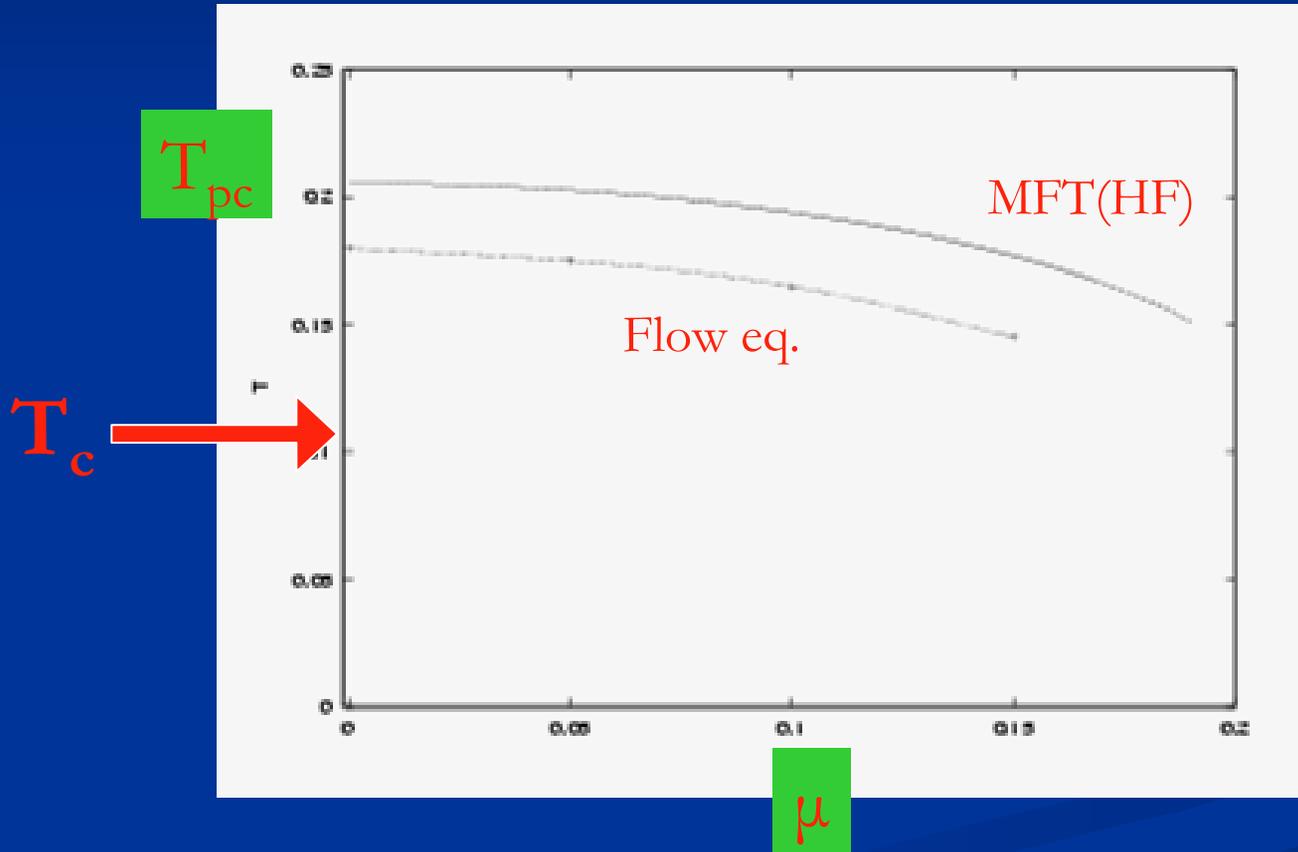
# Pseudocritical temperature $T_{pc}$

Limiting temperature at which bosonic mass term vanishes ( $\kappa$  becomes nonvanishing)

It corresponds to a diverging four-fermion coupling

This is the “critical temperature” computed in MFT!

# Pseudocritical temperature



Below the pseudocritical temperature

the reign of the  
goldstone bosons

effective nonlinear  $O(3) - \sigma$  - model

# critical behavior

for interval  $T_c < T < T_{pc}$   
evolution as for classical Heisenberg model

cf. Chakravarty, Halperin, Nelson

$$k\partial_k\kappa = \frac{1}{4\pi} + \frac{1}{16\pi^2\kappa} + O(\kappa^{-2})$$

$$\kappa(k) = \kappa_m(T) - \frac{1}{4\pi} \ln \frac{k_m(T)}{k}$$

# critical correlation length

$$\xi t = c(T) \exp \left\{ 20.7 \beta(T) \frac{T_c}{T} \right\}$$

$c, \beta$  : slowly varying functions

exponential growth of correlation length  
compatible with observation !

at  $T_c$  : correlation length reaches sample size !

$$\begin{aligned} \beta(T) &= \frac{\hat{\alpha}_0(T) \hat{Z}_a(T)}{\hat{\alpha}_0(T_c) \hat{Z}_a(T_c)}, \\ c(T) &= C_{SR} \frac{k_m(T_c)}{k_m(T)} \left( \frac{k_m(T_c)}{t} \right)^{\delta(T)}, \\ \delta(T) &= \beta(T) \frac{T_c}{T} - 1 \end{aligned}$$

$$\begin{aligned} \xi &= \frac{C_{SR}}{k_m(T)} \exp(4\pi \kappa_m(T)) \\ \xi &= \tilde{C} \exp\left(\frac{\gamma}{T}\right) \end{aligned}$$

$$\gamma = 4\pi \hat{\alpha}_0(T) \hat{Z}_a(T) t^2.$$

$$T_c(k) = \frac{\gamma(T_c)}{\ln(k_m(T_c)/k)}$$

# critical behavior for order parameter and correlation function

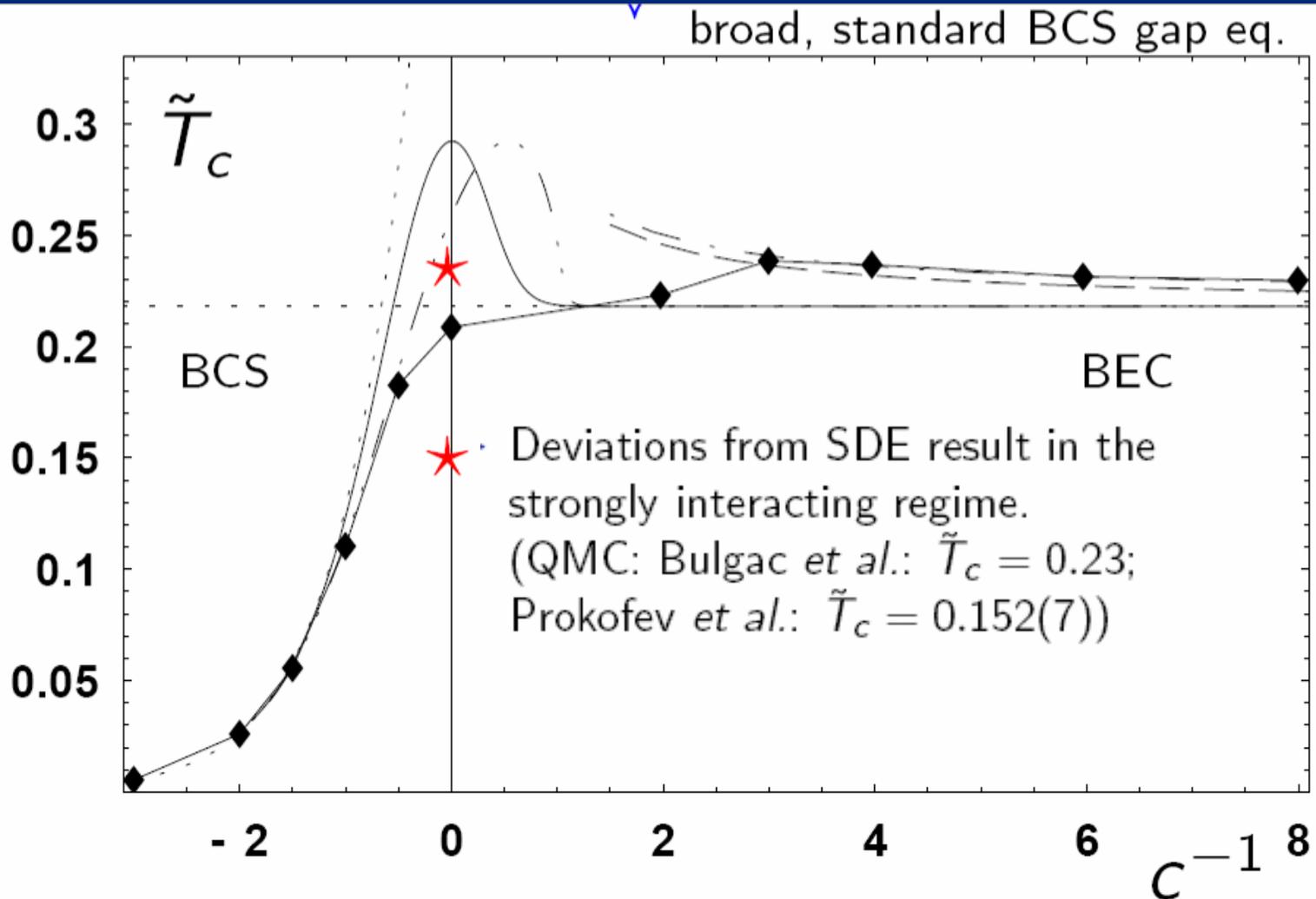
$$\kappa_a(T) = \left( \frac{\gamma(T)T_c}{T} - 1 \right) \kappa_m(T_c) + \frac{1}{4\pi} \ln \frac{\kappa_m(T_c)}{\kappa_m(T)}.$$

$$G(q^2) = (Z_a(k = \sqrt{q^2})q^2)^{-1} \sim (q^2)^{-1+\eta_a/2}$$

# Mermin-Wagner theorem ?

No spontaneous symmetry breaking  
of continuous symmetry in  $d=2$  !

# crossover phase diagram



# shift of BEC critical temperature

